Board of County Commissioners
Leon County, Florida
www.leoncountyfl.gov

Budget Discussion Item
Executive Summary

June 22, 2010

Title:
Discretionary Funding Levels for Outside Agencies

Staff:
Parwez Alam, County Administrator
Alan Rosenzweig, Assistant County Administrator
Scott Ross, Director, Office of Management and Budget

Issue Briefing:
This item seeks Board approval in the setting of maximum funding levels for outside agencies as required by Ordinance 2006-34 "Discretionary Funding Guidelines" (Attachment #1).

Fiscal Impact:
This item has a fiscal impact of $2,490,309 for outside agencies discretionary funding that has been included in the preliminary tentative budget.

Staff Recommendations:
Option #1: Accept staff's report on funding for outside agencies for FY 2011 and include $2,490,309 for the following in the FY 2011 tentative budget.

• CHSP	$825,000
• Line Item Funding for Agencies	$1,486,059
• Palmer Monroe	$150,000
• Event Sponsorship	$24,500
• Youth Sports Teams	$4,750

Report and Discussion

Background:

As required by County Ordinance "Discretionary Funding Guidelines", the Board determined the following prior to the March 1, 2010 deadline.

1. The maximum amount of line item funding to be considered for the budget.
2. The list of permanent line item funded agencies that can submit applications for funding during the current budget cycle.
3. Provide direction to staff on additional appropriation requests that should be considered as part of the tentative budget development process.

The Board approved the above at the January 26, 2010 budget workshop, which was later ratified at the February 9, 2010 meeting

Analysis:

Based on the Discretionary Funding Ordinance, the Board established maximum funding levels for a series of categories. In addition, the Board determined which agencies were to receive applications for funding requests. Applications were sent to outside agencies on March 2, 2010.

Table 1 shows the amount of discretionary funding allocated during the past three fiscal years, FY 2008 thru FY 2010, and the preliminary amount budgeted for FY 2011.

Table 1: FY 2008 – FY 2010 Adopted and FY 2011 Preliminary Discretionary Funding

Discretionary Funding Category/ Fiscal Year	FY 2008	FY 2009	FY 2010	FY 2011 Tentative
Community Human Service Partnership (CHSP)	$750,000	$ 750,000	$750,000	$825,000
Line Item Agency Funding	1,185,159	1,357,809	986,559	986,559
Palmer Monroe Teen Center	0	0	0	150,000
Event Sponsorships	20,000	21,500	22,000	24,500
Youth Sports Teams	4,750	4,750	4,750	4,750
Economic Development Council	199,500	199,500	199,500	199,500
Tallahassee Memorial Trauma Center	300,000	300,000	300,000	300,000
Total	**$2,459,409**	**$2,633,559**	**$2,262,809**	**$2,490,309**

Community Human Service Partnership (CHSP) Funding

In FY 2008, the Board increased the CHSP funding to $750,000. During the January 26, 2010 budget workshop, the Board directed staff to tentatively set the maximum funding level for CHSP budget at $825,000 an increase of $75,000. The preliminary budget includes the $825,000 funding level.

Line Item Agency Funding

As directed by the Board, Table 2 depicts agencies that received line item funding applications and the maximum funding level established for FY 2011. With the enactment of the Discretionary Funding Guidelines Ordinance, agencies that provide human services that are eligible for CHSP funding, cannot receive line item funding, unless the funding is for an activity that is not CHSP eligible, such as capital projects. Staff sent line-item funding applications to these agencies with the caveat that the funding levels may be reduced as part of the budget process.

***Table 2:* FY2010 Adopted Funding and FY 2011 Maximum Funding Levels**

(Note: The amounts shown below for FY2011 are not commitments to fund specific agencies. The amounts reflect the maximum funding levels for outside agencies established by the Board)

Permanent Line Item Funding	***Adopted FY10***	***FY11 Maximum Funding Level***
Human Services Funding		
DISC Village	$185,759	$185,759
Whole Child Leon (United Way of the Big Bend) (1)	38,000	38,000
United Partners for Human Services (UPHS) (1)	23,750	23,750
Subtotal – Human Services	***$247,509***	***$247,509***
Cultural Services Funding		
Keep Tallahassee-Leon County Beautiful	21,375	21,375
Tallahassee Trust for Historic Preservation	63,175	63,175
Council on Culture and Arts (COCA) Admin Costs (2)	150,000	150,000
Subtotal Cultural and Other Services	***$234,550***	***$234,550***
Total General Revenue Permanent Funding	**$482,059**	**$482,059**
Other Non-General Revenue Line Items (TDC)		
COCA - Grant Program (2)	504,500	504,500
Total Other Non-General Revenue Line Items Funding	**$504,500**	**$504,500**
Grand Total	**$986,559**	**$986,559**

(1) Whole Child Leon and UPHS are not 503(c) organizations and are not eligible for CHSP money. These agencies are coalition organizations that refer clients for services to other human service agencies.

(2) FY 2010 funding for the Council on Culture and Arts Grant Program includes $150,000 in general revenue funding and $504,500 in Tourist Development funding from the 4-cent portion of the bed tax.

As reflected in Table 2, the maximum total funding level for these categories was established at $986,559. All the agencies except COCA submitted applications for the maximum funding level established by the Board for FY 2011. COCA has requested an additional $50,000 for operating cost. This has not been included in the preliminary budget. In addition, due to fiscal constraints associated with the collection of the bed-tax (i.e. collections are down approximately 7% from FY10 due to the recession), the Tourist Development Council preliminarily recommended a reduction in the COCA re-granting funds from the current $504,500 to $475,000, a reduction of

$29,500. However, given the maximum level established by the Board, the TDC was directed to provide the maximum funding level in the budget. The TDC made reductions elsewhere to provide for this funding. The preliminary budget provides funding at the FY 2010 amount for COCA.

Special Event, and Youth Sports Funding
Last year, the Board allocated funding for special events as detailed in Table 3. The following events are not required to submit applications.

***Table 3:* Proposed FY 2011Maximum Event Sponsorship Funding**
(Amounts Based on Historical Level of Board Support)

Event Sponsorships(Organization)	FY 10 Funding	FY 11 Max Funding
Celebrate America 4th of July Celebration (Celebrate America)	$2,500	2,500
Dr. Martin Luther King Celebration (Inter Civic Southern Leadership Council of Tallahassee)	4,500	4,500
Capital City Classic (Capital City Classic)	5,000	5,000
Friends of the Leroy Collins Public Library (The Friends of the Library)	3,000	3,000
NAACP Freedom Funds Award (Tallahassee Branch NAACP)	1,000	1,000
After School Jazz Jam (The Women's Club)	2,000	2,000
Soul Santa (Frenchtown $2,500 and Walker Ford $1,500)	4,000	4,000
Veterans Parade*	0	$2,500
Total	**$22,000**	**$24,500**

* As directed by the Board at the February 9, 2010 meeting, funding for the Veterans Parade has been realigned from the Veterans Services budget to special event funding. There is no net fiscal impact by moving the account.

Funding at the maximum level as reflected in the Table has been included in the preliminary budget. The funding level for Youth Sports Teams has been held at $4,750 from the previous fiscal year. Staff recommends maintaining this funding level in FY 2011.

Additional Outside Agency Funding
Staff has also included in the preliminary budget funding for the Tallahassee Memorial Hospital Trauma Center and funding for the Economic Development Council in the amounts of $300,000 and $199,500 respectively. These are the maximum funding level amounts established by the Board at the January 26, 2010 budget workshop.

Palmer Monroe
In addition, as directed by the Board at the January budget workshop, $150,000 in funding has been included for the Palmer Monroe Teen Center, a joint project to be funded by the County, the Department of Juvenile Justice, and the City of Tallahassee. An agenda item will be brought to the Board on July 13, 2010, providing an update on the progress of the facility, and a Memorandum of Understanding between the parties who will provide funding for the facility's operation.

Table 4 summarizes the maximum recommended discretionary funding levels for FY 2011.

Table 4: **Summary of Preliminary Discretionary Funding Levels for FY2011**

Category	FY10 Adopted Funding	FY11 Proposed Maximum Levels
CHSP	$750,000	$825,000
Line Item Funding for Agencies	$482,059	$482,059
Palmer Monroe Teen Center	$0	$150,000
Line Item Agencies Funding –TDC	$504,500	$504,500
Event Sponsorship	$22,000	$24,500
Youth Sports Teams	$4,750	$4,750
Economic Development Council	$199,500	$199,500
Tallahassee Memorial Trauma Center	$300,000	$300,000
Total Maximum – Discretionary Funding	**$2,262,809**	**$2,490,309**

In summary, the increase in funding over FY 2010 is due to the addition of $150,000 for the Palmer Monroe Teen Center, $75,000 for the Community Human Service Partnership grant process, and the realignment of the Veteran's Parade funding from the Veterans Services budget in the amount of $2,500.

Options:

1. Accept staff's report on funding for outside agencies for FY 2011 and include $2,490,309 for the following in the budget.
   - CHSP $825,000
   - Line Item Funding for Agencies $1,486,059
   - Palmer Monroe $150,000
   - Event Sponsorship $24,500
   - Youth Sports Teams $4,750
2. Board Direction

Recommendation:

Options #1 is include in the preliminary FY2011 budget.

Attachments:

1. Leon County Ordinance 2006-34, Discretionary Funding Guidelines
2. Line Item Funding Applications

ARTICLE XI. DISCRETIONARY FUNDING GUIDELINES

Sec. 2-600. Application of article.

This article shall govern the allocation of discretionary funds and provide the board a maximum amount of annual funding available in each of the following fund categories:

(a) Community human services partnership fund;

(b) Community human services partnership--Emergency fund;

(c) Commissioner district budget fund;

(d) Midyear fund;

(e) Non-departmental fund; and

(f) Youth sports teams fund.

(Ord. No. 06-34, § 1, 11-14-06)

Sec. 2-601. Annual appropriation.

Funding for the purposes set forth in this article shall be subject to an annual appropriation by the board in accordance with this article.

(Ord. No. 06-34, § 1, 11-14-06)

Sec. 2-602. Definitions.

The following words, terms and phrases, when used in this article, shall have the meanings ascribed to them in this section, except where the context clearly indicates a different meaning.

Community human services partnership fund shall mean funds eligible for allocation to social service programs.

Community human services partnership--Emergency fund shall mean funds eligible for allocation for one time funding to meet an emergency situation.

Commissioner district budget fund shall mean funds eligible for allocation to each commissioner for activities relating to his or her district or the county at large.

Emergency situation shall mean those exigent circumstances that would prohibit or severely impact the ability of a currently funded community human services partnership (CHSP) agency to provide services.

Midyear fund shall mean funds eligible for allocation for requests that occur outside of the regular budget process.

Non-departmental fund shall mean funds eligible for allocation for non-profit entities that are included, by direction of the board, as part of the regular adopted budget.

Non-profit shall mean an entity that has been designated as a 501(c)(3) eligible by the U.S. Internal Revenue Services and/or registered as a non-profit entity with the Florida Department of State.

Youth sports teams fund shall mean funds eligible for allocation for temporary and nonrecurring youth sporting events such as tournaments and playoffs, and events recognizing their accomplishments.

(Ord. No. 06-34, § 1, 11-14-06)

Sec. 2-603. Application process.

(a) The county administrator or his designee is authorized to develop forms and procedures to be used by a non-profit, group or individual when submitting a request for funding consistent with the provisions herein.

(b) The county administrator or his designee shall establish a process for evaluating requests for funding made pursuant to this article.

(Ord. No. 06-34, § 1, 11-14-06)

Sec. 2-604. Funding category guidelines.

(a) *Community human services partnership program fund.*

(1) Non-profits eligible for community human service partnership (CHSP) funding are not eligible for funding in any other county government funding category, except when requesting funding for an activity that is not CHSP eligible, such as capital improvements.

(2) Annually, as part of the budget process, the board shall confirm the allocation of funding set aside for the community human services program.

(b) *Community human services partnership program--Emergency fund.*

(1) Non-profits that are funded through the CHSP process are eligible to apply for emergency, one time funding through the community human services partnership program--Emergency fund.

(2) Annually, as part of the budget process, the board shall confirm the allocation of funding set aside for the community human services partnership program--Emergency fund.

(3) These funds are available to any agency that is currently funded through the CHSP process.

(4) The request for emergency funding shall be made at a regular meeting of the board. If deemed appropriate, the request for emergency funding shall then go before a CHSP sub-committee consisting of members from the CHSP review boards of each of the partners (Leon County, the City of Tallahassee, and the United Way of the Big Bend). The sub-committee shall determine if the situation would qualify as an emergency situation and what amount of financial support would be appropriate. The CHSP shall then make a recommendation to the county administrator, who is authorized to approve the recommendation for funding.

(5) In the event the board does not meet in a timely manner, as it relates to an agency's request, the county administrator shall have the authority to appropriate expenditures from this account.

(c) *Commissioner district budget fund.*

(1) Annually, as part of the budget process, the board shall determine the allocation of

funding set aside for the commissioner district budget fund.

(2) Expenditures shall only be authorized from this account at a regular meeting of the board.

(d) *Midyear fund.*

(1) Non-profits, groups or individuals that do not fit into any of the other categories of discretionary funding as outlined in this article are eligible to apply for midyear funding.

(2) Annually, as part of the budget process, the board shall determine the allocation of funding set aside for the midyear fund.

(3) In the event the board does not meet in a timely manner, as it relates to a funding request, the county administrator shall have the authority to appropriate expenditures from this account. Such action is thereafter required to be ratified by the board.

(e) *Non-departmental fund.*

(1) Non-profits eligible for non-departmental funding are not eligible for funding in any other category. Eligible funding activities in this category are festivals and events and outside service agencies.

(2) Annually, as part of the budget process, the board shall determine the allocation of funding set aside for the non-departmental fund.

(3) Non-profits eligible for funding through the cultural resources commission (CRC) Leon County Grant Program (funded through the non-departmental process) are not eligible for funding in any other category.

(f) *Youth sports teams fund.*

(1) Non-profits or athletic teams of the Leon County School System that are eligible for the county's youth athletic scholarship program are not eligible for funding pursuant to this article.

(2) Annually, as part of the budget process, the board shall determine the amount of funding pursuant to this article.

(3) The award for youth sports teams shall not exceed $500.00 per team.

(4) Youth sports teams requesting funding from the Board shall first submit their requests in writing to the county administrator or his or her designee for review and evaluation. The request must include certified documentation establishing the legitimacy of the organization.

(5) Funding will be allocated on a first-come, first-served basis. In the event that more than one request is received concurrently when the fund's balance is reduced to $500.00, the remaining $500.00 will be divided equally among the applicants meeting the evaluation criteria.

(6) Applicants must have participated in a city, county, or school athletic program during the year in which funding is sought.

(7) Team participants must be 19 years of age or younger.

(8) The requested funding shall support post-season activity, e.g., tournaments, playoffs, or awards banquets associated with extraordinary performance.

(9) In the event the board does not meet in a timely manner, as it relates to a youth sports teams' request, the county administrator shall have the authority to appropriate expenditures from this account. Such action is thereafter required to be ratified by the board.

(g) *Appropriation process.* Annually, prior to March 1, the board shall:

(1) Determine the amount of funding set aside for each funding category identified in this article;

(2) Determine the list of permanent line item funded entities that can submit applications for funding during the current budget cycle; and

(3) Provide direction to staff on additional appropriation requests that should be considered as part of the tentative budget development process.

(Ord. No. 06-34, § 1, 11-14-06)

Secs. 2-605--2-699. Reserved.

2010/2011 Non-Departmental Funding Request Application

A. Organizational Information

Legal Name of Agency: DISC Village, Inc.

Agency Representative: Thomas K. Olk, Chief Executive Officer

Physical & Mailing Address: 3333 W. Pensacola Street, Tallahassee FL 32304

Telephone: (850) 575-4388

FAX: (850) 576-5960

E-Mail Address: TO1995@aol.com

Agency Employer ID Number (FEIN): 59-1491338

Does the Agency have a 501(c)(3) status: Yes X No

Date of Agency Incorporation: 06/15/1973
Attach Articles of Incorporation

Please attach the Agency's most recent completed tax return.

B. Project Information

1. **Succinctly describe the program for which funding is requested. Please include types of services provided (attach additional pages as necessary):**

This request will support the continuing operation of the Juvenile Assessment Center by providing funding for Correctional Officers and the Civil Citation program. The Correctional Officers allow law enforcement agencies the ability to effectively hand-off arrested youth at the Juvenile Assessment Center and return to the streets. The Juvenile Assessment Center provides screening to the arrested youth for appropriate placement.

The Civil Citation program offers early intervention, counseling, education and other appropriate community resources to divert first time juvenile misdemeanor offenders from the juvenile justice system. Local law enforcement officers issue civil citations to youth instead of making an arrest. Civil Citation allows youth to complete community service hours at approved community worksites if the youth and their parents agree to participate in the program. Participating youth must complete all sanctions assigned to them in order to be successfully diverted. The program employs a case manager who conducts assessments for treatment referral needs, provides oversight of youth activities, case management and liaison/advocacy functions.

2. **Why is this funding being requested? If this funding request is not approved, what would be the impact on your Agency or program for which funding is sought?**

This funding is being requested to maintain the operations of Juvenile Assessment Center by providing the mandatory onsite security and supervision of arrested youth. Having the Juvenile Assessment Center provides the ability for local law enforcement to bring arrested youth to a secure site and allows them to return to their duties of providing safety and security in the community with minimal disruption of their time.

The Civil Citation program faces the risk of closing if it is not funded, which would be a waste of resources since the Civil Citation program has been found to save more money than it costs to operate and to provide a second chance for juvenile offenders.

3. **Projected program impact/outcome results - What is the projected impact on the target population?**

The Civil Citation program has been operating for over ten years to give law enforcement officers the discretion to provide first time misdemeanor youth with a "second chance". The program also enables law enforcement officers to have more control over a youth's sanctions. It allows them to outline required community services hours and other sanctions to assist the offending youth at a considerable cost savings to an arrest. Since the program operates outside of the juvenile justice system, it has been estimated to have saved thousands of dollars each year in costs normally associated with processing arrested youth. Other benefits of the program include the provision of earliest possible treatment interventions and clear and immediate consequences for youth. It is used as a tool to minimize minority overrepresentation in the local juvenile justice system.

4. **List the target population projected to be served or benefit from this program?**

The target population for the Civil Citation program is any Leon County youth who commits a first time misdemeanor. Eligibility for the program is based on the current offense, lack of a delinquency history, residency of the youth, officer discretion, and the willingness of the youth and parent/guardian to participate. The target population for the Correctional Officers is any arrested youth brought to the Juvenile Assessment Center by law enforcement.

5. **Provide the methods are being effectively used to attain this program's target population.**

The target population for the Civil Citation program is determined by law enforcement discretion. If an officer determines that a youth would be appropriate for Civil Citation, they call the JAC to determine if the youth has any type of prior delinquency history on file. At that point, if the youth meets the other criteria, they give the youth a citation and advise them to call the Civil Citation office within 7 days. Law enforcement officers receive periodic training from the case manager to ensure consistent application of the program, especially in regards to minority overrepresentation.

6. **Outline the phases and time frames in which this program or event will be accomplished if funded.**

The Correctional Officers provide onsite custody and control of the youth at the Juvenile Assessment Center 24 hours a day, seven days a week. The Civil Citation program operates from 8:00 a.m. to 5:00 p.m., Monday through Friday. However, accommodations are made for youth/families that cannot come in during regular business hours.

7. **List the program's short-term, intermediate, and long-term goals.**

The main goal for the Correctional Officers is to provide immediate custody and control of arrested youth brought to the Juvenile Assessment Center which will allow local law enforcement to return to their duties with minimal disruption of their time.

The main goal of the Civil Citation program is to keep youth out of the overburdened Juvenile Justice system.

8. **What other agencies in Leon County (governmental, non-profit, private) provide service(s) similar to those which would be provided by this funding?**

There are no other agencies in Leon County that provide services similar to the Civil Citation program. The Civil Citation program provides coordinated referrals to the county's various delinquency prevention and intervention programs. It is a component of the JAC, which represents a synergetic endeavor that involves eleven partner agencies.

9. List any Agency partnerships and collaboration related to this program.

Agency	Partnership/Collaboration
Capital City Youth Services	Leon County School Board
State Attorney's Office	Department of Juvenile Justice
Public Defender's Office	City of Tallahassee Police Department
Clerk of the Courts	Leon County Sheriff's Office
Florida State University Police Department	Department of Children and Families

C. Funding Information

10. Agency's current total budget: 2009/10 $ 8,087,893 *(Current)*
2010/11 $ 8,087,893 *(Proposed)*

11. Total cost of program: (2009/2010) $379,018

12. Please list the 2009/10 funding amount and associated expenditures requested from Leon County and other sources:

Actual Expenditure Detail	Leon County Funded	Other Agencies Funded	Total
Compensation and Benefits	153,336	153,336	306,672
Professional Fees	0	0	0
Occupancy/Utilities/Network	1,250	1,250	2,500
Supplies/Postage	225	225	450
Equipment Rental, Maint., Purchase	900	900	1800
Meeting costs/Travel/Transportation	750	750	1500
Staff/Board Development/Recruitment	1,151	1,151	2,302
Awards/Grants/Direct Aid	0	0	0
Bad Debts/Uncollectibles	0	0	0
Bonding/Liability/Directors Insurance	400	400	800
Other expense (please itemize)			
Educational Materials	3,723	3,722	7,445
Urinalysis Expense	751	752	1503
In-Kind Expense		7,500	7,500
Administrative Cost	23,273	23,273	46,546
TOTAL	185,759	193,259	379,018

13. **Please list the following Revenue Sources for the current year and the upcoming year below:**

Revenue Source	2009/10 (Current)	2010/11 (Proposed)
Leon County (not CHSP):	185,759	185,759
City of Tallahassee (not CHSP):	145,000	145,000
City of Tallahassee Mid Year Request		
United Way (not CHSP):		
State:	32,096	33,586
Federal:		
Grants:		
Contributions/Special Events:	16,163	16,163
Dues/Membership:		
Program Service Fees:		
Other Income (please itemize):		
TOTAL	379,018	380,508

14. **Please list the following expenses for the current year and the upcoming year below:**

Expense	**2009/10 (Current)	2010/11 (Proposed)
Compensation and Benefits	198,371	306,350
Professional Fees	0	0
Occupancy/Utilities/Network	1,852	3,104
Supplies/Postage	1,104	1,200
Equipment Rental, Maint., Purchase	1,599	2,251
Meeting costs/Travel/Transportation	195	400
Staff/Board Development/Recruitment	250	500
Awards/Grants/Direct aid	0	0
Bad Debts/Uncollectibles	0	0
Bonding/Liability/Directors Insurance	1,552	2,135
Other expense (please itemize)		
Correctional Officers Uniforms	1,181	2,400
Educational Materials	2,968	3,750
Urinalysis Expense	970	1,500
In-Kind Expense	11,084	16,163
Administrative Cost	28,266	40,755
TOTAL	249,392	380,508

**Note Current expenses are for the period 07/01/2009 – 03/05/2010 while the revenue is for the entire year.

15. **Describe actions and fund-raisers to secure funding.**

DISC Village is consistently working with partner organizations, foundations and other governmental agencies in an effort to optimize program funding.

16. **Will this program or event recur every year?**

No _____ **Yes** X

17. **Would funding by Leon County be requested in subsequent years for successful completion of the program?**

No _____ **Yes** X

If "yes," estimate: the amount of next year's funding request $ 185,759

18. **Has Leon County ever contributed funds to this program in the past?**

No _____ **Yes** X

If "yes," list date(s), recipient or agency, project title and amount of funding:

Date: 10/01/2008-9/30/2009 This has been recurring since 1994

Recipient or Agency: DISC Village, Inc.

Program Title: JAC – Booking (Correctional Officers) and Civil Citation Programs

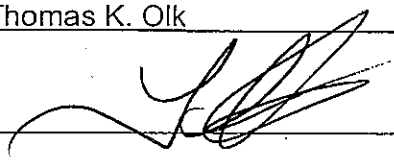
Funding Level: $185,759

19. **Attach a copy of the Agency's most recent financial report.**

CERTIFICATION

I, the undersigned representative of the Agency, organization or individual making this request, certify that to the best of my knowledge all statements contained in this request and its attachments are true and correct.

Printed Name: Thomas K. Olk

Signature: 

Date Signed: 3/18/2010

State of Florida

Department of State

I certify that the attached is a true and correct copy of Amended and Restated Articles of Incorporation, filed April 10, 1989, for DISC VILLAGE, INC., a Florida corporation, as shown by the records of this office.

The document number of this corporation is 726722

COPY

Given under my hand and the Great Seal of the State of Florida, at Tallahassee, the Capital, this the 10th day of April, 1989.

Jim Smith
Secretary of State

CR2EO22 (6-88)

AMENDED AND RESTATED
ARTICLES OF INCORPORATION

DISC VILLAGE, INC.
(A Corporation Not for Profit)

We, the undersigned, with other persons being desirous of amending and restating a corporation for charitable and philanthropic purposes, under the provisions of Ch. 617 of the Florida Statutes, do agree to the following:

ARTICLE I. NAME

The name of this corporation is DISC VILLAGE, INC.

ARTICLE II. PURPOSES

The general nature of the objects and purposes of this corporation shall be:

To promote the well being of those suffering from drug, alcohol, and other substance abuse; and to promote the re-integration of these persons into productive roles in the community.

To provide an effective and integrated approach to substance abuse treatment through a coordinated continuum of care to include: substance abuse prevention/education, referral services, family services, residential services, vocational training, life management skills, outpatient services, case management services, criminal justice liaison services, urinalysis testing, and extended aftercare services

No part of its net earnings shall inure to the benefit of any individual except to subsidize the projects as above described; no substantial part of its activities shall be the carrying on of lobbying or otherwise attempting to influence legislation; and it shall not participate in nor intervene in (including the publishing or distributing of statements) any political campaign on behalf of any candidate for public office or referendum. If by reason of change in Section 501(c) of the United States Internal Revenue Code of 1954, or otherwise, the carrying out of any of the said purposes would cause the assets or income of this corporation to be subject to federal income tax, no further distributions shall be made for such non-exempt purpose or purposes.

ARTICLE III. QUALIFICATION OF MEMBERS

The directors shall comprise the membership of the Corporation and also included shall be such persons, corporations, associations and others as may be qualified for and admitted to membership pursuant to DISC Village Bylaws.

ARTICLE IV. TERMS OF EXISTENCE

This corporation is to exist perpetually.

ARTICLE V. SUBSCRIBERS

The names and residences of the subscribers to these articles are:

NAME	RESIDENCE
Alexander Bassin, Ph.D.	2312 Domingo Drive Tallahassee, Florida 32304
Eugene Czajkoski, Ph.D.	3340 Kilkenney East Tallahassee, Florida 32308
Joseph James Aloi	349 Mayo Street Tallahassee, Florida 32304

ARTICLE VI. OFFICERS

Section 1. The officers of the corporation shall be a President, a Vice President, a Secretary, a Treasurer and such other officers as may be provided in the bylaws.

Section 2. The names of the persons who are to serve as officers of the corporation until the next annual meeting are:

OFFICE	NAME
President	Dr. Alexander Bassin
Vice President and Secretary	Dr. Eugene Czajkoski
Treasurer	Joseph James Aloi

Section 3. The officers shall be elected at the annual meeting of the Board of Directors or as provided in the bylaws.

ARTICLE VII. BOARD OF DIRECTORS

Section 1. The business affairs of this corporation shall be managed by the Board of Directors. This corporation shall have three directors initially. The number of directors may be changed from time to time, by the bylaws, but shall never be less than three.

Section 2. The Board of Directors shall be members of the corporation.

Section 3. Members of the Board of Directors shall be elected and hold office in accordance with the bylaws.

Section 4. The names and addresses of the persons who are to serve as directors for the ensuing year, or until the next annual meeting of the corporation are (never less than three):

NAME	RESIDENCE
Alexander Bassin, Ph.D.	2312 Domingo Drive Tallahassee, FL 32304
Eugene Czajkoski, Ph.D.	2340 Kilkenney East Tallahassee, FL 32308
Joseph James Aloi	325 Mayo Street Tallahassee, FL 32304
Sharon Maxwell, Ph.D.	602 Ingleside Avenue Tallahassee, FL 32303
Roosevelt Randolph, Esq.	1104 Albritton Drive Tallahassee, FL 32301

ARTICLE VIII. AMENDMENTS

Section 1. These Articles of Incorporation may be amended at a special meeting of the membership called for that purpose by a two-third (2/3) vote of those present.

Section 2. Amendments may also be made at a regular meeting of

the membership upon notice given, as provided by the bylaws, of intention to submit such amendments.

ARTICLE IX. LOCATION

The location of this corporation shall be at 3333 West Pensacola Street, in the City of Tallahassee, County of Leon, State of Florida.

ARTICLE X. LIABILITY

DISC Village shall defend, indemnify, and hold harmless its directors and officers from and against any and all liability for acts or omissions committed or omitted in the course and scope of their duties as officers or directors of DISC Village, unless such officer or director acted in bad faith with respect to the issue for which indemnification is sought.

ARTICLE XI. DISSOLUTION

In the event of dissolution, it shall be the duty of the Members to designate a successor non-profit corporation, contributions which are deductible for Federal income tax purposes, to take over all or substantially all of the functions and purposes of the corporation, or if no such successor corporation is so designated, to designate the non-profit corporation or corporations as the recipient or recipients to which shall be paid over any property or assets remaining after dissolution. In no event shall the assets of the corporation be distributed to members, officers, or directors of the corporation.

Any amendments included herein have been adopted pursuant to 617.0201(4) Florida Statutes, and there is no discrepancy between the articles of incorporation as theretofore amended other than the inclusion of these amendments and the omission of matters of historical interest.

The members and board of directors adopted these amended and restated Articles of Incorporation on April 3, 1989.

IN WITNESS WHEREOF, we, the undersigned incorporators, have hereunto set our hands and seals, this 3rd day of April 1989, for the purpose of amending and restating this corporation not for profit under the laws of the State of Florida.

Alexander Bassin, President

Eugene Czajkoski

Eugene Czajkoski, Vice President & Secretary

Joseph James Aloi, Treasurer

Sworn to and subscribed before me

this 3rd day of April 1989.

Notary Public

Notary Public, State of Florida
My Commission Expires April 13, 1992
Bonded thru Fain Insurance Inc.

DISC VILLAGE, INC.

CONSOLIDATED FINANCIAL STATEMENTS

JUNE 30, 2009

DISC VILLAGE, INC.
TABLE OF CONTENTS
JUNE 30, 2009

	Page(s)
Independent Auditors' Report	1 – 2
Financial Statements	
Consolidated Statement of Financial Position	3
Consolidated Statement of Activities	4
Consolidated Statement of Functional Expenses	5
Consolidated Statement of Cash Flows	6
Notes to Consolidated Financial Statements	7 – 13
Schedule of Expenses of Federal Awards and State Financial Assistance	14 – 17
Additional Information	18 – 27
Independent Auditors' Report on Internal Control Over Financial Reporting and on Compliance and Other Matters Based on an Audit of Financial Statements Performed in Accordance with *Government Auditing Standards*	28 – 29
Independent Auditors' Report on Compliance with Requirements Applicable to Each Major Federal Program and State Project and on Internal Control Over Compliance in Accordance with OMB Circular A-133 and Chapter 10.650, Rules of the State of Florida, Office of the Auditor General	30 – 31
Schedule of Findings and Questioned Costs – Federal Programs and State Projects	32 – 33

The information presented in the schedules on pages 18 through 27 is presented for purposes of additional analysis and are not a required part of the consolidated financial statements. Such information has not been subjected to the auditing procedures applied in the audits of the consolidated financial statements, and accordingly, we express no opinion on it.

James Moore & Co., P.L.

Tallahassee, Florida
December 16, 2009

JAMES MOORE & CO., P.L.
CERTIFIED PUBLIC ACCOUNTANTS

INDEPENDENT AUDITORS' REPORT

To the Board of Directors,
DISC Village, Inc.:

We have audited the accompanying consolidated statement of financial position of DISC Village, Inc. as of June 30, 2009, and the related consolidated statements of activities, functional expenses, and cash flows for the year then ended. These consolidated financial statements are the responsibility of DISC Village, Inc.'s management. Our responsibility is to express an opinion on these consolidated financial statements based on our audit.

We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in ***Government Auditing Standards,*** issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the consolidated financial statements are free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the consolidated financial statements. An audit also includes assessing the accounting principles used and the significant estimates made by management, as well as evaluating the overall consolidated financial statement presentation. We believe that our audit provides a reasonable basis for our opinion.

In our opinion, the consolidated financial statements referred to above present fairly, in all material respects, the consolidated financial position of DISC Village, Inc. as of June 30, 2009, and the changes in its net assets and its cash flows for the year then ended in conformity with accounting principles generally accepted in the United States of America.

In accordance with ***Government Auditing Standards,*** we have also issued our report dated December 16, 2009, on our consideration of DISC Village, Inc.'s internal control over financial reporting and on our tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements and other matters. The purpose of that report is to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on the internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with ***Government Auditing Standards*** and should be considered in assessing the results of our audit.

Our audit was conducted for the purpose of forming an opinion on the basic consolidated financial statements of DISC Village, Inc. taken as a whole. The accompanying Schedule of Expenses of Federal Awards and State Financial Assistance is presented for purposes of additional analysis as required by the United States Office of Management and Budget (OMB) Circular A-133, "Audits of States, Local Governments, and Non-Profit Organizations" and Chapter 10.650, Rules of the State of Florida, Office of the Auditor General and is not a required part of the basic consolidated financial statements. Such information has been subjected to the auditing procedures applied in the audit of the basic consolidated financial statements and, in our opinion, is fairly stated, in all material respects, in relation to the basic consolidated financial statements taken as a whole.

DISC VILLAGE, INC.
CONSOLIDATED STATEMENT OF FINANCIAL POSITION
JUNE 30, 2009

ASSETS

Current assets	
Cash and cash equivalents	$ 775,367
Grants and contracts receivable	612,417
Accounts receivable	146,269
Bond receivable - current portion	105,000
Investments	13,848,363
Prepaid expenses	8,994
Total current assets	15,496,410
Property and equipment, net	11,058,313
Other assets	15,802
Bond receivable - non current portion	110,000
Total Assets	$ 26,680,525

LIABILITIES AND NET ASSETS

Current liabilities	
Accounts payable	$ 228,751
Accrued salaries	147,779
Accrued leave	161,714
Accrued unemployment	100,000
Deferred revenue	92,843
Other accrued liabilities	6,130
Current portion of notes and mortgages payable	1,162,948
Total current liabilities	1,900,165
Long-term liabilities	
Interest rate swap agreement	374,516
Notes and mortgage payable, less current portion	3,610,403
Total long-term liabilities	3,984,919
Net assets, unrestricted	20,795,441
Total Liabilities and Net Assets	$ 26,680,525

The accompanying notes to consolidated financial statements are an integral part of this statement.

DISC VILLAGE, INC.
CONSOLIDATED STATEMENT OF ACTIVITIES
FOR THE YEAR ENDED JUNE 30, 2009

Unrestricted Support and Revenue		
Grants and contracts	$	6,222,802
Net client service revenue		301,570
Contributions		40,855
In-kind contributions		501,000
Food stamps		38,600
Rent		788,378
Investment loss		(3,160,221)
Other revenue		38,458
Total support and revenue		4,771,442
Expenses		
Program services		
Adult and juvenile substance abuse		4,083,050
Child welfare		1,445,534
Intervention		675,881
Other programs		1,311,599
Total program services		7,516,064
Support services		
General and administrative		1,514,015
Total expenses		9,030,079
Decrease in unrestricted net assets before loss on interest rate swap agreement		(4,258,637)
Loss on interest rate swap agreement		(139,243)
Decrease in unrestricted net assets		(4,397,880)
Unrestricted net assets, beginning of year		25,193,321
Unrestricted net assets, end of year	$	20,795,441

The accompanying notes to consolidated financial statements are an integral part of this statement.

DISC VILLAGE, INC.
CONSOLIDATED STATEMENT OF FUNCTIONAL EXPENSES
FOR THE YEAR ENDED JUNE 30, 2009

	Program Services					
	Adult & Juvenile Substance Abuse	Child Welfare	Intervention - Juvenile Services Non - Substance Abuse	Other Programs	General and Administrative	Total
Salaries & payroll taxes	$ 2,133,576	$ 1,004,795	$ 487,673	$ 83,710	$ 1,081,533	$ 4,791,287
Fringe benefits	208,260	89,071	34,027	3,503	43,861	378,722
Advertising	25,518	5,863	4,557	1,320	4,369	41,627
Banking charges	252	92	3	182	63,617	64,146
Contractual services	53,597	66,149	1,565	40,399	12,411	174,121
Depreciation & amortization	171,396	18,632	21,037	283,011	60,100	554,176
Dues & subscriptions	185	-	-	70	32,390	32,645
Educational supplies	13,059	12,250	2,968	7,000	-	35,277
Equipment	3,064	14,701	335	1,273	1,599	20,972
Food supplies	157,253	14,479	1,089	81,472	-	254,293
Household supplies	9,065	2,951	1,344	13,038	592	26,990
In-kind	460,457	6,682	26,351	7,510	-	501,000
Insurance	81,012	22,298	23,112	64,644	26,716	217,782
Leased equipment	19,638	4,081	7,413	7,231	2,164	40,527
Licenses & fees	5,840	516	112	4,435	9,556	20,459
Medical services & supplies	115,253	2,431	2,153	20,620	256	140,713
Meeting	246	191	201	-	7,545	8,183
Miscellaneous	55,276	20,886	5,995	408,315	4,100	494,572
Occupancy	127,661	24,279	25,496	185,358	21,483	384,277
Office supplies	23,006	6,893	6,456	2,653	45,685	84,693
Postage & freight	2,519	2,070	183	93	3,690	8,555
Printing & copying	51,962	18,462	6,867	3,434	3,433	84,158
Professional services	195,721	18,351	-	2,721	58,546	275,339
Reference materials	658	1,033	997	-	872	3,560
Repairs & maintenance	19,940	2,994	4,876	75,146	2,477	105,433
Telephone	49,399	24,812	6,910	5,665	9,309	96,095
Training	22,414	3,130	41	255	2,963	28,803
Travel	25,905	36,317	58	3,580	7,918	73,778
Vehicle operation & maintenance	50,918	21,125	4,062	4,961	6,830	87,896
Total Direct Expenses	4,083,050	1,445,534	675,881	1,311,599	1,514,015	9,030,079
Allocation of General and Administrative Expenses	521,203	184,523	86,277	167,426	(959,429)	-
Total Expenses	$ 4,604,253	$ 1,630,057	$ 762,158	$ 1,479,025	$ 554,586	$ 9,030,079

The accompanying notes to consolidated financial statements are an integral part of this statement.

DISC VILLAGE, INC.
CONSOLIDATED STATEMENT OF CASH FLOWS
FOR THE YEAR ENDED JUNE 30, 2009
Increase (Decrease) in Cash and Cash Equivalents

Cash flows from operating activities		
Cash received from grantors, contractors and contributors	$	7,801,345
Cash paid to employees, vendors and subcontractors		(7,660,616)
Interest received		261,411
Interest paid		(331,823)
Net cash provided by operating activities		70,317
Cash flows from investing activities		
Proceeds from bond		100,000
Sale of investments		429,555
Purchase of investments		(416,389)
Proceeds from sale of property and equipment		64,076
Purchases of property and equipment		(306,203)
Net cash used in investing activities		(128,961)
Cash flows from financing activities		
Payments on long term debt		(311,956)
Proceeds from lines of credit		436,948
Net cash provided by financing activities		124,992
Net increase in cash and cash equivalents		66,348
Cash and cash equivalents, beginning of year		709,019
Cash and cash equivalents, end of year	$	775,367
Reconciliation of decrease in net assets to net cash provided by operating activities		
Decrease in net assets	$	(4,397,880)
Adjustments to reconcile decrease in net assets to net cash provided by operating activities:		
Depreciation and amortization		581,049
Change in investments reported at fair value		3,393,541
Loss from sale of investments		255,603
Loss on interest rate swap agreement reported at fair value		139,243
Realized gain on sale of property and equipment		(5,501)
Decrease in grants and contracts receivable		130,160
Increase in accounts receivable		(35,030)
Increase in prepaid expenses		(6,614)
Increase in deferred revenue		53,541
Decrease in accounts payable		(126,958)
Increase in accrued salaries		57,138
Increase in accrued leave		34,307
Decrease in accrued liabilities		(2,282)
Total adjustments		4,468,197
Net cash provided by operating activities	$	70,317

The accompanying notes to consolidated financial statements are an integral part of this statement.

DISC VILLAGE, INC.
NOTES TO CONSOLIDATED FINANCIAL STATEMENTS
JUNE 30, 2009

(1) **Summary of Significant Accounting Policies:**

The following is a summary of the more significant accounting policies and practices of DISC Village, Inc. (the "Organization") which affect significant elements of the accompanying consolidated financial statements:

(a) **General**—The Organization is engaged in providing a comprehensive array of alcohol, drug and mental health rehabilitative services to residents of North Florida. In August 2001, DISC Village, Inc. formed Woodville Properties, Inc. and DISC Village Foundation, Inc. All organizations share a common Board of Directors and management. The consolidated financial statements include the accounts of DISC Village, Inc., Woodville Properties, Inc. and DISC Village Foundation, Inc. Woodville Properties, Inc. and DISC Village Foundation, Inc. are consolidated since DISC Village, Inc. has both an economic interest in and control of Woodville Properties, Inc. and DISC Village Foundation, Inc. through a majority voting interest in their governing boards. All significant inter-company transactions and accounts are eliminated.

(b) **Property and Equipment**—Property and equipment acquired by the Organization is considered to be owned by the Organization. However, funding sources may maintain an equitable interest in the property purchased with grant monies as well as the right to determine the use of any proceeds from the sale of these assets. The State of Florida has a reversionary interest in those assets purchased with its funds which have a cost of $1,000 or more and an estimated useful life of at least one year. The Federal Government has a reversionary interest in those assets purchased with its funds which have cost of $5,000 or more and an estimated useful life of at least one year.

Property and equipment with a value greater than $1,000 and an estimated useful life of at least one year are recorded at cost when purchased or at estimated fair market value when contributed. Depreciation is computed using the straight-line method over the estimated useful life of the assets, ranging from five to forty years.

(c) **Income Taxes**—DISC Village, Inc., DISC Village Foundation, Inc., and Woodville Properties, Inc. are generally exempt from income taxes under Section 501(c)(3) of the Internal Revenue Code. Therefore, no provision for Federal income taxes has been made in the accompanying consolidated financial statements.

(d) **Cash and Cash Equivalents**—For the purpose of reporting cash flows, cash and cash equivalents include cash on hand, demand deposits held with banks, and short-term investments with original maturities of 90 days or less.

(e) **Use of Estimates**—The preparation of consolidated financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect certain reported amounts and disclosures. Accordingly, actual results could differ from those estimates.

(f) **Basis of Accounting**—The consolidated financial statements have been prepared on the accrual basis of accounting, and accordingly, reflect all significant receivables, payables and other liabilities.

DISC VILLAGE, INC.
NOTES TO CONSOLIDATED FINANCIAL STATEMENTS
JUNE 30, 2009

(1) **Summary of Significant Accounting Policies:** (Continued)

(g) **Basis of Presentation**—The Organization is required to report information regarding its consolidated financial position and activities according to three classes of net assets: unrestricted net assets, temporarily restricted net assets, and permanently restricted net assets.

(h) **Functional Allocation of Expenses**—The cost of providing the various services and other activities have been summarized on a functional basis in the Consolidated Statement of Activities. Accordingly, certain costs have been allocated among the program and supporting services benefited.

(i) **Contributions**—All contributions are considered to be available for unrestricted use unless specifically restricted by the donor. Amounts received that are designated for future periods or restricted by the donor for specific purposes are reported as temporarily restricted or permanently restricted support that increases these net asset classes. However, if a restriction is fulfilled in the same time period in which the contribution is received, the Organization reports the support as unrestricted.

Unconditional promises to give that are expected to be collected within one year are recorded at net realizable value. Unconditional promises to give that are expected to be collected in future years are recorded at the present value of their estimated future cash flows. The discounts on those amounts are computed using risk-free interest rates applicable to the years in which the promises are received. Amortization of the discounts is included in contribution revenue. Conditional promises to give are not included as support until the conditions are substantially met.

Contributed property and equipment is recorded at fair value at the date of donation. In the absence of donor-imposed stipulations regarding how long the contributed assets must be used, the Organization has adopted a policy of not implying a time restriction on contributions of such assets that expires. Therefore, all contributions of property and equipment, and of the assets contributed to acquire property and equipment, are recorded as unrestricted support or contributions.

(j) **Investments**—The Organization invests cash in excess of current working capital requirements in marketable debt and equity securities as well as bond and equity mutual funds. Investments are carried at fair value based upon quoted market prices on the last business day of the fiscal year. Realized and unrealized gains and losses are recorded in investment income on the Consolidated Statement of Activities.

(k) **Accrued Leave**—The Organization compensates its employees for unused vacation leave upon termination of employment. The amount of the change in accrued leave for all employees from one year to the next is reported as an expense during the current year.

(l) **Subsequent Events**—The Organization has evaluated events and transactions for potential recognition or disclosure in the consolidated financial statements through December 16, 2009, the date which the consolidated financial statements were available to be issued. No subsequent events have been recognized or disclosed.

DISC VILLAGE, INC.
NOTES TO CONSOLIDATED FINANCIAL STATEMENTS
JUNE 30, 2009

(1) **Summary of Significant Accounting Policies:** (Continued)

(m) **Derivative Financial Instruments**—The Organization uses interest rate swap agreements to manage exposure to fluctuations in interest rates and to reduce up-front loan origination costs. The Organization does not use derivative financial instruments for speculation purposes, nor are they a party to leveraged derivatives.

Each interest rate swap agreement is designated with the principal balance and term of a specific debt obligation. These agreements involve the exchange of amounts based on a variable interest rate for amounts based on a fixed interest rate over the life of the agreement, without an exchange of the notional amount upon which the payments are based. The differential to be paid or received as interest rates change is accrued and recognized as an adjustment of interest expense related to the debt (the accrual method), over the life of the agreement. The change in the fair value of the interest rate swap agreement is reported as a separate component in the Consolidated Statement of Activities.

(n) **Grants, Contracts and Accounts Receivable**—Grants, contracts and accounts receivable are stated at the amount management expects to collect from balances outstanding at year-end. Based on management's assessment of the credit history with customers, grantors, and contractors having outstanding balances and current relationships with them, it has concluded that realization losses on balances outstanding at year-end will be immaterial.

(o) **Advertising Costs**—Advertising costs are charged to operations as incurred.

(2) **Significant Funding Sources:**

The Organization receives a substantial amount of its funding from the U.S. Department of Health and Human Services, the State of Florida, Department of Juvenile Justice and the State of Florida, Department of Children and Families. A significant reduction in the level of this funding, if it were to occur, could have an adverse effect on the Organization's programs and activities.

(3) **Concentrations of Credit Risk:**

The more significant concentrations of credit risk are as follows:

(a) **Demand and Time Deposits**—The Organization maintains cash deposits with several financial institutions. The Organization has no policy requiring collateral or other security to support its deposits, although all demand deposits with these institutions are Federally insured up to FDIC limits. At brokerage houses, amounts are insured by the Securities Investor Protection Corporation (SIPC) up to the SIPC limits.

(b) **Accounts, Grants and Contracts Receivable**—The Organization's receivables are for amounts due under contracts with local, state, and Federal government agencies. The Organization has no policy requiring collateral or other security to support its receivables.

DISC VILLAGE, INC.
NOTES TO CONSOLIDATED FINANCIAL STATEMENTS
JUNE 30, 2009

(3) **Concentrations of Credit Risk:** (Continued)

(c) **Financial Instruments**—Financial instruments that potentially subject the Organization to concentrations of credit risk include investments.

(4) **Property and Equipment:**

The following is a summary of property and equipment at June 30, 2009:

Vehicles	$ 301,815
Furniture and equipment	1,332,211
Buildings	14,131,942
Construction in progress	53,183
Land and land improvements	2,356,685
Loan costs	62,586
	18,238,422
Less: Accumulated depreciation and amortization	7,180,109
Total	$ 11,058,313

Depreciation and amortization expense for the year ending June 30, 2009 was $581,049.

(5) **Notes and Mortgage Payable:**

Notes and Mortgage payable consisted of the following at June 30, 2009:

Mortgage payable to financial institution, payable in 119 monthly installments of $25,000 plus interest on the outstanding balance at a variable interest rate equal to the Wall Street Journal LIBOR rate plus 1.7% per annum. A final balloon payment of $3,034,299 and outstanding interest is due at maturity on April 10, 2012. The mortgage is collateralized by investments.	$ 3,850,000
8% mortgage payable to a trust, payable in monthly installments of $1,529 including interest, collateralized by real estate. Note matures on April 15, 2014.	73,351
Line of credit payable to financial institution, payable monthly in interest only payments with a variable interest rate equal to the Wall Street Journal LIBOR rate. Principal is due in full at maturity on May 19, 2010. The line of credit is collateralized by investments.	500,000
Line of credit payable to financial institution, payable monthly in interest only payments with a variable interest rate equal to the Wall Street Journal LIBOR rate. Principal is due in full at maturity on April 15, 2010. The line of credit is collateralized by investments.	350,000
	4,773,351
Less: current portion of notes and mortgage payable	1,162,948
Long-term debt, less current portion	$ 3,610,403

DISC VILLAGE, INC.
NOTES TO CONSOLIDATED FINANCIAL STATEMENTS
JUNE 30, 2009

(5) **Notes and Mortgage Payable:** (Continued)

At June 30, 2009, five year maturities were as follows:

Year Ending June 30,	Amount
2010	$ 1,162,948
2011	314,023
2012	3,265,187
2013	16,447
2014	14,746

(6) **Derivative Financial Instruments:**

Concurrent with the issuance of the mortgage payable on April 20, 2002, the Organization entered into a derivative interest rate swap agreement with the International Swap Dealer's Association, Inc. (ISDA). At June 30, 2009, the Organization had an interest rate swap agreement with notional principal amounts of $3,850,000. Notional amounts in these agreements are based on the monthly outstanding balance of the mortgage payable. The purpose of this agreement is to fix interest rates on the variable rate debt and reduce exposure to interest rate fluctuations. The variable rates are adjusted monthly based on London Interbank Offered Rates (LIBOR). Notional amounts do not quantify risk or represent assets or liabilities of the Organization, but are used in the determination of cash settlements under the agreements.

Under this agreement, at June 30, 2009, the Organization was receiving a variable interest rate of 2.023%, (equivalent to the variable interest rate paid on the mortgage payable) and paying a fixed rate of 7.33%. Settlements are calculated monthly based on the notional amounts. The remaining term in the swap agreement at June 30, 2009 is one year and ten months which corresponds with the maturity date of the mortgage. The fair value of the interest rate swap agreement is presented in the Consolidated Statement of Financial Position and is based on quoted market prices, which reflect the present values of the difference between future fixed-rate payments and estimated future variable-rate receipts and represents the estimated termination value at June 30, 2009. Changes in the quoted fair value of the agreements are recognized in the Consolidated Statement of Activities.

Neither the Organization nor the counterparty, which is a prominent banking institution, are required to collateralize their respective obligations under the swap agreement. The Organization is exposed to loss if the counterparty defaults. At June 30, 2009, the Organization had no exposure to credit loss on the interest rate swap.

(7) **401(K) Profit Sharing Retirement Plan:**

The Organization currently maintains a 401(K) Profit Sharing Retirement Plan (a defined contribution plan). All employees may contribute to their 401(K) plans through salary deductions. Employer base and matching contributions are made for all employees age 21 or older with at least one year of service. The contribution for the year ended June 30, 2009 was $120,804.

DISC VILLAGE, INC.
NOTES TO CONSOLIDATED FINANCIAL STATEMENTS
JUNE 30, 2009

(8) **Unemployment Compensation:**

The Organization is a self-insured employer with the State of Florida, Agency for Workforce Innovation, Division of Unemployment Compensation. As such, the Division of Unemployment Compensation processes unemployment claims, pays the unemployment benefits to eligible claimants and invoices the Organization for the benefits paid from the Florida Unemployment Compensation Fund.

The employment liability represents an estimate for the ultimate unemployment payments that are unpaid at the Consolidated Statement of Financial Position date and the present value of the benefit obligation. These estimates are continually reviewed and, as adjustments become necessary, such adjustments are reflected in current operations.

Although the Organization believes that the estimate of the liability for claims is reasonable in the circumstances, the absence of adequate claims experience to support the assumptions inherent in establishing the estimate results in uncertainty as to the ultimate amount that will be required for the claims. Accordingly, the ultimate amount of the claims and related unemployment expense may vary from the estimated amounts included in the accompanying consolidated financial statements.

(9) **Investments:**

The Organization's investments at June 30, 2009 consist of marketable securities recorded at fair market value as summarized below:

	Cost	Fair Value	Unrealized Loss
Bonds	$ 3,555,803	$ 3,311,724	$ (244,079)
Stocks and mutual funds	12,623,974	10,536,639	(2,087,335)
Total	$ 16,179,777	$ 13,848,363	$ (2,331,414)

The following schedule summarizes the investment return in the Statement of Changes in Net Assets for the year ended June 30, 2009:

Interest and dividend income	$ 472,048
Net losses	$ 3,649,144

Investments in marketable securities with readily determinable fair values are reported at fair values in the Statement of Financial Position. The investments are valued using level 2 inputs and are based on unadjusted quoted market prices within active markets. Unrealized gains and losses are included in net assets.

(10) **Medicaid:**

The Organization bills Medicaid at Medicaid established rates for eligible services performed. Services rendered are reimbursed by Medicaid subject to specific documentation requirements. Compliance audits are conducted periodically by the Medicaid fiscal intermediaries, as well as the Organization's corporate compliance program, which can result in the recoupment of fees paid to the Organization.

DISC VILLAGE, INC.
NOTES TO CONSOLIDATED FINANCIAL STATEMENTS
JUNE 30, 2009

(11) **Contingent Liability:**

Litigation—The Organization was a defendant in a lawsuit during the year. The case was resolved during the year through mediation but the final details of the settlement are still ongoing. All costs related to the litigation are expected to be covered by the Organization's insurance policy. No amount has been accrued in the Organization's financial statements.

(12) **New Accounting Pronouncement:**

Accounting principles generally accepted in the United States of America require recognition measurement, interpretation and disclosure relating to uncertain tax positions. These principles may be relevant to nonprofit organizations concerning income taxes on unrelated business income. As permitted, the Organization has elected to defer applying these principles until the issuance of its June 30, 2010 consolidated financial statements. For consolidated financial statements prior to 2010, the Organization evaluates uncertain tax positions in accordance with existing generally accepted accounting principles and makes such accruals and disclosures as required under existing standards. As described in Note 1.c. to the consolidated financial statements, no provision for income taxes has been made in the accompanying consolidated financial statements. The Organization has not generated any unrelated business income during the year ended June 30, 2009. The Organization does not believe the implementation of these principles will have a significant impact on its consolidated financial statements in future periods.

(13) **Operating Leases:**

The entity leases equipment under operating leases expiring in various years through 2012. Minimum future rental payments under operating leases as of June 30, 2009, for each of the next five years and in the aggregate are:

Year	Amount
2010	$ 55,416
2011	54,545
2012	23,612
2013	–
2014	–

DISC VILLAGE, INC.
SCHEDULE OF EXPENSES OF FEDERAL AWARDS AND STATE FINANCIAL ASSISTANCE
FOR THE YEAR ENDED JUNE 30, 2009

Federal Grantor/Pass Through Grantor/ State Grantor/Program Title	Federal CFDA Number	State CSFA Number	Contract Number	Current Year Expenses
FEDERAL AWARDS				
U.S. Department of Health and Human Services				
Passed through State of Florida, Department of Children and Families				
Temporary Assistance for Needy Families	93.558	--	CD807	$ 27,546
Temporary Assistance for Needy Families	93.558	--	BDU01-04	247,024
Passed through Big Bend Community Based Care, Inc.				
Temporary Assistance for Needy Families	93.558	--	Various	67,110
				341,680
Passed through State of Florida, Department of Children and Families				
Block Grants for Prevention and Treatment of Substance Abuse	93.959	--	CD807	164,128
Block Grants for Prevention and Treatment of substance Abuse	93.959	--	BDU01	1,680,996
				1,845,124
Passed through Big Bend Community Based Care, Inc.				
Grants to States for Access and Visitation Programs	93.597	--	Various	35,831
Promoting Safe and Stable Families	93.556	--	Various	61,382
Social Services Block Grant	93.667	--	Various	35,148
Child Welfare Services State Grants	93.645	--	Various	19,540
Foster Care Title IV-E	93.658	--	Various	150,664
Chafee Foster Care Independence Program	93.674	--	Various	161,123
Adoption Assistance	93.659	--	Various	49,256
Child Abuse and Neglect State Grants	93.669	--	Various	17,289
Passed through Partnership for Strong Families, Inc.				
Child Abuse and Neglect State Grants	93.669	--	PNP831	469
				17,758

Attachment # 2
Page 28 of 294

DISC VILLAGE, INC.
SCHEDULE OF EXPENSES OF FEDERAL AWARDS AND STATE FINANCIAL ASSISTANCE
FOR THE YEAR ENDED JUNE 30, 2009
(Continued)

Federal Grantor/Pass Through Grantor/ State Grantor/Program Title	Federal CFDA Number	State CSFA Number	Contract Number	Current Year Expenses
FEDERAL AWARDS (Continued)				
U.S. Department of Agriculture				
Passed through State of Florida, Department of Education				
School Breakfast Program	10.553	--	01-0177	$ 8,592
National School Lunch Program	10.555	--	01-0177	15,618
Passed through State of Florida, Department of Agriculture and Consumer Services				
National School Lunch Program	10.555	--	24050	7,510
				31,720
U.S. Department of Justice				
Passed through City of Tallahassee, Florida Police Department				
Edward Byrne Memorial Justice Assistance Grant Program	16.738	--	1911	19,296
Edward Byrne Memorial Justice Assistance Grant Program	16.738	--	1622	6,371
				25,667
U.S. Department of Justice				
Passed through Leon County School Board				
Title I Grants to Local Education Agencies	84.010		--	17,550
Total Federal Awards				$ 2,792,443

Attachment # 2
Page 29 of 39

DISC VILLAGE, INC.
SCHEDULE OF EXPENSES OF FEDERAL AWARDS AND STATE FINANCIAL ASSISTANCE
FOR THE YEAR ENDED JUNE 30, 2009
(Continued)

Federal Grantor/Pass Through Grantor/ State Grantor/Program Title	Federal CFDA Number	State CSFA Number	Contract Numbers	Current Year Expenses
STATE AWARDS				
State of Florida, Department of Children and Families				
Substance Abuse Treatment and Aftercare Services For Children	--	60.030	CD807	$ 99,746
Substance Abuse Treatment and Aftercare Services for Children	--	60.030	BDU01-04	1,033,288
				1,133,034
Substance Abuse Treatment and Aftercare Services for Adults		60.033	CD807	33,596
Substance Abuse Treatment and Aftercare Services for Adults	--	60.033	BDU01-04	176,610
				210,206
Passed through Partnership for Strong Families, Inc.				
Community Based Care Supports	--	60.094	PNP831	11,961
Passed through Big Bend Community Based Care, Inc.				
Community Based Care Supports	--	60.094	Various	897,845
				909,806
State of Florida, Department of State				
Acquisition, Restoration of Historic Properties	--	45.032	SC618	130,579
State of Florida, Department of Corrections				
Substance Abuse Services	--	70.016	C2070	18,912
State of Florida, Department of Juvenile Justice				
Juvenile Assessment Centers	--	80.020	P2020	418,158
Total State Awards				2,820,695
Total Federal and State Awards				$ 5,613,138

Attachment # 2
Page 30 of 39

DISC VILLAGE, INC.
SCHEDULE OF EXPENSES OF FEDERAL AWARDS AND STATE FINANCIAL ASSISTANCE
FOR THE YEAR ENDED JUNE 30, 2009
(Continued)

Basis of Presentation:

The accompanying Schedule of Expenses of Federal Awards and State Financial Assistance includes the Federal and State grant and contract activity of DISC Village, Inc. and is presented on the accrual basis of accounting. The information in this schedule is presented in accordance with the requirements of OMB Circular A-133, *Audits of States, Local Governments and Non-Profit Organizations* and Chapter 10.650, Rules of the State of Florida, Office of the Auditor General. Therefore, some amounts presented in this schedule may differ from amounts presented in, or used in the preparation of the consolidated financial statements.

DISC VILLAGE, INC.
ADDITIONAL INFORMATION
FOR THE YEAR ENDED JUNE 30, 2009

SUBSTANCE ABUSE & MENTAL HEALTH SERVICES

PROJECTED COST CENTER OPERATING AND CAPITAL BUDGET

AGENCY: DISC Village, Inc.

CONTRACT #: 80081 - Adult

FINAL: X

PART I: PROJECTED FUNDING SOURCES & REVENUES

PERIOD: July 1, 2008 through June 30, 2009

FUNDING SOURCES & REVENUES	STATE SAMH-FUNDED COST CENTERS																			
	Combined Programs		Adult Services																	
	(CC name)	(CC name)	CM	In-Home	Intervention	Outpatient Individual	Outreach	Prevention	Residential II Female	Residential IV	Residential R & B	Aftercare	Total for Program 1	Total for Circuit 3	Total for State SAMH-Funded Cost Centers	Total for Non-State-Funded SAMH Cost Centers	Tot. for All State-Designated SAMH Cost Centers	Non-SAMH Cost Center	Total Funding
A	B_{1a}	B_{1b}	P_1		B_{2a}	B_{2b}	B_{2c}	B_{2d}	B_{3c}	B_{3a}	B_{3d}	B_{3e}	C_1		D	E	F	G	H
IA. TOTAL STATE SAMH FUNDING																			
(1) From theCircuit funding this contract	$	$	$ 2,196	$ 9,721	$ 285,867	$ 337,089	$ 5,548	$ 24,207	$ 534,292	$ 43,569	$ 145,771	$ 3,057	$ 1,491,438	$ 111,030	$ 1,602,468	$ -	$ 1,602,468		$ 1,602,468
(2) From Other Circuits	$	$	$ -	$ -	$ -	$ -	$ -	$ -	$ 51,159	$ -	$ -	$ -		$ 51,159	$ 51,159	$ -	$ 51,159		$ 51,159
			$ 2,196	$ 9,721	$ 285,867	$ 337,089	$ 5,548	$ 24,207	$ 585,451	$ 43,569	$ 145,771	$ 3,057	$ 1,491,438	$ 162,189	$ 1,653,627	$ -	$ 1,653,627	$ -	$ 1,653,627
IB. OTHER GOVT. FUNDING																			
(1) Other State Agency Funding	$	$	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ 358,975	$ 358,975	$ -	$ 358,975
(2) Medicaid	$	$	$ -	$ -	$ 2,015	$ 7,166	$ -	$ -	$ -	$ -	$ -	$ -	$ 9,181	$ 6,733	$ 15,914	$ -	$ 15,914	$ -	$ 15,914
(3) Local Government	$	$	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -
(4) Federal Grants and Contracts	$	$	$ -	$ -	$ -	$ -	$ 512	$ -	$ -	$ -	$ -	$ -	$ 512	$ -	$ 512	$ -	$ 512	$ 65,290	$ 65,802
(5) In-kind from local govt. only	$	$	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -
TOT. OTHER GOVT. FUNDING =	$	$	$ -	$ -	$ 2,015	$ 7,679	$ -	$ -	$ -	$ -	$ -	$ -	$ 9,693	$ 6,733	$ 16,426	$ 358,975	$ 373,401	$ 65,290	$ 437,691
IC. ALL OTHER REVENUES																			
(1) 1st & 2nd Party Payments	$	$	$ -	$ -	$ 3,673	$ 4,837	$ -	$ -	$ 7,381	$ 6,322	$ -	$ -	$ 22,019	$ 3,385	$ 25,404	$ -	$ 25,404	$ 42,599	$ 68,003
(2) 3rd Party Payments (except Medicare)	$	$	$ -	$ -	$ -	$ 796	$ -	$ -	$ -	$ -	$ -	$ -	$ 796	$ -	$ 796	$ -	$ 796	$ 9	$ 805
(3) Medicare	$	$	$ -	$ -	$ -		$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -
(4) Contributions and Donations	$	$	$ -	$ -	$ -	$ -	$ -	$ -	$ 12,699	$ 5,086	$ -	$ -	$ 17,785	$ 1,898	$ 19,683	$ -	$ 19,683	$ -	$ 19,683
(5) Other	$	$	$ -	$ -	$ -	$ 35	$ -	$ -	$ -	$ -	$ -	$ -	$ 35	$ 3	$ 37	$ -	$ 37	$ 474,069	$ 474,069
(6) In-kind	$	$	$ 92	$ 391	$ 12,398	$ 13,705	$ 295	$ 1,360	$ 15,040	$ 869	$ -	$ -	$ 44,150	$ 27,375	$ 71,524	$ 6,560	$ 78,085	$ 3,755	$ 81,840
TOT. ALL OTHER REVENUES =	$	$	$ 92	$ 391	$ 18,077	$ 19,173	$ 295	$ 1,360	$ 35,120	$ 12,277	$ -	$ -	$ 84,785	$ 32,660	$ 117,445	$ 6,560	$ 124,005	$ 521,332	$ 645,337
TOTAL PROJECTED FUNDING =	$	$	$ 2,290	$ 10,112	$ 303,959	$ 363,939	$ 5,843	$ 25,567	$ 720,571	$ 55,967	$ 145,771	$ 3,057	$ 1,585,918	$ 201,581	$ 1,787,497	$ 365,535	$ 2,150,033	$ 586,622	$ 2,736,655

SUBSTANCE ABUSE & MENTAL HEALTH SERVICES
PROJECTED COST CENTER OPERATING AND CAPITAL BUDGET

AGENCY: DISC Village, Inc.

CONTRACT #: BD101 - Adult

FINAL: X

PART II: PROJECTED EXPENSES

CONTRACT #: BD101 - Adult

PERIOD: July 1, 2008 through June 30, 2009

Adult Services

EXPENSE CATEGORIES	CM	In-Home	Intervention	Outpatient Individual	Outreach	Prevention	Residential II	Residential IV	Residential Level II Male	Aftercare	Total for Program 1	Total for Circuit 5	Total for State SAMH-Funded Cost Centers	Total for Non-State-Funded SAMH Cost Centers	Tot. for All State-Designated SAMH Cost Centers	Non-SAMH Cost Center	Other Support Costs (optional)	Administration	Total Expenses (F+G+H*+I*)
A			B_{2-a}	B_{2b}	B_{2-c}	B_{2-d}	B_{2-e}	B_{2-f}	B_{2-g}	B_{2-h}	C_2		D	E	F	G	H	I	J
IIA. PERSONNEL EXPENSES																			
(1) Salaries	$ 1,485	$ 6,320	$ 200,287	$ 221,400	$ 4,767	$ 21,973	$ 301,972	$ 27,078	$ -	$ 2,341	$ 787,521	$ 126,224	$ 915,845	$ 208,733	$ 1,124,578	$ 48,898	$ -	$ 223,374	$ 1,396,936
(2) Fringe Benefits	$ 290	$ 1,232	$ 39,052	$ 43,169	$ 930	$ 4,284	$ 60,352	$ 6,009	$ -	$ 456	$ 155,774	$ 25,194	$ 180,968	$ 42,386	$ 221,354	$ 10,186	$ -	$ 43,500	$ 275,040
TOTAL PERSONNEL EXPENSES =	$ 1,775	$ 7,553	$ 239,339	$ 264,569	$ 5,697	$ 26,257	$ 362,325	$ 33,085	$ -	$ 2,797	$ 943,295	$ 153,418	$ 1,096,813	$ 249,119	$ 1,345,932	$ 59,172	$ -	$ 266,874	$ 1,671,978
IIB. OTHER EXPENSES																			
(1) Building Occupancy	$ 185	$ 787	$ 24,926	$ 27,553	$ 593	$ 2,734	$ 84,036	$ 20,545	$ -	$ 291	$ 161,649	$ 14,564	$ 176,213	$ 12,105	$ 188,319	$ 269,334	$ -	$ 32,701	$ 490,353
(2) Professional Services	$ -	$ -	$ -	$ -	$ -	$ -	$ 718	$ -	$ -	$ -	$ 718	$ 458	$ 1,176	$ 17,890	$ 19,066	$ 14,394	$ -	$ 22,284	$ 55,744
(3) Travel	$ 26	$ 113	$ 3,569	$ 3,945	$ 85	$ 392	$ 7,525	$ 1,986	$ -	$ 42	$ 17,683	$ 7,210	$ 24,893	$ 8,190	$ 33,083	$ 1,585	$ -	$ 4,156	$ 39,123
(4) Equipment	$ 17	$ 73	$ 2,328	$ 2,573	$ 55	$ 255	$ 3,900	$ 943	$ -	$ 27	$ 10,233	$ 608	$ 10,841	$ 1,156	$ 11,997	$ 4,252	$ -	$ 1,455	$ 17,703
(5) Food Services	$ 0	$ 0	$ 3	$ 3	$ 0	$ 0	$ 60,284	$ 22,421	$ -	$ 0	$ 82,712	$ 9,008	$ 91,720	$ 1	$ 91,721	$ 40,736	$ -	$ -	$ 132,457
(6) Medical and Pharmacy	$ 40	$ 169	$ 5,368	$ 5,934	$ 128	$ 589	$ 13,549	$ 949	$ -	$ 63	$ 26,788	$ 3,639	$ 30,428	$ 115	$ 30,543	$ 20,518	$ -	$ 101	$ 51,261
(7) Subcontracted Services	$ 179	$ 764	$ 24,201	$ 26,752	$ 576	$ 2,655	$ 10,320	$ 1,454	$ 138,491	$ 283	$ 205,674	$ 16,783	$ 223,457	$ 1,013	$ 224,449	$ 5,528	$ -	$ 4,799	$ 234,776
(8) Insurance	$ 36	$ 155	$ 4,922	$ 5,441	$ 117	$ 540	$ 14,209	$ 514	$ -	$ 58	$ 26,052	$ 3,033	$ 29,085	$ 2,872	$ 31,957	$ 28,137	$ -	$ 7,005	$ 67,099
(9) Interest Paid	$ 11	$ 47	$ 1,488	$ 1,645	$ 35	$ 163	$ 2,241	$ 212	$ -	$ 17	$ 5,860	$ 968	$ 6,828	$ 1,588	$ 8,417	$ 413	$ -	$ 1,144	$ 9,974
(10) Operating Supplies & Expenses	$ 234	$ 998	$ 31,672	$ 34,955	$ 753	$ 3,469	$ 20,560	$ 6,494	$ -	$ 370	$ 99,454	$ 11,378	$ 110,832	$ 20,876	$ 131,709	$ 18,061	$ -	$ 48,654	$ 198,444
(11) Other	$ 31	$ 133	$ 4,227	$ 4,672	$ 101	$ 464	$ 5,457	$ 811	$ -	$ 49	$ 15,748	$ 2,732	$ 18,478	$ 3,941	$ 22,419	$ 213,611	$ -	$ 8,116	$ 244,147
(12) Donated Items	$ 92	$ 391	$ 12,398	$ 13,705	$ 295	$ 1,360	$ 18,040	$ 869	$ -	$ 145	$ 44,295	$ 27,575	$ 71,869	$ 6,566	$ 78,230	$ 3,755	$ -	$ -	$ 81,985
TOTAL OTHER EXPENSES =	$ 853	$ 3,631	$ 115,050	$ 127,175	$ 2,736	$ 12,622	$ 237,959	$ 56,097	$ 138,491	$ 1,345	$ 697,863	$ 97,737	$ 795,600	$ 76,308	$ 871,909	$ 618,743	$ -	$ 128,415	$ 1,619,068
TOT. PERSONNEL & OTH. EXP. =	$ 2,628	$ 11,183	$ 354,389	$ 391,747	$ 8,435	$ 38,879	$ 600,283	$ 90,082	$ 138,491	$ 4,142	$ 1,641,258	$ 251,155	$ 1,892,413	$ 325,428	$ 2,217,841	$ 677,914	$ -	$ 395,289	$ 3,291,044
IIC. DISTRIBUTED INDIRECT COSTS																			
(a) Other Support Costs (Optional)	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -			$ -
(b) Administration	$ 356	$ 1,515	$ 48,010	$ 53,071	$ 1,143	$ 5,267	$ 70,750	$ 12,204	$ 18,597	$ 561	$ 211,772	$ 32,132	$ 243,904	$ 41,541	$ 285,445	$ 88,536			
TOT. DISTR'D INDIRECT COSTS =	$ 356	$ 1,515	$ 48,010	$ 53,071	$ 1,143	$ 5,267	$ 70,750	$ 12,204	$ 18,597	$ 561	$ 211,772	$ 32,132	$ 243,904	$ 41,541	$ 285,445	$ 88,536			$ -
TOTAL PROJECTED OPER. EXPENSES =	$ 2,984	$ 12,698	$ 402,399	$ 444,817	$ 9,578	$ 44,145	$ 671,033	$ 102,285	$ 156,088	$ 4,703	$ 1,853,030	$ 283,287	$ 2,136,317	$ 366,968	$ 2,503,285	$ 764,450			$ 3,291,044
IID. UNALLOWABLE COSTS	$ (10)	$ (42)	$ (1,324)	$ (1,464)	$ (32)	$ (145)	$ (1,921)	$ (337)	$ -	$ (15)	$ (5,290)	$ (989)	$ (6,279)	$ (1,077)	$ (7,356)	$ (1,264)			$ (32,528)
IIE. TOTAL SAMH LINES OF CREDIT EQUIVALENT =	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -			$ -
TOT. ALLOWABLE PROJ'D OPERATING EXP., Excluding SAMH Credit Equivalent =	$ 2,974	$ 12,657	$ 401,074	$ 443,353	$ 9,547	$ 44,000	$ 669,111	$ 101,948	$ 156,088	$ 4,687	$ 1,847,741	$ 282,298	$ 2,130,038	$ 365,891	$ 2,495,930	$ 764,135			$ 3,258,516
IIF. CAPITAL EXPENDITURES	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -

IIG. BUDGET NARRATIVE (attach separate set of worksheets)

PART III: CERTIFICATION

I certify the above to be an accurate projection and in agreement with this agency's records and with the terms of this agency's contract with the department.

Signature

Date

*except IIC & IID

Attachment # 2
Page 34 of 394

SUBSTANCE ABUSE & MENTAL HEALTH SERVICES

PROJECTED COST CENTER OPERATING AND CAPITAL BUDGET

AGENCY: DISC Village, Inc.

CONTRACT #: BDU01 - Juvenile

FINAL: X

PART I: PROJECTED FUNDING SOURCES & REVENUES

PERIOD: July 1, 2008 through June 30, 2009

FUNDING SOURCES & REVENUES	Juvenile Services											
A	Intervention B_{2-a}	Outpatient Individual B_{2-b}	Prevention B_{2-c}	Residential II B_{2-d}	Aftercare B_{2-e}	Total for Program 1 (B_{2-a}+...+B_{2-e}) C_2	Total for Circuit 3	Total for State SAMH-Funded Cost Centers (C_1+...+C_4) D	Total for Non-State-Funded SAMH Cost Centers E	Tot. for All State-Designated SAMH Cost Centers (D+E) F	Non-SAMH Cost Center G	Total Funding (F+G) H
IA. TOTAL STATE SAMH FUNDING												
(1) From theCircuit funding this contract	$ 114,108	$ 495,556	$ 347,952	$ 685,266	$ 3,597	$ 1,646,480	$ 162,827	$ 1,809,307	$ -	$ 1,809,307	$ -	$ 1,809,307
(2) From Other Circuits	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -
	$ 114,108	$ 495,556	$ 347,952	$ 685,266	$ 3,597	$ 1,646,480	$ 162,827	$ 1,809,307	$ -	$ 1,809,307	$ -	$ 1,809,307
IB. OTHER GOVT. FUNDING												
(1) Other State Agency Funding	$ -	$ -	$ -	$ 68,358	$ -	$ 68,358	$ 8,448	$ 76,806	$ -	$ 76,806	$ 1,524,231	$ 1,601,037
(2) Medicaid	$ 695	$ 12,666	$ -	$ -	$ -	$ 13,361	$ 1,050	$ 14,411	$ 71,489	$ 85,900		$ 85,900
(3) Local Government	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ 286,514	$ 286,514
(4) Federal Grants and Contracts	$ -	$ -	$ -	$ 21,547	$ -	$ 21,547	$ 2,663	$ 24,210	$ -	$ 24,210	$ 65,289	$ 89,499
(5) In-kind from local govt. only	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -
TOT. OTHER GOVT. FUNDING =	$ 695	$ 12,666	$ -	$ 89,904	$ -	$ 103,265	$ 12,161	$ 115,426	$ 71,489	$ 186,915	$ 1,876,034	$ 2,062,949
IC. ALL OTHER REVENUES												
(1) 1st & 2nd Party Payments	$ -	$ 764	$ -	$ 14,898	$ -	$ 15,661	$ 1,841	$ 17,502	$ -	$ 17,502	$ 110	$ 17,612
(2) 3rd Party Payments (except Medicare)	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -
(3) Medicare	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -
(4) Contributions and Donations	$ -	$ -	$ 40,000	$ 16,832	$ -	$ 56,832	$ 2,080	$ 58,912	$ -	$ 58,912	$ -	$ 58,912
(5) Other	$ -	$ -	$ 2,400	$ 2	$ -	$ 2,402	$ 1	$ 2,403	$ -	$ 2,403	$ 703,984	$ 706,387
(6) In-kind	$ 20,644	$ 44,058	$ 135,367	$ 13,739	$ 32	$ 213,841	$ 174,947	$ 388,788	$ -	$ 388,788	$ 30,372	$ 419,160
TOT. ALL OTHER REVENUES =	$ 20,644	$ 44,822	$ 177,767	$ 45,470	$ 32	$ 288,736	$ 178,869	$ 467,605	$ -	$ 467,605	$ 734,466	$ 1,202,070
TOTAL PROJECTED FUNDING =	$ 135,447	$ 553,044	$ 525,719	$ 820,641	$ 3,630	$ 2,038,481	$ 353,857	$ 2,392,338	$ 71,489	$ 2,463,927	$ 2,610,500	$ 5,074,326

SUBSTANCE ABUSE & MENTAL HEALTH SERVICES

PROJECTED COST CENTER OPERATING AND CAPITAL BUDGET

AGENCY: DISC Village, Inc.

CONTRACT #: BDU01 - Juvenile

FINAL: X

PART II: PROJECTED EXPENSES

CONTRACT #: BDU01 - Juvenile

PERIOD: July 1, 2008 through June 30, 2009

EXPENSE CATEGORIES	Juvenile Services: Intervention	Juvenile Services: Outpatient Individual	Juvenile Services: Prevention	Juvenile Services: Residential II	Juvenile Services: Aftercare	Juvenile Services: Total for Program 1	Juvenile Services: Total for Circuit 3	Total for State SAMH-Funded Cost Centers	Total for Non-State-Funded SAMH Cost Centers	Tot. for All State-Designated SAMH Cost Centers	Non-SAMH Cost Center	Other Support Costs (optional)	Administration	Total Expenses (except SC & I/D)
A	B_{1a}	B_{2b}	B_{1c}	B_{2c}	B_{1d}	C_2		D	E	F	G	H	I	J
IIA. PERSONNEL EXPENSES														
(1) Salaries	$ 139,193	$ 300,521	$ 164,438	$ 336,850	$ 220	$ 941,202	$ 99,983	$ 1,041,185	$ -	$ 1,041,185	$ 1,196,328	$ -	$ 354,258	$ 2,591,781
(2) Fringe Benefits	$ 28,394	$ 67,441	$ 33,544	$ 60,244	$ 49	$ 189,672	$ 15,985	$ 205,657	$ -	$ 205,657	$ 219,929	$ -	$ 68,990	$ 494,576
TOTAL PERSONNEL EXPENSES =	$ 167,587	$ 367,962	$ 197,982	$ 397,075	$ 269	$ 1,130,874	$ 115,967	$ 1,246,842	$ -	$ 1,246,842	$ 1,416,258	$ -	$ 423,248	$ 3,086,357
IIB. OTHER EXPENSES														
(1) Building Occupancy	$ 21,889	$ 45,809	$ 15,871	$ 52,023	$ 34	$ 145,628	$ 9,015	$ 154,641	$ -	$ 154,641	$ 354,988	$ -	$ 51,863	$ 561,492
(2) Professional Services	$ -	$ -	$ 3,604	$ 3,176	$ -	$ 6,780	$ 399	$ 7,179	$ 60,525	$ 67,704	$ 14,855	$ -	$ 35,343	$ 117,902
(3) Travel	$ 1,963	$ 6,742	$ 3,880	$ 4,938	$ 5	$ 17,527	$ 3,250	$ 20,778	$ 55	$ 20,833	$ 39,352	$ -	$ 6,591	$ 66,785
(4) Equipment	$ 2,449	$ 4,742	$ 217	$ 3,952	$ 3	$ 11,364	$ 497	$ 11,860	$ -	$ 11,860	$ 29,626	$ -	$ 2,307	$ 43,794
(5) Food Services	$ 234	$ 5	$ 26	$ 57,982	$ 0	$ 58,247	$ 7,286	$ 65,533	$ -	$ 65,533	$ 56,302	$ -	$ -	$ 121,835
(6) Medical and Pharmacy	$ 15,745	$ 60,059	$ (0)	$ 6,484	$ 44	$ 82,332	$ 1,009	$ 83,341	$ -	$ 83,341	$ 4,566	$ -	$ 161	$ 88,068
(7) Subcontracted Services	$ 1,454	$ 2,858	$ 1,979	$ 11,894	$ 2	$ 18,187	$ 2,633	$ 20,820	$ -	$ 20,820	$ 15,620	$ -	$ 7,611	$ 44,052
(8) Insurance	$ 3,450	$ 6,668	$ 5,755	$ 13,658	$ 5	$ 29,536	$ 2,566	$ 32,102	$ -	$ 32,102	$ 52,150	$ -	$ 11,110	$ 95,361
(9) Interest Paid	$ 1,028	$ 2,222	$ 1,492	$ 2,950	$ 2	$ 7,694	$ 504	$ 7,588	$ -	$ 7,588	$ 7,715	$ -	$ 1,814	$ 17,117
(10) Operating Supplies & Expenses	$ 18,160	$ 35,634	$ 28,469	$ 31,452	$ 26	$ 111,962	$ 10,115	$ 122,077	$ -	$ 122,077	$ 106,826	$ -	$ 73,993	$ 302,896
(11) Other	$ 4,065	$ 11,357	$ 3,976	$ 6,753	$ 8	$ 26,159	$ 2,929	$ 29,088	$ 6,795	$ 35,883	$ 234,902	$ -	$ 12,872	$ 283,357
(12) Donated Items	$ 20,644	$ 44,058	$ 135,367	$ 13,739	$ 32	$ 213,841	$ 174,947	$ 388,788	$ -	$ 388,788	$ 30,227	$ -	$ -	$ 419,015
TOTAL OTHER EXPENSES =	$ 89,081	$ 220,356	$ 200,647	$ 216,400	$ 161	$ 726,645	$ 215,150	$ 943,795	$ 67,375	$ 1,011,170	$ 948,040	$ -	$ 203,664	$ 2,160,575
TOT. PERSONNEL & OTH. EXP. =	$ 256,667	$ 588,318	$ 398,629	$ 613,475	$ 431	$ 1,859,519	$ 331,118	$ 2,190,637	$ 67,375	$ 2,258,012	$ 2,362,298	$ -	$ 626,922	$ 5,247,232
IIC. DISTRIBUTED INDIRECT COSTS														
(a) Other Support Costs (Optional)	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -			$ -
(b) Administration	$ 33,935	$ 77,784	$ 52,704	$ 72,290	$ 57	$ 236,771	$ 41,479	$ 278,250	$ 8,600	$ 286,850	$ 301,548			$ -
TOT. DISTR'D INDIRECT COSTS =	$ 33,935	$ 77,784	$ 52,704	$ 72,290	$ 57	$ 236,771	$ 41,479	$ 278,250	$ 8,600	$ 286,850	$ 301,548			$ 0
TOTAL PROJECTED OPER. EXPENSES =	$ 290,602	$ 666,102	$ 451,333	$ 685,766	$ 488	$ 2,096,290	$ 373,330	$ 2,468,887	$ 75,975	$ 2,544,862	$ 2,663,846			$ 5,247,233
IID. UNALLOWABLE COSTS	$ (1,111)	$ (2,547)	$ (1,219)	$ (2,829)	$ (2)	$ (7,509)	$ (667)	$ (8,176)	$ -	$ (8,176)	$ (8,061)			$ (54,761)
IIE. TOTAL SAMH LINES OF CREDIT EQUIVALENT =	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	XXXXXXXXX			$ -
TOT. ALLOWABLE PROJ'D OPERATING EXP., Excluding SAMH Credit Equivalent =	$ 289,491	$ 663,555	$ 450,114	$ 682,936	$ 486	$ 2,088,782	$ 372,663	$ 2,460,711	$ 75,975	$ 2,536,687	$ 2,655,785			$ 5,192,472
IIF. CAPITAL EXPENDITURES	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -

IIG. BUDGET NARRATIVE (attach separate set of workpapers)

PART III: CERTIFICATION

I certify the above to be an accurate projection and in agreement with this agency's records and with the terms of this agency's contract with the department.

Signature Date

SUBSTANCE ABUSE & MENTAL HEALTH SERVICES

PROJECTED COST CENTER OPERATING AND CAPITAL BUDGET

AGENCY: DISC Village, Inc.

CONTRACT #: CD607 - Adult PERIOD: July 1, 2008 through June 30, 2009 FINAL: X

PART I: PROJECTED FUNDING SOURCES & REVENUES

FUNDING SOURCES & REVENUES	Adult Services: Intervention	Adult Services: Outpatient Individual	Adult Services: Prevention	Adult Services: Residential II Female	Adult Services: Total for Program 1 (B_{2-a}+...+B_{2-x})	Adult Services: Total for Circuit 2	Total for State SAMH-Funded Cost Centers (C_1+...+C_4)	Total for Non-State-Funded SAMH Cost Centers	Tot. for All State-Designated SAMH Cost Centers (D+E)	Non-SAMH Cost Center	Total Funding (F+G)
A	B_{3-a}	B_{3-b}	B_{x-x}	B_{x-x}	C_2		D	E	F	G	H
1A. TOTAL STATE SAMH FUNDING											
(1) From theCircuit funding this contract	$ 21,894	$ 77,554	$ 11,582	$ 51,159	$ 162,189	$ 857,146	$ 1,019,335	$ -	$ 1,019,335		$ 1,019,335
(2) From Other Circuits	$	$	$	$ 634,292		$ 634,292	$ 634,292	$ -	$ 634,292		$ 634,292
	$ 21,894	$ 77,554	$ 11,582	$ 685,451	$ 162,189	$ 1,491,438	$ 1,653,627	$ -	$ 1,653,627	$ -	$ 1,653,627
1B. OTHER GOVT. FUNDING											
(1) Other State Agency Funding	$	$	$	$	$ -	$ -	$ -	$ 355,975	$ 355,975	$ -	$ 355,975
(2) Medicaid	$ 337	$ 6,396	$	$	$ 6,733	$ 9,181	$ 15,914	$ -	$ 15,914	$ -	$ 15,914
(3) Local Government	$	$	$	$	$ -	$ -	$ -	$ -	$ -	$ -	$ -
(4) Federal Grants and Contracts	$	$	$	$	$ -	$ 512	$ 512	$ -	$ 512	$ 65,290	$ 65,802
(5) In-kind from local govt. only	$	$	$	$	$ -	$ -	$ -	$ -	$ -	$ -	$ -
TOT. OTHER GOVT. FUNDING =	$ 337	$ 6,396	$ -	$ -	$ 6,733	$ 9,693	$ 16,426	$ 355,975	$ 372,401	$ 65,290	$ 437,691
1C. ALL OTHER REVENUES											
(1) 1st & 2nd Party Payments	$ 451	$ 1,631	$ -	$ 1,103	$ 3,385	$ 22,019	$ 25,404	$ -	$ 25,404	$ 42,599	$ 68,003
(2) 3rd Party Payments (except Medicare)	$ -	$ -	$ -	$ -	$ -	$ 796	$ 796	$ -	$ 796	$ 9	$ 805
(3) Medicare	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -
(4) Contributions and Donations	$ -	$ -	$ -	$ 1,898	$ 1,898	$ 17,785	$ 19,683	$ -	$ 19,683	$ -	$ 19,683
(5) Other	$ -	$ 3	$ -	$ -	$ 3	$ 35	$ 37	$ -	$ 37	$ 474,969	$ 475,006
(6) In-kind	$ 4,437	$ 17,979	$ 2,711	$ 2,247	$ 27,375	$ 44,150	$ 71,525	$ 6,560	$ 78,085	$ 3,755	$ 81,840
TOT. ALL OTHER REVENUES =	$ 4,888	$ 19,613	$ 2,711	$ 5,248	$ 32,660	$ 84,785	$ 117,445	$ 6,560	$ 124,005	$ 521,332	$ 645,337
TOTAL PROJECTED FUNDING =	$ 27,119	$ 103,763	$ 14,293	$ 690,699	$ 201,581	$ 1,585,916	$ 1,787,498	$ 362,535	$ 2,150,033	$ 586,622	$ 2,736,655

SUBSTANCE ABUSE & MENTAL HEALTH SERVICES

PROJECTED COST CENTER OPERATING AND CAPITAL BUDGET

AGENCY: DISC Village, Inc.

CONTRACT #: CD807 - Adult

PERIOD: July 1, 2008 through June 30, 2009

FINAL: X

PART II: PROJECTED EXPENSES

CONTRACT #: CD807 - Adult

PERIOD: July 1, 2008 through June 30, 2009

EXPENSE CATEGORIES	Adult Services: Intervention	Outpatient Individual	Prevention	Residential II Female	Total for Program 1	Total for Circuit 2	Total for State SAMH-Funded Cost Centers	Total for Non-State-Funded SAMH Cost Centers	Tot. for All State-Designated SAMH Cost Centers	Non-SAMH Cost Center	Other Support Costs (optional)	Administration	Total Expenses
A	B_{3-a}	B_{3-b}	B_{3-c}	B_{3-d}	C_3		D	E	F	G	H	I	J
IIA. PERSONNEL EXPENSES													
(1) Salaries	$ 14,675	$ 59,462	$ 8,964	$ 45,122	$ 128,224	$ 787,621	$ 915,845	$ 208,733	$ 1,124,578	$ 48,986	$ -	$ 223,374	$ 1,396,938
(2) Fringe Benefits	$ 2,857	$ 11,574	$ 1,745	$ 9,018	$ 25,194	$ 155,774	$ 180,968	$ 40,386	$ 221,354	$ 10,186	$ -	$ 43,500	$ 275,040
TOTAL PERSONNEL EXPENSES =	$ 17,532	$ 71,036	$ 10,709	$ 54,140	$ 153,418	$ 943,395	$ 1,096,813	$ 249,119	$ 1,345,932	$ 59,172	$ -	$ 266,874	$ 1,671,978
IIB. OTHER EXPENSES													
(1) Building Occupancy	$ 354	$ 1,436	$ 217	$ 12,557	$ 14,564	$ 161,649	$ 176,213	$ 12,105	$ 188,319	$ 269,334	$ -	$ 32,701	$ 490,353
(2) Professional Services	$ 62	$ 251	$ 38	$ 107	$ 458	$ 718	$ 1,176	$ 17,890	$ 19,066	$ 14,394	$ -	$ 22,284	$ 55,744
(3) Travel	$ 1,075	$ 4,355	$ 656	$ 1,124	$ 7,210	$ 17,683	$ 24,893	$ 8,190	$ 33,082	$ 1,665	$ -	$ 4,156	$ 39,123
(4) Equipment	$ 3	$ 12	$ 2	$ 592	$ 608	$ 10,233	$ 10,841	$ 1,156	$ 11,997	$ 4,252	$ -	$ 1,455	$ 17,703
(5) Food Services	$ -	$ -	$ -	$ 9,008	$ 9,008	$ 82,712	$ 91,720	$ 1	$ 91,721	$ 40,736	$ -	$ -	$ 132,457
(6) Medical and Pharmacy	$ 320	$ 1,295	$ -	$ 2,025	$ 3,639	$ 26,788	$ 30,428	$ 116	$ 30,543	$ 20,616	$ -	$ 101	$ 51,261
(7) Subcontracted Services	$ 3,012	$ 12,209	$ -	$ 1,542	$ 16,763	$ 206,674	$ 223,437	$ 1,013	$ 224,449	$ 5,528	$ -	$ 4,799	$ 234,776
(8) Insurance	$ 159	$ 645	$ 97	$ 2,132	$ 3,033	$ 26,052	$ 29,085	$ 2,672	$ 31,957	$ 28,137	$ -	$ 7,005	$ 67,099
(9) Interest Paid	$ 112	$ 453	$ 68	$ 335	$ 968	$ 5,660	$ 6,628	$ 1,588	$ 8,417	$ 413	$ -	$ 1,144	$ 9,974
(10) Operating Supplies & Expenses	$ 1,487	$ 5,943	$ 896	$ 3,072	$ 11,378	$ 99,454	$ 110,832	$ 20,876	$ 131,709	$ 16,081	$ -	$ 46,654	$ 194,444
(11) Other	$ 339	$ 1,372	$ 207	$ 815	$ 2,732	$ 15,746	$ 18,478	$ 3,941	$ 22,419	$ 213,611	$ -	$ 8,116	$ 244,147
(12) Donated Items	$ 4,437	$ 17,979	$ 2,711	$ 2,247	$ 27,373	$ 44,295	$ 71,669	$ 6,560	$ 78,230	$ 3,755	$ -	$ -	$ 81,985
TOTAL OTHER EXPENSES =	$ 11,339	$ 45,949	$ 4,891	$ 35,557	$ 97,737	$ 697,863	$ 795,600	$ 76,308	$ 871,909	$ 618,743	$ -	$ 128,415	$ 1,619,066
TOT. PERSONNEL & OTH. EXP. =	$ 28,871	$ 116,985	$ 15,601	$ 89,697	$ 251,155	$ 1,641,258	$ 1,892,413	$ 325,428	$ 2,217,841	$ 677,914	$ -	$ 395,289	$ 3,291,044
IIC. DISTRIBUTED INDIRECT COSTS													
(a) Other Support Costs (Optional)	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -			$ -
(b) Administration	$ 3,685	$ 14,933	$ 1,991	$ 11,522	$ 32,132	$ 211,772	$ 243,904	$ 41,541	$ 284,495	$ 66,536			
TOT. DISTR'D INDIRECT COSTS =	$ 3,685	$ 14,933	$ 1,991	$ 11,522	$ 32,132	$ 211,772	$ 243,904	$ 41,541	$ 284,495	$ 66,536			$ -
TOTAL PROJECTED OPER. EXPENSES =	$ 32,557	$ 131,919	$ 17,592	$ 101,219	$ 283,287	$ 1,853,030	$ 2,136,317	$ 366,968	$ 2,502,335	$ 764,450			$ 3,291,044
IID. UNALLOWABLE COSTS	$ (126)	$ (509)	$ (68)	$ (287)	$ (989)	$ (5,290)	$ (6,279)	$ (1,677)	$ (7,956)	$ (1,264)			$ (32,528)
IIE. TOTAL SAMH LINES OF CREDIT EQUIVALENT =	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	XXXXXXXXX			$ -
TOT. ALLOWABLE PROJ'D OPERATING EXP., Excluding SAMH Credit Equivalent =	$ 32,431	$ 131,410	$ 17,524	$ 100,932	$ 282,298	$ 1,964,160	$ 2,130,038	$ 366,968	$ 2,502,335	$ 764,450			$ 3,258,516
IIF. CAPITAL EXPENDITURES	$	$	$	$	0	$	0	0	0		0	0	0

*except IIC & IID

IIG. BUDGET NARRATIVE (attach separate set of workpapers)

PART III: CERTIFICATION

I certify the above to be an accurate projection and in agreement with this agency's records and with the terms of this agency's contract with the department.

Signature Date

Attachment # 2 Page 38 of 39

SUBSTANCE ABUSE & MENTAL HEALTH SERVICES

PROJECTED COST CENTER OPERATING AND CAPITAL BUDGET

AGENCY: DISC Village, Inc.

CONTRACT #: CD807 - Juvenile

PERIOD: July 1, 2008 through June 30, 2009

FINAL: X

PART I: PROJECTED FUNDING SOURCES & REVENUES

FUNDING SOURCES & REVENUES	Juvenile Services										
	Intervention	Outpatient Individual	Prevention	Residential II	Total for Program 1 (B_{2-a}+...+B_{2-x})	Total for Circuit 2	Total for State SAMH-Funded Cost Centers (C_1+...+C_4)	Total for Non-State-Funded SAMH Cost Centers	Tot. for All State-Designated SAMH Cost Centers (D+E)	Non-SAMH Cost Center	Total Funding (F+G)
A	B_{3-a}	B_{2-b}		B_{x-x}	C_2		D	E	F	G	H
1A. TOTAL STATE SAMH FUNDING											
(1) From theCircuit funding this contract	$ 17,249	$ 14,497	$ 35,114	$ 95,967	$ 162,827	$ 1,646,480	$ 1,809,307	$ -	$ 1,809,307	$ -	$ 1,809,307
(2) From Other Circuits	$ -	$ -	$ -		$ -		$ -	$ -	$ -	$ -	$ -
	$ 17,249	$ 14,497	$ 35,114	$ 95,967	$ 162,827	$ 1,646,480	$ 1,809,307	$ -	$ 1,809,307	$ -	$ 1,809,307
1B. OTHER GOVT. FUNDING											
(1) Other State Agency Funding	$ -	$ -	$ -	$ 8,449	$ 8,449	$ 68,358	$ 76,806	$ -	$ 76,806	$ 1,524,231	$ 1,601,037
(2) Medicaid	$ 508	$ 542	$ -	$ -	$ 1,050	$ 13,361	$ 14,411	$ 71,489	$ 85,900	$ -	$ 85,900
(3) Local Government	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ 286,514	$ 286,514
(4) Federal Grants and Contracts	$ -	$ -	$ -	$ 2,663	$ 2,663	$ 21,547	$ 24,210	$ -	$ 24,210	$ 65,289	$ 89,499
(5) In-kind from local govt. only	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -
TOT. OTHER GOVT. FUNDING =	$ 508	$ 542		$ 11,112	$ 12,162	$ 103,265	$ 115,427	$ 71,489	$ 186,916	$ 1,876,034	$ 2,062,950
1C. ALL OTHER REVENUES											
(1) 1st & 2nd Party Payments	$ -	$ -	$ -	$ 1,841	$ 1,841	$ 15,661	$ 17,502	$ -	$ 17,502	$ 110	$ 17,612
(2) 3rd Party Payments (except Medicare)	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -
(3) Medicare	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -
(4) Contributions and Donations	$ -	$ -	$ -	$ 2,080	$ 2,080	$ 56,832	$ 58,912	$ -	$ 58,912	$ -	$ 58,912
(5) Other	$ -	$ -	$ -	$ 0	$ 0	$ 2,402	$ 2,403	$ -	$ 2,403	$ 703,964	$ 706,367
(6) In-kind	$ 95,291	$ 77,930	$ -	$ 1,726	$ 174,947	$ 213,841	$ 388,788	$ -	$ 388,788	$ 30,372	$ 419,160
TOT. ALL OTHER REVENUES =	$ 95,291	$ 77,930	$ -	$ 5,648	$ 178,869	$ 288,736	$ 467,605	$ -	$ 467,605	$ 734,456	$ 1,202,071
TOTAL PROJECTED FUNDING =	$ 113,048	$ 92,969	$ 35,114	$ 112,727	$ 353,858	$ 2,038,481	$ 2,392,339	$ 71,489	$ 2,463,828	$ 2,610,500	$ 5,074,328

Attachment # 2
Page 22 of 39

SUBSTANCE ABUSE & MENTAL HEALTH SERVICES

PROJECTED COST CENTER OPERATING AND CAPITAL BUDGET

AGENCY: Disc Village, Inc.

CONTRACT #: CD897 - Juvenile

PERIOD: July 1, 2008 through June 30, 2009

FINAL: X

PART II: PROJECTED EXPENSES

CONTRACT #: CD897 - Juvenile

PERIOD: July 1, 2008 through June 30, 2009

Juvenile Services

*except IIC & IID

EXPENSE CATEGORIES	Intervention	Outpatient Individual	Prevention	Residential II	Total for Program 1 (B_{2-4}+...+B_{2-x})	Total for Circuit2	Total for State SAMH-Funded Cost Centers (C_1+...+C_4)	Total for Non-State-Funded SAMH Cost Centers	Tot. for All State-Designated SAMH Cost Centers (D+E)	Non-SAMH Cost Center	Other Support Costs (optional)	Administration	Total Expenses (F+G+H*+I*)
A	B_{2-3}	B_{2-3}		B_{2-x}	C_2		D	E	F	G	H	I	J
IIA. PERSONNEL EXPENSES													
(1) Salaries	$ 13,355	$ 10,922	$ 33,379	$ 42,328	$ 99,883	$ 941,202	$ 1,041,185	$ -	$ 1,041,185	$ 1,156,328	$ -	$ 354,268	$ 2,591,781
(2) Fringe Benefits	$ 1,722	$ 1,408	$ 5,284	$ 7,570	$ 15,985	$ 189,672	$ 205,657	$ -	$ 205,657	$ 219,929	$ -	$ 68,990	$ 494,576
TOTAL PERSONNEL EXPENSES =	$ 15,077	$ 12,330	$ 38,664	$ 49,897	$ 115,967	$ 1,130,874	$ 1,246,842	$ -	$ 1,246,842	$ 1,416,258	$ -	$ 423,258	$ 3,086,357
IIB. OTHER EXPENSES													
(1) Building Occupancy	$ 672	$ 549	$ -	$ 7,794	$ 9,015	$ 145,626	$ 154,641	$ -	$ 154,641	$ 354,988	$ -	$ 51,863	$ 561,492
(2) Professional Services	$ -	$ -	$ -	$ 399	$ 399	$ 6,780	$ 7,179	$ 60,525	$ 67,704	$ 14,855	$ -	$ 35,343	$ 117,902
(3) Travel	$ 773	$ 633	$ 1,224	$ 821	$ 3,250	$ 17,527	$ 20,778	$ 55	$ 20,833	$ 39,362	$ -	$ 6,591	$ 66,786
(4) Equipment	$ -	$ -	$ -	$ 497	$ 497	$ 11,364	$ 11,860	$ -	$ 11,860	$ 29,628	$ -	$ 2,307	$ 43,794
(5) Food Services	$ -	$ -	$ -	$ 7,286	$ 7,286	$ 58,247	$ 65,533	$ -	$ 65,533	$ 56,302	$ -	$ -	$ 121,835
(6) Medical and Pharmacy	$ 107	$ 87	$ -	$ 815	$ 1,009	$ 62,332	$ 63,341	$ -	$ 63,341	$ 4,566	$ -	$ 161	$ 68,068
(7) Subcontracted Services	$ 624	$ 510	$ 6	$ 1,495	$ 2,633	$ 19,187	$ 20,820	$ -	$ 20,820	$ 15,620	$ -	$ 7,611	$ 44,052
(8) Insurance	$ 245	$ 200	$ 405	$ 1,716	$ 2,566	$ 29,536	$ 32,102	$ -	$ 32,102	$ 52,150	$ -	$ 11,110	$ 95,361
(9) Interest Paid	$ 108	$ 87	$ 16	$ 285	$ 504	$ 7,084	$ 7,588	$ -	$ 7,588	$ 7,715	$ -	$ 1,814	$ 17,117
(10) Operating Supplies & Expenses	$ 2,712	$ 2,218	$ 1,233	$ 3,952	$ 10,115	$ 111,962	$ 122,077	$ -	$ 122,077	$ 106,026	$ -	$ 73,993	$ 302,096
(11) Other	$ 650	$ 726	$ 462	$ 849	$ 2,929	$ 26,159	$ 29,088	$ 6,785	$ 35,883	$ 234,602	$ -	$ 12,872	$ 283,357
(12) Donated Items	$ 95,281	$ 77,930	$ -	$ 1,726	$ 174,947	$ 213,841	$ 388,788	$ -	$ 388,788	$ 30,227	$ -	$ -	$ 419,015
TOTAL OTHER EXPENSES =	$ 101,420	$ 82,942	$ 3,344	$ 27,444	$ 215,150	$ 728,645	$ 943,795	$ 67,375	$ 1,011,170	$ 946,040	$ -	$ 203,664	$ 2,160,875
TOT. PERSONNEL & OTH. EXP. =	$ 116,497	$ 95,272	$ 42,008	$ 77,341	$ 331,118	$ 1,859,519	$ 2,190,637	$ 67,375	$ 2,258,012	$ 2,362,298		$ 626,922	$ 5,247,232
IIC. DISTRIBUTED INDIRECT COSTS													
(a) Other Support Costs (Optional)	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -			$ -
(b) Administration	$ 14,671	$ 12,162	$ 5,362	$ 9,084	$ 41,479	$ 236,771	$ 278,250	$ 8,600	$ 286,851	$ 301,547			$ (0)
TOT. DISTR'D INDIRECT COSTS =	$ 14,671	$ 12,162	$ 5,362	$ 9,084	$ 41,479	$ 236,771	$ 278,250	$ 8,600	$ 286,851	$ 301,547			
TOTAL PROJECTED OPER. EXPENSES =	$ 131,168	$ 107,434	$ 47,370	$ 86,425	$ 372,597	$ 2,096,290	$ 2,468,887	$ 75,975	$ 2,544,863	$ 2,663,845			$ 5,247,232
IID. UNALLOWABLE COSTS	$ (182)	$ (149)	$ (66)	$ (270)	$ (667.00)	$ (7,509)	$ (8,176)	$ -	$ (8,176)	$ (8,051)			$ (54,761.00)
IIE. TOTAL SAMH LINES OF CREDIT EQUIVALENT =	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	XXXXXXXXX			$ -
TOT. ALLOWABLE PROJ'D OPERATING EXP., Excluding SAMH Credit Equivalent =	$ 131,185	$ 107,285	$ 47,305	$ 86,155	$ 371,930	$ 2,176,564	$ 2,460,711	$ 75,975	$ 2,539,687	$ 2,663,845			$ 5,192,471
IIF. CAPITAL EXPENDITURES -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -

IIG. BUDGET NARRATIVE (attach separate set of workpapers)

PART III: CERTIFICATION

I certify the above to be an accurate projection and in agreement with this agency's records and with the terms of this agency's contract with the department.

Signature

Date

Schedule of State Earnings for Fiscal Year 07/01/2008 - 06/30/2009

1	**Total Expenditures**	$	8,538,276
2	**Less Other State and Federal Funds**	$	(2,046,388)
3	**Less Non-Match SAMH Funds**	$	(2,877,973)
4	**Less Unallowable Costs per 65E-14, F.A.C.**	$	(87,290)
5	**Total Allowable Expenditures** (Sum of lines 1, 2, 3, and 4)	$	3,526,625
6	**Maximum Available Earnings** (Line 5 times 75%)	$	2,644,969
7	**Amount of State Funds Requiring Match**	$	584,961
8	**Amount Due to Department** (Subtract line 7 from line 6) **If amount is postive no funds are owed to the Department**	$	2,060,008

INDEPENDENT AUDITOR'S REPORT ON INTERNAL CONTROL OVER FINANCIAL REPORTING AND ON COMPLIANCE AND OTHER MATTERS BASED ON AN AUDIT OF FINANCIAL STATEMENTS PERFORMED IN ACCORDANCE WITH *GOVERNMENT AUDITING STANDARDS*

To the Board of Directors,
DISC Village, Inc.:

We have audited the consolidated financial statements of DISC Village, Inc. as of and for the year ended June 30, 2009, and have issued our report thereon dated December 16, 2009. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in ***Government Auditing Standards***, issued by the Comptroller General of the United States.

Internal Control Over Financial Reporting

In planning and performing our audit, we considered DISC Village, Inc.'s internal control over financial reporting as a basis for designing our auditing procedures for the purpose of expressing our opinion on the consolidated financial statements, but not for the purpose of expressing an opinion on the effectiveness of DISC Village, Inc.'s internal control over financial reporting. Accordingly, we do not express an opinion on the effectiveness of DISC Village, Inc.'s internal control over financial reporting.

A control deficiency exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent or detect misstatements on a timely basis. A significant deficiency is a control deficiency, or combination of control deficiencies, that adversely affects DISC Village, Inc.'s ability to initiate, authorize, record, process, or report financial data reliably in accordance with generally accepted accounting principles, such that there is more than a remote likelihood that a misstatement of DISC Village, Inc.'s consolidated financial statements that is more than inconsequential will not be prevented or detected by DISC Village, Inc.'s internal control.

A material weakness is a significant deficiency, or combination of significant deficiencies, that results in more than a remote likelihood that a material misstatement of the consolidated financial statements will not be prevented or detected by DISC Village, Inc.'s internal control.

Our consideration of the internal control over financial reporting was for the limited purpose described in the first paragraph of this section and would not necessarily identify all deficiencies in the internal control that might be significant deficiencies or material weaknesses. We did not identify any deficiencies in internal control over financial reporting that we consider to be material weaknesses, as defined above.

Compliance and Other Matters

As part of obtaining reasonable assurance about whether DISC Village, Inc.'s consolidated financial statements are free of material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts and grant agreements, noncompliance with which could have a direct and material effect on the determination of consolidated financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under ***Government Auditing Standards***, issued by the Comptroller General of the United States.

This report is intended solely for the information and use of management, the Board of Directors, State and Federal awarding agencies and pass-through entities and is not intended to be and should not be used by anyone other than these specified parties.

James Moore & Co., P.L.

Tallahassee, Florida
December 16, 2009

JAMES MOORE & CO., P.L.
CERTIFIED PUBLIC ACCOUNTANTS

INDEPENDENT AUDITORS' REPORT ON COMPLIANCE WITH REQUIREMENTS APPLICABLE TO EACH MAJOR FEDERAL PROGRAM AND STATE PROJECT AND ON INTERNAL CONTROL OVER COMPLIANCE IN ACCORDANCE WITH OMB A-133 AND CHAPTER 10.650, RULES OF THE STATE OF FLORIDA, OFFICE OF THE AUDITOR GENERAL

To the Board of Directors,
DISC Village, Inc.:

Compliance

We have audited the compliance of DISC Village, Inc. with the types of compliance requirements described in the U.S. Office of Management and Budget (OMB) *Circular A-133 Compliance Supplement*, and the requirements described in the State of Florida, Executive Office of the Governor's State Projects Compliance Supplement, that are applicable to each of its major federal programs and state projects for the year ended June 30, 2009. DISC Village, Inc.'s major federal programs and state projects are identified in the summary of auditors' results section of the accompanying Schedule of Findings and Questioned Costs. Compliance with the requirements of laws, regulations, contracts, and grants applicable to each of its major federal programs and state projects is the responsibility of DISC Village, Inc.'s management. Our responsibility is to express an opinion on DISC Village, Inc.'s compliance based on our audit.

We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in ***Government Auditing Standards***, issued by the Comptroller General of the United States; OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*; and Chapter 10.650, Rules of the State of Florida, Office of the Auditor General. Those standards, OMB Circular A-133, and Chapter 10.650, Rules of the State of Florida, Office of the Auditor General, require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program or state project occurred. An audit includes examining, on a test basis, evidence about DISC Village, Inc.'s compliance with those requirements and performing such other procedures as we considered necessary in the circumstances. We believe that our audit provides a reasonable basis for our opinion. Our audit does not provide a legal determination of DISC Village, Inc.'s compliance with those requirements.

In our opinion, DISC Village, Inc. complied, in all material respects, with the requirements referred to above that are applicable to each of its major federal programs and state projects for the year ended June 30, 2009.

Internal Control Over Compliance

The management of DISC Village, Inc. is responsible for establishing and maintaining effective internal control over compliance with the requirements of laws, regulations, contracts, and grants applicable to federal programs and state projects. In planning and performing our audit, we considered DISC Village, Inc.'s internal control over compliance with requirements that could have a direct and material effect on a major federal program or state project in order to determine our auditing procedures for the purpose of expressing our opinion on compliance, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of DISC Village, Inc.'s internal control over compliance.

A *control deficiency* in an entity's internal control over compliance exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent or detect noncompliance with a type of compliance requirement of a federal program or state project on a timely basis. A *significant deficiency* is a control deficiency, or combination of control deficiencies, that adversely affects the entity's ability to administer a federal program or state project such that there is more than a remote likelihood that noncompliance with a type of compliance requirement of a federal program or state project that is more than inconsequential will not be prevented or detected by the entity's internal control.

A *material weakness* is a significant deficiency, or combination of significant deficiencies, that results in more than a remote likelihood that material noncompliance with a type of compliance requirement of a federal program or state project will not be prevented or detected by the entity's internal control.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and would not necessarily identify all deficiencies in internal control that might be significant deficiencies or material weaknesses. We did not identify any deficiencies in internal control over compliance that we consider to be a material weaknesses, as defined above.

This report is intended solely for the information and use of the DISC Village, Inc.'s management, the Board of Directors, State and Federal awarding agencies and pass-through entities and is not intended to be and should not be used by anyone other than these specified parties.

James Moore & Co., P.L.

Tallahassee, Florida
December 16, 2009

DISC VILLAGE, INC.
SCHEDULE OF FINDINGS AND QUESTIONED COSTS –
FEDERAL PROGRAMS AND STATE PROJECTS
JUNE 30, 2009

I. Summary of Auditors' Results:

Financial Statements

A. Type of audit report issued on the financial statements: Unqualified.

Internal control over financial reporting:

Material weakness(es) identified?	____ yes	_X_ no
Significant deficiencies identified that are not considered to be material weaknesses?	____ yes	_X_ none reported
Noncompliance material to financial statements noted?	____ yes	_X_ no

Federal Awards and State Financial Assistance

Internal control over major Federal programs and State projects:

Material weakness(es) identified?	____ yes	_X_ no
Significant deficiencies identified that are not considered to be material weaknesses?	____ yes	_X_ none reported

Types of auditor's report issued on compliance for major Federal programs and State projects: Unqualified

Any audit findings that are required to be reported in accordance with section 510(a) of OMB Circular A-133:	____ yes	_X_ no
Dollar threshold used to distinguish between type A and type B Federal Programs/State Projects:	$300,000/$300,000	
Auditee qualified as low-risk auditee:	_X_ yes	____ no

B. Identification of major programs:

Federal Program	Federal CFDA Number
Temporary Assistance for Needy Families	93.558
Block Grants for Prevention and Treatment of Substance Abuse	93.959

State Project	State CSFA Number
Substance Abuse Treatment and Aftercare Services for Children	60.030
Juvenile Assessment Centers	80.020

DISC VILLAGE, INC.
SCHEDULE OF FINDINGS AND QUESTIONED COSTS –
FEDERAL PROGRAMS AND STATE PROJECTS
JUNE 30, 2009
(Continued)

II. Findings relating to the basic consolidated financial statements which are required to be reported in accordance with *Government Accounting Standards:*

None.

III. Federal Award Findings and Questioned Costs:

None.

IV. State Project Findings and Questioned Costs:

None.

V. Management letter required by Chapter 10.650, Rules of the State of Florida, Office of the Auditor General:

Nothing to report.

VI. Summary of Prior Audit Findings–Major Federal Programs

No prior year audit findings.

VII. Summary of Prior Audit Findings–Major State Projects

No prior year audit findings.

RECEIVED
MAR 18 2010
3:45pm slc

2010/2011 Non-Departmental Funding Request Application

A. Organizational Information

Legal Name of Agency: United Way of the Big Bend (UWBB), fiscal agent for Whole Child Leon

Agency Representative: Amanda Clements

Physical Address: 307 East Seventh Avenue, Tallahassee, FL 32303

Mailing Address: same

Telephone: (850) 414-0858

Fax: (850) 414-0852

E-mail Address: amanda@uwbb.org

Agency Employer ID Number (FEIN): 59-601150

Does the Agency have a **501(c) (3)** status? Yes: xx No: _____

Date of Agency Incorporation: January 1991
Attach Articles of Incorporation

Please attach the Agency's most recent 990 Tax Return or most recent completed tax return.

B. Program Information

1. Succinctly describe the program for which funding is being requested. Please include types of services provided. (Attach additional pages as necessary):

Funding is requested to support a Connection and Outreach Coordinator for Whole Child Leon.

Whole Child Leon utilizes strategic planning and integrated, web-based technology models that connect service providers to parents and to each other while collectively addressing all dimensions of the child as a whole, engaging the entire community in the process.

The first few years of Whole Child Leon were about forming relationships, partnerships and action plans. With everyone at the table, we can collectively assess (and re-assess) out community's gaps and needs. In November 2008, we shifted our focus to three main goal areas to ensure that our children are:

- Healthy At Age 1
- Making Appropriate Progress
- Entering Kindergarten Ready to Succeed

During a project funded by the Florida Department of Health to gather information for an online New Parents Guide, Whole Child Leon realized some significant shortcomings with the technology on which the Connection was based. While once considered state-of-the-art, after eight years the system had become out-dated and limited.

Whole Child Leon has partnered with Well Family Systems to design an enhanced version of the Whole Child Connection (WCC) that has capabilities to grow as technology grows.

- The redesigned WCC Profile is organized in a less threatening interview-style format
- Our back-end systems make the Connection less overwhelming for front-end users
- New contact management capabilities make case management, service delivery and referral seamless for service providers using the system

2. Why is this funding being requested? If this funding request is not approved, what would be the impact on your agency or program for which funding is sought?

United Way of the Big Bend (UWBB) and Whole Child Leon (WCL) request continued funding from Leon County to support a full-time Connection and Outreach Coordinator.

Absence of this funding would call for the elimination of the position which provides direct support to families and the agencies providing services to these families. The Connection and Outreach Coordinator provides training and technical support to service providers to ensure maximum response rates to service requests, recruits additional service providers, manages the technology and system operations, and assists families with the Whole Child Profile.

The Whole Child Connection is only truly effective when the information in the system is up-to-date and service providers are able to quickly respond to referrals and service requests. Without this staff

person providing technical support, constantly monitoring the system, ensuring service provider accountability, and operating independent of affiliation with any specific service provider, the effectiveness of the system will suffer.

3. Projected program impact/outcome results: What is the projected impact on the target population?

Whole Child Leon expects the following as a result of the Whole Child Connection itself and outreach to families in need of services:

- Families will have one-stop access to a wide range of service providers
- Families will receive prompt responses to their service requests
- More families will receive services they need
- Community needs and service gaps will be identified, allowing us to seek resources and providers to satisfy those needs

Through our collaborative "No Wrong Door" approach to service delivery, the Whole Child Connection will:

- Streamline service delivery by providing the tools providers need to track client progress, identify additional needs and efficiently refer families to appropriate service providers to meet those needs
- Increase communication and collaboration among agencies providing services to families
- Make it easier for families to gain access to the information and services they need
- Empower families to address their needs proactively, not only when faced with a crisis

4. List the targeted population projected to be served or benefit from this program.

Primary:

- Families with children 0 to 5 years of age residing within Leon County
- Agencies providing services to families with children 0 to 5 years of age

Secondary:

- Families with children 0 to 5 years of age living in our seven neighboring counties who may receive services in Leon County or from Leon County based providers

5. Provide the methods that are being used effectively to attain this program's targeted population.

Our new website, launched in September 2009, allows us to reach parents of young children in the way they want to be reached – through the web, where they can access information at their convenience 24-hours a day.

Internet access among potential Whole Child Connection users has been a much-discussed issue. Our research shows that internet use is increasing for people regardless of age, income, level of education, race, ethnicity or gender. The Pew Project's May 2008 survey finds that 73% of adults in the US go online, 78% of adults have a cell phone and 55% of adults have broadband at home. The two age

groups with the highest rates of internet use are Generation Y (young adults between 18 and 32) and Generation X (adults between 33 and 44). These age groups are those most likely to use our technology to access information and resources for children 0 to 5 years of age.

Through established relationships with local media, we are able to garner regular coverage of current issues and activities.

Whole Child Leon is collaborating with organizations which provide direct services to or have direct contact with families with young children. We host a quarterly Agency Head Meeting where executive directors and decision-makers can gather to discuss the needs of the community, gaps in services and ways to better work together. Additionally, the Whole Child Leon Professional Network is a forum for professionals from agencies and service providers to connect. At the monthly Professional Network meetings, members have the opportunity to network with professionals from other agencies. By gathering with a broad range of professionals, they share ideas and find new ways to work together to fulfill common goals. Collaborative relationships like these are at the core of the Whole Child philosophy. As Whole Child Leon is not a direct service provider, relationships with and among these organizations are essential to ensuring families are reached and are encouraged to use the Whole Child Connection.

6. Outline the phases and time frames in which this program or event will be accomplished if funded.

Funding is requested to continue this position previously funded by Leon County.

March – May 2010:

- Soft launch and testing of new Whole Child Connection (WCC)
- Conduct focus groups with parents and providers
- Provide training and support to service providers
- Soft launch of new marketing and outreach plan

June 2010 and beyond:

- Targeted marketing and outreach
- Continue training and support for service providers
- Monitor provider information in the system to ensure it is correct and up-to-date
- Refine the WCC based on feedback from families and providers to ensure the tool is meeting needs

7. List the program's short-term, intermediate, and long-term goals.

Short-term:

- To launch and test the new Whole Child Connection
- To train and support WCC providers
- To launch new marketing and community outreach plan

Intermediate:

- To increase WCC usage among service providers and families
- Ongoing training, marketing and community outreach

Long-term:

- To fully integrate the Whole Child connection into agency operations and the service delivery system in Leon County

8. What other agencies in Leon County (governmental, non-profit, and private) provide services similar to those which would be provided by this funding?

No one provides services like those we are able to provide through this funding.

The Whole Child Connection technology is unique in that it does not just provide information. The completion of a Whole Child Profile links families to service providers with a contractual agreement to respond within 48-hours. The Whole Child Connection and Outreach Manager makes certain that the system is functioning properly and monitors responsiveness of the providers.

9. List any Agency partnerships and collaboration related to this program.

Agency	Partnership/Collaboration
United Way of the Big Bend	Major funder and fiscal agent for WCL
Leon County Schools	Provides office space for WCL staff (including utilities, phones, furniture and maintenance) at Wesson School
Lawton Chiles Foundation and Whole Child Florida	- Provided primary funding for development of new brand standards including a new Whole Child Leon logo and redesign WholeChildLeon.com - Provides strategic leadership and guidance on Whole Child Philosophy and statewide trends related to early childhood issues
GoBeyond	Provides and maintains the hardware, operating system and other infrastructure for the Whole Child Connection
Capital Health Plan	Provides funding for a Whole Child Outreach Coordinator within Leon County Schools

C. Funding Information

10. Agency's current total budget: 2009/10 $200,500 *(current)* 2010/11 $215,500 *(proposed)*

11. Total cost of program: $38,000

12. Please list the 2009/10 funding amount and associated expenditures requested from Leon County and other revenue sources:

Actual Expenditure Detail	Leon County Funded	Other Agencies Funded	Total
Compensation and Benefits	$ 38,000	$ 80,492	$ 118,492
Professional Fees			
Occupancy/Utilities/Network		In kind	In kind
Supplies/Postage		$ 1,508	$ 1,508
Equipment Rental, Maintenance, Purchase		In kind	In kind
Meeting Costs/Travel/Transportation		$ 10,000	$10,000
Staff/Board Development/Recruitment			
Awards/Grants/Direct Aid		$ 55,000 **	$ 55,000 **
Bad Debts/Uncollectible			
Bonding/Liability/Directors Insurance			
Other Expenses (please itemize)			
- Cell phones, wireless internet		$ 3,000	$ 3,000
- Promotions and marketing		$ 2,500	$ 2,500
- Web-based technology		$ 10,000	$ 10,000
Total	**$ 38,000**	**$162,500**	**$200,500**

** Grant Funding

- $50,000 – Capital Health Plan grant for Whole Child Outreach Coordinator at Leon County Schools
- $ 5,000 – Florida Dental Foundation grant for partnership to provide dental services

13. Please list the following Revenue Sources for the current year and the upcoming year below:

Revenue Sources	2009/10 (Current)	2010/11 (Proposed)
Leon County (not CHSP)	$ 38,000	$ 38,000
City of Tallahassee (not CHSP)	$ 40,000	$ 40,000
United Way (not CHSP)	$ 67,500	$ 67,500
State		
Federal		
Grants	$ 55,000 **	$ 70,000
Contributions/Special Events		
Dues/Memberships		
Program Service Fees		
Other Income (please itemize)		
Total	**$ 200,500**	**$ 215,500**

** Grant Funding

- $50,000 – Capital Health Plan grant
- $ 5,000 – Florida Dental Foundation grant

14. Please list the following expenses for the current year and the upcoming year below:

Expenses	2009/10 (Current)	2010/11 (Proposed)
Compensation and Benefits	$ 118,492	$ 118,492
Professional Fees		
Occupancy/Utilities/Network	In kind	In kind
Supplies/Postage	$ 1,508	$ 2,508
Equipment Rental, Maintenance, Purchase	In kind	$ 5,000
Meeting Costs/Travel/Transportation	$ 10,000	
Staff/Board Development/Recruitment		
Awards/Grants/Direct Aid	$ 55,000	$ 70,000
Bad Debts/Uncollectible		
Bonding/Liability/Directors Insurance		
Other Expenses (please itemize)		
- Telephone and internet services	$ 3,000	$ 3,500
- Promotion and marketing	$ 2,500	$ 6,000
- Web-based technology	$ 10,000	$ 10,000
Total	**$ 200,500**	**$ 215,500**

15. Describe actions and fund-raisers to secure funding.

Whole Child Leon is:

- Asking the United Way of the Big Bend Committee on Strategic Initiatives and Board of Directors to continue their support of Whole Child Leon
- Strengthening relationships with key partners and grantors to secure both new and continued financial and in-kind support
- Seeking funding from local grantors like Leon County and the City of Tallahassee
- Actively pursuing funding through regional and national grantors

16. Will this program or event recur every year?

No: _____ Yes: XX

17. Would funding by Leon County be requested in subsequent years for successful completion of the program?

No: _____ Yes: XX

If "yes," estimate, the amount of next year's funding request: range of $38K - $42K

18. Has Leon County ever contributed funds to this program in the past?

No: _____ Yes: XX

If "yes," list date(s), recipient or agency, program title and amount of funding:

Date: October 2006, October 2007, October 2008 and October 2009

Recipient or Agency: United Way of the Big Bend (fiscal agent for Whole Child Leon)

Program Title: Whole Child Leon

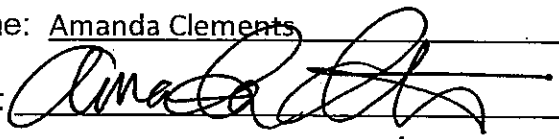
Funding Level: 2006 - $38,000, 2007 - $38,000, 2008 - $38,000, 2009 - $38,000

19. Attach a copy of the Agency's most recent financial report.

CERTIFICATION

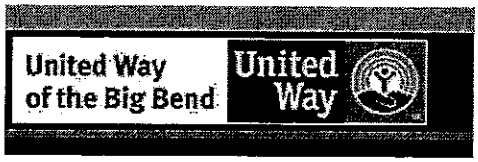
I, the undersigned representative of the Agency, organization or individual making this request, certify that to the best of my knowledge all statements contained in this request and its attachments are true and correct.

Print Name: Amanda Clements

Signature: 

Date Signed: March 17, 2010

Financial Statements



United Way of the Big Bend, Inc.

Year ended June 30, 2009
with Report of Independent Auditors

Thomas Howell
Ferguson P.A.

United Way of the Big Bend, Inc.

Financial Statements

Year ended June 30, 2009

Contents

Report of Independent Auditors 1

Financial Statements

Statement of Financial Position 2
Statement of Activities and Changes in Net Assets 3
Statement of Functional Expenses 4
Statement of Cash Flows 5
Notes to Financial Statements 6

Thomas Howell Ferguson P.A.

Certified Public Accountants
2615 Centennial Blvd., Suite 200 (32308)
P.O. Drawer 14569
Tallahassee, FL 32317-4569

Phone: (850) 668-8100
Fax: (850) 668-8199
email: thf@thf-cpa.com

Report of Independent Auditors

The Board of Directors
United Way of the Big Bend, Inc.

We have audited the accompanying statement of financial position of the United Way of the Big Bend, Inc. (UWBB) as of June 30, 2009, and the related statements of activities and changes in net assets, functional expenses, and cash flows for the year then ended. These financial statements are the responsibility of UWBB's management. Our responsibility is to express an opinion on these financial statements based on our audit.

We conducted our audit in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. An audit includes consideration of internal control over financial reporting as a basis for designing audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of UWBB's internal control over financial reporting. Accordingly, we express no such opinion. An audit also includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements, assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall financial statement presentation. We believe that our audit provides a reasonable basis for our opinion.

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of the United Way of the Big Bend, Inc. as of June 30, 2009, and the changes in its net assets, its functional activities, and its cash flows for the year then ended in conformity with accounting principles generally accepted in the United States of America.

Thomas Howell Ferguson P.A.

August 31, 2009

United Way of the Big Bend, Inc.

Statement of Financial Position

June 30, 2009

Assets	
Cash and cash equivalents	$ 1,120,866
Investments	2,114,208
Pledges receivable, net of allowance for uncollectibles of $402,179	2,486,860
Accounts receivable	52,569
Deposits and other assets	16,785
Property and equipment, net	839,426
Total assets	$ 6,630,714
Liabilities and net assets	
Liabilities:	
Allocations payable	$ 5,478,979
Accounts payable and accrued expenses	81,850
Deferred revenue	31,804
Total liabilities	5,592,633
Net assets:	
Unrestricted:	
Designated	859,058
Temporarily restricted	69,023
Permanently restricted	110,000
Total net assets	1,038,081
Total liabilities and net assets	$ 6,630,714

See accompanying notes.

United Way of the Big Bend, Inc.

Statement of Activities and Changes in Net Assets

Year ended June 30, 2009

	Unrestricted	Temporarily Restricted	Permanently Restricted	Total
Changes in net assets:				
Revenues and other support:				
Campaign revenue:				
Current year campaign contributions	$ 6,509,632	$ -	$ -	$ 6,509,632
Campaign contributions received in prior year	41,280	(41,280)	-	-
Less: donor designations	(1,806,707)	-	-	(1,806,707)
Less: provision for uncollectible pledges	(330,428)	-	-	(330,428)
Total 2008 campaign revenue, net	4,413,777	(41,280)	-	4,372,497
Campaign contributions received for next year	-	69,023	-	69,023
Net campaign revenue	4,413,777	27,743	-	4,441,520
Special grants	232,989	-	-	232,989
Non-campaign fundraising	24,283	-	-	24,283
Investment income (loss)	(166,021)	-	-	(166,021)
Other income	48,871	-	40,000	88,871
Total revenues and other support	4,553,899	27,743	40,000	4,621,642
Allocations and other functional expenses:				
2008 Allocations:				
Member agencies in the Big Bend	3,314,537	-	-	3,314,537
Non-member agencies in the Big Bend	779,575	-	-	779,575
Non-member agencies outside of the Big Bend	626,775	-	-	626,775
Strategic initiatives and Community Human Service Partnership	250,326	-	-	250,326
Special grants	189,729	-	-	189,729
Less: designated allocations	(1,806,707)	-	-	(1,806,707)
Total 2008 allocations	3,354,235	-	-	3,354,235
Other functional expenses:				
Program	288,410	-	-	288,410
Fundraising	864,224	-	-	864,224
Management and general	453,501	-	-	453,501
Total other functional expenses	1,606,135	-	-	1,606,135
Total allocations and other functional expenses	4,960,370	-	-	4,960,370
Change in net assets	(406,471)	27,743	40,000	(338,728)
Net assets at beginning of year	1,321,610	41,280	70,000	1,432,890
Prior period adjustments *(see Note 7)*	(56,081)	-	-	(56,081)
Net assets at beginning of year, as restated	1,265,529	41,280	70,000	1,376,809
Net assets at end of year	$ 859,058	$ 69,023	$ 110,000	$ 1,038,081

See accompanying notes.

United Way of the Big Bend, Inc.

Statement of Functional Expenses

Year ended June 30, 2009

	Allocations	Program	Fundraising	Management and General	Total Expenses
Allocations					
Agency allocations	$ 2,914,180	$ -	$ -	$ -	$ 2,914,180
Strategic initiatives and Community Human Services Partnership	250,326	-	-	-	250,326
Special grants	189,729	-	-	-	189,729
Total allocations	3,354,235	-	-	-	3,354,235
Other Functional Expenses					
Salaries and related benefits	-	215,866	611,108	338,996	1,165,970
Insurance	-	4,642	10,048	8,677	23,367
Miscellaneous	-	535	2,641	1,289	4,465
Professional fees	-	3,538	12,866	4,529	20,933
Office expenses, telephone, postage	-	17,662	37,196	21,091	75,949
Advertising	-	922	2,136	1,531	4,589
Printing, awards, and other direct campaign	-	107	88,301	205	88,613
Travel	-	2,345	5,830	3,798	11,973
Conferences, meetings, and training	-	908	1,616	1,155	3,679
Dues and subscriptions	-	17,826	39,450	23,587	80,863
Contract services	-	4,335	9,383	5,549	19,267
Banking, investment, and credit card fees	-	1,173	2,519	19,349	23,041
Building and equipment expenses:					
Equipment depreciation	-	5,919	12,811	7,576	26,306
Other building expense	-	3,080	7,644	3,942	14,666
Other equipment expense	-	9,552	20,675	12,227	42,454
Total other functional expense	-	288,410	864,224	453,501	1,606,135
Total	$ 3,354,235	$ 288,410	$ 864,224	$ 453,501	$ 4,960,370

See accompanying notes.

Attachment # 2
Page Cel of 396

United Way of the Big Bend, Inc.

Statement of Cash Flows

Year ended June 30, 2009

Operating activities	
Change in net assets	$ (338,728)
Adjustments to reconcile change in net assets to net cash used in operating activities:	
Depreciation	26,306
Realized losses on investments	151,967
Unrealized losses on investments	14,054
Changes in operating assets and liabilities:	
Pledges receivable	187,640
Accounts receivable	(30,833)
Deposits and other assets	42,594
Accounts payable and accrued expenses	(12,402)
Allocations to agencies	(679,171)
Deferred revenue	(55,353)
Net cash used in operating activities	(693,926)
Investing activities	
Purchase of property and equipment	(105,884)
Purchase of investments	(3,262,105)
Proceeds from sales and maturities of investments	4,095,888
Net cash provided by investing activities	727,899
Financing activities	
Proceeds from line of credit	50,000
Payments on line of credit	(50,000)
Net cash used in financing activities	-
Net increase in cash and cash equivalents	33,973
Cash and cash equivalents at beginning of year	1,086,893
Cash and cash equivalents at end of year	$ 1,120,866

See accompanying notes.

United Way of the Big Bend, Inc.

Notes to Financial Statements

Year ended June 30, 2009

1. Summary of Significant Accounting Policies

Nature of Operations

The United Way of the Big Bend, Inc. (UWBB) is a not-for-profit corporation organized under the laws of the state of Florida. Its primary purpose is to raise money and mobilize resources to meet priority human needs.

Basis of Accounting

UWBB uses the accrual basis of accounting. The financial statements have been prepared in accordance with accounting principles generally accepted in the United States of America. The significant accounting policies are described below.

Cash and Cash Equivalents

Cash and cash equivalents consist of deposits with a financial institution and deposits in highly liquid money market funds. Deposits with financial institutions are insured by the Federal Deposit Insurance Corporation (FDIC). On October 3, 2008, FDIC coverage increased from $100,000 to $250,000 per depositor until December 31, 2009. On May 20, 2009, the FDIC extended this increase in depositor coverage to December 31, 2013. Bank deposits at times may exceed federally insured limits. UWBB has not experienced any losses in such accounts.

For cash flows purposes, UWBB considers all highly liquid investments with an original maturity of three months or less to be cash and cash equivalents.

Investments

Investments consist of various certificates of deposits, bonds, stocks, and mutual funds. Investments are carried at their market values. The values of certificates of deposit, bonds, and stocks are estimated based on their quoted market values for the specific investment. The value of an endowment fund held by the Community Foundation of North Florida (CFNF) is determined based on information received from CFNF.

Concentration of Credit Risk

The financial instruments exposed to concentrations of credit risk consist primarily of its cash, cash equivalents, and investments. All investment transactions have credit exposure to the extent that a counterparty may default on an obligation of UWBB. Credit risk is a consequence of carrying investment positions. To manage credit risk, UWBB focuses primarily on higher quality, fixed income securities, limits it exposure in any one investment, and monitors quality.

Notes to Financial Statements

1. Summary of Significant Accounting Policies (continued)

Property and Equipment

Property and equipment is stated at cost, net of accumulated depreciation. Depreciation is computed using the straight-line method over the estimated useful lives of the related assets ranging from 3 to 40 years.

Contributions

Annual campaigns are conducted to raise support for allocation to not-for-profit agencies that provide direct health or social welfare services. Contributions made specifically to UWBB or to a particular county or area of need are considered undesignated and will be allocated according to UWBB's policies and procedures. When the donor makes a contribution to UWBB and designates a named charity as the beneficiary, the donor is generating an agent transaction and the donation is not considered revenue to UWBB. These designations are called "donor designations" and "designated allocations" in the statement of activities and changes in net assets and are deducted from gross campaign revenue and a designated pledges liability account is recognized.

Contributions and grants of cash and other assets are reported as temporarily restricted support if they are received with donor stipulations that limit the use and duration of the donated assets. When a donor restriction expires, that is, when a stipulated time restriction ends or purpose of restriction is accomplished, temporarily restricted net assets are reclassified to unrestricted net assets and reported in the statement of activities and changes in net assets as net assets released from restrictions. Donor contributions and grants received with restrictions that expire during the fiscal year are recorded as unrestricted contributions on the statement of activities and changes in net assets.

Donated services are recognized as contributions if the services (a) create or enhance nonfinancial assets or (b) require specialized skills, are performed by people with those skills, and would otherwise be purchased by UWBB. Contributed services recognized as revenue during the year ended June 30, 2009, included professional services required to fulfill program responsibilities. Volunteers, including Board members, also provided general support services throughout the year that are not recognized as contributions in the financial statements since the recognition criteria were not met.

Donated Services

A significant portion of UWBB's functions is conducted by unpaid volunteers. The value of this contributed time is not reflected in the accompanying financial statements since the volunteers' time does not meet the requirements for recognition.

United Way of the Big Bend, Inc.

Notes to Financial Statements

1. Summary of Significant Accounting Policies (continued)

Functional Allocation of Expenses

The costs of providing the various programs and supporting services have been summarized on a functional basis. Accordingly, certain costs have been allocated among the programs benefited on the basis of estimates made by management.

Income Taxes

Pursuant to a determination letter received from the Internal Revenue Service, UWBB is exempt from federal income tax under Section 501(c)(3) of the Internal Revenue Code.

Use of Estimates

The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenues and expenses during the reporting period. Accordingly, actual results could differ from those estimates.

Subsequent Events

UWBB has evaluated subsequent events through August 31, 2009, the date the financial statements were available to be issued. During the period from July 1, 2009 to August 31, 2009, UWBB did not have any material recognizable subsequent events.

2. Investments

On July 1, 2008, UWBB adopted Statements of Financial Accounting Standards No. 157, *Fair Value Measurements* (SFAS No. 157). SFAS No. 157 applies to all assets and liabilities that are being measured and reported on a fair value basis. There was no effect on net assets as a result of adopting this standard.

As defined by SFAS No. 157, fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. SFAS No. 157 requires new disclosure of UWBB's framework for measuring fair value and expands disclosure about fair value measurements. This statement enables the reader of the financial statements to assess the inputs used to develop fair value measurements by

United Way of the Big Bend, Inc.

Notes to Financial Statements

2. Investments (continued)

establishing a hierarchy for ranking the quality and reliability of the information used to determine fair values. UWBB utilizes valuation techniques that maximize the use of observable inputs and minimize the use of unobservable inputs. Financial assets and liabilities carried at fair value are classified and disclosed in one of the following three categories:

Level 1: Quoted market prices in active markets for identical assets or liabilities.
Level 2: Observable market based inputs or unobservable inputs that are corroborated by the market data.
Level 3: Unobservable inputs that are not corroborated by market data.

Fair Value on a Recurring Basis

The table below presents the balances of assets and liabilities measured at fair value on a recurring basis.

Investments consist of the following at June 30, 2009:

	Cost	Unrealized Gains (Losses)	Fair Market Value	Level 1	Level 2
Equities	$ 608,759	$ 5,874	$ 614,633	$ 614,633	$ -
Bonds	1,407,241	(273)	1,406,968	1,406,968	-
Endowment certificate of deposit	40,000	-	40,000	40,000	-
Endowment fund*	70,000	(17,393)	52,607	-	52,607
Total	$ 2,126,000	$ (11,792)	$ 2,114,208	$ 2,061,601	$ 52,607

**The endowment fund is comprised of funds held at the Community Foundation of North Florida (CFNF). Fair value is determined based on information received from CFNF. As of December 31, 2008, CFNF is invested in mutual funds and has not disclosed the level used to determine the fair value of those investments.*

United Way of the Big Bend, Inc.

Notes to Financial Statements

2. Investments (continued)

Investment income consists of the following at June 30, 2009:

Interest and dividends, net of fees	$ 96,015
Realized losses on investments sold	(247,982)
Net realized investment loss	(151,967)
Change in unrealized losses during the year	(14,054)
Total investment loss	$ (166,021)

3. Property and Equipment

Property and equipment consists of the following as of June 30, 2009:

Land	$ 313,803
Building and improvements	808,898
Automobiles	62,476
Furniture and equipment	94,743
Computer equipment	134,733
	1,414,653
Accumulated depreciation	(575,227)
	$ 839,426

4. Net Assets

Unrestricted net assets at June 30, 2009, consists of funds designated by the Board of Directors for the following purposes:

Land, building, and equipment reserves	$ 277,812
Management reserves	401,534
Community reserves	179,712
	$ 859,058

The land, building, and equipment reserves are to be increased every year in an amount sufficient to accumulate a balance of $500,000 (indexed to the year 2000) by the year 2025. The intent of this reserve is to fund major maintenance, replacement, or repair on UWBB facilities and equipment as well as to fund building replacement or renovation.

Management reserves are to be maintained at 25% of the operating budget each year. This reserve is intended to maintain the operation of the organization in the event that UWBB is unable to conduct a community campaign or to collect pledges on a previous campaign.

4. Net Assets (continued)

The community reserves are intended to maintain the organization's ability to support the community, to reduce the portion of UWBB's annual administrative cost charged to the campaign, to provide emergency assistance, and to provide non-annual grant support to worthwhile activities in the community.

5. Temporarily Restricted Net Assets

Temporarily restricted net assets consist of pledge revenue received for the subsequent year's campaign. As of June 30, 2009, $69,023 has been received for the 2009 campaign. The net assets are reclassified to unrestricted in the subsequent year when the time restriction ends.

6. Permanently Restricted Net Assets

UWBB established an endowment fund during 1994. The fund was established to make it possible for UWBB to help social services agencies sustain current programs and develop new ones, as needed.

All endowment funds are classified as permanently restricted net assets in accordance with donor stipulations. UWBB does not have a formally adopted endowment spending policy. However, no investment income has been recognized related to these funds as of June 30, 2009. UWBB's endowment investment policies limit its investments to certain types of investments and limits the amount invested in any one financial institution or issuer to 95% of the FDIC coverage. The overall objectives of the investment policy are production of sufficient income to meet the goals of the account and to provide for growth of assets to meet future objectives.

Contributions to the endowment of $110,000 have been received as of June 30, 2009. Total deficiencies of $17,393 have been recognized as of June 30, 2009, due to unfavorable market fluctuations.

Changes in endowment net assets for the fiscal year ended June 30, 2009, are as follows:

	Unrestricted	Permanently Restricted
Endowment net assets, beginning of year	$ (4,294)	$ 70,000
Investment return:		
Net depreciation (realized and unrealized)	(13,099)	-
Contributions	-	40,000
Endowment net assets, end of year	$ (17,393)	$ 110,000

7. Prior Period Adjustment

UWBB has previously not recorded accruals for salaries and employee leave time earned but not paid at the end of each fiscal year. In addition, depreciation was not recorded against an asset capitalized in the prior fiscal year and a stock donation was erroneously recognized twice in prior year revenues. Effective July 1, 2008, prior period adjustments were recorded to reflect these errors. The effects of the adjustments was to reduce unrestricted net assets at July 1, 2008, by $56,081 and to simultaneously increase accrued expenses and accumulated depreciation at July 1, 2008 by $28,605 and $7,074, respectively, and decrease pledges receivable by $20,402.

8. Governmental Agency Campaigns

Employee campaigns for certain governmental agencies are subject to specific regulations issued by the agency.

UWBB conducts three such campaigns each year. These are the Florida State Employees' Charitable Campaign (FSECC), the Combined Federal Campaign (CFC), and the Leon County Schools Combined Charities Drive (LCS).

Each of these campaigns allows donors to designate contributions to specific approved agencies listed in the current year campaign brochure, or to make undesignated contributions for the LCS. Designated contributions are paid to the specific agencies quarterly. Payment is based on the pledge amount less an allowance for uncollectibles and an appropriate agreed upon administrative fee. Undesignated contributions go to UWBB's Community Care Fund to be distributed as approved by Community Human Service Partnership volunteers.

For the CFC and FSECC, designated contributions are paid to the specific agencies quarterly. Undesignated contributions are distributed proportionately to all agencies that receive designations. Payment is based on actual receipts less actual expenses.

9. Employees Pension Plan

Full-time permanent employees may participate in a Simplified Employee Pension Plan after being employed for one year. UWBB contributes an amount equal to 9% of an eligible employee's gross wages. Pension expense for the year ended June 30, 2009, was $68,584.

UWBB entered into an agreement with the president to contribute money into a non-qualified deferred compensation arrangement. This was done to provide additional future compensation so that he may be retained and his productive efforts encouraged. The compensation is equal to the excess of 15% of the president's total compensation paid over 9% of the base salary for the same period. The contribution for the year ended June 30, 2009, was $11,500.

Form **990**

Department of the Treasury
Internal Revenue Service

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

▶ The organization may have to use a copy of this return to satisfy state reporting requirements.

OMB No. 1545-0047

2008

Open to Public Inspection

A For the 2008 calendar year, or tax year beginning 07/01, 2008, and ending 06/30, 2009

B Check if applicable: ☐ Address change ☐ Name change ☐ Initial return ☐ Termination ☐ Amended return ☐ Application pending

Please use IRS label or print or type. See Specific Instructions.

C Name of organization: UNITED WAY OF THE BIG BEND, INC.

Doing Business As:

Number and street (or P.O. box if mail is not delivered to street address): 307 EAST SEVENTH AVENUE — Room/suite:

City or town, state or country, and ZIP + 4: TALLAHASSEE, FL 32303-5520

D Employer identification number: 59-6011150

E Telephone number: (850) 414-0844

G Gross receipts $: 9,896,679.

F Name and address of principal officer: KENNETH S. ARMSTRONG
307 EAST SEVENTH AVENUE, TALLAHASSEE, FL 32303

H(a) Is this a group return for affiliates? ☐ Yes ☒ No

H(b) Are all affiliates included? ☐ Yes ☐ No
If "No," attach a list. (see instructions)

H(c) Group exemption number ▶

I Tax-exempt status: ☒ 501(c)(3) ◀ (insert no.) ☐ 4947(a)(1) or ☐ 527

J Website: ▶ WWW.UWBB.ORG

K Type of organization: ☒ Corporation ☐ Trust ☐ Association ☐ Other ▶

L Year of formation: 1943 **M** State of legal domicile: FL

Part I Summary

Activities & Governance

1 Briefly describe the organization's mission or most significant activities:
UNITED WAY OF THE BIG BEND UNITES AND INSPIRES LOCAL CITIZENS IN CARING FOR ONE ANOTHER AND BUILDING A MORE VIBRANT COMMUNITY.

2 Check this box ▶ ☐ if the organization discontinued its operations or disposed of more than 25% of its assets.

3	Number of voting members of the governing body (Part VI, line 1a)	3	33
4	Number of independent voting members of the governing body (Part VI, line 1b)	4	33
5	Total number of employees (Part V, line 2a)	5	30
6	Total number of volunteers (estimate if necessary)	6	446
7a	Total gross unrelated business revenue from Part VIII, line 12, column (C)	7a	NONE
b	Net unrelated business taxable income from Form 990-T, line 34	7b	NONE

		Prior Year	Current Year
Revenue			
8	Contribution and grants (Part VIII, line 1h)	7,121,280.	6,558,158.
9	Program service revenue (Part VIII, line 2g)	NONE	NONE
10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	168,804.	-134,121.
11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	45,507.	36,212.
12	Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	7,335,591.	6,460,249.
Expenses			
13	Grants and similar amounts paid (Part IX, column (A), lines 1-3)	5,627,323.	5,160,944.
14	Benefits paid to or for members (Part IX, column (A), line 4)	NONE	NONE
15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	1,042,815.	1,172,070.
16a	Professional fundraising fees (Part IX, column (A), line 11e)	NONE	NONE
b	Total fundraising expenses, Part IX, column (D), line 25) ▶ 664,158.		
17	Other expenses (Part IX, column (A), lines 11a-11d, 11f-24f)	505,959.	451,909.
18	Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)	7,176,097.	6,784,923.
19	Revenue less expenses. Subtract line 18 from line 12	159,494.	-324,674.

		Beginning of Year	End of Year
Net Assets or Fund Balances			
20	Total assets (Part X, line 16)	7,743,841.	6,630,714.
21	Total liabilities (Part X, line 26)	6,310,951.	5,592,636.
22	Net assets or fund balances. Subtract line 21 from line 20	1,432,890.	1,038,078.

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here

▶ Signature of officer — Date

▶ Type or print name and title

Paid Preparer's Use Only

Preparer's signature — Date — Check if self-employed ▶ ☐ — Preparer's identifying number (see instructions) P00218358

Firm's name (or yours if self-employed), address, and ZIP + 4: THOMAS HOWELL FERGUSON P.A.
2615 CENTENNIAL BLVD., SUITE 200 TALLAHASSEE, FL 32308

EIN ▶ 59-3186310

Phone no. ▶ 850-668-8100

May the IRS discuss this return with the preparer shown above? (See instructions) ☒ Yes ☐ No

For Privacy Act and Paperwork Reduction Act Notice, see the separate instructions.

Form **990** (2008)

JSA
8E1010 2.000

Form 990 (2008) 59-6011150

Part III **Statement of Program Service Accomplishments** (see instructions)

1 Briefly describe the organization's mission:

UNITED WAY OF THE BIG BEND UNITES AND INSPIRES LOCAL CITIZENS IN CARING FOR ONE ANOTHER AND BUILDING A MORE VIBRANT COMMUNITY.

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? . . . . . [X] Yes [ ] No
If "Yes" describe these new services on Schedule O.

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? . . . . . [ ] Yes [X] No
If "Yes," describe these changes on Schedule O.

4 Describe the exempt purpose achievements for each of the organization's three largest program services by expenses. Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code:) (Expenses $ 864,224. including grants of $ NONE) (Revenue $ NONE)

SEE STATEMENT 1

4b (Code:) (Expenses $ 264,837. including grants of $ 50,000.) (Revenue $ NONE)

WHOLE CHILD LEON (WCL) IS COMMITTED TO ENSURING THAT CHILDREN ARE HEALTHY AT AGE 1, MAKING APPROPRIATE PROGRESS AND ENTERING KINDERGARTEN READY TO SUCCEED. AS PART OF THAT EFFORT, WCL PARTNERED WITH LEON COUNTY SCHOOLS AND CAPITAL HEALTH PLAN TO CREATE AN OUTREACH SPECIALIST POSITION IN SEPT 2008. FROM SEPT 2008-MAY 2009, 34,000 STUDENTS RECEIVED FLORIDA KIDCARE APPLICATION PACKETS, OVER 650 PARENTS/GUARDIANS WERE DIRECTLY CONTACTED, AND 350 CHILDREN OBTAINED HEALTH INSURANCE COVERAGE THROUGH KIDCARE AND MEDICAID.

4c (Code:) (Expenses $ 60,000. including grants of $ NONE) (Revenue $ NONE)

OUR VOLUNTEER INCOME TAX ASSISTANCE (VITA) EFFORT (ONE PART OF OUR FINANCIAL STABILITY EFFORT TITLED BELIEVE, EARN, SAVE, THRIVE - B.E.S.T.) OFFERS FREE TAX PREPARATION BY IRS-CERTIFIED VOLUNTEERS. THIS FAST AND FREE SERVICE HELPS BIG BEND RESIDENTS AVOID PREDATORY INCOME TAX PREPARERS & COSTLY REFUND ANTICIPATION LOANS. IN THE 2009 TAX SEASON, VITA PREPARED FREE TAX RETURNS FOR 3,538 RESIDENTS SAVING THEM MORE THAN $530,000 IN TAX PREPARATION FEES, ENABLING FAMILIES TO CLAIM $940,000 IN EARNED INCOME TAX CREDITS, AND BRINGING MORE THAN $3.8 MILLION IN TAX REFUNDS BACK INTO THE COMMUNITY.

4d Other program services. (Describe in Schedule O.)
(Expenses $ 4,462,572. including grants of $ 5,110,944.) (Revenue $ NONE)

4e Total program service expenses ▶ $ 5,651,633. *(Must equal Part IX, Line 25, column (B).)*

Part IV Checklist of Required Schedules

			Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? *If "Yes," complete Schedule A*	1	X	
2	Is the organization required to complete Schedule B, Schedule of Contributors?	2	X	
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? *If "Yes," complete Schedule C, Part I*	3		X
4	**Section 501(c)(3) organizations.** Did the organization engage in lobbying activities? *If "Yes," complete Schedule C, Part II*	4		X
5	**Sections 501(c)(4), 501(c)(5), and 501(c)(6) organizations.** Is the organization subject to the section 6033(e) notice and reporting requirement and proxy tax? *If "Yes," complete Schedule C, Part III*	5		
6	Did the organization maintain any donor advised funds or any accounts where donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? *If "Yes," complete Schedule D, Part I*	6		X
7	Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? *If "Yes," complete Schedule D, Part II*	7		X
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? *If "Yes," complete Schedule D, Part III*	8		X
9	Did the organization report an amount in Part X, line 21; serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? *If "Yes," complete Schedule D, Part IV*	9		X
10	Did the organization hold assets in term, permanent, or quasi-endowments? *If "Yes," complete Schedule D, Part V*	10	X	
11	Did the organization report an amount in Part X, lines 10, 12, 13, 15, or 25? *If "Yes," complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable*	11	X	
12	Did the organization receive an audited financial statement for the year for which it is completing this return that was prepared in accordance with GAAP? *If "Yes," complete Schedule D, Parts XI, XII, and XIII*	12	X	
13	Is the organization a school described in section 170(b)(1)(A)(ii)? *If "Yes," complete Schedule E*	13		X
14a	Did the organization maintain an office, employees, or agents outside of the U.S.?	14a		X
b	Did the organization have aggregate revenues or expenses of more than $10,000 from grantmaking, fundraising, business, and program service activities outside the U.S.? *If "Yes," complete Schedule F, Part I*	14b		X
15	Did the organization report on Part IX, column (A), line 3, more than $5,000 of grants or assistance to any organization or entity located outside the United States? *If "Yes," complete Schedule F, Part II*	15		X
16	Did the organization report on Part IX, column (A), line 3, more than $5,000 of aggregate grants or assistance to individuals located outside the United States? *If "Yes," complete Schedule F, Part III*	16		X
17	Did the organization report more than $15,000 on Part IX, column (A), line 11e? *If "Yes," complete Schedule G, Part I*	17		X
18	Did the organization report more than $15,000 total on Part VIII, lines 1c and 8a? *If "Yes," complete Schedule G, Part II*	18	X	
19	Did the organization report more than $15,000 on Part VIII, line 9a? *If "Yes," complete Schedule G, Part III*	19		X
20	Did the organization operate one or more hospitals? *If "Yes," complete Schedule H*	20		X
21	Did the organization report more than $5,000 on Part IX, column (A), line 1? *If "Yes," complete Schedule I, Parts I and II*	21	X	
22	Did the organization report more than $5,000 on Part IX, column (A), line 2? *If "Yes," complete Schedule I, Parts I and III*	22	X	
23	Did the organization answer "Yes" to Part VII, Section A, questions 3, 4, or 5,? *If "Yes," complete Schedule J*	23	X	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than $100,000 as of the last day of the year, that was issued after December 31, 2002? *If "Yes," answer questions 24b-24d and complete Schedule K. If "No," go to question 25*	24a		X
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		
25a	**Section 501(c)(3) and 501(c)(4) organizations.** Did the organization engage in an excess benefit transaction with a disqualified person during the year? *If "Yes," complete Schedule L, Part I*	25a		X
b	Did the organization become aware that it had engaged in an excess benefit transaction with a disqualified person from a prior year? *If "Yes," complete Schedule L, Part I*	25b		X
26	Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? *If "Yes," complete Schedule L, Part II*	26		X
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, or substantial contributor, or to a person related to such an individual? *If "Yes," complete Schedule L, Part III*	27		X

Part IV Checklist of Required Schedules *(continued)*

			Yes	No
28	During the tax year, did any person who is a current or former officer, director, trustee, or key employee:			
a	Have a direct business relationship with the organization (other than as an officer, director, trustee, or employee), or an indirect business relationship through ownership of more than 35% in another entity (individually or collectively with other person(s) listed in Part VII, Section A)? *If "Yes," complete Schedule L, Part IV*	28a		X
b	Have a family member who had a direct or indirect business relationship with the organization? *If "Yes," complete Schedule L, Part IV*	28b		X
c	Serve as an officer, director, trustee, key employee, partner, or member of an entity (or a shareholder of a professional corporation) doing business with the organization? *If "Yes," complete Schedule L, Part IV*	28c		X
29	Did the organization receive more than $25,000 in non-cash contributions? *If "Yes," complete Schedule M*	29		X
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? *If "Yes," complete Schedule M*	30		X
31	Did the organization liquidate, terminate, or dissolve and cease operations? *If "Yes," complete Schedule N, Part I*	31		X
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? *If "Yes," complete Schedule N, Part II*	32		X
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations section 301.7701-2 and 301.7701-3? *If "Yes," complete Schedule R, Part I*	33		X
34	Was the organization related to any tax-exempt or taxable entity? *If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1*	34		X
35	Is any related organization a controlled entity within the meaning of section 512(b)(13)? *If "Yes," complete Schedule R, Part V, line 2*	35		X
36	**Section 501(c)(3) organizations.** Did the organization make any transfers to an exempt non-charitable related organization? *If "Yes," complete Schedule R, Part V, line 2*	36		X
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? *If "Yes," complete Schedule R, Part VI*	37		X

Part V Statements Regarding Other IRS Filings and Tax Compliance

				Yes	No
1a	Enter the number reported in Box 3 of Form 1096, Annual Summary and Transmittal of U.S. Information Returns. Enter -0- if not applicable	1a	34		
b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable	1b	NONE		
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?			1c	X	
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return	2a	30		
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns?			2b	X	
	Note: If the sum of lines 1a and 2a is greater than 250, you may be required to *e-file* this return. (see instructions)					
3a	Did the organization have unrelated business gross income of $1,000 or more during the year covered by this return?			3a		X
b	If "Yes," has it filed a Form 990-T for this year? *If "No," provide an explanation in Schedule O*			3b		
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?			4a		X
b	If "Yes," enter the name of the foreign country: ▶ See the instructions for exceptions and filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts.					
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?			5a		X
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?			5b		X
c	If "Yes," to question 5a or 5b, did the organization file Form 8886-T, Disclosure by Tax-Exempt Entity Regarding Prohibited Tax Shelter Transaction?			5c		
6a	Did the organization solicit any contributions that were not tax deductible?			6a		X
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?			6b		
7	**Organizations that may receive deductible contributions under section 170(c).**					
a	Did the organization provide goods or services in exchange for any quid pro quo contribution of more than $75?			7a		X
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?			7b		
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?			7c		X
d	If "Yes," indicate the number of Forms 8282 filed during the year	7d				
e	Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?			7e		X
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?			7f		X
g	For all contributions of qualified intellectual property, did the organization file Form 8899 as required?			7g		
h	For contributions of cars, boats, airplanes, and other vehicles, did the organization file a Form 1098-C as required?			7h	X	
8	**Section 501(c)(3) and other sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations.** Did the supporting organization, or a fund maintained by a sponsoring organization, have excess business holdings at any time during the year?			8		
9	**Section 501(c)(3) and other sponsoring organizations maintaining donor advised funds.**					
a	Did the organization make any taxable distributions under section 4966?			9a		
b	Did the organization make a distribution to a donor, donor advisor, or related person?			9b		
10	**Section 501(c)(7) organizations.** Enter:					
a	Initiation fees and capital contributions included on Part VIII, line 12	10a				
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10b				
11	**Section 501(c)(12) organizations.** Enter:					
a	Gross income from members or shareholders	11a				
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.)	11b				
12a	**Section 4947(a)(1) non-exempt charitable trusts.** Is the organization filing Form 990 in lieu of Form 1041?			12a		
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year	12b				

Part VI **Governance, Management, and Disclosure** *(Sections A, B, and C request information about policies not required by the Internal Revenue Code.)*

Section A. Governing Body and Management

				Yes	No
	For each "Yes" response to lines 2-7b below, and for a "No" response to lines 8 or 9b below, describe the circumstances, process, or changes in Schedule O. See instructions.				
1a	Enter the number of voting members of the governing body	1a	33		
b	Enter the number of voting members that are independent	1b	33		
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	2			X
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?	3			X
4	Did the organization make any significant changes to its organizational documents since the prior Form 990 was filed?	4			X
5	Did the organization become aware during the year of a material diversion of the organization's assets?	5			X
6	Does the organization have members or stockholders?	6			X
7a	Does the organization have members, stockholders, or other persons who may elect one or more members of the governing body?	7a			X
b	Are any decisions of the governing body subject to approval by members, stockholders, or other persons?	7b			X
8	Did the organizations contemporaneously document the meetings held or written actions undertaken during the year by the following:				
a	The governing body?	8a		X	
b	Each committee with authority to act on behalf of the governing body?	8b		X	
9a	Does the organization have local chapters, branches, or affiliates?	9a			X
b	If "Yes," does the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with those of the organization?	9b			
10	Was a copy of the Form 990 provided to the organization's governing body before it was filed? All organizations must describe in Schedule O the process, if any, the organization uses to review the Form 990	10		X	
11	Is there any officer, director or trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? *If "Yes," provide the names and addresses in Schedule O*	11			X

Section B. Policies

			Yes	No
12a	Does the organization have a written conflict of interest policy? *If "No," go to line 13*	12a	X	
b	Are officers, directors or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	X	
c	Does the organization regularly and consistently monitor and enforce compliance with the policy? *If "Yes," describe in Schedule O how this is done*	12c	X	
13	Does the organization have a written whistleblower policy?	13	X	
14	Does the organization have a written document retention and destruction policy?	14	X	
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision:			
a	The organization's CEO, Executive Director, or top management official?	15a	X	
b	Other officers or key employees of the organization? Describe the process in Schedule O. (see instructions)	15b		X
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a		X
b	If "Yes," has the organization adopted a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and taken steps to safeguard the organization's exempt status with respect to such arrangements?	16b		

Section C. Disclosure

17 List the states with which a copy of this Form 990 is required to be filed ▶ FLORIDA

18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection. Indicate how you make these available. Check all that apply.

[X] Own website [X] Another's website [X] Upon request

19 Describe in Schedule O whether (and if so, how), the organization makes its governing documents, conflict of interest policy, and financial statements available to the public.

20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization: ▶ SUE MARSHALL JONES 307 E. 7TH AVENUE TALLAHASSEE, FL 32303
850-414-0860

Part VII **Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed. Use Schedule J-2 if additional space is needed.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation, and **current** key employees. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than $100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than $100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than $10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

☐ Check this box if the organization did not compensate any officer, director, trustee, or key employee.

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
SEE SCHEDULE J-2										

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees *(continued)*

(A) Name and title	(B) Average hours per week	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
1b Total ▶								210,425.	NONE	46,491.

2 Total number of individuals (including those in 1a) who received more than $100,000 in reportable compensation from the organization ▶ 1

		Yes	No
3	Did the organization list any **former** officer, director or trustee, key employee, or highest compensated employee on line 1a? *If "Yes," complete Schedule J for such individual*		X
4	For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than $150,000? *If "Yes," complete Schedule J for such individual*	X	
5	Did any person listed on line 1a receive or accrue compensation from any unrelated organization for services rendered to the organization? *If "Yes," complete Schedule J for such person*		X

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than $100,000 of compensation from the organization.

(A) Name and business address	(B) Description of services	(C) Compensation

2 Total number of independent contractors (including those in 1) who received more than $100,000 in compensation from the organization ▶ NONE

Part VIII Statement of Revenue 59-6011150

			(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512, 513, or 514
Contributions, gifts, grants and other similar amounts	1a Federated campaigns	1a 6,476,716.				
	b Membership dues	1b				
	c Fundraising events	1c				
	d Related organizations	1d				
	e Government grants (contributions)	1e				
	f All other contributions, gifts, grants, and similar amounts not included above	1f 81,442.				
	g Noncash contributions included in lines 1a-1f: $ 13,000.					
	h Total. Add lines 1a-1f		6,558,158.			
Program Service Revenue		Business Code				
	2a					
	b					
	c					
	d					
	e					
	f All other program service revenue					
	g Total. Add lines 2a-2f		NONE			
Other Revenue	3 Investment income (including dividends, interest, and other similar amounts)		113,861.			113,861.
	4 Income from investment of tax-exempt bond proceeds		NONE			
	5 Royalties		NONE			
		(i) Real / (ii) Personal				
	6a Gross Rents	11,330.				
	b Less: rental expenses	NONE				
	c Rental income or (loss)	11,330.				
	d Net rental income or (loss)		11,330.			11,330.
		(i) Securities / (ii) Other				
	7a Gross amount from sales of assets other than inventory	3,043,693.				
	b Less: cost or other basis and sales expenses	3,291,675.				
	c Gain or (loss)	-247,982.				
	d Net gain or (loss)		-247,982.			-247,982.
	8a Gross income from fundraising events (not including $ ____ of contributions reported on line 1c). See Part IV, line 18. a	169,038.				
	b Less: direct expenses b	144,755.				
	c Net income or (loss) from fundraising events		24,283.	24,283.		
	9a Gross income from gaming activities. See Part IV, line 19. a					
	b Less: direct expenses b					
	c Net income or (loss) from gaming activities		NONE			
	10a Gross sales of inventory, less returns and allowances a					
	b Less: cost of goods sold b					
	c Net income or (loss) from sales of inventory		NONE			
	Miscellaneous Revenue	Business Code				
	11a MISCELLANEOUS INCOME	900099	599.	599.		
	b					
	c					
	d All other revenue					
	e Total. Add lines 11a-11d		599.			
	12 Total Revenue. Add lines 1h, 2g, 3, 4, 5, 6d, 7d, 8c, 9c, 10c, and 11e		6,460,249.	24,882.	NONE	-122,791.

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns.
All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D).

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to governments and organizations in the U.S. See Part IV, line 21	5,143,523.	5,143,523.		
2 Grants and other assistance to individuals in the U.S. See Part IV, line 22	17,421.	17,421.		
3 Grants and other assistance to governments, organizations, and individuals outside the U.S. See Part IV, lines 15 and 16	NONE			
4 Benefits paid to or for members	NONE			
5 Compensation of current officers, directors, trustees, and key employees	260,707.	96,093.	111,241.	53,373.
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)	NONE			
7 Other salaries and wages	691,393.	221,246.	172,848.	297,299.
8 Pension plan contributions (include section 401 (k) and section 403(b) employer contributions)	49,333.	15,774.	12,423.	21,136.
9 Other employee benefits	100,979.	32,314.	25,244.	43,421.
10 Payroll taxes	69,658.	22,133.	17,869.	29,656.
11 Fees for services (non-employees):				
a Management	NONE			
b Legal	264.	45.	57.	162.
c Accounting	17,730.	4,726.	3,582.	9,422.
d Lobbying	NONE			
e Professional fundraising services. See Part IV, line 17	NONE			
f Investment management fees	17,846.		17,846.	
g Other	22,207.	6,951.	5,874.	9,382.
12 Advertising and promotion	9,972.	1,105.	895.	7,972.
13 Office expenses	116,622.	22,659.	35,368.	58,595.
14 Information technology	36,506.	12,072.	10,148.	14,286.
15 Royalties	NONE			
16 Occupancy	36,306.	11,833.	9,604.	14,869.
17 Travel	8,412.	2,367.	1,766.	4,279.
18 Payments of travel or entertainment expenses for any federal, state, or local public officials	NONE			
19 Conferences, conventions, and meetings	8,765.	1,572.	2,598.	4,595.
20 Interest	NONE			
21 Payments to affiliates	NONE			
22 Depreciation, depletion, and amortization	26,306.	8,812.	7,151.	10,343.
23 Insurance	28,715.	6,911.	13,692.	8,112.
24 Other expenses. Itemize expenses not covered above. (Expenses grouped together and labeled miscellaneous may not exceed 5% of total expenses shown on line 25 below.)				
a MISCELLANEOUS	122,258.	24,076.	20,926.	77,256.
b				
c				
d				
e				
f All other expenses				
25 Total functional expenses. Add lines 1 through 24f	6,784,923.	5,651,633.	469,132.	664,158.
26 **Joint Costs.** Check here ▶ ☐ If following SOP 98-2. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation				

Part X Balance Sheet

			(A) Beginning of year		(B) End of year
Assets	1	Cash - non-interest-bearing	150.	1	51,554.
	2	Savings and temporary cash investments	900,962.	2	1,109,312.
	3	Pledges and grants receivable, net	2,694,901.	3	2,486,861.
	4	Accounts receivable, net	21,736.	4	52,569.
	5	Receivables from current and former officers, directors, trustees, key employees, or other related parties. Complete Part II of Schedule L		5	
	6	Receivables from other disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B). Complete Part II of Schedule L		6	
	7	Notes and loans receivable, net		7	
	8	Inventories for sales or use		8	
	9	Prepaid expenses and deferred charges	NONE	9	13,395.
	10a	Land, buildings, and equipment: cost basis 10a 1,414,652.			
	b	Less: accumulated depreciation. Complete Part VI of Schedule D 10b 575,227.	766,921.	10c	839,425.
	11	Investments - publicly traded securities	3,299,792.	11	2,074,208.
	12	Investments - other securities. See Part IV, line 11		12	
	13	Investments - program-related. See Part IV, line 11		13	
	14	Intangible assets		14	
	15	Other assets. See Part IV, line 11	59,379.	15	3,390.
	16	**Total assets.** Add lines 1 through 15 (must equal line 34)	7,743,841.	16	6,630,714.
Liabilities	17	Accounts payable and accrued expenses	6,223,794.	17	5,560,832.
	18	Grants payable		18	
	19	Deferred revenue	87,157.	19	31,804.
	20	Tax-exempt bond liabilities		20	
	21	Escrow account liability. Complete Part IV of Schedule D		21	
	22	Payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L		22	
	23	Secured mortgages and notes payable to unrelated third parties		23	
	24	Unsecured notes and loans payable		24	
	25	Other liabilities. Complete Part X of Schedule D		25	
	26	**Total liabilities.** Add lines 17 through 25	6,310,951.	26	5,592,636.
Net Assets or Fund Balances		**Organizations that follow SFAS 117, check here ▶ [X] and complete lines 27 through 29, and lines 33 and 34.**			
	27	Unrestricted net assets	1,321,610.	27	859,055.
	28	Temporarily restricted net assets	41,280.	28	69,023.
	29	Permanently restricted net assets	70,000.	29	110,000.
		Organizations that do not follow SFAS 117, check here ▶ [ ] and complete lines 30 through 34.			
	30	Capital stock or trust principal, or current funds		30	
	31	Paid-in or capital surplus, or land, building, or equipment fund		31	
	32	Retained earnings, endowment, accumulated income, or other funds		32	
	33	Total net assets or fund balances	1,432,890.	33	1,038,078.
	34	Total liabilities and net assets/fund balances	7,743,841.	34	6,630,714.

Part XI Financial Statements and Reporting

			Yes	No
1	Accounting method used to prepare the Form 990: [ ] Cash [X] Accrual [ ] Other			
2a	Were the organization's financial statements compiled or reviewed by an independent accountant?	2a		X
b	Were the organization's financial statements audited by an independent accountant?	2b	X	
c	If "Yes" to lines 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?	2c	X	
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	3a		X
b	If "Yes," did the organization undergo the required audit or audits?	3b		

SCHEDULE A (Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

To be completed by all section 501(c)(3) organizations and section 4947(a)(1) nonexempt charitable trusts.

▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No. 1545-0047

2008

Open to Public Inspection

Name of the organization

UNITED WAY OF THE BIG BEND, INC.

Employer identification number

59-6011150

Part I Reason for Public Charity Status (All organizations must complete this part.) (see instructions)

The organization is not a private foundation because it is: (Please check only **one** organization.)

1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**

2 ☐ A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E.)

3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).** (Attach Schedule H.)

4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state: _____

5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II.)

6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**

7 ☒ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II.)

8 ☐ A community trust described in **section 170(b)(1)(A)(vi).** (Complete Part II.)

9 ☐ An organization that normally receives: (1) more than 33 1/3 % of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 33 1/3 % of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2).** (Complete Part III.)

10 ☐ An organization organized and operated exclusively to test for public safety. See **section 509(a)(4).** (see instructions)

11 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See **section 509(a)(3).** Check the box that describes the type of supporting organization and complete lines 11e through 11h.

a ☐ Type I b ☐ Type II c ☐ Type III - Functionally Integrated d ☐ Type III - Other

e ☐ By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2).

f If the organization received a written determination from the IRS that it is a Type I, Type II or Type III supporting organization, check this box . . . . . ☐

g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

		Yes	No
(i)	A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization?	11g(i)	
(ii)	A family member of a person described in (i) above?	11g(ii)	
(iii)	A 35% controlled entity of a person described in (i) or (ii) above?	11g(iii)	

h Provide the following information about the organizations the organization supports.

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1-9 above or IRC section (see instructions))	(iv) Is the organization in col. (i) listed in your governing document?		(v) Did you notify the organization in col. (i) of your support?		(vi) Is the organization in col. (i) organized in the U.S.?		(vii) Amount of support
			Yes	No	Yes	No	Yes	No	
Total									

Part II **Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)** (Complete only if you checked the box on line 5, 7, or 8 of Part I.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2004	(b) 2005	(c) 2006	(d) 2007	(e) 2008	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")	584,941.	6,486,245.	7,552,450.	7,121,280.	6,558,158.	28,303,074.
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 **Total.** Add lines 1-3	584,941.	6,486,245.	7,552,450.	7,121,280.	6,558,158.	28,303,074.
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						585,921.
6 **Public support.** Subtract line 5 from line 4.						27,717,153.

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2004	(b) 2005	(c) 2006	(d) 2007	(e) 2008	(f) Total
7 Amounts from line 4	584,941.	6,486,245.	7,552,450.	7,121,280.	6,558,158.	28,303,074.
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	106,882.	111,919.	286,707.	180,157.	125,191.	810,856.
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
11 **Total support.** Add lines 7 through 10						29,113,930.
12 Gross receipts from related activities, etc. (See instructions.)					12	313,504.

13 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and **stop here** ▶ ☐

Section C. Computation of Public Support Percentage

14	Public support percentage for 2008 (line 6, column (f) divided by line 11, column (f))	14	95.20 %
15	Public support percentage from 2007 Schedule A, Part IV-A, line 26f	15	95.23 %

16a **33 1/3% support test - 2008.** If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☒

b **33 1/3% support test - 2007.** If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☐

17a **10%-facts-and-circumstances test - 2008.** If the organization did not check a box on line 13, 16a or 16b, and line 14 is 10% or more, and if the organization meets the "fact-and-circumstances" test, check this box and **stop here.** Explain in Part IV how the organization meets the "facts and circumstances" test. The organization qualifies as a publicly supported organization ▶ ☐

b **10%-facts-and-circumstances test - 2007.** If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts and circumstances" test, check this box and **stop here.** Explain in Part IV how the organzation meets the "facts-and-circumstances"" test. The organization qualifies as a publicly supported organization ▶ ☐

18 **Private foundation.** If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions ▶ ☐

Part III Support Schedule for Organizations Described in Section 509(a)(2)
(Complete only if you checked the box on line 9 of Part I.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2004	(b) 2005	(c) 2006	(d) 2007	(e) 2008	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 **Total.** Add lines 1-5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of 1% of the total of lines 9, 10c, 11, and 12 for the year or $5,000						
c Add lines 7a and 7b						
8 **Public support** (Subtract line 7c from line 6.)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2004	(b) 2005	(c) 2006	(d) 2007	(e) 2008	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
13 **Total support.** (Add lines 9, 10c, 11, and 12.)						

14 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** ▶ ☐

Section C. Computation of Public Support Percentage

15 Public support percentage for 2008 (line 8, column (f) divided by line 13, column (f))	15	%
16 Public support percentage from 2007 Schedule A, Part IV-A, line 27g	16	%

Section D. Computation of Investment Income Percentage

17 Investment income percentage for **2008** (line 10c, column (f) divided by line 13, column (f))	17	%
18 Investment income percentage from **2007** Schedule A, Part IV-A, line 27h	18	%

19a **33 1/3% support tests - 2008.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☐

b **33 1/3% support tests - 2007.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☐

20 **Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ▶ ☐

Part IV **Supplemental Information.** Complete this part to provide the explanation required by Part II, line 10; Part II, line 17a or 17b; or Part III, line 12. Provide any other additional information. (see instructions)

Schedule B (Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, and 990-PF.

OMB No. 1545-0047

2008

Name of the organization
UNITED WAY OF THE BIG BEND, INC.

Employer identification number
59-6011150

Organization type (check one):

Filers of:	Section:
Form 990 or 990-EZ	[X] 501(c)(3) (enter number) organization
	[ ] 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	[ ] 527 political organization
Form 990-PF	[ ] 501(c)(3) exempt private foundation
	[ ] 4947(a)(1) nonexempt charitable trust treated as a private foundation
	[ ] 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.** (**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.)

General Rule

[ ] For organizations filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

[X] For a section 501(c)(3) organization filing Form 990, or Form 990-EZ, that met the 33 1/3 % support test of the regulations under sections 509(a)(1)/170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on Form 990, Part VIII, line 1h or 2% of the amount on Form 990-EZ, line 1. Complete Parts I and II.

[ ] For a section 501(c)(7), (8), or (10) organization filing Form 990, or Form 990-EZ, that received from any one contributor, during the year, aggregate contributions or bequests of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

[ ] For a section 501(c)(7), (8), or (10) organization filing Form 990, or Form 990-EZ, that received from any one contributor, during the year, some contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than $1,000. (If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of $5,000 or more during the year.) . . . . . ▶ $ _____

Caution. Organizations that are not covered by the General Rule and/or the Special Rules do not file Schedule B (Form 990, 990-EZ, or 990-PF), but they **must** answer "No" on Part IV, line 2 of their Form 990, or check the box in the heading of their Form 990-EZ, or on line 2 of their Form 990-PF, to certify that they do not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Schedule B (Form 990, 990-EZ, or 990-PF) (2008)

Name of organization UNITED WAY OF THE BIG BEND; INC.

Employer identification number 59-6011150

Part I **Contributors** (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	PUBLIX SUPER MARKETS CHARITIES P.O. BOX 407 LAKELAND, FL 33802	$ 197,700.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
2	TALLAHASSEE MEMORIAL HOSPITAL 1300 MICCOSUKEE ROAD TALLAHASSEE, FL 32308	$ 133,692.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)

SCHEDULE D (Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

▶ Attach to Form 990. To be completed by organizations that answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11, or 12.

OMB No. 1545-0047

2008

Open to Public Inspection

Name of the organization

UNITED WAY OF THE BIG BEND, INC.

Employer identification number

59-6011150

Part I **Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.** Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

		(a) Donor advised funds	(b) Funds and other accounts
1	Total number at end of year		
2	Aggregate contributions to (during year)		
3	Aggregate grants from (during year)		
4	Aggregate value at end of year		

5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? ☐ Yes ☐ No

6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds may be used only for charitable purposes and not for the benefit of the donor or donor advisor or other impermissible private benefit? ☐ Yes ☐ No

Part II **Conservation Easements.** Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply).

☐ Preservation of land for public use (e.g., recreation or pleasure)
☐ Protection of natural habitat
☐ Preservation of open space
☐ Preservation of an historically importantly land area
☐ Preservation of certified historic structure

2 Complete lines 2a-2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.

			Held at the End of the Year
a	Total number of conservation easements	2a	
b	Total acreage restricted by conservation easements	2b	
c	Number of conservation easements on a certified historic structure included in (a)	2c	
d	Number of conservation easements included in (c) acquired after 8/17/06	2d	

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the taxable year ▶ _____

4 Number of states where property subject to conservation easement is located ▶ _____

5 Does the organization have a written policy regarding the periodic monitoring, inspection, violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No

6 Staff or volunteer hours devoted to monitoring, inspecting, and enforcing easements during the year ▶ _____

7 Amount of expenses incurred in monitoring, inspecting, and enforcing easements during the year ▶ $ _____

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9 In Part XIV, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

Part III **Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.** Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116, not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIV, the text of the footnote to its financial statements that describes these items.

b If the organization elected, as permitted under SFAS 116, to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:

(i) Revenues included in Form 990, Part VIII, line 1 ▶ $ _____

(ii) Assets included in Form 990, Part X ▶ $ _____

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 relating to these items:

a Revenues included in Form 990, Part VIII, line 1 ▶ $ _____

b Assets included in Form 990, Part X ▶ $ _____

Schedule D (Form 990) 2008 59-6011150

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets *(continued)*

3 Using the organization's accession and other records, check any of the following that are a significant use of its collection items (check all that apply):

a ☐ Public exhibition
b ☐ Scholarly research
c ☐ Preservation for future generations
d ☐ Loan or exchange programs
e ☐ Other _____

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIV.

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? ☐ Yes ☐ No

Part IV Trust, Escrow and Custodial Arrangements. Complete if organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIV and complete the following table:

		Amount
c Beginning balance	1c	
d Additions during the year	1d	
e Distributions during the year	1e	
f Ending balance	1f	

2a Did the organization include an amount on Form 990, Part X, line 21? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIV.

Part V Endowment Funds. Complete if organization answered "Yes" to Form 990, Part IV, line 10.

	(a) Current Year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance	65,706.				
b Contributions	40,000.				
c Investment earnings or losses	-13,099.				
d Grants or scholarships					
e Other expenditures for facilities and programs					
f Administrative expenses					
g End of year balance	92,607.				

2 Provide the estimated percentage of the year end balance held as:

a Board designated or quasi-endowment ▶ NONE %
b Permanent endowment ▶ 100.0000 %
c Term endowment ▶ NONE %

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

		Yes	No
(i) unrelated organizations	3a(i)	X	
(ii) related organizations	3a(ii)		X
b If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?	3b		

4 Describe in Part XIV the intended uses of the organization's endowment funds.

Part VI Investments - Land, Buildings, and Equipment. See Form 990, Part X, line 10.

Description of investment	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Depreciation	(d) Book value
1a Land	NONE	313,803.		313,803.
b Buildings	NONE	755,836.	326,252.	429,584.
c Leasehold improvements	NONE	49,062.	25,376.	23,686.
d Equipment	NONE	291,951.	223,599.	68,352.
e Other	NONE	4,000.	NONE	4,000.
Total. Add lines 1a-1e. *(Column (d) should equal Form 990, Part X, column (B), line 10(c).)* ▶				839,425.

Schedule D (Form 990) 2008 59-6011150

Part VII Investments - Other Securities. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
Financial derivatives and other financial products		
Closely-held equity interests		
Other		
Total. *(Column (b) should equal Form 990, Part X, col. (B) line 12.)* ▶		

Part VIII Investments - Program Related. See Form 990, Part X, line 13.

(a) Description of investment type	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
Total. *(Column (b) should equal Form 990, Part X, col. (B) line 13.)* ▶		

Part IX Other Assets. See Form 990, Part X, line 15.

(a) Description	(b) Book value
Total. *(Column (b) should equal Form 990, Part X, col. (B) line 15.)* ▶	

Part X Other Liabilities. See Form 990, Part X, line 25.

(a) Description of liability	(b) Amount
Federal income taxes	
Total. *(Column (b) should equal Form 990, Part X, col. (B) line 25.)* ▶	

In Part XIV, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48.

Part XI Reconciliation of Change in Net Assets from Form 990 to Financial Statements

1	Total revenue (Form 990, Part VIII, column (A), line 12)	1	6,460,249.
2	Total expenses (Form 990, Part IX, column (A), line 25)	2	6,784,923.
3	Excess or (deficit) for the year. Subtract line 2 from line 1	3	-324,674.
4	Net unrealized gains (losses) on investments	4	-14,054.
5	Donated services and use of facilities	5	
6	Investment expenses	6	
7	Prior period adjustments	7	
8	Other (Describe in Part XIV)	8	
9	Total adjustments (net). Add lines 4-8	9	-14,054.
10	Excess or (deficit) for the year per financial statements. Combine lines 3 and 9	10	-338,728.

Part XII Reconciliation of Revenue per Audited Financial Statements With Revenue per Return

1	Total revenue, gains, and other support per audited financial statements			1	4,621,642.
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:				
a	Net unrealized gains on investments	2a	-14,054.		
b	Donated services and use of facilities	2b			
c	Recoveries of prior year grants	2c			
d	Other (Describe in Part XIV)	2d			
e	Add lines 2a through 2d			2e	-14,054.
3	Subtract line 2e from line 1			3	4,635,696.
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1:				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	17,846.		
b	Other (Describe in Part XIV)	4b	1,806,707.		
c	Add lines 4a and 4b			4c	1,824,553.
5	Total revenue. Add lines 3 and 4c. (This should equal Form 990, Part I, line 12.)			5	6,460,249.

Part XIII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return

1	Total expenses and losses per audited financial statements			1	4,960,370.
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:				
a	Donated services and use of facilities	2a			
b	Prior year adjustments	2b			
c	Losses reported on Form 990, Part IX, line 25	2c			
d	Other (Describe in Part XIV)	2d			
e	Add lines 2a through 2d			2e	
3	Subtract line 2e from line 1			3	4,960,370.
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	17,846.		
b	Other (Describe in Part XIV)	4b	1,806,707.		
c	Add lines 4a and 4b			4c	1,824,553.
5	Total expenses. Add lines 3 and 4c. (This should equal Form 990, Part I, line 18.)			5	6,784,923.

Part XIV Supplemental Information

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X; Part XI, line 8; Part XII, lines 2d and 4b; and Part XIII, lines 2d and 4b.

SEE PAGE 5

Part XIV Supplemental Information *(continued)*

PART V

LINE 4

THE ENDOWMENT FUND WAS ESTABLISHED TO MAKE IT POSSIBLE FOR UWBB TO HELP SOCIAL SERVICES AGENCIES SUSTAIN CURRENT PROGRAMS AND DEVELOP NEW ONES, AS NEEDED. ALL ENDOWMENT FUNDS ARE CLASSIFIED AS PERMANENTLY RESTRICTED NET ASSETS IN ACCORDANCE WITH DONOR STIPULATIONS. UWBB DOES NOT HAVE A FORMALLY ADOPTED ENDOWMENT SPENDING POLICY. HOWEVER, NO INVESTMENT INCOME HAS BEEN RECOGNIZED RELATED TO THESE FUNDS AS OF JUNE 30, 2009. UWBB'S ENDOWMENT INVESTMENT POLICIES LIMIT ITS INVESTMENTS TO CERTAIN TYPES OF INVESTMENTS AND LIMITS THE AMOUNT INVESTED IN ANY ONE FINANCIAL INSTITUTION OR ISSUER TO 95% OF THE FDIC COVERAGE. THE OVERALL OBJECTIVES OF THE INVESTMENT POLICY ARE PRODUCTION OF SUFFICIENT INCOME TO MEET THE GOALS OF THE ACCOUNT AND TO PROVIDE FOR GROWTH OF ASSETS TO MEET FUTURE OBJECTIVES.

PART XII

LINE 4B

DESIGNATED PLEDGES $1,806,707

PART XIII

LINE 4B

DESIGNATED PLEDGES $1,806,707

SCHEDULE G
(Form 990 or 990-EZ)
Department of the Treasury
Internal Revenue Service

Supplemental Information Regarding Fundraising or Gaming Activities

► Attach to Form 990 or Form 990-EZ. Must be completed by organizations that answer "Yes" to Form 990, Part IV, lines 17, 18, or 19, and by organizations that enter more than $15,000 on Form 990-EZ, line 6a.

OMB No. 1545-0047

2008

Open To Public Inspection

Name of the organization
UNITED WAY OF THE BIG BEND, INC.

Employer identification number
59-6011150

Part I **Fundraising Activities.** Complete if the organization answered "Yes" to Form 990, Part IV, line 17.

1 Indicate whether the organization raised funds through any of the following activities. Check all that apply.

a ☐ Mail solicitations
b ☐ Email solicitations
c ☐ Phone solicitations
d ☐ In-person solicitations
e ☐ Solicitation of non-government grants
f ☐ Solicitation of government grants
g ☐ Special fundraising events

2a Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising activities? ☐ Yes ☐ No

b If "Yes," list the ten highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least $5,000 by the organization. Form 990-EZ filers are not required to complete this table.

(i) Name of individual or entity (fundraiser)	(ii) Activity	(iii) Did fundraiser have custody or control of contributions? Yes	(iii) No	(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in col. (i)	(vi) Amount paid to (or retained by) organization
Total ►						

3 List all states in which the organization is registered or licensed to solicit funds or has been notified it is exempt from registration or licensing.

Part II **Fundraising Events.** Complete if the organization answered "Yes" to Form 990, Part IV, line 18, or reported more than $15,000 on Form 990-EZ, line 6a. List events with gross receipts greater than $5,000.

		(a) Event #1 GOLF TOURNAMENT (event type)	(b) Event #2 DOWNTWN GETDOWN (event type)	(c) Other Events 8 (total number)	(d) Total Events (Add col. (a) through col. (c))
Revenue	1 Gross receipts	29,457.	23,505.	116,076.	169,038.
	2 Less: Charitable contributions	NONE	NONE	NONE	NONE
	3 Gross revenue (line 1 minus line 2)	29,457.	23,505.	116,076.	169,038.
Direct Expenses	4 Cash prizes	NONE	NONE	NONE	NONE
	5 Non-cash prizes	1,000.	NONE	NONE	1,000.
	6 Rent/facility costs	5,505.	100.	900.	6,505.
	7 Other direct expenses	5,149.	14,024.	118,077.	137,250.
	8 Direct expense summary. Add lines 4 through 7 in column (d) ▶				(144,755.)
	9 Net income summary. Combine lines 3 and 8 in column (d) ▶				24,283.

Part III **Gaming.** Complete if the organization answered "Yes" to Form 990, Part IV, line 19, or reported more than $15,000 on Form 990-EZ, line 6a.

		(a) Bingo	(b) Pull tabs/Instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (Add col. (a) through col. (c))
Revenue	1 Gross revenue				
Direct Expenses	2 Cash prizes				
	3 Non-cash prizes				
	4 Rent/facility costs				
	5 Other direct expenses				
	6 Volunteer labor	Yes ___% No	Yes ___% No	Yes ___% No	
	7 Direct expense summary. Add lines 2 through 5 in column (d) ▶				( )
	8 Net gaming income summary. Combine lines 1 and 7 in column (d) ▶				

			Yes	No
9	Enter the state(s) in which the organization operates gaming activities: _____			
a	Is the organization licensed to operate gaming activities in each of these states?	9a		
b	If "No," Explain: _____			
10a	Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year?	10a		
b	If "Yes," Explain: _____			
11	Does the organization operate gaming activities with nonmembers?	11		
12	Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming?	12		

			Yes	No
13	Indicate the percentage of gaming activity operated in:			
a	The organization's facility	13a %		
b	An outside facility	13b %		
14	Provide the name and address of the person who prepares the organization's gaming/special event books and records:			
	Name ▶			
	Address ▶			
15a	Does the organization have a contract with a third party from whom the organization receives gaming revenue?	15a		
b	If "Yes," enter the amount of gaming revenue received by the organization ▶ $ _____ and the amount of gaming revenue retained by the third party ▶ $ _____.			
c	If "Yes," enter name and address:			
	Name ▶			
	Address ▶			
16	Gaming manager information:			
	Name ▶			
	Gaming manager compensation ▶ $ _____			
	Description of services provided ▶			
	☐ Director/officer ☐ Employee ☐ Independent contractor			
17	Mandatory distributions:			
a	Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license?	17a		
b	Enter the amount of distributions required under state law distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ▶ $			

SCHEDULE I (Form 990)

Department of the Treasury
Internal Revenue Service

Grants and Other Assistance to Organizations, Governments, and Individuals in the U.S.

▶ Complete if the organization answered "Yes," on Form 990, Part IV, lines 21 or 22.
▶ Attach to Form 990.

OMB No. 1545-0047

2008

Open to Public Inspection

Name of the organization

UNITED WAY OF THE BIG BEND, INC.

Employer identification number

59-6011150

Part I General Information on Grants and Assistance

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . . ☒ Yes ☐ No

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

Part II Grants and Other Assistance to Governments and Organizations in the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than $5,000. Check this box if no one recipient received more than $5,000. Use Part IV and Schedule I-1 (Form 990) if additional space is needed . . . . . ▶ ☐

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
AGENCY TOTALS			5,143,523.				HUMAN SERVICE SUPPOR

2 Enter total number of section 501(c)(3) and government organizations . . . . . ▶ 76

3 Enter total number of other organizations . . . . . ▶ 3

For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) 2008

JSA
8E1268 2.000

Attachment # 2
Page 45 of 396

Part III **Grants and Other Assistance to Individuals in the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 22. Use Schedule I-1 (Form 990) if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance
HUMAN SERVICE SUPPORT - INDIVIDUALS	30	17,421.		ACTUAL COST	

Part IV **Supplemental Information.** Complete this part to provide the information required in Part I, line 2, and any other additional information.

PART I

LINE 2

EACH YEAR, THE UNITED WAY OF THE BIG BEND ORGANIZES 18 COMMUNITY INVESTMENT TEAMS TO REVIEW ITS GRANT-FUNDED AGENCIES AND PROGRAMS. AGENCIES MUST SUBMIT AN ANNUAL GRANT APPLICATION WHICH CONTAINS THREE YEARS OF BUDGET INFORMATION PLUS A DETAILED NARRATIVE ON HOW UNITED WAY FUNDS WERE USED IN THE PREVIOUS YEAR AND HOW THEY WILL BE USED IN THE FUTURE. THE TEAMS ALSO HAVE AN OPPORTUNITY TO ASK THE AGENCY REPRESENTATIVES IN PERSON, VERY SPECIFIC QUESTIONS ABOUT THE OVERALL AGENCY BUDGET AND THE USE OF UNITED WAY GRANT FUNDS. IN ADDITION, THE

Attachment # 2
Page 9 of 27

Part III **Grants and Other Assistance to Individuals in the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 22. Use Schedule I-1 (Form 990) if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance

Part IV **Supplemental Information.** Complete this part to provide the information required in Part I, line 2, and any other additional information.

AGENCIES ARE REQUIRED TO REPORT ANNUAL PERFORMANCE OUTCOMES TO THE TEAMS.

Attachment # 2
Page 97 of 394

SCHEDULE J (Form 990)

Department of the Treasury
Internal Revenue Service

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

▶ Attach to Form 990. To be completed by organizations that answered "Yes" to Form 990, Part IV, line 23.

OMB No. 1545-0047

2008

Open to Public Inspection

Name of the organization

UNITED WAY OF THE BIG BEND, INC.

Employer identification number

59-6011150

Part I Questions Regarding Compensation

			Yes	No
1a	Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.			
	☐ First-class or charter travel	☐ Housing allowance or residence for personal use		
	☐ Travel for companions	☐ Payments for business use of personal residence		
	☐ Tax indemnification and gross-up payments	☐ Health or social club dues or initiation fees		
	☐ Discretionary spending account	☐ Personal services (e.g., maid, chauffeur, chef)		
b	If line 1a is checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain	1b		
2	Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all officers, directors, trustees, and the CEO/Executive Director, regarding the items checked in line 1a?	2		
3	Indicate which, if any, of the following the organization uses to establish the compensation of the organization's CEO/Executive Director. Check all that apply.			
	☒ Compensation committee	☒ Written employment contract		
	☐ Independent compensation consultant	☒ Compensation survey or study		
	☐ Form 990 of other organizations	☒ Approval by the board or compensation committee		
4	During the year, did any person listed in Form 990, Part VII, Section A, line 1a:			
a	Receive a severance payment or change of control payment?	4a		X
b	Participate in, or receive payment from, a supplemental nonqualified retirement plan?	4b		X
c	Participate in, or receive payment from, an equity-based compensation arrangement?	4c		X
	If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.			
	Only 501(c)(3) and 501(c)(4) organizations must complete lines 5-8.			
5	For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:			
a	The organization?	5a		X
b	Any related organization?	5b		X
	If "Yes" to line 5a or 5b, describe in Part III.			
6	For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:			
a	The organization?	6a		X
b	Any related organization?	6b		X
	If "Yes" to line 6a or 6b, describe in Part III.			
7	For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III	7		X
8	Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regs. section 53.4958-4(a)(3)? If "Yes," describe in Part III	8		X

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use Schedule J-1 if additional space is needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

Note. The sum of columns (B)(i)-(iii) must equal the applicable column (D) or column (E) amounts on Form 990, Part VII, line 1a.

(A) Name		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported in prior Form 990 or Form 990-EZ
		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
KENNETH S. ARMSTRONG, PHD	(i)	127,308.	24,786.	NONE	25,188.	9,047.	186,329.	NONE
	(ii)	NONE	NONE	NONE	NONE	NONE	NONE	NONE
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							

Part III Supplemental Information

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 4c, 5a, 5b, 6a, 6b, 7, and 8. Also complete this part for any additional information.

Attachment # 2
Page 192 of 396

SCHEDULE J-2 (Form 990)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Form 990

▶ Attach to Form 990 to list additional information for Form 990, Part VII, Section A, line 1a.

OMB No. 1545-0047

2008

Open to Public Inspection

Name of the Organization

UNITED WAY OF THE BIG BEND, INC.

Employer Identification number

59-6011150

Part I Continuation of Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
JENNIFER BOUTWELL PATTY CHAIR	2.	X		X				NONE	NONE	NONE
BARRY WEBSTER CHAIR-ELECT	1.	X		X				NONE	NONE	NONE
DAVE RAMSAY IMMEDIATE PAST CHAIR	1.	X						NONE	NONE	NONE
MARK O'BRYANT CAMPAIGN CHAIR-ELECT	1.	X		X				NONE	NONE	NONE
KIMBERLY MOORE COMM INVEST & AGENCY REL CHR	1.	X		X				NONE	NONE	NONE
JEANETTE WILK YAEGER TREASURER	1.	X		X				NONE	NONE	NONE
FRANK JAMESON TREASURER-ELECT	1.	X		X				NONE	NONE	NONE
JOHANNA WILLIAMS SECRETARY	1.	X		X				NONE	NONE	NONE
BILL MCCOLLUM F.S.E.C.C. CHAIR	1.	X		X				NONE	NONE	NONE
SIMI BOUTZOUKAS MEMBER AT LARGE	1.	X						NONE	NONE	NONE
MONESIA BROWN MEMBER AT LARGE	1.	X						NONE	NONE	NONE
DEE CRUMPLER MEMBER AT LARGE	1.	X						NONE	NONE	NONE
PATRICK DORSEY MEMBER AT LARGE	1.	X						NONE	NONE	NONE
MIKE FIELDS MEMBER AT LARGE	1.	X						NONE	NONE	NONE
LYDIA FLOYD MEMBER AT LARGE	1.	X						NONE	NONE	NONE
ESTELLA GRAY MEMBER AT LARGE	1.	X						NONE	NONE	NONE
PHIL HARRISON MEMBER AT LARGE	1.	X						NONE	NONE	NONE
DAVID HARVEY MEMBER AT LARGE	1.	X						NONE	NONE	NONE
LEE HINKLE MEMBER AT LARGE	1.	X						NONE	NONE	NONE
BARBIE JORDAN MEMBER AT LARGE	1.	X						NONE	NONE	NONE
VINCE LONG MEMBER AT LARGE	1.	X						NONE	NONE	NONE

SCHEDULE J-2 (Form 990)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Form 990

► Attach to Form 990 to list additional information for Form 990, Part VII, Section A, line 1a.

OMB No. 1545-0047

2008

Open to Public Inspection

Name of the Organization

UNITED WAY OF THE BIG BEND, INC.

Employer Identification number

59-6011150

Part I Continuation of Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
BETH MAY MEMBER AT LARGE	1.	X						NONE	NONE	NONE
EMORY MAYFIELD MEMBER AT LARGE	1.	X						NONE	NONE	NONE
LOU MILLER MEMBER AT LARGE	1.	X						NONE	NONE	NONE
BILL MONTFORD MEMBER AT LARGE	3.	X						NONE	NONE	NONE
PATSY PALMER MEMBER AT LARGE	1.	X						NONE	NONE	NONE
SEAN PITTMAN MEMBER AT LARGE	1.	X						NONE	NONE	NONE
ALEX SINK MEMBER AT LARGE	1.	X						NONE	NONE	NONE
TERRY STEAPLE MEMBER AT LARGE	1.	X						NONE	NONE	NONE
GLENDA THORNTON MEMBER AT LARGE	1.	X						NONE	NONE	NONE
ALAN WILLIAMS MEMBER AT LARGE	1.	X						NONE	NONE	NONE
BUDDY STREIT AGENCY DIRECTOR	1.	X						NONE	NONE	NONE
JUDITH BARRETT AGENCY DIRECTOR	1.	X						NONE	NONE	NONE
DOROTHY INMAN-JOHNSON AGENCY DIRECTOR	1.	X						NONE	NONE	NONE
LAWTON LANGFORD MEMBER AT LARGE	1.	X						NONE	NONE	NONE
MALINDA JACKSON MEMBER AT LARGE	1.	X						NONE	NONE	NONE
JESSE O'SHEA MEMBER AT LARGE	1.	X						NONE	NONE	NONE
TODD SPERRY MEMBER AT LARGE	1.	X						NONE	NONE	NONE
MARLON BROWN CIAR CHAIR	1.	X		X				NONE	NONE	NONE
CHARLES BRONSON FSECC CHAIR	1.	X		X				NONE	NONE	NONE
RAY BELLAMY MEMBER AT LARGE	1.	X						NONE	NONE	NONE
BOB INZER MEMBER AT LARGE	1.	X						NONE	NONE	NONE

Attachment # 2
Page 133 of 00396

SCHEDULE J-2 (Form 990)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Form 990

▶ Attach to Form 990 to list additional information for Form 990, Part VII, Section A, line 1a.

OMB No 1545-0047

2008

Open to Public Inspection

Name of the Organization

UNITED WAY OF THE BIG BEND, INC.

Employer Identification number

59-6011150

Part I Continuation of Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
RANDY NICKLAUS AGENCY DIRECTOR	1.	X						NONE	NONE	NONE
DAVID OVERSTREET AGENCY DIRECTOR	1.	X						NONE	NONE	NONE
DAN SIMMONS MEMBER AT LARGE	1.	X						NONE	NONE	NONE
DAVID FONVIELLE MEMBER AT LARGE	1.	X						NONE	NONE	NONE
KENNETH S. ARMSTRONG, PHD PRESIDENT	50.			X				152,094.	NONE	34,235.
SUE MARSHALL JONES VICE PRESIDENT OF OPERATIONS	50.			X				58,331.	NONE	12,256.

SCHEDULE O (Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990

▶ Attach to Form 990. To be completed by organizations to provide additional information for responses to specific questions for the Form 990 or to provide any additional information.

OMB No. 1545-0047

2008

Open to Public Inspection

Name of the organization: UNITED WAY OF THE BIG BEND, INC.

Employer identification number: 59-6011150

PART III

LINE 2

SIGNIFICANT PROGRAM SERVICES DURING THE YEAR WHICH WERE NOT LISTED ON THE PRIOR FORM 990 INCLUDE THE WHOLE CHILD LEON PROGRAM (WCL) AND THE VOLUNTEER INCOME TAX ASSISTANCE (VITA) PROGRAM. FOR MORE DETAIL ON THESE PROGRAMS, REFER TO PART III, LINE 4B AND 4C. THESE PROGRAMS ARE NOT NEW UNITED WAY PROGRAMS BUT ARE PROGRAMS THAT WERE NOT LISTED ON THE PRIOR YEAR FORM 990.

PART III

LINE 4D

RAISE MONEY AND MOBILIZE RESOURCES TO MEET PRIORITY HUMAN NEEDS.

PART VI, SECTION A, GOVERNING BODY AND MANAGEMENT

LINE 10

AFTER BEING REVIEWED BY THE VICE-PRESIDENT OF OPERATIONS AND THE PRESIDENT, THE 990 IS PRESENTED TO THE FINANCE COMMITTEE, WHICH IS CHAIRED BY THE BOARD'S TREASURER. THE REMAINING MEMBERS OF THE FINANCE COMMITTEE MUST INCLUDE AT LEAST THREE (3) MEMBERS OF THE BOARD. THE COMMITTEE REVIEWS THE 990 AND MUST APPROVE IT AS AUTHORIZED BY THE BOARD PRIOR TO MAILING. THE ENTIRE BOARD RECEIVES A COPY OF THE FORM 990 PRIOR TO FILING WITH THE IRS.

PART VI, SECTION B, POLICIES

LINE 12C

SCHEDULE O (Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990

▶ Attach to Form 990. To be completed by organizations to provide additional information for responses to specific questions for the Form 990 or to provide any additional information.

OMB No. 1545-0047

2008

Open to Public Inspection

Name of the organization

Employer identification number

ALL STAFF, VOLUNTEERS, AND BOARD MEMBERS OF THE UNITED WAY OF THE BIG BEND MUST SIGN THE CONFLICT OF INTEREST POLICY AND DISCLOSE ANY MEMBER AGENCY RELATIONSHIPS. ALSO, THEY MUST DISCLOSE ANY INTEREST IN A TRANSACTION OR DECISION THAT HIS/HER FAMILY OR SIGNIFICANT OTHER, EMPLOYER, OR CLOSE ASSOCIATES STAND TO RECEIVE A BENEFIT OR GAIN. ALL DISCLOSURES ARE PROVIDED TO THE CHAIRMAN OF THE BOARD FOR RESOLUTION.

PART VI, SECTION B, POLICIES

LINE 15A

THE ORGANIZATION HAS A COMPENSATION COMMITTEE COMPRISED OF BOARD MEMBERS THAT MEET AT LEAST ANNUALLY. THE COMMITTEE ONLY HANDLES THE PRESIDENT'S COMPENSATION PACKAGE. THE PRESIDENT HAS A WRITTEN EMPLOYMENT CONTRACT. ALL OTHER SALARIES ARE DETERMINED BY THE PRESIDENT. THE COMPENSATION COMMITTEE APPROVES THE PRESIDENT'S COMPENSATION PACKAGE & SUBMITS IT TO THE BOARD FOR APPROVAL. COMPENSATION SURVEYS AND STUDIES ARE REVIEWED BY THE COMPENSATION COMMITTEE FOR COMPARABLE COMPENSATION PACKAGES.

PART VI, SECTION C, DISCLOSURE

LINE 19

THE UNAUDITED FINANCIAL STATEMENTS ARE PRESENTED AT BIMONTHLY MEETINGS OF THE BOARD OF DIRECTORS WHICH ARE OPEN TO THE PUBLIC. THE AUDITED FINANCIAL STATEMENTS ARE AVAILABLE ON OUR WEBSITE. GOVERNING DOCUMENTS AND THE CONFLICT OF INTEREST POLICY ARE AVAILABLE AT OUR OFFICE UPON REQUEST.

FORM 990, PART III - PROGRAM SERVICES

4A PROGRAM SERVICE

FUNDS FOR THE AGENCY PROGRAMS COME FROM THE CONTRIBUTIONS OF UNITED WAY SUPPORTERS THROUGH ITS ANNUAL FUNDRAISING CAMPAIGNS. THE COMMUNITY CAMPAIGN, THE FLORIDA STATE EMPLOYEES' CHARITABLE CAMPAIGN, LEON COUNTY SCHOOLS CAMPAIGN AND THE FLORIDA STATE UNIVERSITY EMPLOYEES' CAMPAIGN SOLICIT MONETARY SUPPORT FROM THE CORRESPONDING CONSTITUENTS. UWBB SERVES 67 AGENCIES AND 340,000 CLIENTS IN FRANKLIN, GADSDEN, JEFFERSON, LEON, LIBERTY, MADISON, TAYLOR AND WAKULLA COUNTIES. ALLOCATION OF FUNDS IS BASED ON THE DECISIONS OF THE COMMUNITY INVESTMENT TEAM, WHICH IS A TRAINED GROUP OF MORE THAN 130 VOLUNTEERS KNOWLEDGEABLE ABOUT THE COMMUNITY'S NEEDS. A PANEL OF VOLUNTEERS REVIEWS EACH AGENCY'S FINANCES, PROGRAMS, FACILITIES, AND LONG-RANGE PLAN WITHIN THE BROAD FRAMEWORK OF COMMUNITY NEEDS. AFTER THOROUGH ANALYSIS, THESE VOLUNTEERS RECOMMEND HOW MUCH MONEY EACH AGENCY PROGRAM SHOULD RECEIVE.

2010/2011 Non-Departmental Funding Request Application

RECEIVED
MAR 12 2010
10:15am SC

A. Organizational Information

Legal Name of Agency: United Partners for Human Services, Inc.

Agency Representative: Kimberly Galban, Executive Director

Physical Address: 317 East Call Street, Tallahassee, FL 32301

Mailing Address: SAME

Telephone: (850) 942-2569

Fax: (850) 681-8713

E-mail Address: kgalban@uphsfl.org

Agency Employer ID Number (FEIN): 59-3505360

Does the Agency have a **501(c)(3)** status? Yes: X No: _____

Date of Agency incorporation: 3/22/04
Attach Articles of Incorporation

Please attach the Agency's most recent 990 Tax Return or most recent completed tax return.

B. Program Information

1. Succinctly describe the program for which funding is being requested. Please include types of services provided (Attach additional pages as necessary):

   United Partners for Human Service (UPHS) is a membership organization comprised of Leon County human service organizations whose mission is to improve the human service delivery system. Our members touch the lives of every citizen of Leon County and our primary work is to help them be the best they can be – either through increasing communication, access to high quality and low cost training or by increasing the community's understanding of what the human service sector does. Specifically, the Leon County funding will be used to help us accomplish the following work:

   a. Increased efficiency and effectiveness of the human service delivery system through capacity development work with the human service community by allowing us to provide our 95 members with 6 full days of trainings on relevant management and community development topics; 4 lectures with local VIPs designed to increase our agencies' awareness of current important topics; and our annual conference, whereby we provide 30+ workshops on nonprofit management and leadership issues..

      We are also working closely with TCC and FSU to develop certification and degree programs in nonprofit management – all intended to increase the professional capacity of the nonprofit workforce.

   b. Increasaed capacity in the nonprofit sector of the Big Bend through an increased level of one-on-one technical assistance and peer mentoring which will help organizations with issues such as board governance and accountability and the CHSP process.

   c. Increased voice for those people in our community that are often the hardest to hear from. Expanding the strength and viability of UPHS will allow us to provide a coordinated and collaborative voice representing the collective needs of the thousands of people served by human service agencies. There is immeasurable benefit to our community to have the collective voice of some of the most silent at the table during local government action, disaster preparedness efforts, and other discussions affecting the quality of our lives.

2. Why is the funding being requested? If this funding request is not approved, what would be the impact on your agency or program for which funding is sought?

   Every nonprofit organization in Leon County can benefit from the services that UPHS provides – our work is to partner with the County in your efforts to get services to the people that need them. By providing technical assistance and support to the nonprofits receiving funding from the County, we are furthering the County's effort to create an efficient and effective human services system. We do not believe we can accomplish these efforts without support from Leon County and will have to severely cut back on the newly increased services if we do not get the funding – unless we can identify an alternative funding stream by October 2010. We promise that we are pursuing every opportunity to do that.

3. Projected program impact/outcome results: What is the projected impact on the target population?

By September, 2010:

350 staff members from Leon County nonprofit human service agencies will attend 6 days of training and 4 VIPS lectures provided by UPHS.

200 Leon County nonprofit organization staff and volunteers will attend the Annual Conference for Excellence in Nonprofit Leadership in May,

4. List the targeted population projected to be served or benefit from this program.

The entire Leon County community benefits from nonprofit organizations that are more efficient and effective, and a system of delivering services that is coordinated and cohesive. Specifically, our members are the staff, board members and volunteers from any human service organization in Leon County. We also offer membership opportunities to nonprofits from other sectors (i.e. arts and culture; environmental, etc.) in order for them to benefit from the free and reduced training opportunities provided by UPHS.

5. Provide the methods that are being used effectively to attain this program's targeted population.

We are working very hard to raise awareness about UPHS and our role in supporting the human service agencies in a wide variety of ways. We have developed a good working partnership with the Human Service department in Leon County and the City of Tallahassee and partner with them in whatever capacity they feel is helpful. Our annual conference on Excellence in Nonprofit Leadership 225 attendees last year and has become a regular part of many nonprofit's staff development plans. UPHS is also developing a comprehensive database of human service organizations and their partners in order to increase the communication and outreach efforts of the organization.

6. Outline the phases and time frames in which this project or event will be accomplished if funded.

Provide 6 days of training: Effective Advocacy, Ethics and the Nonprofit Manager, Comprehensive Communication Plans, Executive Leadership, Collaboration and Survival, Mentoring – free of charge to all members and reduced fees to other Leon County nonprofits.	October, 2010 – September 2011
Hold 4 Lectures with local VIPs on issues important to our community – free of charge to members.	October, 2010 – September 2011
Work with UPHS members to develop a peer mentoring program and increased technical assistance program	October 2010 – September 2011
Coordinate the 11th Annual Leadership Conference	May 2011
Identify additional grants and foundation work to bring larger financial support into Leon County nonprofit organizations.	By September 2011

7. List the program's short-term, intermediate and long-term goals

Short term:

- Provide high quality/low cost professional development and capacity building opportunities to human service and other nonprofit organizations in Leon County.
- Increase collaboration and coordination among the agencies by providing a forum for them to gather and discuss common issues.

Intermediate:

- Increase the community understanding of the economic impact the nonprofit community has on Leon County in order to encourage alternative funding support and strategies for the organizations, including private and corporate donations.

Long-Term:

- To work to provide a more efficient and effective system for the delivery of Human services in Leon County.

8. What other agencies in Leon County (governmental, non-profit, and private) provide service(s) similar to those which would be provided by this funding?

UPHS is unique and there is no other organization that provides the services that we do. We are not only unique in our mission – we are unique in our creation. We exist solely because the human service organizations of Leon County want the opportunity to be the best that they can be for the citizens of our community.

9. List any agency partnerships and collaborations related to this program.

We consider our members are greatest partners in our work to improve the human service delivery system in Leon County. In addition we are working with Tallahassee Community College, Florida State University, United Way of the Big Bend, the Chamber of Commerce/Leadership Tallahassee, and the Human Services departments of both Leon County and the City of Tallahassee.

Agency	Partnership/Collaboration
Tallahassee Community College	Work on Annual Leadership Conference
Florida State University	Work with FSU on the capacity building, the Annual Leadership Conference, and to provide trainings
United Way of the Big Bend	Work with UWBB to improve the continuum of care for resident of Tallahassee and Leon County through the local human services organizations, and the Annual Leadership Conference
Chamber of Commerce/Leadership Tallahassee	Provide a Building Better Boards training, the Annual Leadership Conference, and other training opportunities
Human Services departments of Leon County and City of	Work with local leaders to improve the continuum of care for residents of Tallahassee

Tallahassee	and Leon County through the local human services organizations.

C. Funding Information

10. Agency's current total budget: 2009/2010 - $129,650 2010/2011 - $130,000

11. Total cost of program: $129,650

12. Please list the 2009/10 funding amount and associated expenditures requested from Leon County and other sources:

Actual Expenditure Detail	Leon County Funded	Other Agencies Funding	Total
Compensation and Benefits	$15,750	$64,125	$79,875
Professional Fees	$0	$3,225	$3,225
Occupancy/Utilities/Network	$0	$12,700	$12,700
Supplies/Postage	$1,500	$0	$1,500
Equipment Rental, Maintenance, Purchase	$0	$0	0
Meeting Costs/Travel/Transportation	$3,000	$0	$3,000
Staff/Board Development/Recruitment	$0	$2,000	$2,000
Awards/Grants/Direct Aid	$0	$0	$0
Bad Debts/Uncollectible	$0	$0	$0
Bonding/Liability/Directors Insurance	$0	$1,750	$1,750
Other expense: (please itemize)	$0	$0	
Other: Printing	$200	$800	$1,000
Other: Trainings/Conference	$3,300	$0	$3,300
Other: Special Events	$0	$19,000	$19,000
Other: Memberships	$0	$2,100	$2,100
Other: Corporate registrations/fees	0	$200	$200
Total	**$23,750**	**$105,900**	**$129,650**

13. Please list the following Revenue Sources for the current year and the upcoming year

Revenue Sources	2009/10	2010/2011
Leon County (not CHSP):	$23,750	$23,750
City of Tallahassee (not CHSP):	$40,000	$40,000
United Way (not CHSP):	$2,000	$2,000
State:	$0	$0
Federal:	$0	$0
Grants:	$0	$5,000
Contributions/Special Events:	$42,000	$50,000
Dues/Membership:	$14,000	$15,000
Program Service Fees:	$7,000	$1,500
Other Income (please itemize): Interest	$900	$1,000
Total	**$129,650**	**$138,250**

14. Please list the following expenses for the current year and the upcoming year below:

Expense	2009/10 (Current)	2010/2011 (Proposed)
Compensation and Benefits	$79,875	$82,000
Professional Fees	$3,225	$5,000
Occupancy/Utilities/Network	$12,700	$12,700
Supplies/Postage	$1,500	$1,500
Equipment Rental, Maintenance, Purchase	$0	$0
Meeting Costs/Travel/Transportation	$3,000	$4,000
Staff/Board Development?Recruitment	$2,000	$1,575
Awards/Grants/Direct Aid	$0	$0
Bad Debts/uncollectible BondingLiability/Directors Insurance	$0 $1,750	$0 $2,000
Other Expenses: Printing	$1,000	$2,000
Other: Trainings/Conference	$3,300	$3,500
Other: Special Events	$19,000	$19,000
Other: Memberships	$2,100	$2,100
Other: Corporate Registration Fees	$200	$200
Total	**$129,650**	**$135,575**

15. Describe actions and fundraisers to secure funding.

Our fundraising events are our annual conference, training fees from trainings from non-UPHS members and membership dues. We cannot compete with our members for fundraising so

getting this done is tricky. Our efforts lie with securing foundation revenue during this upcoming year. We are increasing our fundraising efforts over last year and are looking for additional grants.

16. Will this project or event recur every year?

No_____ Yes_____X_____

17. Would funding by Leon County be requested in subsequent years for successful completion of the project?

No_____ Yes_____Unsure*

**We are committed to finding alternative sources of support to fund UPHS*

If "yes," estimate: the amount of next year's funding request. ____same_____

18. Has Leon County ever contributed funds to this project in the past?

No_____ Yes___XX_____

If "yes", list date(s), recipient or agneyc, program title and amount of funding.

Date:_____11/2009_____

Recipient or Agency:___United Partners for Human Services___

Program Title:_____

Funding Level:_____$23,750_____

19. Attach a copy of the Agency's most recent financial report.

I have attached a copy of the 2009 year end financial report.

CERTIFICATION

I, the undersigned representative of the agency, organization or individual making this request, certify that to the best of my knowledge all statements contained in this request and its attachments are true And correct.

Printed Name:____Kimberly Galban, Executive Director_____

Signature:_____

Date Signed:____3/22/10_____

ATTACHMENTS:

(1) Form 990, year ending 2009
(2) Articles of Incorporation
(3) 2009 year end financial statement

UNITED PARTNERS FOR HUMAN SERVICES, INC
BALANCE SHEET
AT JULY 31, 2009

ASSETS

Cash	$ 86,856
Accounts Receivable	2,300
TOTAL ASSETS	$ 89,156

LIABILITIES & NET ASSETS

LIABILITIES

Accounts Payable	$ (25)
Payroll Liabilities	-
TOTAL LIABILITIES	$ (25)

NET ASSETS

Prior Years' Earnings	$ 72,638
Current Year Earnings	16,542
TOTAL NET ASSETS	$ 89,181
TOTAL LIABILITIES & NET ASSETS	$ 89,156

UNITED PARTNERS FOR HUMAN SERVICES, INC

Revenue vs Expense
2008-2009 Fiscal Year
Through Jun 30 (100%)

	BUDGET 2008/09	ACTUAL 2008/09	VARIANCE 2008/09	%
REVENUE:				
Tallahassee	$ 37,000	$ 40,000	$ 3,000	108
Leon County	23,875	23,750	(125)	99
Conference	35,000	17,680	(17,320)	51
Celebration	20,000	18,437	(1,563)	92
Dues/Membership	15,000	13,625	(1,375)	91
CEY	8,000	8,190	190	102
Program Service Fees	1,000	323	(677)	32
Donations	-	25	25	xx
Interest Earned	1,000	858	(142)	86
TOTAL REVENUE	$ 140,875	$ 122,888	$ (17,987)	87
EXPENSES:				
Payroll & Benefits	$ 73,239	$ 65,246	$ 7,993	89
Professional Services	6,000	5,926	74	99
Celebration Dinner	20,000	16,981	3,019	85
Training Sessions	4,000	3,753	247	94
Annual Conference	9,000	5,558	3,442	62
Printing	2,500	388	2,112	16
Meetings/Travel/Transportation	2,000	1,059	941	53
Memberships/Subscriptions/Dues	2,500	1,680	820	67
Membership Meetings	2,000	134	1,866	7
Occupancy/Utilities/Network	12,000	12,802	(802)	107
Supplies/Postage	3,436	2,221	1,215	65
Insurance, D & O	1,250	1,061	190	85
Board	1,500	-	1,500	-
CHSP Appreciation Lunch	1,000	618	383	62
Bank Charges	-	743	(743)	XX
Corporate Registration/Fees	200	137	63	68
Insurance, Liability	250	101	149	40
TOTAL EXPENSES	$ 140,875	$ 118,408	$ 22,467	84
REVENUE vs EXPENSES	$ -	$ 4,481	$ 4,481	

Form **990-EZ**

Department of the Treasury
Internal Revenue Service

Short Form Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

▶ Sponsoring organizations of donor advised funds and controlling organizations as defined in section 512(b)(13) must file Form 990. All other organizations with gross receipts less than $1,000,000 and total assets less than $2,500,000 at the end of the year may use this form.

▶ *The organization may have to use a copy of this return to satisfy state reporting requirements.*

OMB No. 1545-1150

2008

Open to Public Inspection

A For the 2008 calendar year, or tax year beginning JUL 1, 2008 and ending JUN 30, 2009

B Check if applicable: ☐ Address change ☐ Name change ☐ Initial return ☐ Termination ☐ Amended return ☐ Application pending

Please use IRS label or print or type. See Specific Instructions.

C Name of organization
UNITED PARTNERS FOR HUMAN SERVICES, INC.

Number and street (or P.O. box, if mail is not delivered to street address) Room/suite
P.O. BOX 10267

City or town, state or country, and ZIP + 4
TALLAHASSEE, FL 32302

D Employer identification number
59-3505360

E Telephone number
850-942-2569

F Group Exemption Number ▶

• **Section 501(c)(3) organizations and 4947(a)(1) nonexempt charitable trusts must attach a completed Schedule A (Form 990 or 990-EZ).**

G Accounting method: ☐ Cash ☒ Accrual Other (specify) ▶

I Website: ▶ WWW.UNITEDPARTNERSFORHUMANSERVICES.ORG

J Organization type (check only one)— ☒ 501(c) (3) ◀ (insert no.) ☐ 4947(a)(1) or ☐ 527

H Check ▶ ☐ if the organization is not required to attach Schedule B (Form 990, 990-EZ, or 990-PF).

K Check ▶ ☐ if the organization is not a section 509(a)(3) supporting organization **and** its gross receipts are normally **not** more than $25,000. A return is not required, but if the organization chooses to file a return, be sure to file a complete return.

L Add lines 5b, 6b, and 7b, to line 9 to determine gross receipts; if $1,000,000 or more, file Form 990 instead of Form 990-EZ ▶ $ 122,888.

Part I Revenue, Expenses, and Changes in Net Assets or Fund Balances (See the instructions for Part I.)

Revenue	1	Contributions, gifts, grants, and similar amounts received	1	108,780.
	2	Program service revenue including government fees and contracts	2	
	3	Membership dues and assessments	3	13,250.
	4	Investment income	4	
	5a	Gross amount from sale of assets other than inventory — 5a		
	b	Less: cost or other basis and sales expenses — 5b		
	c	Gain or (loss) from sale of assets other than inventory (Subtract line 5b from line 5a) (attach schedule)	5c	
	6	Special events and activities (complete applicable parts of Schedule G). If any amount is from **gaming**, check here ▶ ☐		
	a	Gross revenue (not including $ _____ of contributions reported on line 1) — 6a		
	b	Less: direct expenses other than fundraising expenses — 6b		
	c	Net income or (loss) from special events and activities (Subtract line 6b from line 6a)	6c	
	7a	Gross sales of inventory, less returns and allowances — 7a		
	b	Less: cost of goods sold — 7b		
	c	Gross profit or (loss) from sales of inventory (Subtract line 7b from line 7a)	7c	
	8	Other revenue (describe ▶ INTEREST INCOME)	8	858.
	9	**Total revenue.** Add lines 1, 2, 3, 4, 5c, 6c, 7c, and 8 ▶	9	122,888.
Expenses	10	Grants and similar amounts paid (attach schedule)	10	
	11	Benefits paid to or for members	11	
	12	Salaries, other compensation, and employee benefits	12	65,246.
	13	Professional fees and other payments to independent contractors	13	5,926.
	14	Occupancy, rent, utilities, and maintenance	14	12,802.
	15	Printing, publications, postage, and shipping	15	4,001.
	16	Other expenses (describe ▶ SEE STATEMENT 1)	16	30,433.
	17	**Total expenses.** Add lines 10 through 16 ▶	17	118,408.
Net Assets	18	Excess or (deficit) for the year (Subtract line 17 from line 9)	18	4,480.
	19	Net assets or fund balances at beginning of year (from line 27, column (A)) (must agree with end-of-year figure reported on prior year's return)	19	84,095.
	20	Other changes in net assets or fund balances (attach explanation)	20	
	21	Net assets or fund balances at end of year. Combine lines 18 through 20 ▶	21	88,575.

Part II Balance Sheets. If Total assets on line 25, column (B) are $2,500,000 or more, file Form 990 instead of Form 990-EZ.

(See the instructions for Part II.)

		(A) Beginning of year		(B) End of year
22	Cash, savings, and investments	72,035.	22	86,040.
23	Land and buildings		23	
24	Other assets (describe ▶ SEE STATEMENT 2)	13,527.	24	2,535.
25	**Total assets**	85,562.	25	88,575.
26	**Total liabilities** (describe ▶ ACCOUNTS PAYABLE)	1,467.	26	0.
27	**Net assets or fund balances** (line 27 of column (B) **must** agree with line 21)	84,095.	27	88,575.

Form 990-EZ (2008) UNITED PARTNERS FOR HUMAN SERVICES, INC. 59-3505360 Page 2

Part III Statement of Program Service Accomplishments (See the instructions for Part III.)

What is the organization's primary exempt purpose? EDUCATION AND TRAINING.

Describe what was achieved in carrying out the organization's exempt purposes. In a clear and concise manner, describe the services provided, the number of persons benefited, or other relevant information for each program title.

Expenses (Required for 501(c)(3) and (4) organizations and 4947(a)(1) trusts; optional for others.)

28 TO EDUCATE AND PROMOTE SOCIAL SERVICES IN LEON AND SURROUNDING COUNTIES.

(Grants $) If this amount includes foreign grants, check here ▶ ☐ 28a 118,408.

29

(Grants $) If this amount includes foreign grants, check here ▶ ☐ 29a

30

(Grants $) If this amount includes foreign grants, check here ▶ ☐ 30a

31 Other program services (attach schedule)

(Grants $) If this amount includes foreign grants, check here ▶ ☐ 31a

32 **Total program service expenses** (add lines 28a through 31a) ▶ 32 118,408.

Part IV List of Officers, Directors, Trustees, and Key Employees. List each one even if not compensated. (See the instructions for Part IV.)

(a) Name and address	(b) Title and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-.)	(d) Contributions to employee benefit plans & deferred compensation	(e) Expense account and other allowances
SEE STATEMENT 4		52,917.		3,000.

832172
12-17-08

Form **990-EZ** (2008)

Part V Other Information (Note the statement requirements in the instructions for Part VI.)

			Yes	No
33	Did the organization engage in any activity not previously reported to the IRS? If "Yes," attach a detailed description of each activity	33		X
34	Were any changes made to the organizing or governing documents but not reported to the IRS? If "Yes," attach a conformed copy of the changes	34		X
35	If the organization had income from business activities, such as those reported on lines 2, 6a, and 7a (among others), but not reported on Form 990-T, attach a statement explaining your reason for not reporting the income on Form 990-T.			
a	Did the organization have unrelated business gross income of $1,000 or more or section 6033(e) notice, reporting, and proxy tax requirements?	35a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	35b	N/A	
36	Was there a liquidation, dissolution, termination, or substantial contraction during the year? If "Yes," complete applicable parts of Sch. N	36		X
37a	Enter amount of political expenditures, direct or indirect, as described in the instructions. ▶ 37a 0.			
b	Did the organization file **Form 1120-POL** for this year?	37b		X
38a	Did the organization borrow from, or make any loans to, any officer, director, trustee, or key employee or were any such loans made in a prior year and still unpaid at the start of the period covered by this return?	38a		X
b	If "Yes," complete Schedule L, Part II and enter the total amount involved 38b N/A			
39	Section 501(c)(7) organizations. Enter:			
a	Initiation fees and capital contributions included on line 9 39a N/A			
b	Gross receipts, included on line 9, for public use of club facilities 39b N/A			
40a	Section 501(c)(3) organizations. Enter amount of tax imposed on the organization during the year under: section 4911 ▶ 0. ; section 4912 ▶ 0. ; section 4955 ▶ 0.			
b	Section 501(c)(3) and (4) organizations. Did the organization engage in any section 4958 excess benefit transaction during the year or did it become aware of an excess benefit transaction from a prior year? If "Yes," complete Schedule L, Part I	40b		X
c	Enter amount of tax imposed on organization managers or disqualified persons during the year under sections 4912, 4955, and 4958 ▶ 0.			
d	Enter amount of tax on line 40c reimbursed by the organization ▶ 0.			
e	All organizations. At any time during the tax year, was the organization a party to a prohibited tax shelter transaction? If "Yes," complete Form 8886-T	40e		X
41	List the states with which a copy of this return is filed. ▶ NONE			
42a	The books are in care of ▶ THE ORGANIZATION Telephone no. ▶ 850-942-2569			
	Located at ▶ P.O. BOX 10267, TALLAHASSEE, FL ZIP + 4 ▶ 32302			
b	At any time during the calendar year, did the organization have an interest in or a signature or other authority over a financial account in a foreign country (such as a bank account, securities account, or other financial account)?	42b		X
	If "Yes," enter the name of the foreign country: ▶			
	See the instructions for exceptions and filing requirements for **Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts.**			
c	At any time during the calendar year, did the organization maintain an office outside of the U.S.?	42c		X
	If "Yes," enter the name of the foreign country: ▶			
43	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-EZ in lieu of **Form 1041** - Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the tax year ▶ 43 N/A			

			Yes	No
44	Did the organization maintain any donor advised funds? If "Yes," Form 990 must be completed instead of Form 990-EZ	44		X
45	Is any related organization a controlled entity of the organization within the meaning of section 512(b)(13)? If "Yes," Form 990 must be completed instead of Form 990-EZ	45		X

Part VI Section 501(c)(3) organizations only. All section 501(c)(3) organizations must answer questions 46-49 and complete the tables for lines 50 and 51.

			Yes	No
46	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I	46		X
47	Did the organization engage in lobbying activities? If "Yes," complete Schedule C, Part II	47		X
48	Is the organization operating a school as described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E	48		X
49a	Did the organization make any transfers to an exempt non-charitable related organization?	49a		X
b	If "Yes," was the related organization(s) a section 527 organization?	49b		

50 Complete this table for the five highest compensated employees (other than officers, directors, trustees and key employees) who each received more than $100,000 of compensation from the organization. If there is none, enter "None."

(a) Name and address of each employee paid more than $100,000	(b) Title and average hours per week devoted to position	(c) Compensation	(D) Contributions to employee benefit plans & deferred compensation	(E) Expense account and other allowances
NONE				
Total number of other employees paid over $100,000 ▶				

51 Complete this table for the five highest compensated independent contractors who each received more than $100,000 of compensation from the organization. If there is none, enter "None."

(a) Name and address of each independent contractor paid more than $100,000	(b) Type of service	(c) Compensation
NONE		
Total number of other independent contractors each receiving over $100,000 ▶		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

▶ Signature of officer — Date

▶ Type or print name and title.

Paid Preparer's Use Only

Preparer's signature ▶	Date	Check if self-employed ▶ ☐	Preparer's Identifying Number (See instr.)
Firm's name (or yours if self-employed), address, and ZIP + 4 ▶ JAMES MOORE & CO. P.L. 2477 TIM GAMBLE PLACE, SUITE 200 TALLAHASSEE, FL 32308-4386			EIN ▶ Phone no. ▶ (850)386-6184

May the IRS discuss this return with the preparer shown above? See instructions ▶ ☒ Yes ☐ No

SCHEDULE A (Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

To be completed by all section 501(c)(3) organizations and section 4947(a)(1) nonexempt charitable trusts.

▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No. 1545-0047

2008

Open to Public Inspection

Name of the organization	Employer identification number
UNITED PARTNERS FOR HUMAN SERVICES, INC.	59-3505360

Part I Reason for Public Charity Status (All organizations must complete this part.) (see instructions)

The organization is not a private foundation because it is: (Please check only **one** organization.)

1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**

2 ☐ A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E.)

3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).** (Attach Schedule H.)

4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state: _____

5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II.)

6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**

7 ☐ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II.)

8 ☐ A community trust described in **section 170(b)(1)(A)(vi).** (Complete Part II.)

9 ☒ An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2).** (Complete the Part III.)

10 ☐ An organization organized and operated exclusively to test for public safety. See **section 509(a)(4).** (see instructions)

11 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See **section 509(a)(3).** Check the box that describes the type of supporting organization and complete lines 11e through 11h.

a ☐ Type I b ☐ Type II c ☐ Type III - Functionally integrated d ☐ Type III - Other

e ☐ By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2).

f If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box ..... ☐

g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

		Yes	No
(i)	A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization? ..... 11g(i)		
(ii)	A family member of a person described in (i) above? ..... 11g(ii)		
(iii)	A 35% controlled entity of a person described in (i) or (ii) above? ..... 11g(iii)		

h Provide the following information about the organizations the organization supports.

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1-9 above or IRC section (see instructions))	(iv) Is the organization in col. (i) listed in your governing document? Yes	(iv) No	(v) Did you notify the organization in col. (i) of your support? Yes	(v) No	(vi) Is the organization in col. (i) organized in the U.S.? Yes	(vi) No	(vii) Amount of support
Total									

Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)

(Complete only if you checked the box on line 5, 7, or 8 of Part I.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2004	(b) 2005	(c) 2006	(d) 2007	(e) 2008	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")						
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 **Total.** Add lines 1 - 3						
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						
6 **Public Support.** Subtract line 5 from line 4.						

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2004	(b) 2005	(c) 2006	(d) 2007	(e) 2008	(f) Total
7 Amounts from line 4						
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
11 **Total support.** Add lines 7 through 10						

12 Gross receipts from related activities, etc. (see instructions) 12

13 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** ..... ▶ ☐

Section C. Computation of Public Support Percentage

14 Public support percentage for 2008 (line 6, column (f) divided by line 11, column (f)) ..... 14 %

15 Public support percentage from 2007 Schedule A, Part IV-A, line 26f ..... 15 %

16a **33 1/3% support test - 2008.** If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ..... ▶ ☐

b **33 1/3% support test - 2007.** If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ..... ▶ ☐

17a **10% -facts-and-circumstances test - 2008.** If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ..... ▶ ☐

b **10% -facts-and-circumstances test - 2007.** If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ..... ▶ ☐

18 **Private foundation.** If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions ..... ▶ ☐

Schedule A (Form 990 or 990-EZ) 2008 UNITED PARTNERS FOR HUMAN SERVICES, INC. 59-3505360 Page 3

Part III Support Schedule for Organizations Described in Section 509(a)(2) (Complete only if you checked the box on line 9 of Part I.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2004	(b) 2005	(c) 2006	(d) 2007	(e) 2008	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")		23,984.	146,517.	131,588.	122,030.	424,119.
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose		12,180.	46,353.	56,395.		114,928.
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 **Total.** Add lines 1 - 5		36,164.	192,870.	187,983.	122,030.	539,047.
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of 1% of the total of lines 9, 10c, 11, and 12 for the year or $5,000						
c Add lines 7a and 7b						
8 **Public support** (Subtract line 7c from line 6.)						539,047.

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2004	(b) 2005	(c) 2006	(d) 2007	(e) 2008	(f) Total
9 Amounts from line 6		36,164.	192,870.	187,983.	122,030.	539,047.
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources			2,391.	1,978.	858.	5,227.
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b			2,391.	1,978.	858.	5,227.
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
13 **Total support** (Add lines 9, 10c, 11, and 12.)						544,274.

14 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** ▶ ☐

Section C. Computation of Public Support Percentage

15 Public support percentage for 2008 (line 8, column (f) divided by line 13, column (f))	15	99.04 %
16 Public support percentage from 2007 Schedule A, Part IV-A, line 27g	16	98.97 %

Section D. Computation of Investment Income Percentage

17 Investment income percentage for **2008** (line 10c, column (f) divided by line 13, column (f))	17	.96 %
18 Investment income percentage from **2007** Schedule A, Part IV-A, line 27h	18	1.03 %

19a **33 1/3% support tests - 2008.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☒

b **33 1/3% support tests - 2007.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☐

20 **Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ▶ ☐

Schedule B (Form 990, 990-EZ, or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, and 990-PF.

OMB No. 1545-0047

2008

Name of the organization	Employer identification number
UNITED PARTNERS FOR HUMAN SERVICES, INC.	59-3505360

Organization type (check one):

Filers of:	Section:
Form 990 or 990-EZ	[X] 501(c)(3) (enter number) organization
	[ ] 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	[ ] 527 political organization
Form 990-PF	[ ] 501(c)(3) exempt private foundation
	[ ] 4947(a)(1) nonexempt charitable trust treated as a private foundation
	[ ] 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule. (Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.)

General Rule

[X] For organizations filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

[ ] For a section 501(c)(3) organization filing Form 990, or Form 990-EZ, that met the 33 1/3% support test of the regulations under sections 509(a)(1)/170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on Form 990, Part VIII, line 1h or 2% of the amount on Form 990-EZ, line 1. Complete Parts I and II.

[ ] For a section 501(c)(7), (8), or (10) organization filing Form 990, or Form 990-EZ, that received from any one contributor, during the year, aggregate contributions or bequests of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

[ ] For a section 501(c)(7), (8), or (10) organization filing Form 990, or Form 990-EZ, that received from any one contributor, during the year, some contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than $1,000. (If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of $5,000 or more during the year.) ..... ▶ $ _____

Caution. Organizations that are not covered by the General Rule and/or the Special Rules do not file Schedule B (Form 990, 990-EZ, or 990-PF), but they **must** answer "No" on Part IV, line 2 of their Form 990, or check the box in the heading of their Form 990-EZ, or on line 2 of their Form 990-PF, to certify that they do not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Schedule B (Form 990, 990-EZ, or 990-PF) (2008) Page 1 of 1 of Part I

Name of organization	Employer identification number
UNITED PARTNERS FOR HUMAN SERVICES, INC.	59-3505360

Part I Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	BOYS & GIRLS CLUB OF THE BIG BEND 306 LAURA LEE AVE TALLAHASSEE, FL 32301	$ 15,406.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
2	CITY OF TALLAHASSEE 300 S. ADAMS TALLAHASSEE, FL 32301	$ 40,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
3	JAMES MOORE & CO 2477 TIM GAMBLE PLACE, STE. 200 TALLAHASSEE, FL 32308	$ 5,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
4	LEON COUNTY BOARD OF COUNTY COMMISSIONERS 5TH FLOOR, LEON COUNTY COURTHOUSE TALLAHASSEE, FL 32301	$ 24,750.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)

'ORM 990-EZ OTHER EXPENSES STATEMENT 1

)ESCRIPTION	AMOUNT
.WARDS	502.
BANK CHARGES	743.
:ONFERENCES/CONVENTIONS/FORUMS	2,246.
'EES & PERMITS	136.
'OOD	1,255.
:NSURANCE	1,162.
IEMBERSHIP MEETINGS	134.
IEETINGS/TRAVEL/TRANSPORTATION	1,059.
IEMBERSHIP FEES/DUES	1,680.
)IRECT PROGRAM COSTS	21,516.
'OTAL TO FORM 990-EZ, LINE 16	30,433.

'ORM 990-EZ OTHER ASSETS STATEMENT 2

)ESCRIPTION	BEG. OF YEAR	END OF YEAR
.CCOUNTS RECEIVABLE	13,091.	2,535.
)EPOSIT	436.	0.
'OTAL TO FORM 990-EZ, LINE 24	13,527.	2,535.

ORM 990-EZ INFORMATION REGARDING TRANSFERS ASSOCIATED WITH PERSONAL BENEFIT CONTRACTS STATEMENT 3

) DID THE ORGANIZATION, DURING THE YEAR, RECEIVE ANY FUNDS, DIRECTLY OR INDIRECTLY, TO PAY PREMIUMS ON A PERSONAL BENEFIT CONTRACT? . . . . . [ ] YES [X] NO

) DID THE ORGANIZATION, DURING THE YEAR, PAY PREMIUMS, DIRECTLY OR INDIRECTLY, ON A PERSONAL BENEFIT CONTRACT? . . [ ] YES [X] NO

2

ORM 990-EZ PART IV - LIST OF OFFICERS, DIRECTORS, TRUSTEES AND KEY EMPLOYEES STATEMENT 4

AME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN-SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
ELLY OTTE .O. BOX 10267, TALLAHASSEE, FL 32302	FORMER EXECUTIVE DIRECTOR 40.00	29,167.	0.	3,000.
IMBERLY GALBAN .O. BOX 10267, TALLAHASSEE, FL 32302	EXECUTIVE DIRECTOR 40.00	23,750.	0.	
OSALIND TOMPKINS .O. BOX 10267, TALLAHASSEE, FL 32302	PRESIDENT 2.00	0.	0.	
ARRYL JONES .O. BOX 10267, TALLAHASSEE, FL 32302	SECRETARY 2.00	0.	0.	
OB RENZI .O. BOX 10267, TALLAHASSEE, FL 32302	TREASURER 2.00	0.	0.	
UDITH BARRETT .O. BOX 10267, TALLAHASSEE, FL 32302	BOARD MEMBER 1.00	0.	0.	
EBBIE BERGSTROM-BREEZE .O. BOX 10267, TALLAHASSEE, FL 32302	BOARD MEMBER 1.00	0.	0.	
ARBARA BOONE .O. BOX 10267, TALLAHASSEE, FL 32302	BOARD MEMBER 1.00	0.	0.	
ERI BUSH .O. BOX 10267, TALLAHASSEE, FL 32302	BOARD MEMBER 1.00	0.	0.	
AREN CAMPBELL .O. BOX 10267, TALLAHASSEE, FL 32302	BOARD MEMBER 1.00	0.	0.	
EGGY CONKLIN .O. BOX 10267, TALLAHASSEE, FL 32302	BOARD MEMBER 1.00	0.	0.	
AM DAVIS .O. BOX 10267, TALLAHASSEE, FL 32302	BOARD MEMBER 1.00	0.	0.	
OT INMAN-JOHNSON .O. BOX 10267, TALLAHASSEE, FL 32302	BOARD MEMBER 1.00	0.	0.	
RIS KNAB .O. BOX 10267, TALLAHASSEE, FL 32302	BOARD MEMBER 1.00	0.	0.	

2

TRANSMITTAL LETTER

Department of State
Division of Corporations
P. O. Box 6327
Tallahassee, FL 32314

SUBJECT: UNITED PARTNERS FOR HUMAN SERVICE, INC.
(PROPOSED CORPORATE NAME – MUST INCLUDE SUFFIX)

Enclosed is an original and one(1) copy of the articles of incorporation and a check for :

☐ $70.00 Filing Fee	☐ $78.75 Filing Fee & Certificate of Status	☒ $78.75 Filing Fee & Certified Copy	☐ $87.50 Filing Fee, Certified Copy & Certificate

ADDITIONAL COPY REQUIRED

FROM: LORRAINE ELDER
Name (Printed or typed)

2201 S. MONROE STREET
Address

TALLAHASSEE, FL. 32301
City, State & Zip

850.656.2437, ext. 240
Daytime Telephone number

NOTE: Please provide the original and one copy of the articles.

FILED
04 MAR 22 PM 4:43
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

ARTICLE I NAME

The name of the corporation shall be: United Partners for Human Service, Inc.

ARTICLE II PRINCIPLE OFFICE

The principal place of business will be in Tallahassee, Florida and the mailing address of this corporation will be P.O. Box 10267, Tallahassee, FL 32302.

ARTICLE III PURPOSE

This corporation is organized exclusively for charitable purposes within the meaning of Section 501(c)(3) of the Internal Revenue Code of 1986, as now enacted or hereafter amended, including, for such purposes, the making of distributions to organizations that also qualify as Section 501(c)(3) exempt organizations. To this end, the corporation a coalition of not-for-profit agency and supportive agency leaders who work together to improve the local human services delivery system All funds, whether income or principal, and whether acquired by gift or contribution or otherwise, shall be devoted to said purposes.

ARTICLE IV MANNER OF ELECTION

The affairs of the organization shall be managed by its Board of Directors. There shall be 15 agency directors, representing both large and small organizations, elected by vote of the members in good standing. Ten (10) shall be directors at-large; five (5) shall be the officers including President, President-elect, Secretary, Treasurer, and immediate past President. At least two (2) officers shall represent agencies that are members of the United Way of the Big Bend and no more than two (2) may represent supportive agencies. No more than five (5) members of the board may represent supportive agencies.

Board members and officers will be elected during the March meeting. Terms of Office for Board of Directors members shall be one year commencing on April 1 and ending March 31. Any vacancy of office will be appointed by the Executive Committee until the remainder of the term of office.

ARTICLE V INITIAL DIRECTORS/OFFICERS

The names and addresses of the initial directors:

President
Kelly Otte
Refuge House
P O Box 20910, Tallahassee, FL 32316
Phone: (850) 922-6062 Fax: (850) 413-0395

President-elect
Samuel "Buddy" Streit
Boys and Girls Clubs of the Big Bend
306 Laura Lee Avenue, Tallahassee, FL 32301
PO Box 37417, Tallahassee, FL 32315
Phone: (850) 656-8100 Fax: (850) 656-6519

FILED

04 MAR 22 PM 4:43

SECRETARY OF STATE
TALLAHASSEE, FLORIDA

Treasurer
Jim Freeman
Lutheran Social Services of North Florida
606 W 4th Ave. Suite 11, Tallahassee, FL 32303
Phone: (850) 575-4309 Fax: (850) 576-0696

Secretary
Miaisha Mitchell
Frenchtown Diabetes & You Project
438 W. Brevard Street, Tallahassee, FL 32301
Phone: (850) 224-8404 Fax: (850) 224-7305

Immediate Past-President
Lorraine Elder
Big Bend Cares
2201 S. Monroe Street, Tallahassee, FL 32301
Phone: (850) 656-2437 Fax: (850) 942-6402

ARTICLE VI INITIAL REGISTERED AGENT AND STREET ADDRESS

The name and the street address of the registered agent is:
Lorraine Elder
Big Bend Cares
2201 S. Monroe Street, Tallahassee, FL 32301
Phone: (850) 656-2437 Fax: (850) 942-6402
shall be defined by statute and by the corporation's by-laws. No member or Director shall have

ARTICLE VII INCORPORATOR

The incorporator is:
Kelly Otte
Refuge House
P O Box 20910, Tallahassee, FL 32316
Phone: (850) 922-6062 Fax: (850) 413-0395

Lorraine Elder
Signature/Registered Agent

3/17/04
Date

Kelly Otte
Signature/Incorporator

3.10.04
Date

COVER LETTER

TO: Amendment Section
Division of Corporations

NAME OF CORPORATION: United Partners For Human Services

DOCUMENT NUMBER: 170053-153-0084-4

The enclosed ***Articles of Amendment*** and fee are submitted for filing.

Please return all correspondence concerning this matter to the following:

Kathryn Arrant
(Name of Contact Person)

Legal Aid Foundation, Inc.
(Firm/ Company)

301 S. Monroe St. Rm 443-A
(Address)

Tallahassee FL 32301
(City/ State/ and Zip Code)

For further information concerning this matter, please call:

Kathryn Arrant at (850) 570-2253
(Name of Contact Person) (Area Code & Daytime Telephone Number)

Enclosed is a check for the following amount:

☐ $35 Filing Fee ☐ $43.75 Filing Fee & Certificate of Status ☒ $43.75 Filing Fee & Certified Copy (Additional copy is enclosed) ☐ $52.50 Filing Fee Certificate of Status Certified Copy (Additional Copy is enclosed)

Mailing Address
Amendment Section
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

Street Address
Amendment Section
Division of Corporations
409 E. Gaines Street
Tallahassee, FL 32399

Articles of Amendment
to
Articles of Incorporation
of

United Partners For Human Services Inc
(Name of corporation as currently filed with the Florida Dept. of State)

17053-153-00804-4
(Document number of corporation (if known))

FILED
05 AUG 24 PM 2:39
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

Pursuant to the provisions of section 617.1006, Florida Statutes, this ***Florida Not For Profit Corporation*** adopts the following amendment(s) to its Articles of Incorporation:

NEW CORPORATE NAME (if changing):

ARTICLE III DURATION

Upon the dissolution of this corporation assets shall be distributed for one or more exempt purposes within the meaning of section 501 (c) (3) of the Internal Revenue Code, or corresponding section of any future Federal tax code, or shall be distributed to the Federal government or to a state or local government for a public purpose.

ARTICLE IV PURPOSE

This corporation is organized exclusively for charitable purposes within the meaning of Section 501(c)(3) of the Internal Revenue Code of 1986, as now enacted or hereafter amended, including, for such purposes, the making of distributions to organizations that also qualify as Section 501 (c)(3) exempt organizations. To this end, the corporation, a coalition of not-for-profit agency and supportive agency leaders who work together to improve the local human services delivery system. All funds, whether income or principal, and whether acquired by gift or contribution or otherwise, shall be devoted to said purposes.

No part of the net earnings of the corporation shall inure to the benefit of, or be distributed to its members, trustees, directors, officers or other private persons, except that the corporation shall be authorized and empowered to pay reasonable compensation for services rendered and to make payments and distributions in furtherance of section 501 (c) (3) purposes. No substantial part of the activities of the corporation shall be the carrying on of propaganda, or otherwise attempting to influence legislation, and the corporation shall not participate in, or intervene in (including the publishing or distribution of statements) any political campaign on behalf of or in opposition to any candidate for public office.

Notwithstanding any other provision of these articles, the corporation shall not carry on any other activities not permitted to be carried on (a) by a corporation exempt from Federal income tax under section 501 (c) (3) of the Internal Revenue Code (or corresponding section of any future Federal tax code) or (b) by a corporation, contributions to which are deductible under section 170 (c) (2) of the Internal Revenue code (for corresponding section of any future Federal tax code).

The date of adoption of the amendment(s) was: May 21, 2004

Effective date if applicable: _____
(no more than 90 days after amendment file date)

Adoption of Amendment(s) **(CHECK ONE)**

☒ The amendment(s) was (were) adopted by the members and the number of votes cast for the amendment was sufficient for approval.

☐ There are no members or members entitled to vote on the amendment. The amendment(s) was (were) adopted by the board of directors.

Signed this 4 day of August, 2005.

Signature Kelly Otte
(By the chairman or vice chairman of the board, president or other officer- if directors have not been selected, by an incorporator- if the hands of a receiver, trustee, or other court appointed fiduciary, by that fiduciary.)

Kelly Otte
(Typed or printed name of person signing)

President
(Title of person signing)

FILING FEE: $35

N04000002954

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

☐ PICK-UP ☐ WAIT ☐ MAIL

(Business Entity Name)

(Document Number)

Certified Copies _____ Certificates of Status _____

Special Instructions to Filing Officer:
Permission to cut + recreate what needed to show for IRS. per Kathryn.

Office Use Only

600058844936

08/24/05--01048--008 **43.75

FILED
05 AUG 24 PM 2:39
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

RECEIVED
05 AUG 24 PM 1:48
[illegible]
TALLAHASSEE, FLORIDA

Amend.

C. Coulliette AUG 2 4 2005

N04000002954

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

☐ PICK-UP ☒ WAIT ☐ MAIL

(Business Entity Name)

(Document Number)

Certified Copies 1 Certificates of Status ____

Special Instructions to Filing Officer:

Office Use Only

800030841698

03/23/04--01008--005 **78.75

RECEIVED
04 MAR 22 PM 4:32
DIVISION OF CORPORATION

RECEIVED
04 MAR 22 PM 4:43
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

2

RECEIVED
MAR 24 2010
1:12pm slc

2010/2011 Non-Departmental Funding Request Application

A. Organizational Information

Legal Name of Agency: Keep Tallahassee-Leon Co Beautiful, Inc.

Agency Representative: Diana Hanson

Physical Address: 3212 Beaumont Drive 32309

Mailing Address: P.O. Box 191 Tallahassee, FL 32302

Telephone: (850) 681-8589

Fax: (850) 222-1221

E-mail Address: keeptallahasseebeaut @ comcast.net

Agency Employer ID Number (FEIN): 31-1528968

Does the Agency have a **501(c) (3)** status? Yes: x No: _____

Date of Agency Incorporation: 7-15-97
Attach Articles of Incorporation

Please attach the Agency's most recent 990 Tax Return or most recent completed tax return.

Attachment # 2
Page 138 of 396

B. Program Information

1. Succinctly describe the program for which funding is being requested. Please include types of services provided. (Attach additional pages as necessary): Continuation of beautification and cleanup projects and programs for KTLCB including Litter Hot Line.
2. Why is this funding being requested? If this funding request is not approved, what would be the impact on your agency or program for which funding is sought? This funding is vital to our agency. We have 4 fundraisers a year and are netting less each year due to the economy. We apply for grants for special projects
3. Projected program impact/outcome results: What is the projected impact on the target population? Reduction of litter, graffiti and increased recycling through volunteer based projects and education. We involve a wide range of entities to get our message out. Our website is updated often Billboards spread the word. Heavy student involvement.
4. List the targeted population projected to be served or benefit from this program. Leon County neighborhoods, schools, businesses, recreation areas including lakeshores and their surrounding streets and the national Forest. We also target greenways and scenic byways
5. Provide the methods that are being used effectively to attain this program's targeted population. County wide cleanups of lakeshores neighborhoods, forest. Community gardens including NIMS Middle School Project. Recycling in parks. Books for classrooms. 'GOT TRASH?' recycling initiative on website. Weblinks for schools. LITTER HOT LINE. VOLUNTEER GROUPS.
6. Outline the phases and time frames in which this program or event will be accomplished if funded. FALL: SHORE CLEANUP
   SPRING: SUPER CLEANSWEEP
   INTRODUCING QUARTERLY CLEANUPS TO INCLUDE CANOE CLUBS ON WATERWAYS
   LITTER HOT LINE / ON-GOING CLEANUPS/PLANTINGS/PARKS
7. List the program's short-term, intermediate, and long-term goals.
   REDUCE LITTER/ GRAFFITI
   INCREASE RECYCLING
   RAISE AWARENESS TO CHANGE BEHAVIORS
8. What other agencies in Leon County (governmental, non-profit, and private) provide services similar to those which would be provided by this funding? COT Adopt A Street programs (KTLCB will be taking this over in April.)
9. List any Agency partnerships and collaboration related to this program.

Agency	Partnership/Collaboration
Leon County Solid Waste	waives fees for cleanups
Marpan Recycling	waives fees, provides containers
VolunteerLeon	Volunteer resource
City/County Recycling	Recycling Events

Attachment # 2
Page 139 of 396

C. Funding Information

10. Agency's current total budget: 2009/10 $_____(current) 2010/11 $_____(proposed)

11. Total cost of program: 105,000 - 110,000

12. Please list the 2009/10 funding amount and associated expenditures requested from Leon County and other revenue sources:

Actual Expenditure Detail	Leon County Funded	Other Agencies Funded	Total
Compensation and Benefits			
Professional Fees			
Occupancy/Utilities/Network			
Supplies/Postage	4000		
Equipment Rental; Maintenance, Purchase	2650		
Meeting Costs/Travel/Transportation	2600		
Staff/Board Development/Recruitment			
Awards/Grants/Direct Aid		1550	
Bad Debts/Uncollectible Recog/Awards	3600		
Bonding/Liability/Directors Insurance	800		
Other Expenses (please itemize) PR Event costs	1400		
Total	6325		
	21 375		

13. Please list the following Revenue Sources for the current year and the upcoming year below:

Revenue Sources	2009/10 (Current)	2010/11 (Proposed)
Leon County (not CHSP)	21,375	21,375
City of Tallahassee (not CHSP)	10,000	increase
United Way (not CHSP)	-0-	-0-
State	13 714	possibly -0-
Federal		
Grants	1550	
Contributions/Special Events	14210	14210
Dues/Memberships	2000	4000
Program Service Fees		
Other Income (please itemize)		
Total		

14. Please list the following expenses for the current year and the upcoming year below:

Expenses	2009/10 (Current)	2010/11 (Proposed)
Compensation and Benefits		
Professional Fees		
Occupancy/Utilities/Network		
Supplies/Postage	4000	4000
Equipment Rental, Maintenance, Purchase	2000	2000
Meeting Costs/Travel/Transportation	3800	3800
Staff/Board Development/Recruitment		
Awards/Grants/Direct Aid		
Bad Debts/Uncollectible Events	6500	6500
Bonding/Liability/Directors Insurance	800	1000
Other Expenses (please itemize) PR	1600	1600
Total Recog/Awards	2475	2475
	21,375	21,375

15. Describe actions and fund-raisers to secure funding. Hold 4 fundraisers and will add a new one in June. Applied for Pepsi grant for Nims Project. Cap. City Bank grant funded 'Got Trash' Recycling Program.

16. Will this program or event recur every year?

No: _____ Yes: X

17. Would funding by Leon County be requested in subsequent years for successful completion of the program?

No: _____ Yes: X ABSOLUTELY

If "yes," estimate, the amount of next year's funding request: $ 21,375

18. Has Leon County ever contributed funds to this program in the past?

No: _____ Yes: X

If "yes," list date(s), recipient or agency, program title and amount of funding:

Date: 2003 TO PRESENT 15000 TO 21,375 PAST 4 YEARS

Recipient or Agency: KEEP TALLAHASSEE - LEON COUNTY BEAUTIFUL, INC.

Program Title: _____

Funding Level: 21,375

19. Attach a copy of the Agency's most recent financial report.

CERTIFICATION

I, the undersigned representative of the Agency, organization or individual making this request, certify that to the best of my knowledge all statements contained in this request and its attachments are true and correct.

Print Name: Diana Hanson

Signature: Diana Hanson

Date Signed: March 19, 2010

97 JUL 15 AM 9:22

TALLAHASSEE, FLORIDA

ARTICLES OF INCORPORATION

The undersigned incorporator for the purpose of forming a corporation under the Florida Not for Profit Corporation Act hereby adopts the following Articles of Incorporation

ARTICLE I NAME
The name of the corporation shall be
Keep Tallahassee-Leon County Beautiful, Inc.

ARTICLE II PRINCIPAL OFFICE
The principal place of business and mailing address of this corporation shall be

P.O. Box 1639
Tallahassee, FL 32302

ARTICLE III PURPOSE(S)
The specific purpose(s) for which the corporation is organized is(are)
KTLCB was formed to seek and provide support in educating leaders of the community and educating the public on enhancing the quality of the environment. Its broader purpose is to utilize community citizens to promote civic pride; to educate and foster a better understanding and appreciation of the environment; and to encourage positive leadership throughout the local community.

ARTICLE IV MANNER OF ELECTION OF DIRECTORS
The manner in which the directors are elected or appointed is:
Nominated by nominating committee, approved by existing board.

ARTICLE V INITIAL REGISTERED AGENT AND STREET ADDRESS
The name and Florida street address of the initial registered agent are
Diana Hanson
100 N. Duval
Tallahassee, FL 32302

ARTICLE VI INCORPORATOR
The name and address of the incorporator to these Articles of Incorporation are
Diana Hanson
100 N. Duval
Tallahassee, FL 32302

Diana Hanson
Signature/Incorporator

7-15-97
Date

(An additional article must be added if an effective date is requested.)

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this certificate, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relating to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

Diana Hanson
Signature/Registered Agent

7-15-97
Date

AMENDMENT TO ARTICLES OF INCORPORATION

ARTICLE III: PURPOSE(S)

Said organization is organized exclusively for charitable, religious, educational, and scientific purposes, including, for such purposes, the making of distributions to organizations that qualify as exempt organizations under section 501(c)(3) of the Internal Revenue Code, or corresponding section of any future federal tax code.

No part of the net earnings of the organization shall inure to the benefit of, or be distributable to its members, trustees, officers, or other private persons, except that the organization shall be authorized and empowered to pay reasonable compensation for services rendered and to make payments and distributions in furtherance of the purposes set forth in the purpose clause hereof. No substantial part of the activities of the organization shall be the carrying on of propaganda, or otherwise attempting to influence legislation, and the organization shall not participate in, or intervene in (including the publishing or distribution of statements) any political campaign on behalf of any candidate for public office. Notwithstanding any other provision of this document, the organization shall not carry on any other activities not permitted to be carried on (a) by an organization exempt from federal income tax under section 501(c)(3) of the Internal Revenue Code, or corresponding section of any future federal tax code, or (b) by an organization, contributions to which are deductible under section 170(c)(2) of the Internal Revenue Code, or corresponding section of any future federal tax code.

Upon the dissolution of the organization, assets shall be distributed for one or more exempt purposes within the meaning of section 501(c)(3) of the Internal Revenue Code, or corresponding section of any future federal tax code, or shall be distributed to the federal government, or to a state or local government, for a public purpose. Any such assets not disposed of shall be disposed of by the Court of Common Pleas of the county in which the principal office of the organization is then located, exclusively for such purposes or to such organization or organizations, as said Court shall determine, which are organized and operated exclusively for such purposes.

KEEP TALLAHASSEE LEON CO BEAUTIFUL
Profit & Loss
October 2008 through September 2009

	Oct '08 - Sep 09
Ordinary Income/Expense	
Income	
501 Governmental Grants	45,649.78
502 Corporate Grants	3,150.00
521 Membership Dues	3,938.01
541 Interest Income	11.20
Total Income	52,748.99
Expense	
610 Salaries	40,699.92
620 Employee Benefits	11,237.21
630 Payroll Taxes	3,113.52
640 Supplies	4,242.65
650 Telephone	3,910.86
660 Postage and Shipping	938.29
670 Occupancy	2,850.59
680 Equip Rental & Maintenance	1,494.93
690 Printing and Publications	177.25
700 Travel	3,191.33
710 Conferences, and Meetings	30.00
720 Board Meeting exp.	471.72
730 Dues	550.00
740 Public Relations	835.36
750 Recognition and awards	482.43
760 Miscellanious	248.34
761 Bank Charges	206.00
770 Cost of Events	3,212.99
Total Expense	77,893.39
Net Ordinary Income	-25,144.40
Other Income/Expense	
Other Income	
901 Golf Tournament	9,954.50
902 Golf Tournament Exp	-2,856.89
907 Drive In Movies	8,638.07
908 DIM Expenses	-2,285.12
921 Annual Banquet	620.00
922 Annual Banquet Exp	-831.62
931 Shoot the Moon	4,990.00
932 Shoot the Moon Exp	-2,698.81
933 Lunch	820.00
940 Can Campaign	2,186.50
941 Can Campaign Exp	-2,101.80
Total Other Income	16,434.83
Net Other Income	16,434.83
Net Income	**-8,709.57**

KEEP TALLAHASSEE LEON CO BEAUTIFUL
Profit & Loss
January through December 2009

	Jan - Dec 09
Ordinary Income/Expense	
Income	
501 Governmental Grants	55,149.78
502 Corporate Grants	6,150.00
521 Membership Dues	5,198.01
541 Interest Income	10.73
Total Income	66,508.52
Expense	
610 Salaries	40,699.92
620 Employee Benefits	11,509.79
630 Payroll Taxes	3,113.52
640 Supplies	3,859.19
650 Telephone	3,870.72
660 Postage and Shipping	918.96
670 Occupancy	3,167.35
680 Equip Rental & Maintenance	1,125.94
690 Printing and Publications	182.22
700 Travel	2,690.35
710 Conferences, and Meetings	225.00
720 Board Meeting exp.	659.98
730 Dues	600.00
740 Public Relations	896.65
750 Recognition and awards	717.17
760 Miscellanious	387.70
770 Cost of Events	2,717.31
Total Expense	77,341.77
Net Ordinary Income	-10,833.25
Other Income/Expense	
Other Income	
901 Golf Tournament	9,954.50
902 Golf Tournament Exp	-2,856.89
907 Drive In Movies	3,667.10
908 DIM Expenses	-1,859.33
921 Annual Banquet	40.00
931 Shoot the Moon	8,350.00
932 Shoot the Moon Exp	-3,106.88
933 Lunch	760.00
940 Can Campaign	1,066.10
941 Can Campaign Exp	-3,682.90
Total Other Income	12,331.70
Net Other Income	12,331.70
Net Income	1,498.45

Form **990-EZ**

Department of the Treasury
Internal Revenue Service

Short Form
Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code
(except black lung benefit trust or private foundation)

► Sponsoring organizations of donor advised funds and controlling organizations as defined in section 512(b)(13) must file Form 990. All other organizations with gross receipts less than $1,000,000 and total assets less than $2,500,000 at the end of the year may use this form.

► *The organization may have to use a copy of this return to satisfy state reporting requirements.*

OMB No. 1545-1150

2008

Open to Public Inspection

A For the 2008 calendar year, or tax year beginning OCTOBER 1 , 2008, and ending SEPTEMBER 30 , 20 09

B Check if applicable:
- ☐ Address change
- ☐ Name change
- ☐ Initial return
- ☐ Termination
- ☐ Amended return
- ☐ Application pending

Please use IRS label or print or type. See Specific Instructions.

C Name of organization
KEEP TALLAHASSEE LEON COUNTY BEAUTIFUL INC

Number and street (or P.O. box, if mail is not delivered to street address) Room/suite
401 E VIRGINIA STREET

City or town, state or country, and ZIP + 4
TALLAHASSEE FL 32301-1267

D Employer identification number
31 1528968

E Telephone number
(850) 224-6800

F Group Exemption Number ►

- *Section 501(c)(3) organizations and 4947(a)(1) nonexempt charitable trusts must attach a completed Schedule A (Form 990 or 990-EZ).*

G Accounting method: ☐ Cash ☑ Accrual
Other (specify) ►

I Website: ► www.ktlcb.com

J Organization type (check only one) — ☑ 501(c) (3) ◄(insert no.) ☐ 4947(a)(1) or ☐ 527

H Check ► ☑ if the organization is **not** required to attach Schedule B (Form 990, 990-EZ, or 990-PF).

K Check ► ☐ if the organization is not a section 509(a)(3) supporting organization **and** its gross receipts are normally **not** more than $25,000. A return is not required, but if the organization chooses to file a return, be sure to file a complete return.

L Add lines 5b, 6b, and 7b, to line 9 to determine gross receipts; if $1,000,000 or more, file Form 990 instead of Form 990-EZ ► $

Part I Revenue, Expenses, and Changes in Net Assets or Fund Balances (See the instructions for Part I.)

Revenue	1	Contributions, gifts, grants, and similar amounts received		1	48,800
	2	Program service revenue including government fees and contracts		2	
	3	Membership dues and assessments		3	3,388
	4	Investment income		4	10
	5a	Gross amount from sale of assets other than inventory	5a		
	b	Less: cost or other basis and sales expenses	5b		
	c	Gain or (loss) from sale of assets other than inventory (Subtract line 5b from line 5a) (attach schedule)		5c	
	6	Special events and activities (complete applicable parts of Schedule G). If any amount is from gaming, check here ► ☐			
	a	Gross revenue (not including $ _____ of contributions reported on line 1)	6a 27,209		
	b	Less: direct expenses other than fundraising expenses	6b 10,775		
	c	Net income or (loss) from special events and activities (Subtract line 6b from line 6a)		6c	16,434
	7a	Gross sales of inventory, less returns and allowances	7a		
	b	Less: cost of goods sold	7b		
	c	Gross profit or (loss) from sales of inventory (Subtract line 7b from line 7a)		7c	
	8	Other revenue (describe ► _____)		8	
	9	**Total revenue.** Add lines 1, 2, 3, 4, 5c, 6c, 7c, and 8 ►		9	68,632
Expenses	10	Grants and similar amounts paid (attach schedule)		10	
	11	Benefits paid to or for members		11	
	12	Salaries, other compensation, and employee benefits		12	55,051
	13	Professional fees and other payments to independent contractors		13	
	14	Occupancy, rent, utilities, and maintenance		14	4,345
	15	Printing, publications, postage, and shipping		15	
	16	Other expenses (describe ► _____)		16	18,138
	17	**Total expenses.** Add lines 10 through 16 ►		17	77,534
Net Assets	18	Excess or (deficit) for the year (Subtract line 17 from line 9)		18	(8,902)
	19	Net assets or fund balances at beginning of year (from line 27, column (A)) (must agree with end-of-year figure reported on prior year's return)		19	18,498
	20	Other changes in net assets or fund balances (attach explanation)		20	
	21	Net assets or fund balances at end of year. Combine lines 18 through 20 ►		21	9,596

Part II Balance Sheets. If Total assets on line 25, column (B) are $2,500,000 or more, file Form 990 instead of Form 990-EZ.

(See the instructions for Part II.)

		(A) Beginning of year		(B) End of year
22	Cash, savings, and investments	18,329	22	6,071
23	Land and buildings		23	
24	Other assets (describe ► Grants receivable)	1,200	24	10,000
25	**Total assets**	19,529	25	16,071
26	**Total liabilities** (describe ► Payables and unearned income)	1,031	26	6,475
27	**Net assets or fund balances** (line 27 of column (B) **must** agree with line 21)	18,498	27	9,596

Part III Statement of Program Service Accomplishments (See the instructions for Part III.)

What is the organization's primary exempt purpose? **Environmental education**

Describe what was achieved in carrying out the organization's exempt purposes. In a clear and concise manner, describe the services provided, the number of persons benefited, or other relevant information for each program title.

		Expenses (Required for 501(c)(3) and (4) organizations and 4947(a)(1) trusts; optional for others.)
28 **Environmental education, liter cleanup, and recycling**		
(Grants $) If this amount includes foreign grants, check here ▶ ☐	28a	77,534
29		
(Grants $) If this amount includes foreign grants, check here ▶ ☐	29a	
30		
(Grants $) If this amount includes foreign grants, check here ▶ ☐	30a	
31 Other program services (attach schedule)		
(Grants $) If this amount includes foreign grants, check here ▶ ☐	31a	
32 **Total program service expenses** (add lines 28a through 31a) ▶	32	77,534

Part IV List of Officers, Directors, Trustees, and Key Employees. List each one even if not compensated. (See the instructions for Part IV.)

(a) Name and address	(b) Title and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-.)	(d) Contributions to employee benefit plans & deferred compensation	(e) Expense account and other allowances
Diana Hanson 3212 Beaumont Dr., Tallahassee, FL 32308	Exec. Director, 40	40,700	0	11,237
Frank E Dorsey 401 E Virginia Street, Tallahassee, FL 32301	Treasurer	0	0	0
Ben Graybar 601 N Monroe Street, Tallahassee, FL 32301	Chair	0	0	0

Part V Other Information (Note the statement requirements in the instructions for Part VI.)

			Yes	No
33	Did the organization engage in any activity not previously reported to the IRS? If "Yes," attach a detailed description of each activity	33		✓
34	Were any changes made to the organizing or governing documents but not reported to the IRS? If "Yes," attach a conformed copy of the changes	34		✓
35	If the organization had income from business activities, such as those reported on lines 2, 6a, and 7a (among others), but **not** reported on Form 990-T, attach a statement explaining your reason for not reporting the income on Form 990-T.			
a	Did the organization have unrelated business gross income of $1,000 or more or section 6033(e) notice, reporting, and proxy tax requirements?	35a		✓
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	35b		
36	Was there a liquidation, dissolution, termination, or substantial contraction during the year? If "Yes," complete applicable parts of Schedule N	36		✓
37a	Enter amount of political expenditures, direct or indirect, as described in the instructions. ▶ 37a 0			
b	Did the organization file **Form 1120-POL** for this year?	37b		✓
38a	Did the organization borrow from, or make any loans to, any officer, director, trustee, or key employee or were any such loans made in a prior year and still unpaid at the start of the period covered by this return?	38a		✓
b	If "Yes," complete Schedule L, Part II and enter the total amount involved 38b			
39	Section 501(c)(7) organizations. Enter:			
a	Initiation fees and capital contributions included on line 9 39a			
b	Gross receipts, included on line 9, for public use of club facilities 39b			
40a	Section 501(c)(3) organizations. Enter amount of tax imposed on the organization during the year under: section 4911 ▶ 0 ; section 4912 ▶ 0 ; section 4955 ▶ 0			
b	Section 501(c)(3) and (4) organizations. Did the organization engage in any section 4958 excess benefit transaction during the year or did it become aware of an excess benefit transaction from a prior year? If "Yes," complete Schedule L, Part I	40b		✓
c	Enter amount of tax imposed on organization managers or disqualified persons during the year under sections 4912, 4955, and 4958 ▶ 0			
d	Enter amount of tax on line 40c reimbursed by the organization ▶ 0			
e	All organizations. At any time during the tax year, was the organization a party to a prohibited tax shelter transaction? If "Yes," complete Form 8886-T.	40e		✓

41 List the states with which a copy of this return is filed. ▶ Florida

42a The books are in care of ▶ Frank E Dorsey Telephone no. ▶ (850) 224-6800
Located at ▶ 401 E Virginia Street, Tallahassee, FL ZIP + 4 ▶ 32301-1267

			Yes	No
b	At any time during the calendar year, did the organization have an interest in or a signature or other authority over a financial account in a foreign country (such as a bank account, securities account, or other financial account)? If "Yes," enter the name of the foreign country: ▶ See the instructions for exceptions and filing requirements for **Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts.**	42b		✓
c	At any time during the calendar year, did the organization maintain an office outside of the U.S.? If "Yes," enter the name of the foreign country: ▶	42c		✓

43 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-EZ in lieu of **Form 1041**—Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the tax year ▶ 43

			Yes	No
44	Did the organization maintain any donor advised funds? If "Yes," Form 990 must be completed instead of Form 990-EZ	44		✓
45	Is any related organization a controlled entity of the organization within the meaning of section 512(b)(13)? If "Yes," Form 990 must be completed instead of Form 990-EZ	45		✓

Part VI **Section 501(c)(3) organizations only.** All section 501(c)(3) organizations must answer questions 46–49 and complete the tables for lines 50 and 51.

		Yes	No
46	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I		✓
47	Did the organization engage in lobbying activities? If "Yes," complete Schedule C, Part II		✓
48	Is the organization operating a school as described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E		✓
49a	Did the organization make any transfers to an exempt non-charitable related organization?		✓
b	If "Yes," was the related organization(s) a section 527 organization?		

50 Complete this table for the five highest compensated employees (other than officers, directors, trustees and key employees) who each received more than $100,000 of compensation from the organization. If there is none, enter "None."

(a) Name and address of each employee paid more than $100,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans & deferred compensation	(e) Expense account and other allowances
None				
Total number of other employees paid over $100,000 ▶				

51 Complete this table for the five highest compensated independent contractors who each received more than $100,000 of compensation from the organization. If there is none, enter "None."

(a) Name and address of each independent contractor paid more than $100,000	(b) Type of service	(c) Compensation
None		
Total number of other independent contractors each receiving over $100,000 ▶		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

▶ Signature of officer — Date

▶ Frank E. Dorsey, Treasurer
Type or print name and title.

Paid Preparer's Use Only

Preparer's signature ▶	Date	Check if self-employed ▶ ☐	Preparer's Identifying Number (See instructions)
Firm's name (or yours if self-employed), address, and ZIP + 4 ▶		EIN ▶	
		Phone no. ▶ ( )	

May the IRS discuss this return with the preparer shown above? See instructions ▶ ☐ Yes ☐ No

SCHEDULE A (Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

To be completed by all section 501(c)(3) organizations and section 4947(a)(1) nonexempt charitable trusts.

▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No. 1545-0047

2008

Open to Public Inspection

Name of the organization	Employer identification number
KEEP TALLAHASSEE LEON COUNTY BEAUTIFUL INC	31 1528968

Part I Reason for Public Charity Status (All organizations must complete this part.) (see instructions)

The organization is not a private foundation because it is: (Please check only **one** organization.)

1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**

2 ☐ A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E.)

3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).** (Attach Schedule H.)

4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state: ----------

5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II.)

6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**

7 ☑ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II.)

8 ☐ A community trust described in **section 170(b)(1)(A)(vi).** (Complete Part II.)

9 ☐ An organization that normally receives: (1) more than 33⅓ % of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33⅓ % of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2).** (Complete Part III.)

10 ☐ An organization organized and operated exclusively to test for public safety. See **section 509(a)(4).** (see instructions)

11 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See **section 509(a)(3).** Check the box that describes the type of supporting organization and complete lines 11e through 11h.

a ☐ Type I b ☐ Type II c ☐ Type III–Functionally integrated d ☐ Type III–Other

e ☐ By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2).

f If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box . . . . . ☐

g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

		Yes	No
(i)	A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization?	11g(i)	
(ii)	A family member of a person described in (i) above?	11g(ii)	
(iii)	A 35% controlled entity of a person described in (i) or (ii) above?	11g(iii)	

h Provide the following information about the organizations the organization supports.

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1–9 above or IRC section (see instructions))	(iv) Is the organization in col. (i) listed in your governing document?		(v) Did you notify the organization in col. (i) of your support?		(vi) Is the organization in col. (i) organized in the U.S.?		(vii) Amount of support
			Yes	No	Yes	No	Yes	No	
Total									

Part II **Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**
(Complete only if you checked the box on line 5, 7, or 8 of Part I.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2004	(b) 2005	(c) 2006	(d) 2007	(e) 2008	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")	89,966	80,282	32,338	57,598	52,188	312,372
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 **Total.** Add lines 1-3	89,966	80,282	32,338	57,598	52,188	312,372
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						0
6 **Public support.** Subtract line 5 from line 4.						312,372

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2004	(b) 2005	(c) 2006	(d) 2007	(e) 2008	(f) Total
7 Amounts from line 4	89,966	80,282	32,338	57,598	52,188	312,372
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	15	41	45	28	10	139
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)	31,275	49,429	84,918	18,168	16,435	200,225
11 **Total support.** Add lines 7 through 10						512,736
12 Gross receipts from related activities, etc. (see instructions)					12	512,736

13 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** ▶ ☐

Section C. Computation of Public Support Percentage

14 Public support percentage for 2008 (line 6, column (f) divided by line 11, column (f))	14	60.92 %
15 Public support percentage from 2007 Schedule A, Part IV-A, line 26f	15	99.95 %

16a **33⅓ % support test—2008.** If the organization did not check the box on line 13, and line 14 is 33⅓% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☑

b **33⅓ % support test—2007.** If the organization did not check a box on line 13 or 16a, and line 15 is 33⅓% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☐

17a **10%-facts-and-circumstances test—2008.** If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ▶ ☐

b **10%-facts-and-circumstances test—2007.** If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ▶ ☐

18 **Private foundation.** If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions ▶ ☐

Part III **Support Schedule for Organizations Described in Section 509(a)(2)**
(Complete only if you checked the box on line 9 of Part I.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2004	(b) 2005	(c) 2006	(d) 2007	(e) 2008	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 **Total.** Add lines 1-5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of 1% of the total of lines 9, 10c, 11, and 12 for the year or $5,000						
c Add lines 7a and 7b						
8 **Public support** (Subtract line 7c from line 6.)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2004	(b) 2005	(c) 2006	(d) 2007	(e) 2008	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
13 **Total support.** (Add lines 9, 10c, 11, and 12.)						

14 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** ▶ ☐

Section C. Computation of Public Support Percentage

15 Public support percentage for 2008 (line 8, column (f) divided by line 13, column (f))	15	%
16 Public support percentage from 2007 Schedule A, Part IV-A, line 27g	16	%

Section D. Computation of Investment Income Percentage

17 Investment income percentage for **2008** (line 10c, column (f) divided by line 13, column (f))	17	%
18 Investment income percentage from **2007** Schedule A, Part IV-A, line 27h	18	%

19a **33⅓ % support tests—2008.** If the organization did not check the box on line 14, and line 15 is more than 33⅓ %, and line 17 is not more than 33⅓ %, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☐

b **33⅓ % support tests—2007.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33⅓ %, and line 18 is not more than 33⅓ %, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☐

20 **Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ▶ ☐

Part IV **Supplemental Information.** Complete this part to provide the explanation required by Part II, line 10; Part II, line 17a or 17b; or Part III, line 12. Provide any other additional information. (see instructions)

2010/2011 Non-Departmental Funding Request Application

A. Organizational Information

Legal Name of Agency: Tallahassee Trust for Historic Preservation, Inc.

Agency Representative: Michael Wing, Executive Director

Physical Address: 423 E. Virginia Street

Mailing Address: 423 E. Virginia Street, Tallahassee, Florida 32301

Telephone: (850) 488-7334

Fax: (850) 488-7333

E-mail Address: taltrust@comcast.net

Agency Employer ID Number (FEIN): 592921039

Does the Agency have a **501(c) (3)** status? Yes: X No: _____

Date of Agency Incorporation: September 27, 1988
Attach Articles of Incorporation

Please attach the Agency's most recent 990 Tax Return or most recent completed tax return.

B. Program Information

1. Succinctly describe the program for which funding is being requested. Please include types of services provided. (Attach additional pages as necessary):

Funding is being sought for the Tallahassee Trust for Historic Preservation (TTHP) to continue to staff and maintain the records of the Tallahassee-Leon County Architectural Review Board and meet the requirements of the federal Certified Local Government program, and to provide comprehensive historic preservation services to the local government and the citizens of Leon County. Services include education, planning, and technical assistance as it pertains to preserving the community's historic properties.

Services provided by the Tallahassee Trust for Historic Preservation to Leon County Government are:

- Assist the County in fulfilling the Goals, Objectives and Policies of the Historic Preservation Element of the Tallahassee-Leon County Comprehensive Plan.

- Assist the County in fulfilling the requirements of the Federal Certified Local Government (CLG) Program including preparation and submission of the required Annual CLG Reports to the Historic Preservation Section of the Florida Department of State, Bureau of Historical Resources, ensuring appropriate enforcement of state and local legislation for designation and protection of historic properties, providing staff, technical support, and record keeping for the historic preservation review commission (Tallahassee-Leon County Architectural Review Board), maintaining a system for survey and inventory of historic properties, and reviewing the appropriateness of nominations to the National Register of Historic Places.

- Assist the County in accordance with Leon County Historic Preservation Ordinance as amended. This assistance includes administering and staffing the Tallahassee-Leon County Architectural Review Board (ARB), processing its correspondence, preparing the required legal notifications, maintaining the meeting minutes and archives of properties listed on the Tallahassee-Leon County Register of Historic Places, providing the technical information required for the Architectural Review Board to reach an informed decision, coordinating with other governmental agencies involved in the regulatory process, and providing technical support.

- Provide the County government with information about identified historic properties in the area of any proposed project and assess the effect the proposed project will have on the historic resources.

- Prepare historic preservation grant-in-aid applications.

The Tallahassee Trust for Historic Preservation will promote historic preservation and provide the citizens of Leon County with historic preservation information and education through the following:

- Maintaining a resource library of general historic preservation information, surveys, and individual property files.

- Maintaining a web site with general historic preservation information, City/County Historic preservation information, links to other historic preservation information, and Architectural Review Board forms.

- Assisting individuals and groups with information on the National and Local Registers of Historic Places, what makes a property eligible for placement on these Registers, the nomination process for placing properties on these Registers, and how to apply the Secretary of the Interior's Standards for the Treatment of Historic Properties to local historic properties.

- Providing information on financial incentives available to assist private citizens with historic rehabilitation projects.

- Providing educational and informational presentations on historic preservation, and the role it plays in a community's quality of life and economic development, to various community organizations, educational facilities, and the citizens of Leon County.

-Training future historic preservationists by providing historic preservation presentations to students enrolled in Florida State University's Public History program and Florida A&M University's Architectural program and providing internship positions for students enrolled in these programs who have an interest in pursuing a historic preservation related career.

2. Why is this funding being requested? If this funding request is not approved, what would be the impact on your agency or program for which funding is sought?

The funding is requested to continue to provide historic preservation services to the local government and the citizens of Leon County.

If the funding request is not approved the Tallahassee Trust for Historic Preservation would have to significantly reduce the level of services provided to the citizens of Leon County. It would require a significant staff reduction which would result in a reduction of informational services provided to the community and a reduction in the maintenance of the research library. It would also mean the Trust would no longer be in a position to assist the County in meeting its Certified Local Government requirements, local historic preservation goals, and land development code regulations.

3. Projected program impact/outcome results: What is the projected impact on the target population?

The projected impact/outcome is the continued preservation of Leon County's historic resources, as the preservation of a community's historic buildings, structures, objects, and sites has been found to be in the best interest of the community economic viability, and livability. This is evidenced in the National Historic Preservation Act and in numerous local zoning codes throughout the United States. The Tallahassee Trust for Historic Preservation is the local agency dedicated to promoting, preserving, and protecting the historic resources of Leon County.

4. List the targeted population projected to be served or benefit from this program.

The residents of Leon County

5. Provide the methods that are being used effectively to attain this program's targeted population.

Enforcement of existing national, state, and local historic preservation regulations, and dissemination of historic preservation information through public outreach and education.

6. Outline the phases and time frames in which this program or event will be accomplished if funded.

Meeting the provisions of the Leon County Historic Preservation Ordinance and providing Historic Preservation Services to the local government and the community is ongoing and continuous. The Architectural Review Board meets monthly on the first Wednesday of the month and professional staff from the Tallahassee Trust for Historic Preservation carry out the responsibilities and duties of the Board on a full time basis. The resource library is open to the public weekdays from 9:00 am to 4:00 pm and staff are available to answer questions or provide preservation information. Historic Preservation presentations are available and can be scheduled for almost any time, either at the office of the Historic Trust or at venues throughout the County. In addition the Trust holds quarterly lectures on current historic preservation topics, which are free and open to the public, at various local historic venues.

The time frame for the current funding request is the 2010-2011 Fiscal Year.

7. List the program's short-term, intermediate, and long-term goals.

The long-term goal is the preservation of Leon County's historic resources. This is accomplished by the ongoing goal of educating the community about the value of preserving the buildings, structures, objects, sites, and districts that represent our communities past, and the enforcement of the regulations in place to protect these irreplaceable resources.

Short term goals are to be able to employ staff that have the knowledge, education, and experience in historic preservation to provide this education to the community; provide the local government with information so that irreplaceable historic resources are included in land use planning; and to staff the Tallahassee-Leon County Architectural Review Board with experienced historic preservation professionals who can provide assessment, evaluation, and recommendations to the board to enable the board members to make an informed decision.

8. What other agencies in Leon County (governmental, non-profit, and private) provide services similar to those which would be provided by this funding?

The Tallahassee Trust for Historic Preservation is not aware of any other organization or agency in Leon County with the Tallahassee Trust for Historic Preservation's historic preservation background, experience, knowledge, and resources. The Tallahassee Trust for Historic Preservation is the successor of the Historic Tallahassee Preservation Board and the repository of area surveys, national register nominations, individual site files, and general historic preservation information.

9. List any Agency partnerships and collaboration related to this program.

Agency	Partnership/Collaboration
Leon County	Architectural Review Board
City of Tallahassee	Architectural Review Board
Florida Department of State, Bureau of Historical Resources, Historic Preservation Division	Certified Local Government Program

C. Funding Information

10. Agency's current total budget: 2009/10 $156,807 *(current)* 2010/11 $156,807 *(proposed)*

11. Total cost of program: $156,807

12. Please list the 2009/10 funding amount and associated expenditures requested from Leon County and other revenue sources:

Actual Expenditure Detail	Leon County Funded	Other Agencies Funded	Total
Compensation and Benefits	47,258	70,530	117,788
Professional Fees	30	42	72
Occupancy/Utilities/Network	9,524	13,706	23,230
Supplies/Postage	1,499	2,156	3,655
Equipment Rental, Maintenance, Purchase	1,098	1,579	2,677
Meeting Costs/Travel/Transportation	1,342	1,932	3,274
Staff/Board Development/Recruitment	297	428	725
Awards/Grants/Direct Aid	82	118	200
Bad Debts/Uncollectible			
Bonding/Liability/Directors Insurance	733	1,056	1,789
Other Expenses (please itemize) Accounting & Bookkeeping Printing Merchandise	 867 445	 1,250 640 195	 2,117 1,085 195
Total	63,175	93,632	156,807

13. Please list the following Revenue Sources for the current year and the upcoming year below:

Revenue Sources	2009/10 (Current)	2010/11 (Proposed)
Leon County (not CHSP)	63,175	63,175
City of Tallahassee (not CHSP)	80,000	80,000
United Way (not CHSP)		
State		
Federal		
Grants		
Contributions/Special Events		
Dues/Memberships	3,275	3,275

Program Service Fees		
Other Income (please itemize) Merchandise Interest Rental	 1,070 650 8,637	 1,070 650 8,637
Total	156,807	156,807

14. Please list the following expenses for the current year and the upcoming year below:

Expenses	2009/10 (Current)	2010/11 (Proposed)
Compensation and Benefits	117,788	117,788
Professional Fees	72	72
Occupancy/Utilities/Network	23,230	23,230
Supplies/Postage	3,655	3,655
Equipment Rental, Maintenance, Purchase	2,677	2,677
Meeting Costs/Travel/Transportation	3,274	3,274
Staff/Board Development/Recruitment	725	725
Awards/Grants/Direct Aid	200	200
Bad Debts/Uncollectible		
Bonding/Liability/Directors Insurance	1,789	1,789
Other Expenses (please itemize) Accounting and Bookkeeping Printing Merchandise	 2,117 1,085 195	 2,117 1,085 195
Total	156,807	156,807

15. Describe actions and fund-raisers to secure funding.

Membership Dues
Merchandise Sales

16. Will this program or event recur every year?

No: _____ Yes: X

17. Would funding by Leon County be requested in subsequent years for successful completion of the program?

No: _____ Yes: X
If "yes," estimate, the amount of next year's funding request: $63,175

18. Has Leon County ever contributed funds to this program in the past?

No: _____ Yes: x

If "yes," list date(s), recipient or agency, program title and amount of funding:

Date: FY 1997-1998 through FY 2009-2010

Recipient or Agency: Tallahassee Trust for Historic Preservation, Inc.

Program Title: Historic Preservation Services

Funding Level:		
	FY 1997-1998	$15,000
	FY 1998-1999	$20,000
	FY 1999-2000	$40,000
	FY 2000-2001	$55,000
	FY 2001-2002	$55,000
	FY 2002-2003	$55,000
	FY 2003-2004	$55,000
	FY 2004-2005	$62,500
	FY 2005-2006	$62,500
	FY 2006-2007	$63,175
	FY 2007-2008	$63,175
	FY 2008-2009	$63,175
	FY 2009-2010	$63,175

19. Attach a copy of the Agency's most recent financial report.

CERTIFICATION

I, the undersigned representative of the Agency, organization or individual making this request, certify that to the best of my knowledge all statements contained in this request and its attachments are true and correct.

Print Name: Michael Wing

Signature: Michael Wing

Date Signed: March 22, 2010

ATTACHMENTS

Articles of Incorporation

FY 2008-2009 990 Tax Return

FY 2008-2009 Financial Report

AMENDED ARTICLES OF INCORPORATION

OF

TALLAHASSEE TRUST FOR HISTORIC PRESERVATION, INC.
(A Florida Corporation Not for Profit)

FILED
97 MAY 15 AM 10:54
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

THIS IS TO CERTIFY that we, the undersigned, do hereby associate ourselves together for the purpose of establishing a corporation not for pecuniary profit under and by virtue of all other applicable laws of the State of Florida, and under the corporate name hereinafter mentioned, and we do, by this our certificate, set forth as the Articles of Incorporation of the corporation, the following:

ARTICLE I - NAME

The name of the corporation shall be the Tallahassee Trust for Historic Preservation, Inc.

ARTICLE II - TERM OF EXISTENCE

The corporation's existence shall commence with the filing of these articles with the Secretary of State. The corporation shall exist in perpetuity.

ARTICLE III - PURPOSE

The purposes for which this corporation is organized are:

1) To advance historic preservation in the region,
2) To manage properties formerly managed by the Historic Tallahassee Preservation Board,
3) To acquire and manage further properties,
4) To provide preservation services to the Department of State, to the City of Tallahassee and to Leon County and outlying communities,
5) To develop community liaison and to develop a membership committed to historic preservation
6) To provide educational services to the community, and
7) Any corporate power listed in Section 617.0302, F.S.

ARTICLE IV - MEMBERSHIP

Membership shall consist of the Board of Directors of the corporation, together with persons who fulfill membership requirements as set forth in the by-laws of the corporation.

The by-laws shall establish categories of dues paying memberships including associates and corporate memberships. Associate memberships shall consist of individuals and families without restrictions. Corporate memberships shall include corporations and other business associations or entities.

ARTICLE V - BOARD OF DIRECTORS

The affairs of the corporation shall be managed by a Board of Directors which shall be the nine (9) members of the former Historic Tallahassee Preservation Board as constituted by Section 266.112, Florida Statutes, and up to twelve (12) additional members, appointed by the Board of Directors. The names and residences of the persons who are to serve as initial directors until the first election thereof shall be as follows:

Name	Address	Term Expires
Mr. Richard A. Moore	805 North Gadsden Street Tallahassee, Florida 32301	May 15, 1998
Mr. Segundo Fernandez	702 Lothian Drive Tallahassee, Florida 32312	May 15, 2000
Ms. Emily Kemp	2132 Glenridge Drive Tallahassee, Florida 32308	May 15, 1998
Ms. Jan Dunlap	3765 Bobbin Mill Road Tallahassee, Florida 32312	May 15, 2001

Mr. James N. Eaton	2553 Lonnbladh Road Tallahassee, Florida 32308	May 15, 1999
Ms. Dorothy Inman-Crews	2121 Trescott Drive Tallahassee, Florida 32312	May 15, 1999
Ms. Sara Hay Lamb	Route 19, Box 1020 Tallahassee, Florida 32308	May 15, 1998
Ms. Mary Call Proctor	3710 Bobbin Mill Road Tallahassee, Florida 32312	May 15, 1999
Ms. Susan S. Thomas	1428 Manor House Drive Tallahassee, Florida 32312	May 15, 2000

The terms of these initial directors shall expire as designated above. The initial Directors shall be authorized to fill the additional positions. A quorum shall be a simple majority of filled positions.

Thereafter, the Directors shall be elected from the membership, as provided in the by-laws, at the annual meeting of the corporation, for a term of four years. The by-laws shall provide for staggered terms.

The Trust may seat as ex-officio members, one individual appointed by each of the following entities:

1) The Florida Secretary of State
2) Leon County Board of County Commissioners
3) Tallahassee City Commissioners

Ex-officio members shall serve for renewable one year terms.

ARTICLE VI

This corporation is organized on a non-stock basis.

ARTICLE VII - DISSOLUTION

Upon the dissolution of the corporation, the Board of Directors shall, after paying or making provisions for the payment of all the liabilities of the corporation, dispose of all of the assets of the corporation exclusively for the purposes of the corporation in such manner, or to such organization or organizations organized and operated exclusively for charitable, educational, or literary purposes as shall at the time qualify as an exempt organization or organizations under section 501(c) (3) of the Internal Revenue Code of the 1954 (or the corresponding provision of any future United States Internal Revenue Law), as the Board of Directors shall determine. Any of such assets not so disposed of shall be disposed of by the Circuit Court of the county in which the principal office of the corporation is then located, exclusively for such purposes or to such organization or organizations, as said Court shall determine which are organized and operated exclusively for such purposes.

ARTICLE VIII - ORIGINAL SUBSCRIBERS

The names and residences of the original subscribers to these Articles of Incorporation are:

Name	Address
Mr. Samuel E. Hand, Jr.	506 East Williams Street Tallahassee, Florida 32303
Mrs. Janet Snyder Mathews	4014 Red Rock Lane Sarasota, Florida 34231
Mrs. Elizabeth Sutton Messer	3529 Raymond Diehl Road Tallahassee, Florida 32308
Dr. Leedell W. Neyland	2522 Blarney Drive Tallahassee, Florida 32308
Mr. Robert C. Parker	1432 Country Club Drive Tallahassee, Florida 32301

ARTICLE IX - REGISTERED AGENT

The registered address for this corporation shall be 329 North Meridian Street, Tallahassee, Florida 32301 and the registered agent shall be the manager of the Tallahassee Trust for Historic Preservation, Inc.

ARTICLE X - BY-LAWS

The by-laws of this corporation may be made, altered or rescinded by a resolution duly adopted at any meeting of the Board of Directors by two-thirds vote of a quorum of the Directors.

ARTICLE XI - ADDITIONAL POWERS

This corporation shall have all the corporate powers now or hereafter given by the laws of the State of Florida.

ARTICLE XII - AMENDMENT

These Articles of Incorporation may be amended by resolution duly adopted at any meeting of the Board of Directors by two-thirds vote of a quorum of the Directors.

ARTICLE XIII - INDEMNIFICATION

This corporation shall indemnify any officer, director, or former officer or director to the full extent permitted under current law.

ARTICLE XIV - POLITICAL ACTION CLAUSE

No substantial part of the operations of this corporation shall be involved in any legislation.

ARTICLE XV

The principal office of the corporation shall be 329 North Meridian Street, Tallahassee, Florida.

These Amended Articles of Incorporation were approved by unanimous vote of the Historic Tallahassee Preservation Board at its meeting of May 14, 1997, in Tallahassee, Florida. The Board authorized Chairman Richard A. Moore and Vice Chairman Segundo J. Fernandez to execute these Amendments and file the same with the Secretary of State. These Amended Articles were adopted by unanimous vote of the membership on May 14, 1997.

IN WITNESS WHEREOF, the undersigned have executed these Amended Articles of Incorporation this 15 day of May, 1997.

5/15/97

Richard A. Moore,
Chairman

Segundo J. Fernandez,
Vice Chairman

Form **990-EZ**

Department of the Treasury
Internal Revenue Service

Short Form
Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

▶ Sponsoring organizations of donor advised funds and controlling organizations as defined in section 512(b)(13) must file Form 990. All other organizations with gross receipts less than $1,000,000 and total assets less than $2,500,000 at the end of the year may use this form.

▶ The organization may have to use a copy of this return to satisfy state reporting requirements.

OMB No. 1545-1150

2008

Open to Public Inspection

A For the 2008 calendar year, or tax year beginning 10/01/08 , and ending 9/30/09

B Check if applicable:
- ☐ Address change
- ☐ Name change
- ☐ Initial return
- ☐ Termination
- ☐ Amended return
- ☐ Application pending

Please use IRS label or print or type. See Specific Instructions.

C Name of organization
Tallahassee Trust for Historic Preservation, Inc.

Number and street (or P.O. box, if mail is not delivered to street address) Room/suite
423 East Virginia Street

City or town, state or country, and ZIP + 4
Tallahassee FL 32301

D Employer identification number
59-2921039

E Telephone number
850-488-7334

F Group Exemption Number ▶

- Section 501(c)(3) organizations and 4947(a)(1) nonexempt charitable trusts must attach a completed Schedule A (Form 990 or 990-EZ).

G Accounting method: ☐ Cash ☒ Accrual
Other (specify) ▶

I Website: ▶ www.taltrust.org

J Organization type (check only one)— ☒ 501(c) (3) ◀ (insert no.) ☐ 4947(a)(1) or ☐ 527

H Check ▶ ☒ if the organization is not required to attach Schedule B (Form 990, 990-EZ, or 990-PF).

K Check ▶ ☐ if the organization is not a section 509(a)(3) supporting organization and its gross receipts are normally not more than $25,000. A return is not required, but if the organization chooses to file a return, be sure to file a complete return.

L Add lines 5b, 6b, and 7b; to line 9 to determine gross receipts; if $1,000,000 or more, file Form 990 instead of Form 990-EZ ▶ $ 164,937

Part I Revenue, Expenses, and Changes in Net Assets or Fund Balances (See the instructions for Part I.)

Revenue	1	Contributions, gifts, grants, and similar amounts received			1	
	2	Program service revenue including government fees and contracts			2	151,050
	3	Membership dues and assessments See Statement 1			3	3,265
	4	Investment income Includes Gross Rental Income			4	8,552
	5a	Gross amount from sale of assets other than inventory	5a			
	b	Less: cost or other basis and sales expenses	5b			
	c	Gain or (loss) from sale of assets other than inventory (Subtract line 5b from line 5a) (attach sch.)			5c	
	6	Special events and activities (complete applicable parts of Schedule G). If any amount is from gaming, check here ▶ ☐				
	a	Gross revenue (not including $ _____ of contributions reported on line 1)	6a			
	b	Less: direct expenses other than fundraising expenses	6b			
	c	Net income or (loss) from special events and activities (Subtract line 6b from line 6a)			6c	
	7a	Gross sales of inventory, less returns and allowances	7a	2,070		
	b	Less: cost of goods sold	7b	1,002		
	c	Gross profit or (loss) from sales of inventory (Subtract line 7b from line 7a)			7c	1,068
	8	Other revenue (describe ▶)			8	
	9	Total revenue. Add lines 1, 2, 3, 4, 5c, 6c, 7c, and 8 ▶			9	163,935
Expenses	10	Grants and similar amounts paid (attach schedule)			10	
	11	Benefits paid to or for members			11	
	12	Salaries, other compensation, and employee benefits			12	111,334
	13	Professional fees and other payments to independent contractors			13	2,010
	14	Occupancy, rent, utilities, and maintenance			14	9,191
	15	Printing, publications, postage, and shipping			15	
	16	Other expenses (describe ▶ See Statement 2)			16	37,585
	17	Total expenses. Add lines 10 through 16 ▶			17	160,120
Net Assets	18	Excess or (deficit) for the year (Subtract line 17 from line 9)			18	3,815
	19	Net assets or fund balances at beginning of year (from line 27, column (A)) (must agree with end-of-year figure reported on prior year's return)			19	131,085
	20	Other changes in net assets or fund balances (attach explanation)			20	
	21	Net assets or fund balances at end of year. Combine lines 18 through 20 ▶			21	134,900

CLIENT'S COPY

Part II Balance Sheets. If Total assets on line 25, column (B) are $2,500,000 or more, file Form 990 instead of Form 990-EZ.

(See the instructions for Part II.)	(A) Beginning of year		(B) End of year
22 Cash, savings, and investments	54,906	22	57,725
23 Land and buildings	140,406	23	136,712
24 Other assets (describe ▶ See Statement 3)	50,674	24	49,893
25 Total assets	245,986	25	244,330
26 Total liabilities (describe ▶ See Statement 4)	114,901	26	109,430
27 Net assets or fund balances (line 27 of column (B) must agree with line 21)	131,085	27	134,900

For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990.

Form **990-EZ** (2008)

DAA

Form 990-EZ (2008) Tallahassee Trust for Historic 59-2921039 Page 2

Part III Statement of Program Service Accomplishments (See the instructions for Part III.)

What is the organization's primary exempt purpose?

Advance historic preservation in the community

Describe what was achieved in carrying out the organization's exempt purposes. In a clear and concise manner, describe the services provided, the number of persons benefited, or other relevant information for each program title.

		Expenses (Required for 501(c)(3) and (4) organizations and 4947(a)(1) trusts; optional for others.)
28	See Statement 5	
	(Grants $) If this amount includes foreign grants, check here ▶ ☐	28a 140,437
29		
	(Grants $) If this amount includes foreign grants, check here ▶ ☐	29a
30		
	(Grants $) If this amount includes foreign grants, check here ▶ ☐	30a
31	Other program services (attach schedule)	
	(Grants $) If this amount includes foreign grants, check here ▶ ☐	31a
32	**Total program service expenses** (add lines 28a through 31a) ▶	32 140,437

Part IV List of Officers, Directors, Trustees, and Key Employees. List each one even if not compensated. (See the instructions for Part IV.)

(a) Name and address	(b) Title and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-.)	(d) Contributions to employee benefit plans & deferred compensation	(e) Expense account and other allowances
Randy Lewis 423 East Virginia Street Tallahassee FL 32301	Past Chair 1	0	0	0
Benjamin K. Phipps 423 East Virginia Street Tallahassee FL 32301	Treasurer 1	0	0	0
Valerie Jean Conner PhD 423 East Virginia Street Tallahassee FL 32301	Director 2	0	0	0
Laura Lee Corbett 423 East Virginia Street Tallahassee FL 32301	Chair 2	0	0	0
Scott Edwards 423 East Virginia Street Tallahassee FL 32301	Director 1	0	0	0
Judy Etemadi, PhD. 423 East Virginia Street Tallahassee FL 32301	Director 2	0	0	0
Jennifer Koslow 423 East Virginia Street Tallahassee FL 32301	Director 3	0	0	0
Don Lanham 423 East Virginia Street Tallahassee FL 32301	Director 1	0	0	0
Andrew H. McLeod 423 East Virginia Street Tallahassee FL 32301	Secretary 1	0	0	0
Arleen Pabon, PhD. 423 East Virginia Street Tallahassee FL 32301	Director 1	0	0	0
Doris Pollock 423 East Virginia Street Tallahassee FL 32301	Director 1	0	0	0
Eduardo Robles 423 East Virginia Street Tallahassee FL 32301	Vice Chair 1	0	0	0
Phil Summers 423 East Virginia Street Tallahassee FL 32301	Director 1	0	0	0
Francis T. Ventre, PhD. 423 East Virginia Street Tallahassee FL 32301	Director 1	0	0	0
Mike Wing 423 East Virginia Street Tallahassee FL 32301	Ex. Director 40	54,000	7,300	0

Form 990-EZ (2008) Tallahassee Trust for Historic 59-2921039

Part V Other Information (Note the statement requirements in the instructions for Part VI.)

			Yes	No
33	Did the organization engage in any activity not previously reported to the IRS? If "Yes," attach a detailed description of each activity	33		X
34	Were any changes made to the organizing or governing documents but not reported to the IRS? If "Yes," attach a conformed copy of the changes	34		X
35	If the organization had income from business activities, such as those reported on lines 2, 6a, and 7a (among others), but not reported on Form 990-T, attach a statement explaining your reason for not reporting the income on Form 990-T.			
a	Did the organization have unrelated business gross income of $1,000 or more or section 6033(e) notice, reporting, and proxy tax requirements?	35a	X	
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	35b	X	
36	Was there a liquidation, dissolution, termination, or substantial contraction during the year? If "Yes," complete applicable parts of Schedule N	36		X
37a	Enter amount of political expenditures, direct or indirect, as described in the instr. ▶ 37a			
b	Did the organization file **Form 1120-POL** for this year?	37b		X
38a	Did the organization borrow from, or make any loans to, any officer, director, trustee, or key employee or were any such loans made in a prior year and still unpaid at the start of the period covered by this return?	38a		X
b	If "Yes," complete Schedule L, Part II and enter the total amount involved 38b			
39	Section 501(c)(7) organizations. Enter:			
a	Initiation fees and capital contributions included on line 9 39a			
b	Gross receipts, included on line 9, for public use of club facilities 39b			
40a	Section 501(c)(3) organizations. Enter amount of tax imposed on the organization during the year under: section 4911 ▶ _____ ; section 4912 ▶ _____ ; section 4955 ▶ _____			
b	Section 501(c)(3) and (4) organizations. Did the organization engage in any section 4958 excess benefit transaction during the year or did it become aware of an excess benefit transaction from a prior year? If "Yes," complete Schedule L, Part I	40b		X
c	Enter amount of tax imposed on organization managers or disqualified persons during the year under sections 4912, 4955, and 4958 ▶ _____			
d	Enter amount of tax on line 40c reimbursed by the organization ▶ _____			
e	All organizations. At any time during the tax year, was the organization a party to a prohibited tax shelter transaction? If "Yes," complete Form 8886-T	40e		X

41 List the states with which a copy of this return is filed. ▶ FL

42a The books are in care of ▶ Michael Wing Telephone no. ▶ 850-488-7334

Located at ▶ 423 E Virginia Street Tallahassee, FL ZIP + 4 ▶ 32301

			Yes	No
b	At any time during the calendar year, did the organization have an interest in or a signature or other authority over a financial account in a foreign country (such as a bank account, securities account, or other financial account)? If "Yes," enter the name of the foreign country: ▶ _____ See the instructions for exceptions and filing requirements for **Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts.**	42b		X
c	At any time during the calendar year, did the organization maintain an office outside of the U.S.? If "Yes," enter the name of the foreign country: ▶ _____	42c		X

43 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-EZ in lieu of **Form 1041**—Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the tax year ▶ 43

			Yes	No
44	Did the organization maintain any donor advised funds? If "Yes," Form 990 must be completed instead of Form 990-EZ	44		X
45	Is any related organization a controlled entity of the organization within the meaning of section 512(b)(13)? If "Yes," Form 990 must be completed instead of Form 990-EZ	45		X

Part VI **Section 501(c)(3) organizations only.** All section 501(c)(3) organizations must answer questions 46–49 and complete the tables for lines 50 and 51.

		Yes	No
46	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I		X
47	Did the organization engage in lobbying activities? If "Yes," complete Schedule C, Part II		X
48	Is the organization operating a school as described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E		X
49a	Did the organization make any transfers to an exempt non-charitable related organization?		X
b	If "Yes," was the related organization(s) a section 527 organization?		

50 Complete this table for the five highest compensated employees (other than officers, directors, trustees and key employees) who each received more than $100,000 of compensation from the organization. If there is none, enter "None."

(a) Name and address of each employee paid more than $100,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans & deferred compensation	(e) Expense account and other allowances
None				
Total number of other employees paid over $100,000 ▶				

51 Complete this table for the five highest compensated independent contractors who each received more than $100,000 of compensation from the organization. If there is none, enter "None."

(a) Name and address of each independent contractor paid more than $100,000	(b) Type of service	(c) Compensation
None		
Total number of other independent contractors each receiving over $100,000 ▶		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

▶ Signature of officer — Date

▶ Michael Wing — Executive Director

Type or print name and title.

Paid Preparer's Use Only

Preparer's signature ▶ [signature] CPA — Date 10-28-09 — Check if self-employed ▶ ☐ — Preparer's Identifying Number (See instr.) P00041026

Firm's name (or yours if self-employed), address, and ZIP + 4 ▶ Wadsworth, Humphress, Hollar & Konrad PA, 1040 E Park Ave, Tallahassee, FL 32301-2677 — EIN ▶ 59-1451178 — Phone no. ▶ 850-224-3129

May the IRS discuss this return with the preparer shown above? See instructions ▶ Yes ☐ No

SCHEDULE A
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

To be completed by all section 501(c)(3) organizations and section 4947(a)(1) nonexempt charitable trusts.

▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No. 1545-0047

2008

Open to Public Inspection

Name of the organization: Tallahassee Trust for Historic Preservation, Inc.

Employer identification number: 59-2921039

Part I Reason for Public Charity Status (All organizations must complete this part.) (see instructions)

The organization is not a private foundation because it is: (Please check only **one** organization.)

1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**

2 ☐ A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E.)

3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).** (Attach Schedule H.)

4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state: .....

5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II.)

6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**

7 ☒ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II.)

8 ☐ A community trust described in **section 170(b)(1)(A)(vi).** (Complete Part II.)

9 ☐ An organization that normally receives: (1) more than 33 1/3 % of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3 % of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2).** (Complete Part III.)

10 ☐ An organization organized and operated exclusively to test for public safety. See **section 509(a)(4).** (see instructions)

11 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See **section 509(a)(3).** Check the box that describes the type of supporting organization and complete lines 11e through 11h.

a ☐ Type I b ☐ Type II c ☐ Type III–Functionally Integrated d ☐ Type III–Other

e ☐ By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2).

f If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box ..... ☐

g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

(i) A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization? ..... 11g(i)

(ii) A family member of a person described in (i) above? ..... 11g(ii)

(iii) A 35% controlled entity of a person described in (i) or (ii) above? ..... 11g(iii)

	Yes	No
11g(i)		
11g(ii)		
11g(iii)		

h Provide the following information about the organizations the organization supports.

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1–9 above or IRC section (see instructions))	(iv) Is the organization in col. (i) listed in your governing document?		(v) Did you notify the organization in col. (i) of your support?		(vi) Is the organization in col. (i) organized in the U.S.?		(vii) Amount of support
			Yes	No	Yes	No	Yes	No	
Total									

Schedule A (Form 990 or 990-EZ) 2008 Tallahassee Trust for Historic 59-2921039

Part II **Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**
(Complete only if you checked the box on line 5, 7, or 8 of Part I.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2004	(b) 2005	(c) 2006	(d) 2007	(e) 2008	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")	159,615	158,885	161,445	157,344	154,315	791,604
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 **Total.** Add lines 1-3	159,615	158,885	161,445	157,344	154,315	791,604
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						
6 **Public support.** Subtract line 5 from line 4						791,604

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2004	(b) 2005	(c) 2006	(d) 2007	(e) 2008	(f) Total
7 Amounts from line 4	159,615	158,885	161,445	157,344	154,315	791,604
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources				195	682	877
9 Net income from unrelated business activities, whether or not the business is regularly carried on	3,328	3,431	-1,293	-2,475	-2,833	158
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)	5,492	1,405				6,897
11 **Total support.** Add lines 7 through 10						799,536
12 Gross receipts from related activities, etc. (see instructions)					12	26,934

13 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** ▶ ☐

Section C. Computation of Public Support Percentage

14	Public support percentage for 2008 (line 6, column (f) divided by line 11, column (f))	14	99.0079 %
15	Public support percentage from 2007 Schedule A, Part IV-A, line 26f	15	77.2889 %

16a **33 1/3 % support test—2008.** If the organization did not check the box on line 13, and line 14 is 33 1/3 % or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☒

b **33 1/3 % support test—2007.** If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3 % or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☐

17a **10%-facts-and-circumstances test—2008.** If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ▶ ☐

b **10%-facts-and-circumstances test—2007.** If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ▶ ☐

18 **Private foundation.** If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions ▶ ☐

Attachment # Page 171 of 386

Part III **Support Schedule for Organizations Described in Section 509(a)(2)**
(Complete only if you checked the box on line 9 of Part I.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2004	(b) 2005	(c) 2006	(d) 2007	(e) 2008	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 **Total.** Add lines 1-5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of 1% of the total of lines 9, 10c, 11, and 12 for the year or $5,000						
c Add lines 7a and 7b						
8 **Public support** (Subtract line 7c from line 6.)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2004	(b) 2005	(c) 2006	(d) 2007	(e) 2008	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
13 **Total support.** (Add lines 9, 10c, 11, and 12.)						

14 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** ▶ ☐

Section C. Computation of Public Support Percentage

15 Public support percentage for 2008 (line 8, column (f) divided by line 13, column (f))	15	%
16 Public support percentage from 2007 Schedule A, Part IV-A, line 27g	16	%

Section D. Computation of Investment Income Percentage

17 Investment income percentage for **2008** (line 10c, column (f) divided by line 13, column (f))	17	%
18 Investment income percentage from **2007** Schedule A, Part IV-A, line 27h	18	%

19a **33 1/3 % support tests—2008.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3 %, and line 17 is not more than 33 1/3 %, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☐

b **33 1/3 % support tests—2007.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3 %, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☐

20 **Private foundation.** If the organization did not check a box on line 14, 19a or 19b, check this box and see instructions ▶ ☐

Schedule A (Form 990 or 990-EZ) 2008 Tallahassee Trust for Historic 59-2921039 Page 4

Part IV **Supplemental Information.** Complete this part to provide the explanation required by Part II, line 10; Part II, line 17a or 17b; or Part III, line 12. Provide any other additional information. (see instructions)

Part II, Line 10 - Other Income Detail

Miscellaneous Revenue	$ 6,897

Statement 1 - Form 990-EZ, Part I, Line 3 - Membership Dues and Assessments

Description	Amount
Preservation Membership	$ 3,265
Total	$ 3,265

Statement 2 - Form 990-EZ, Part I, Line 16 - Other Expenses

Description	Amount
Rental of 430 sq.ft bldg	$
Accounting Fees	108
Interest	1,225
Insurance	533
Cleaning/Maintenance	326
Utilities	1,525
Non-investment Depreciation	855
Equipment Rental	110
Security Expense	61
Salaries	4,914
Payroll Taxes	377
Group Health Insurance	653
W/C Insurance	16
Expenses	
Conferences and Meetings	3,274
Mortgage Interest	4,069
Library Purchases	47
Communications	5,216
Postage & Mailing	1,207
Equipment Rental	2,064
Printing	1,086
Dues and Subscriptions	766
Insurance	3,492
Licenses and Fees	302
Office Expense	2,648
Sponsorships & Donations	2,711
Total	$ 37,585

Statement 3 - Form 990-EZ, Part II, Line 24 - Other Assets

Description	Beginning of Year	End of Year
Inventories for Sale or Use	$ 50,674	$ 49,785
Deposits		108
	50,674	49,893

Statement 4 - Form 990-EZ, Part II, Line 26 - Total Liabilities

Description	Beginning of Year	End of Year
Accounts Payable and Accrued Expenses	$	$ 1,450
Tenant Deposit	600	600
Sales Tax Payable		128
Mortgage and Other Notes Payable	114,301	107,252
	114,901	109,430

Statement 5 - Form 990-EZ, Part III, Line 28 - Statement of Program Service Accomplishments

Description
Served as staff for the Architectural Review Board for the City of Tallahassee, Florida and the Leon County Florida Board of County Commissioners. Conduct educational events and provide educational information regarding historic preservation.

Federal Statements

Form 990-EZ, Part II, Line 23 - Land and Buildings

Description	Beginning of Year	Accumulated Depreciation	End of Year	Accumulated Depreciation
Land 423 E Virginia Street	$ 40,000	$	$ 40,000	$ 0
Building-423 E. Virginia Street	119,000	21,817	119,000	24,792
Equipment	6,903	3,680	6,903	4,399
Total	$ 165,903	$ 25,497	$ 165,903	$ 29,191

FY 2008-2009 FINANCIAL REPORT

	Oct '08 - Sep 09
Ordinary Income/Expense	
Income	
602 · City Contract	87,875.00
603 · County Contract	63,175.00
610 · Membership Dues	
6105 · Corporate	1,250.00
6104 · Sponsor	1,000.00
6103 · Family	700.00
6102 · Individual	315.00
Total 610 · Membership Dues	3,265.00
614 · Merchandise Sales, Net of Costs	
615 · Merchandise Sales	2,069.43
616 · Merchandise Cost of Sales	-1,001.65
Total 614 · Merchandise Sales, Net of Costs	1,067.78
619 · Net Rental Income	
620 · Rent Income	8,329.81
629 · Less Sales Tax Remitted to DOR	-459.34
Total 619 · Net Rental Income	7,870.47
Interest Income	682.06
Uncategorized Income	0.00
Total Income	163,935.31
Cost of Goods Sold	
5000 · Cost of Goods Sold	0.00
Total COGS	0.00
Gross Profit	163,935.31
Expense	
700 · Payroll Expenses	
701 · Salaries & Wages	96,709.21
702 · FICA Tax Expense	7,391.29
703 · Unemployment Tax Expense	35.86
704 · Group Health Insurance	12,845.33
705 · Workers' Compensation Ins.	311.09
700 · Payroll Expenses - Other	0.00
Total 700 · Payroll Expenses	117,292.78
708 · Accounting/Bookkeeping Fees	2,117.65

710 · Communications	5,416.02
711 · Conferences/Conventions/Meeting	3,274.30
712 · Dues & Subscriptions	766.61
713 · Equipment Rental Expense	2,174.43
715 · Insurance	
722 · D & O Insurance	773.76
724 · BAPP Business Policy	3,249.93
Total 715 · Insurance	4,023.69
739 · Library Acquisition	47.43
740 · Licenses & Fees	302.35
745 · Mortgage Payments/Interest	12,343.03
755 · Office Expense	2,448.15
760 · Postage & Mailing Expense	1,207.49
763 · Printing Expense	1,085.76
767 · Property Maintenance	1,409.99
770 · Security Expense	263.40
771 · Sponsorship Donations	200.00
775 · Utilities	6,590.33
797 · Uncategorized Expenses	0.00
Total Expense	160,963.41
Net Ordinary Income	2,971.90
Other Income/Expense	
Other Income	
Principal Payments Transfer	7,049.10
Total Other Income	7,049.10
Other Expense	
Inventory Adjustments	2,510.61
801 · Depreciation Expense	3,694.70
Total Other Expense	6,205.31
Net Other Income	843.79
Net Income	**3,815.69**

COUNCIL ON CULTURE & ARTS
FOR TALLAHASSEE/LEON COUNTY

RECEIVED
MAR 26 2010

March 26, 2010

No bones about it. COCA needs County support more now than ever. Who doesn't, right?

But, why is COCA any different than every other organization that is included in the County's line item agency funding?

Unlike any on that list, COCA was created by statute, resolved into existence by County resolution, with an inter-local agreement with the City and is an instrumentality of the County. And, unlike other statutorily created agencies (TDC, DIA), COCA does not have a designated funding source.

There's one even more significant difference between COCA and all other non-profits in the area. COCA was also given a unique mandate to NOT seek private funds from local individuals or corporations for its own operations due to the limited private and corporate sources available to the cultural organizations it serves. This difficult mandate has been vetted and re-vetted year after year--always reaching the same conclusion--that it is one of the reasons COCA has been so instrumental in developing a healthy cultural community in spite of the funding source limitations of this area. As spokesperson and ally for all other cultural organizations, COCA can assist them in leveraging funds. Should COCA compete for those same funds without regard for that conflict of interest, everyone would lose.

COCA has historically turned over every stone to seek funding for its operation through very limited sources including the state's only local arts agency grant program. Now, the state is threatening to zero out funds to all of its cultural grants programs. Donations are harder and harder to come by, as all of our partners in the non-profit world are finding. COCA does not even have that option to consider. COCA continues to work with all of our cultural colleagues to assist them in turning over the stones that are available to them.

At the same time all of us are also being affected by higher health care costs for personnel, increased material costs, and have already and are still terminating staff.

The County allocation to COCA has not increased since 2004 despite the growth in services for the community. The Arts Exchange, now in the design phase, is a major cultural facility recommended in the Cultural Plan for which COCA is now providing all of the administrative and management support.

COCA's FY11 operating funding application to Leon County contains a request for a $50,000 increase above the FY10 level. We are also asking the City to restore the 20% cut it made to last year's contribution.

At this critical juncture, we know you will give strong consideration to this important request.

Sincerely,

Peggy Brady,
Executive Director

2009/2010 Non-Departmental Funding Application

Please Note: This request is for both direct funding for the Council on Culture and Arts and the Cultural Grants to Local Organizations that are managed by COCA and funded from Tourist Development Revenue.

A. Organizational Information

Legal name of Agency: Tallahassee/Leon County Cultural Resources Commission, also doing business as, and now known as, the Council on Culture & Arts (COCA)

Agency representative: Peggy Brady, Executive Director

Physical and Mailing Address: 816 South ML King Jr. Blvd., Tallahassee, FL 32301

Telephone: 850-224-2500

FAX: 850-224-2515

Email Address: peggy@cocanet.org

Agency Employer ID Number (FEIN): 59-2644263

Does Agency have a 501(c)3 status? Yes X No ___.

Date of Agency incorporation: 1985
"Attach Articles of Incorporation"- *this agency was incorporated by State Statute- attached*

Please attach the Agency's 2007 990 Tax Return or most recent completed tax return
This agency is considered an instrumentality by the IRS and does not submit a 990
(Please see IRS correspondence attached)

B. Program Information

1. **Succinctly describe the program for which funding is requested, including types of services provided (attach additional pages as necessary).** The Council on Culture & Arts (COCA), created by FL Statute in 1985 and resolved into being by the Leon County Commission and through inter-local agreement with the City of Tallahassee, was designated as the public agency for arts and culture. This is the annual request for funding to assist with a portion of operational costs for the FY11 fiscal year. Funds also support awards paid out to individual local organizations with a portion of overhead costs for the management of the grant program. The Arts Exchange, a project recommended by the Capital Cultural Plan and which COCA was asked to staff is now also being provided significant staffing time by COCA.

2. **Why is the funding requested? If this funding is not approved what would be the impact on your agency or program for which funding is sought?**

   - COCA exists to provide the County with expert assistance in the cultural development of Leon County. This vital cultural industry sector contributes to the economic development of the area in many ways. A recent study shows that impact to be $74 million in 2008. No other department at either the city or county exists to meet these needs nor is there any private organization available to serve the county in this manner. COCA provides assistance to Visit Tallahassee by way of focusing on the development of the cultural elements of our destination that Visit Tallahassee needs for use in its promotional efforts.

   - COCA serves on behalf of the community at large, representing citizens and multiple organizations. As an instrumentality of the County and the umbrella organization for cultural organizations and individual artists, COCA has also been given a unique mandate to NOT seek private funds from local individuals or corporations for its own operations due to the limited private and corporate sources available to the cultural organizations it serves. However, unlike other statutorily created agencies (TDC, DIA), COCA does not have a designated funding source. Without funding from Leon County, this agency would not be able to carry out the mission it was designated by the County to fulfill. The County allocation to COCA has not increased since 2004 despite the growth in services requested of COCA.

   - The County's grant program for Cultural Tourism Development is managed by COCA and will distribute $501,600 funding from the receipts of tourist development tax. With COCA's unique professional staff expertise, it designs and implements the grant program that includes application, review, and allocation of funds to local organizations that successfully complete the competitive process. Guidelines reflect the tourist development statutory requirements and the intent to promote and enhance tourism. Workshops and individual consultation are provided to all applicants increasing their ability to provide services as well as their success in navigating the application process. This funding support enables local organizations funded through the grants program to leverage support from private sources and foundations using the local support as match and as evidence of local government's continuing commitment to the cultural resources of our County. This is especially critical at this time when funding has declined for so many local organizations.

3. **Projected Program impact/outcome results-what is the projected impact on the target population.** Please see the Capital Cultural Plan for Tallahassee/Leon County (this plan will be revised and updated in 2013, the tenth anniversary).

4. **List the target population intended to be served or benefit from this program?** This agency serves the county at large and is the only designated agency or department at either the city or the county providing this service. All visitors and tourists to Leon County are targeted to benefit from this program as well.

5. **Provide the methods that are being used effectively to attain this program's targeted population?** As you will see from the attached Guide to COCA's services brochure, this agency utilizes print, electronic, individual meetings, public forums, and websites to reach the intended audiences. With the loss of TDC funding for *Capital Culture Magazine*, no such publication now exists. COCA now provides editorial content and photographs for the Visit Tallahassee Visitors Guide. Special visitor oriented projects are produced by COCA and include First Fridays and Arts in Public Places rotating exhibits in 2 galleries and in outdoor public spaces. All programs of COCA are intended to serve a broad segment of the Leon County community and reach the wider visitor and tourist market as well.

6. **Outline the phases and time frames in which this program or event will be accomplished if funded.** This is an annual request for activity that is ongoing.

7. **List the program's short term, intermediate and long term goals.**
Please see the Capital Cultural Plan for Tallahassee/Leon County (this plan will be revised and updated during 2013).

8. **What other agencies in Leon County (governmental, non-profit, and private) provide service(s) similar to those which would be provided by this funding.**
There are no similar agencies. COCA is designated by Leon County as its local arts agency.

9. **List any Agency partnerships and collaborations related to the Program. Examples:**
   - **Visit Tallahassee**-COCA provides material and content for Visitor Guide and collaborates on other initiatives.
   - **Parks and Recreation-** Winterfestival arts components, art in public parks.
   - **Leadership Tallahassee**-serve as consultant for classes and meetings related to arts and culture's impact to the community.

- **Citizens committees like Get Gaines Going, Sustainable Tallahassee and the Film Festival** –collaborate on projects and work with each of these groups to complete their goals.
- **City**-provide management of 16 exhibits at 2 public galleries; manage grant program; serve on various task forces; advise on call.
- **Local Schools-** serve as liaison between teachers and cultural organizations; produce regular newsletter and online resources for teachers in the region.
- **150 local arts and cultural organizations**-promoting events and activities.
- **Knight Foundation/Community Foundation of North Florida-** variety of community workshops and events.
- **State of Florida, Office of the Secretary of State**-various workshops and collaborative efforts

C. Funding Information

10. Agency's Current Total Budget: 2009/10 $1,534,279 (current) **2010/2011** $1,660,168 (proposed)
This is COCA's operating budget and does not include Arts Exchange capital expenses

11. Total cost of program $1,660,168

12. Please list the 2009/10 funding amount and associated expenditures requested from Leon County and other sources:

Actual Expenditure Detail FY10	Leon County Funded	Other agencies Funded	Total
Compensation and Benefits	$117,785	$280,570	$398,355
Professional Fees	$2,279	$7,721	$10,000
Occupancy/Utilities/Network	$18,354	$34,741	$53,095
Supplies/postage	$3,038	$5,062	$8,100
Equipment rental, main., purchase	$1,574	$2,104	$3,678
Meeting costs/travel/transportation	$0	$0	$0
Staff/Board development/Recruitment	$0	$0	$0
Awards/Grants/ Direct Aid	$501,600	$533,922	$1,035,522
Bad Debts	$0	$0	$0
Bonding/liability/directors insurance	$1,313	$1,755	$3,068
Other expense *(please itemize)*	$8,557	$13,904	$22,461
Marketing	*$4,696*	*$6,399*	*$11,095*
Bank Charges	*$282*	*$378*	*$660*
Cash flow for first month of next fiscal year expenses	*$3,472*	*$6,984*	*$10,456*
Dues/Subscritions	*$107*	*$143*	*$250*
Total	$654,500	$879,779	$1,534,279

This is COCA's operating budget and does not include Arts Exchange capital expenses

13. Please list the following Revenue Sources for the current and the upcoming year below:

Revenue Source	2009/10 (Current)	2010/11 (Proposed)
Leon County (not CHSP)	$ 654,500.00	$ 704,500.00
Grants to local orgs	*$ 501,600.00*	*$ 501,600.00*
COCA operating	*$ 152,900.00*	*$ 202,900.00*
City of Tallahassee	$ 721,334.00	$ 901,668.00
Grants to Local Orgs	*$ 529,298.00*	*$ 661,623.00*
COCA operating	*$ 192,036.00*	*$ 240,045.00*
United Way	*not eligible*	*not eligible*
CHSP	*not eligible*	*not eligible*
State - *State is set to eliminate all cultural grant programs for 2011*	$ 25,000.00	*eliminated grants*
Federal	$ -	*grants written for Arts Exchange*
Grants	$ 10,000.00	$ 10,000.00
Contributions/Special Events	NA	NA
Dues/membership	$ 11,000.00	$ 11,000.00
Program Services Fees	$ 35,000.00	$ 25,000.00
Other income *(please itemize)*	$ 77,445.00	$ 8,000.00
Arts license plates (increased cost of plates has decreased purchases)	*$ 8,000.00*	*$ 4,000.00*
Interest	*$ 2,000.00*	*$ 1,000.00*
From savings (depleted savings in 2010)	*$ 64,445.00*	*$ -*
Generated Revenue (ads, room rentals, etc)	*$ 3,000.00*	*$ 3,000.00*
TOTAL COCA Operating	**$ 1,534,279.00**	**$ 1,660,168.00**
This is COCA's operating budget and does not include Arts Exchange capital expenses		

2011 COCA City funding restored for grants and operations to =2009 level (difference of +$132,325 for grants and +$48,009 for COCA operations)

14. Please list the following expenses for the current year and the upcoming year

Expense	2009/10 (Current)	2010/11 (Proposed)
Compensation and Benefits-health care costs increased, personnel reduced (*Health costs increase for 2011; reduced salaries*)	$398,355	$398,355
Professional Fees-*for audit costs*	$10,000	$10,000
Occupancy/Utilities/Network-*regular rent increase*	$53,095	$55,295
Supplies/postage	$8,100	$8,100
Equipment rental, main., purchase	$3,678	$3,678
Meeting costs/travel/transportation	$0	$0
Staff/Board development/Recruitment	$0	$0
Awards/Grants/ Direct Aid -Grants *(restored to 2009 level from City and remain same for County)*	$1,035,522	$1,163,223
Bad Debts	$0	$0
Bonding/liability/directors insurance	$3,068	$3,068
Other expense *(please itemize)*	$22,461	$18,449
Marketing	*$11,095*	*$14,000*
Bank Charges	*$660*	*$600*
Cash flow for first month of next fiscal year expenses	*$10,456*	*$3,699*
Dues/Subscriptions	*$250*	*$150*
Total	$1,534,279	$1,660,168

This is COCA's operating budget and does not include Arts Exchange capital expenses

15. Describe actions and fund-raisers to secure funding other than County Funds. Unlike all other non-profit organizations, COCA is restricted from fundraising for its own operating in order to serve as an ally in the solicitation of funds pursued by the local arts and cultural orgs it serves. For 2011 the state will eliminate their source of funding for local arts agencies and perhaps all other cultural funding at the state level. Other federal grants for local arts agencies have also been reduced or eliminated. The increase to the costs of license plate renewal has impacted that collection as well which would have gone to COCA. COCA is leading the $16 million dollar capital campaign for the Arts Exchange. Federal, corporate and foundation grants are being written for that project but are not a part of COCA's operating budget, since all of those funds will go towards the construction of the Arts Exchange.

16. Will this project recur every year.
No _____ Yes ____X_____

17. Would funding by Leon County be requested in subsequent years for successful completion of the project?
No _____ Yes _____ NA

If yes estimate the amount of next year's funding request. NA

18. Has Leon County ever contributed funds to this project in the past
No_____ Yes___X_____

If yes, list date(s) recipient or agency, project title and amount of funding
Date: Every fiscal year **Recipient:** COCA

Program Title: Contract with Council on Culture & Arts

Funding level: COCA contracts FY08-$156,750 FY09-$152,950 FY10- $152,900
Grants to Local Orgs FY08-$501,600 FY09-$501,600 FY10-$501,600

19. Attach a copy of the Agency's most recent financial report

Attachments:
COCA Services Brochure
Arts Exchange Info Card
Most Recent Audit
Cultural Plan
FL Statute
IRS letter regarding 990

CERTIFICATION

I, the undersigned representative of the Agency, organization or individual making this request, certify that to the best of my knowledge all statements contained in this request and its attachments are true and correct.

Print Name: Peggy Brady

Signature: Peggy Brady

Date Signed: 3/26/10

TALLAHASSEE – LEON COUNTY CULTURAL RESOURCES COMMISSION D/B/A COUNCIL ON CULTURE AND ARTS

FINANCIAL STATEMENTS AND SUPPLEMENTARY INFORMATION

◆

For the Years Ended September 30, 2009 and 2008

CARROLL and COMPANY
CERTIFIED PUBLIC ACCOUNTANTS

TABLE OF CONTENTS

	Page No.
INDEPENDENT AUDITOR'S REPORT	1
BASIC FINANCIAL STATEMENTS	
Statements of Financial Position	3
Statements of Activities	4
Statements of Cash Flows	6
Notes to Financial Statements	7
SUPPLEMENTARY INFORMATION	
Schedules of Functional Expenses	16
REPORT REQUIRED UNDER *GOVERNMENT AUDITING STANDARDS*	
Independent Auditor's Report on Internal Control Over Financial Reporting and on Compliance and Other Matters Based on an Audit of Financial Statements Performed in Accordance with *Government Auditing Standards*	19

CARROLL and COMPANY
CERTIFIED PUBLIC ACCOUNTANTS
2640-A Mitcham Drive
Tallahassee, FL 32308-5400

(850) 877-1099
Fax (850) 878-7000
E-Mail: cpas@ccrcpa.com
Website address: www.ccrcpa.com

FREDERICK CARROLL III, CPA
ABBY F. DUPREE, CPA
KATHLEEN E. BROTHERS, CPA
STEPHANIE G. ZOTTOLI, CPA

LAUREE M. ELLIOTT, CPA

MEMBERS
AMERICAN INSTITUTE OF
CERTIFIED PUBLIC ACCOUNTANTS
FLORIDA INSTITUTE OF
CERTIFIED PUBLIC ACCOUNTANTS
GEORGIA SOCIETY OF
CERTIFIED PUBLIC ACCOUNTANTS

INDEPENDENT AUDITOR'S REPORT

To the Board of Directors
Tallahassee – Leon County Cultural Resources Commission
d/b/a Council on Culture and Arts
Tallahassee, Florida

We have audited the accompanying statements of financial position of Tallahassee – Leon County Cultural Resources Commission d/b/a Council on Culture and Arts (a non-profit organization) (the Council) as of September 30, 2009 and 2008, and the related statements of activities and cash flows for the years then ended. These financial statements are the responsibility of the Council's management. Our responsibility is to express an opinion on these financial statements based on our audits.

We conducted our audits in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards,* issued by the Comptroller General of the United States. Those standards require that we plan and perform the audits to obtain reasonable assurance about whether the financial statements are free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements. An audit also includes assessing the accounting principles used and the significant estimates made by management, as well as evaluating the overall financial statement presentation. We believe that our audits provide a reasonable basis for our opinion.

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of the Council as of September 30, 2009 and 2008, and the changes in its net assets and its cash flows for the years then ended in conformity with accounting principles generally accepted in the United States of America.

In accordance with *Government Auditing Standards,* we have also issued a report dated February 24, 2010, on our consideration of the Council's internal control over financial reporting and on our tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements and other matters. The purpose of that report is to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on the internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* and should be considered in assessing the results of our audits.

Our audits were conducted for the purpose of forming an opinion on the basic financial statements of the Council taken as a whole. The accompanying Schedules of Functional Expenses are presented for purposes of additional analysis and are not a required part of the basic financial statements. Such information has been subjected to the auditing procedures applied in the audits of the basic financial statements and, in our opinion, is fairly stated in all material respects in relation to the basic financial statements taken as a whole.

Carroll and Company

February 24, 2010

TALLAHASSEE – LEON COUNTY CULTURAL RESOURCES COMMISSION D/B/A COUNCIL ON CULTURE AND ARTS
STATEMENTS OF FINANCIAL POSITION
September 30, 2009 and 2008

ASSETS

	2009	2008
CURRENT ASSETS		
Cash	$ 65,089	$ 83,905
Investments	-0-	248,986
Other assets	3,900	3,900
TOTAL CURRENT ASSETS	68,989	336,791
PROPERTY AND EQUIPMENT, net	6,538	8,876
TOTAL ASSETS	$ 75,527	$ 345,667

LIABILITIES AND NET ASSETS

CURRENT LIABILITIES		
Accounts payable	$ -0-	$ 4,288
Deferred revenue	-0-	3,694
Refundable advance	199,585	204,514
TOTAL CURRENT LIABILITIES	199,585	212,496
NET ASSETS		
Unrestricted		
Board designated	-0-	6,327
Undesignated	(124,058)	91,531
	(124,058)	97,858
Temporarily restricted	-0-	35,313
TOTAL NET ASSETS	(124,058)	133,171
TOTAL LIABILITIES AND NET ASSETS	$ 75,527	$ 345,667

See accompanying notes.

TALLAHASSEE – LEON COUNTY CULTURAL RESOURCES COMMISSION D/B/A COUNCIL ON CULTURE AND ARTS
STATEMENTS OF ACTIVITIES
For the Years Ended September 30, 2009 and 2008

2009

	Unrestricted	Temporarily Restricted	Total
REVENUES AND OTHER SUPPORT			
County grants	$ 497,750	$ -0-	$ 497,750
City contract	240,045	-0-	240,045
County contract	156,750	-0-	156,750
In-kind contributions	48,520	-0-	48,520
Grants	40,123	-0-	40,123
Other revenue	13,686	-0-	13,686
Membership fees	11,770	-0-	11,770
License plate fees	9,420	-0-	9,420
Contributions	7,260	-0-	7,260
Interest income	4,688	-0-	4,688
Advertising revenue	2,825	-0-	2,825
Net assets released from restrictions	35,313	(35,313)	-0-
TOTAL REVENUES AND OTHER SUPPORT	1,068,150	(35,313)	1,032,837
EXPENSES			
Program services	1,137,098	-0-	1,137,098
Support services:			
Management and general	152,968	-0-	152,968
TOTAL EXPENSES	1,290,066	-0-	1,290,066
CHANGE IN NET ASSETS	(221,916)	(35,313)	(257,229)
NET ASSETS, BEGINNING OF YEAR	97,858	35,313	133,171
NET ASSETS, END OF YEAR	$ (124,058)	$ -0-	$ (124,058)

See accompanying notes.

TALLAHASSEE – LEON COUNTY CULTURAL RESOURCES COMMISSION D/B/A COUNCIL ON CULTURE AND ARTS
STATEMENTS OF ACTIVITIES (CONTINUED)
For the Years Ended September 30, 2009 and 2008

2008

	Unrestricted	Temporarily Restricted	Total
REVENUES AND OTHER SUPPORT			
County grants	$ 501,600	$ -0-	$ 501,600
City contract	236,636	-0-	236,636
Grants	177,906	35,313	213,219
County contract	156,750	-0-	156,750
In-kind contributions	42,548	-0-	42,548
Interest income	13,936	-0-	13,936
Other revenue	13,509	-0-	13,509
Membership fees	11,480	-0-	11,480
License plate fees	9,093	-0-	9,093
Advertising revenue	4,550	-0-	4,550
Contributions	4,305	-0-	4,305
Net assets released from restrictions	4,600	(4,600)	-0-
TOTAL REVENUES AND OTHER SUPPORT	1,176,913	30,713	1,207,626
EXPENSES			
Program services	1,000,355	-0-	1,000,355
Support services:			
Management and general	150,585	-0-	150,585
TOTAL EXPENSES	1,150,940	-0-	1,150,940
CHANGE IN NET ASSETS	25,973	30,713	56,686
NET ASSETS, BEGINNING OF YEAR	71,885	4,600	76,485
NET ASSETS, END OF YEAR	$ 97,858	$ 35,313	$ 133,171

See accompanying notes.

TALLAHASSEE – LEON COUNTY CULTURAL RESOURCES COMMISSION D/B/A COUNCIL ON CULTURE AND ARTS
STATEMENTS OF CASH FLOWS
For the Years Ended September 30, 2009 and 2008

	2009	2008
CASH FLOWS FROM OPERATING ACTIVITIES		
Change in net assets	$ (257,229)	$ 56,686
Adjustments to reconcile change in net assets to net cash (used in) provided by operating activities:		
Depreciation	2,338	3,365
Investment income reinvested	-0-	(5,986)
(Increase) decrease in:		
Accounts receivable	-0-	9,302
Increase (decrease) in:		
Accounts payable	(4,288)	(15,929)
Deferred revenue	(3,694)	3,694
Refundable advance	(4,929)	204,514
NET CASH (USED IN) PROVIDED BY OPERATING ACTIVITIES	(267,802)	255,646
CASH FLOWS FROM INVESTING ACTIVITIES		
Purchase of investments	-0-	(492,530)
Proceeds from sale of investments	248,986	267,530
Purchase of property and equipment	-0-	(3,371)
NET CASH PROVIDED BY (USED IN) INVESTING ACTIVITIES	248,986	(228,371)
NET (DECREASE) INCREASE IN CASH	(18,816)	27,275
CASH, BEGINNING OF YEAR	83,905	56,630
CASH, END OF YEAR	$ 65,089	$ 83,905

See accompanying notes.

TALLAHASSEE – LEON COUNTY CULTURAL RESOURCES COMMISSION D/B/A COUNCIL ON CULTURE AND ARTS
NOTES TO FINANCIAL STATEMENTS
September 30, 2009

NOTE A – *SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES*

A summary of the significant accounting policies consistently applied in the preparation of the accompanying financial statements follows.

Nature of the Organization

The Tallahassee – Leon County Cultural Resources Commission was created by the authority of Section 265.32, *Florida Statutes*, the October 18, 1985 inter-local agreement between the Tallahassee City Commission (the City) and the Board of County Commissioners of Leon County (County), and the 1985 Resolution of the Board of County Commission of Leon County to serve as the designated local arts agency for the City and the County for all purposes set out in the statutes and the organizational documents as follows:

- Stimulate greater governmental and public awareness and appreciation of the importance of the arts to the people of Tallahassee/Leon County;
- Encourage and facilitate greater and more efficient use of governmental and private resources for the development and support of the arts;
- Encourage and facilitate opportunities for Tallahassee/Leon County residents to participate in artistic activities;
- Promote the development of resident artists, arts institutions, and community organizations sponsoring arts activities and audiences;
- Survey and assess the needs of the arts, artists, arts institutions, and community organizations sponsoring arts activities and people of Tallahassee/Leon County relating to the arts;
- Support and facilitate the preservation and growth of the state's artistic resources;
- Contract for artistic services, performances, and exhibits;
- Develop a center or complex of physical facilities for the use of the arts;
- Provide financial and/or technical assistance to artists, arts institutions, and audiences; and
- Otherwise serve the citizens of Tallahassee and Leon County in the realm of the arts.

Effective October 1, 2006, the Tallahassee – Leon County Cultural Resources Commission began operating under the fictitious name Council on Culture and Arts (the Council).

Basis of Accounting and Presentation

The Council's books are maintained on the accrual basis of accounting.

NOTE A – *SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)*

Basis of Accounting and Presentation (continued)

Financial statement presentation follows the recommendations of the Financial Accounting Standards Board in its Statement of Financial Accounting Standards. In accordance with accounting principles generally accepted in the United States of America, the Council is required to report information regarding its financial position and activities according to three classes of net assets: unrestricted net assets, temporarily restricted net assets, and permanently restricted net assets. There were no permanently restricted net assets as of September 30, 2009 and 2008. There were no temporarily restricted net assets as of September 30, 2009.

Accounts Receivable

Accounts receivable are stated at the amount management expects to collect from balances outstanding at year-end; accordingly, no allowance for doubtful accounts is required.

Promises to Give

Unconditional promises to give are recognized as assets and as revenues or gains in the period received. Conditional promises to give are recognized only when the conditions on which they depend are substantially met and the promises become unconditional.

Fair Value Measurements

The Council adopted the Financial Accounting Standards Board's Accounting Standards Codification Topic 820, *Fair Value Measurements and Disclosures* (ASC 820) as of October 1, 2008. ASC 820 establishes a common definition for fair value to be applied to U.S. generally accepted accounting principles requiring use of fair value, establishes a framework for measuring fair value, and expands disclosures about fair value measurements. The standard establishes a fair value hierarchy about the assumptions used to measure fair value and clarifies assumptions about risk and the effect of a restriction on the sale or use of an asset. This standard does not require any new fair value measurements, but rather applies to all other accounting pronouncements that require or permit fair value measurements.

ASC 820 defines fair value as the exchange price that would be received for an asset or paid to transfer a liability (or exit price) in the principal or most advantageous market for the asset or liability in an orderly transaction between market participants on the measurement date. ASC 820 also establishes a fair value hierarchy which requires an entity to maximize the use of observable inputs and minimize the use of unobservable inputs when measuring fair value. The standard describes three levels of inputs that may be used to measure fair value:

NOTE A – *SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)*

Fair Value Measurements (continued)

Level 1: Observable inputs such as quoted prices (unadjusted) in active markets that are accessible at the measurement date for identical, unrestricted assets or liabilities.

Level 2: Inputs other than quoted prices included within Level 1 that are observable for the asset or liability either directly or indirectly. These include quoted prices of similar assets or liabilities in active markets; quoted prices for identical or similar assets or liabilities in markets that are not active; or other inputs that are observable or can be corroborated by observable market data for substantially the same term of the assets or liabilities.

Level 3: Unobservable inputs that are supported by little or no market activity and that are significant to the fair value of the assets or liabilities.

As of September 30, 2009, the Council had no Level 1, 2, or 3 inputs.

Property and Equipment

The Council capitalizes acquisitions of property and equipment having a useful life of more than one year and a cost of at least $500. Property and equipment are recorded at cost. Contributed assets are reported at fair market value as of the date received. Additions, improvements, and other capital outlays that exceed a threshold established by management and significantly extend the useful life of the asset are capitalized. All property and equipment are depreciated using the straight-line method over the estimated lives of the assets, ranging from three years for office and computer equipment to seven years for office furniture. Expenditures for maintenance and repairs and for equipment with a value of less than $500 are charged to expenses as incurred.

Grants and Contracts

Revenue on unconditional grants and contracts is reported when the grant or contract is received. Revenue on conditional grants and contracts is reported when expenses are incurred or contract requirements have otherwise been met. Amounts earned but not received are reported as accounts receivable. Amounts received but not earned are reported as deferred revenue.

NOTE A – *SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)*

Restricted and Unrestricted Revenues and Other Support

Support that is restricted by the donor is reported as an increase in unrestricted net assets if the restriction expires in the reporting period in which the support is recognized. All other donor-restricted support is reported as an increase in temporarily or permanently restricted net assets, depending on the nature of the restriction. When a restriction expires (that is, when a stipulated time restriction ends or purpose restriction is accomplished), temporarily restricted net assets are reclassified to unrestricted net assets and reported in the statements of activities as net assets released from restrictions. If donor-imposed restrictions are met in the year in which the contribution is received, the contribution is reported as unrestricted support.

Volunteer Services and Donated Property

During the years ended September 30, 2009 and 2008, respectively, the Council received donated services of approximately $19,360 and $4,855, and donated office space and miscellaneous items of $29,160 and $37,693, which met the criteria for recognition in accordance with accounting principles generally accepted in the United States of America, and are therefore reflected in the accompanying statements of activities (Note D).

Grants and Awards

Grants and awards are recorded as program expenses at the time of unconditional approval by the Council. The Council administers the cultural grant programs on behalf of the City and County. Grant administration includes reviewing applications, making awards, monitoring grantee performance and submitting periodic reports of the grant programs to the City and County. Distributions under the County grant program are made by the Council on a semi-annual basis and are reflected in the accompanying financial statements as program expenses. One-half of the grant funds are distributed at the time of initial approval of the award, and the remaining funds are distributed upon receipt and approval of interim reports from the grantees. Grants awarded under the City grant program are distributed directly by the City. During the years ended September 30, 2009 and 2008, the Council recommended awards totaling $649,096 and $648,295, respectively, under the City grant program. The City grant funds do not flow through the Council and, accordingly, are not reflected in the accompanying financial statements.

Investments

As of September 30, 2008, investments consisted of two certificates of deposit that matured in 2009. The fair market value of these investments is equal to their cost.

TALLAHASSEE – LEON COUNTY CULTURAL RESOURCES
COMMISSION D/B/A COUNCIL ON CULTURE AND ARTS
NOTES TO FINANCIAL STATEMENTS (CONTINUED)
September 30, 2009

NOTE A – *SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)*

Advertising Costs

The Council incurs marketing and advertising costs to promote special events. These costs are expensed as incurred and totaled $35,804 and $39,952 for the years ended September 30, 2009 and 2008, respectively. Additionally, the Council recorded in-kind contributions of $-0- and $5,048 for the years ended September 30, 2009 and 2008, respectively, to recognize the fair market value of donated marketing and advertising goods and services.

Functional Allocation of Expenses

The costs of providing various programs and other activities have been summarized on a functional basis in the statements of activities. Accordingly, certain costs have been allocated among the program and supporting services benefited. For the years ended September 30, 2009 and 2008, respectively, total expenses were comprised of eighty-eight percent (88%) and eighty-seven percent (87%) program service expenses and twelve percent (12%) and thirteen percent (13%) management and general expenses.

Use of Estimates

The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect certain reported amounts and disclosures. Actual results may differ from those estimates.

Income Taxes

The Council is exempt from state and federal income taxes under Section 501(c)(3) of the Internal Revenue Code and has been classified as an organization that is not a private foundation under Section 509(a). There was no unrelated business income for fiscal years 2009 and 2008 and, accordingly, no provision is made for federal income taxes.

NOTE B – *PROPERTY AND EQUIPMENT*

Property and equipment consisted of the following as of September 30:

	Estimated Useful Lives	2009	2008
Computer and office equipment	3 – 5 years	$ 20,725	$ 24,556
Less: Accumulated depreciation		(14,187)	(15,680)
PROPERTY AND EQUIPMENT, net		**$ 6,538**	**$ 8,876**

TALLAHASSEE – LEON COUNTY CULTURAL RESOURCES COMMISSION D/B/A COUNCIL ON CULTURE AND ARTS
NOTES TO FINANCIAL STATEMENTS (CONTINUED)
September 30, 2009

NOTE B – *PROPERTY AND EQUIPMENT (Continued)*

Depreciation expense for the years ended September 30, 2009 and 2008, respectively, totaled $2,338 and $3,365.

NOTE C – *OPERATING LEASES*

The Council leases office space and equipment under non-cancelable operating leases expiring through 2012. Future minimum payments required under these leases as of September 30, 2009 are as follows:

Year Ending September 30	
2010	$ 37,491
2011	2,478
2012	2,065
TOTAL	$ 42,034

Rent expense for the years ended September 30, 2009 and 2008 totaled $37,393 and $36,893, respectively. In addition, the Commission recorded in-kind contributions of $18,000 for each of the years ended September 30, 2009 and 2008 to recognize the fair value of the office space.

NOTE D – *IN-KIND CONTRIBUTIONS*

In-kind contributions consisted of the following during the years ended September 30:

	2009	2008
Professional services	$ 19,360	$ 4,855
Office space rental	18,000	18,000
Computer software	11,040	11,040
Miscellaneous	120	202
Marketing and advertising	-0-	5,048
Receptions and public meetings	-0-	3,403
TOTAL	$ 48,520	$ 42,548

TALLAHASSEE – LEON COUNTY CULTURAL RESOURCES
COMMISSION D/B/A COUNCIL ON CULTURE AND ARTS
NOTES TO FINANCIAL STATEMENTS (CONTINUED)
September 30, 2009

NOTE E – *CONCENTRATIONS OF CREDIT RISK*

The Commission maintains cash balances at a financial institution. Accounts are insured by the Federal Deposit Insurance Corporation up to $250,000 and $100,000 per financial institution as of September 30, 2009 and 2008, respectively. Uninsured cash balances as of September 30, 2009 and 2008 totaled $-0- and $218,985, respectively.

A substantial amount of the Council's budget is derived from local government funds. This revenue, including grants paid to local organizations, represents eighty-seven percent (87%) and seventy-four percent (74%) of total revenues for the years ended September 30, 2009 and 2008, respectively. The revenue, excluding County grants passed-through to local organizations, represents thirty-eight percent (38%) and thirty-three percent (33%) of total revenues for the years ended September 30, 2009 and 2008, respectively. With the exception of special projects, the Council requests these funds on an annual basis and the level of funding is not guaranteed.

NOTE F – *TEMPORARILY RESTRICTED NET ASSETS*

Temporarily restricted net assets as of September 30, 2008 were available for the Arts Exchange Project.

NOTE G – *ARTS EXCHANGE PROJECT*

In January, 2008, the Council entered into an agreement with ArtSpace Projects, Inc. (ArtSpace), a non-profit organization, to provide a feasibility study and pre-construction services to create a major, new construction, multipurpose arts project. The agreement provides for compensation totaling $500,000 payable in three installments:

- $100,000 payable within seven (7) days of execution of the contract,
- $200,000 payable upon ArtSpace establishing site control, and
- $200,000 payable upon ArtSpace delivering the design and financial analysis and financing and fundraising commitments.

During 2008, the Council received $375,000 from the Knight Foundation as partial funding for the pre-development phase of the project. The Knight Foundation grant agreement requires $275,000 of the funds to be held in a segregated account until matching funds are secured. A total of $4,929 and $70,486 has been matched by the Council during the years ended September 30, 2009 and 2008, respectively, with the remaining balance of $199,585 and $204,514 being reflected as a refundable advance on the statements of financial position as of September 30, 2009 and 2008, respectively.

NOTE H – *CONDITIONAL CONTRIBUTIONS*

During the year ended September 30, 2009, the Council received two conditional promises related to its Arts Exchange Project (see Note G) totaling $254,000. These promises are dependent on the successful completion of milestones outlined in the options agreement for land between the City of Tallahassee and Artspace and the Council. Recognition of these amounts as revenues will occur in the period in which the conditions noted are substantially met and the promises have become unconditional.

NOTE I – *SUBSEQUENT EVENTS*

The Council has evaluated subsequent events through February 24, 2010, the date which the financial statements were available to be issued.

SUPPLEMENTARY INFORMATION

TALLAHASSEE – LEON COUNTY CULTURAL RESOURCES COMMISSION D/B/A COUNCIL ON CULTURE AND ARTS
SCHEDULES OF FUNCTIONAL EXPENSES
For the Years Ended September 30, 2009 and 2008

2009

	Program Services	Management & General	Total
County grant payments	$ 493,125	$ -0-	$ 493,125
Salaries	232,850	97,820	330,670
Arts exchange	243,805	-0-	243,805
Donated goods and services	34,167	14,353	48,520
Office space rental	26,331	11,062	37,393
Marketing and advertising	35,804	-0-	35,804
Insurance	21,947	9,220	31,167
Payroll taxes	18,250	7,667	25,917
Professional services	8,038	3,377	11,415
Utilities	4,784	2,010	6,794
Maintenance	3,736	1,569	5,305
Supplies	2,798	1,176	3,974
Telephone and internet	2,586	1,086	3,672
Depreciation	1,646	692	2,338
Travel and hospitality	1,456	611	2,067
Receptions and public meetings	1,981	-0-	1,981
Postage and delivery	1,389	583	1,972
Miscellaneous	1,034	435	1,469
Recognitions	781	328	1,109
Finance and bank charges	-0-	731	731
Equipment	470	198	668
Dues and subscriptions	120	50	170
TOTAL FUNCTIONAL EXPENSES	$ 1,137,098	$ 152,968	$ 1,290,066

TALLAHASSEE – LEON COUNTY CULTURAL RESOURCES COMMISSION D/B/A COUNCIL ON CULTURE AND ARTS
SCHEDULES OF FUNCTIONAL EXPENSES (CONTINUED)
For the Years Ended September 30, 2009 and 2008

2008

	Program Services	Management & General	Total
County grant payments	$ 506,200	$ -0-	$ 506,200
Salaries	223,387	96,750	320,137
Arts exchange	106,014	-0-	106,014
Donated goods and services	29,784	12,764	42,548
Marketing and advertising	39,952	-0-	39,952
Office space rental	25,743	11,150	36,893
Insurance	20,610	8,926	29,536
Payroll taxes	17,795	7,707	25,502
Professional services	6,244	2,705	8,949
Maintenance	5,310	2,300	7,610
Utilities	4,610	1,996	6,606
Telephone and internet	2,983	1,292	4,275
Supplies	2,706	1,173	3,879
Depreciation	2,348	1,017	3,365
Receptions and public meetings	1,832	-0-	1,832
Recognitions	1,271	550	1,821
Postage and delivery	1,195	518	1,713
Travel and hospitality	1,130	490	1,620
Equipment	1,086	471	1,557
Finance and bank charges	-0-	716	716
Dues and subscriptions	140	60	200
Special project – education	15	-0-	15
TOTAL FUNCTIONAL EXPENSES	$ 1,000,355	$ 150,585	$ 1,150,940

REPORT REQUIRED UNDER
GOVERNMENT AUDITING STANDARDS

CARROLL and COMPANY
CERTIFIED PUBLIC ACCOUNTANTS
2640-A Mitcham Drive
Tallahassee, FL 32308-5400

(850) 877-1099
Fax (850) 878-7000
E-Mail: cpas@ccrcpa.com
Website address: www.ccrcpa.com

FREDERICK CARROLL III, CPA
ABBY F. DUPREE, CPA
KATHLEEN E. BROTHERS, CPA
STEPHANIE G. ZOTTOLI, CPA

LAUREE M. ELLIOTT, CPA

MEMBERS
AMERICAN INSTITUTE OF
CERTIFIED PUBLIC ACCOUNTANTS
FLORIDA INSTITUTE OF
CERTIFIED PUBLIC ACCOUNTANTS
GEORGIA SOCIETY OF
CERTIFIED PUBLIC ACCOUNTANTS

INDEPENDENT AUDITOR'S REPORT ON INTERNAL CONTROL OVER FINANCIAL REPORTING AND ON COMPLIANCE AND OTHER MATTERS BASED ON AN AUDIT OF FINANCIAL STATEMENTS PERFORMED IN ACCORDANCE WITH *GOVERNMENT AUDITING STANDARDS*

To the Board of Directors
Tallahassee – Leon County Cultural Resources Commission
d/b/a Council on Culture and Arts
Tallahassee, Florida

We have audited the financial statements of Tallahassee – Leon County Cultural Resources Commission d/b/a Council on Culture and Arts (a non-profit organization) (the Council) as of and for the year ended September 30, 2009, and have issued our report thereon dated February 24, 2010. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards,* issued by the Comptroller General of the United States.

Internal Control Over Financial Reporting

In planning and performing our audit, we considered the Council's internal control over financial reporting as a basis for designing our auditing procedures for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the Council's internal control over financial reporting. Accordingly, we do not express an opinion on the effectiveness of the Council's internal control over financial reporting.

Our consideration of internal control over financial reporting was for the limited purpose described in the preceding paragraph and would not necessarily identify all deficiencies in internal control over financial reporting that might be significant deficiencies or material weaknesses. However, as discussed below, we identified a deficiency in internal control over financial reporting that we consider to be a significant deficiency.

A control deficiency exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent or detect misstatements on a timely basis. A significant deficiency is a control deficiency, or combination of control deficiencies, that adversely affects the entity's ability to

initiate, authorize, record, process, or report financial data reliably in accordance with generally accepted accounting principles such that there is more than a remote likelihood that a misstatement of the entity's financial statements that is more than inconsequential will not be prevented or detected by the entity's internal control. We consider the deficiency described below to be a significant deficiency in internal control over financial reporting.

Observation:

Statement on Auditing Standards No. 112, *Communicating Internal Control Related Matters Identified in an Audit*, effective for audits of financial statements for periods ending on or after December 15, 2006, describes financial reporting as "the preparation of reliable financial statements that are fairly presented in conformity with generally accepted accounting principles." Accordingly, a key aspect of effective internal control over financial reporting is the entity's ability to prepare financial statements in accordance with accounting principles generally accepted in the United States of America (GAAP), which includes the selection and application of accounting policies affecting the financial statements. Currently, the management and employees of the Council do not possess the professional qualifications and training to fulfill this function.

Management's Response:

Management is confident that the present methods of accounting, internal controls, and financial reporting are adequate to meet the Council's needs. There have been no material weaknesses in past audits and, under current reporting methods, irregularities are not expected to occur in the future.

Because the Council processes a relatively low volume of transactions each year, has its financial statements reviewed in detail by both its Board of Directors and its Executive Committee of the Board, and electively undergoes an annual financial audit with an independent auditor, the Council's management does not believe it is necessary to undertake the additional costs and redirection of resources associated with engaging additional accounting review or training and dedicating staff to transpose current statements to GAAP formats.

A material weakness is a significant deficiency, or combination of significant deficiencies, that results in more than a remote likelihood that a material misstatement of the financial statements will not be prevented or detected by the entity's internal control.

Our consideration of the internal control over financial reporting was for the limited purpose described in the first paragraph of this section and would not necessarily identify all deficiencies in the internal control that might be significant deficiencies and, accordingly, would not necessarily disclose all significant deficiencies that are also considered to be material weaknesses. However, we do not believe that the significant deficiency described above is a material weakness.

Compliance and Other Matters

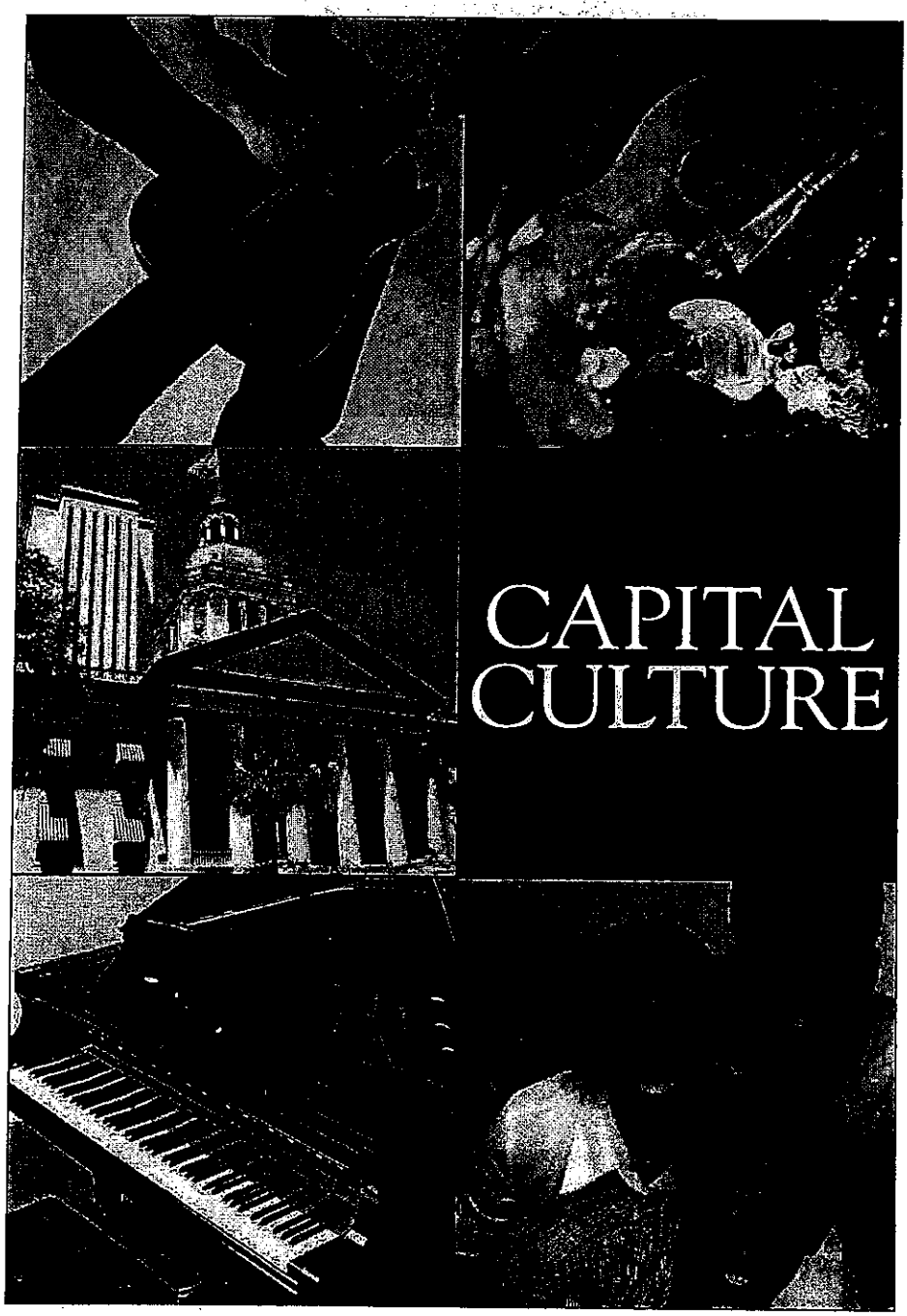
As part of obtaining reasonable assurance about whether the Council's financial statements are free of material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit and, accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards.*

We have not audited the Council's written response to the significant deficiency described above and, accordingly, we express no opinion on it.

This report is intended solely for the information and use of the Board of Directors, management, others within the organization, and state and local awarding agencies and pass-through entities and is not intended to be and should not be used by anyone other than these specified parties.

Carroll and Company

February 24, 2010



CAPITAL CULTURE

A Cultural Plan for the Future of the City of Tallahassee and the Capital Area

JUNE 2003

CAPITAL CULTURE

A Cultural Plan for the Future of the City of Tallahassee and the Capital Area

" The Cultural Plan Advisory Committee reached out to our community and listened to the dreams and goals of hundreds of citizens for building a vibrant cultural community. I can with assurance say that this plan reflects both audiences and artists, and presents a strong message to our elected and business leaders that supporting arts and heritage efforts in the Tallahassee area strengthens our quality of life. "

— Marjorie Turnbull, Co-Chair
Cultural Plan Advisory Committee

This plan was unanimously approved by a June 3, 2003 vote of the Cultural Plan Advisory Committee.

CAPITAL CULTURE

TO THE CITY COMMISSIONERS OF TALLAHASSEE...

We believe the City of Tallahassee is poised to expand its diverse and splendid array of cultural opportunities for residents and visitors. This cultural plan provides goals and strategies that demand persistence and courage to achieve.

COLLECTIVELY, WE RECOGNIZE:

- The significant role that cultural endeavors play in our economic development efforts and within our existing economy,
- The wisdom of expanding our local arts agency to assist in the growth of our arts and heritage community,
- The critical need to re-establish the importance of art in the education of our children and the disparity of opportunity that exists in our own backyard,
- The desire of our community for performing arts facilities and other structures to increase entertainment, involvement, and educational opportunities for our citizens,
- The opportunity to coordinate our marketing efforts to build audiences and enhance Tallahassee's reputation as a cultural destination and a great place to live.

We encourage and challenge our elected leaders to act boldly today by endorsing the recommendations within this plan and, day by day, nurture efforts to implement it.

Respectfully,

CULTURAL PLAN ADVISORY COMMITTEE MEMBERS:

Althemese Barnes
Flecia Braswell
Mike Bristol
Rick Carroll
Kate Collier
Sue Dick
Tim Edmond
Janet Hinkle
Terence Hinson
Elise Judelle
Beth Langford
Mike Pate
Sharon Press
Michael Sheridan
Paula Smith
Kay Stephenson
John Thomas
Marjorie Turnbull
Karen Wendland
Gil Ziffer

CAPITAL CULTURE

ACKNOWLEDGEMENTS

The Cultural Plan Advisory Committee gratefully acknowledges the following for their support and technical assistance:

Craig Dreeszen, Ph.D., *Dreeszen and Associates*
Gay Drennon, Ph.D., *Visiting Research Associate, Florida State University*
Min Cho, *Associate Director, Florida Learn and Serve*
Anita Favors, *City Manager, City of Tallahassee*
Michael Parker, *Director, Economic Development Department, City of Tallahassee*
Peggy Brady, *Executive Director,* Randi Goldstein, *Associate Director,* Clint Rilex, *Program Assistant, Cultural Resources Commission*
Caryn Bradshaw and LaToya Davis, *Graduate Interns, Florida State University*
Andrea Bird, *Assistant to the Director of Public Services, Leon County*

and also,

The City of Tallahassee *for authorizing and funding this plan,*
The Leon County Commission *for its interest and support,*
Datamaxx Corporation *for the donation of videoconferencing, and,*
the many Tallahassee and Leon County citizens who shared their hopes and dreams for our community.

CAPITAL CULTURE

TABLE OF CONTENTS

Looking Back, These Days, Tomorrow 2
Goals of the Cultural Plan 6
Purpose and Planning Methods 7
Cultural Plan Advisory Committee 9
Cultural Plan Task Force Members 10
Findings from Interviews, Focus Groups, and Survey 12
Opportunities for Improvement 14

CULTURAL PLAN

GOAL 1: Employ arts, culture and heritage to strengthen capital area economic development, to increase tourism, and to improve quality of life.
Findings and Recommendations 16

GOAL 2: Build a comprehensive network of arts and heritage education opportunities in the community and schools.
Findings and Recommendations 22

GOAL 3: Achieve sustainable public and private funding to support cultural programs and to further the goals of this cultural plan.
Findings and Recommendations 26

GOAL 4: Develop new and preserve and improve existing cultural facilities to attract and stimulate interest in Florida's capital city.
Findings and Recommendations 30

GOAL 5: Market arts and heritage to develop audiences and build the image of Tallahassee as a cultural destination.
Findings and Recommendations 34

GOAL 6: Transform the Cultural Resources Commission and expand its responsibilities to oversee implementation of the cultural plan.
Findings and Recommendations 36

CAPITAL CULTURE

LOOKING BACK . . .

Tallahassee has had a long love affair with arts and heritage. At the turn of the century, plays and vaudeville shows appeared at the Munro Opera House. The city celebrated the opening of the Capital City Theater in 1912, which provided the community the chance to see a "picture show". In the 1920s, community bands gave summer concerts in downtown parks. Staged concerts were held at Florida A&M College during its Sunday evening music hour.

- In 1949, the Tallahassee Little Theatre was presenting plays in an abandoned theater at Dale Mabry Field.
- In 1957, the Junior Museum began providing children with out of classroom, hands-on experiences relating to the natural and cultural history of their world.
- In 1963, LeMoyne Art Foundation began its devoted effort to nurture local artists and promote awareness and appreciation of fine art.
- In 1972, Anhinga Press published its first works of poetry.
- In 1975, the nationally recognized Young Actors Theatre was founded.
- In 1978, restoration began on the only historic downtown African American home which would later house the John G. Riley House Museum.

This educated and increasingly sophisticated community demanded more. In the coming years, the state's capital could boast the presence of the Tallahassee Civic Ballet and Tallahassee Symphony Orchestra to complement the increasing cultural opportunities offered by our institutions of higher learning: Florida State University, Florida A&M University and Tallahassee Community College.

CAPITAL CULTURE

THESE DAYS . .

Through the years, our community has grown rich in its diversity of cultural opportunities. Today, *A Pocket Guide to Capital Culture* lists over eighty cultural organizations and destinations. Each year, creative citizens are launching new ideas and seeking community support for their efforts. Our Junior Museum has a new name, the Tallahassee Museum of History and Natural Science, and a $1.6 million spending plan. New artistic and historical endeavors include the African Caribbean Dance Theatre, Goodwood Museum and Gardens, the Mary Brogan Museum of Art and Science, and the Tallahassee Film Society.

With the understanding that for every dollar invested in cultural programs, $16 is returned into our local economy, the City of Tallahassee has continued its commitment to provide foundational support for arts and heritage organizations. City and County leaders wisely understand that the collective support of the community through public dollar grants is a critical component in the success of non-profit cultural endeavors.

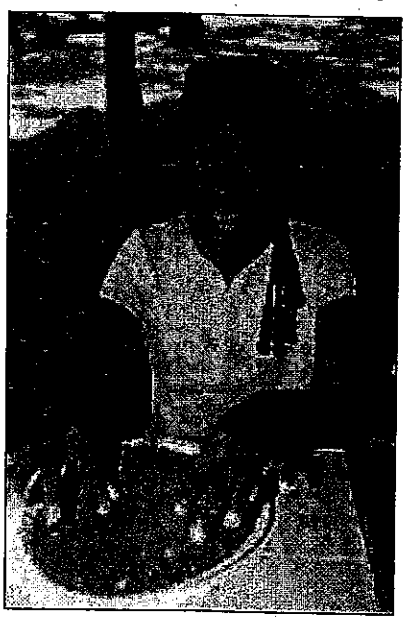
In fiscal year 2003, our local arts agency administered grants programs for the city and county, distributing $481,787 to local arts and heritage organizations. The city grants program distributed $334,787 to 19 organizations. The Leon County grant program distributed $147,000 to 18 organizations. The Mary Brogan Museum of Art and Science received line item funding from the city totaling $250,000 and $150,000 from Leon County. The Tallahassee Museum of History and Natural Science received a $50,000 line item appropriation from Leon County. The Caribbean Carnival received a $5,000 line item appropriation.

CAPITAL CULTURE

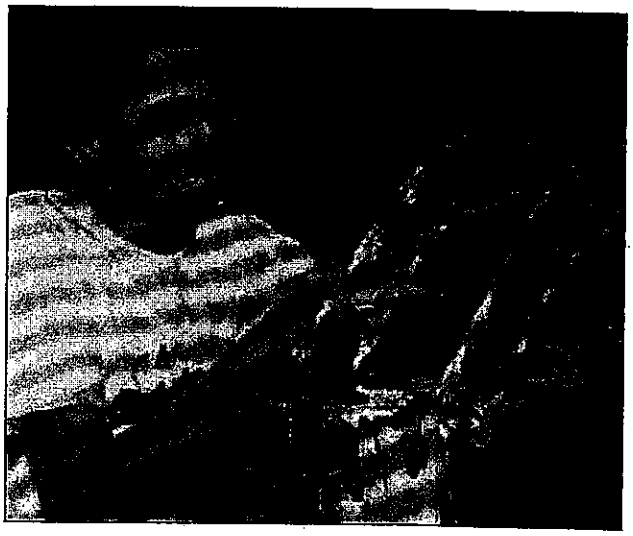
AND THEN THERE'S TOMORROW

OUR VISION: *With the implementation of our Cultural Plan, we hope to make Tallahassee, Florida's Capital City, a regional center for cultural, arts, and heritage programs.*

- Tallahassee will be recognized as a highly desirable site for individuals, families, businesses, and artists due to its outstanding cultural offerings, educational opportunities, and workforce.
- Tallahassee will have a flourishing cultural community where artists are valued and community efforts are offered to help them succeed.
- Elected officials and business leaders will elevate awareness and support of the arts to a place of prominence.
- The Cultural Resources Commission (CRC) will be renamed to better facilitate understanding of its mission. Standing committees in the area of Economic Development, Education, Marketing, Facilities, and Ways and Means will be created to provide leadership to further the goals of the Cultural Plan.
- A performing arts venue will be developed to serve community performing arts organizations, popular entertainment and traveling Broadway shows.



- A visible and easily accessed cultural center will be developed to:
  - ✓ assist in meeting the office space, rehearsal and performance needs of our local cultural organizations and artists.
  - ✓ serve as an arts incubator
  - ✓ provide administrative space for the CRC
- Tallahassee will have a healthy tourism industry with visitors seeking the abundance of performing and visual arts offerings.
- Tallahassee's creative businesses in the nonprofit and commercial sectors will grow into a substantial sector of the regional economy.
- Tallahassee will develop audiences so local arts and heritage organizations see an increase in attendance to their events.
- Arts education will reclaim its importance alongside reading and writing within our educational system, inspiring young people to include the arts as part of their lives.
- There will be a comprehensive network of arts and heritage programs in the community and in the schools.
- The City of Tallahassee and Leon County will help construct, enhance, and preserve cultural facilities important to our heritage and cultural programming.
- Cultural districts will be developed to serve as centers of artistic activity.
- The City will enhance public parks to support outdoor events.
- Adequate funding from generous public and private sources will help finance this community cultural vision.
- Public funds will be distributed to further the goals of this cultural plan.



" Proud as we are of the engine of our economy or of our military might, we know, too, that the more enduring legacy of our wealth as a people is in the canvases of our painters, the songs of our composers, and the words of our poets "

— John Brademas, President Emeritus
New York University

CAPITAL CULTURE

GOALS OF THE CULTURAL PLAN

1. Employ arts, culture, and heritage to strengthen capital area economic development, increase tourism, and improve quality of life.

2. Build a comprehensive network of arts and heritage education opportunities in the community and schools.

3. Achieve sustainable public and private funding to support cultural programs and to further the goals of this cultural plan.

4. Develop new and preserve and improve existing cultural facilities to attract and stimulate interest in Florida's Capital City.

5. Market arts and heritage to develop audiences and build the image of Tallahassee as a cultural destination.

6. Transform the Cultural Resources Commission and expand its responsibilities to oversee implementation of the cultural plan.

CAPITAL CULTURE

PURPOSE AND PLANNING METHODS

The planning process is intended to identify community needs, define goals and recommend funding and actions that will strengthen the city's arts, culture and heritage to enhance our region's quality of life and economic development.

THE CHARGE:

The Tallahassee Cultural Plan was a citizen initiative embraced by the City Commissioners to achieve the following outcomes:

- Identify Tallahassee's cultural resources.
- Produce a comprehensive cultural plan with anticipated costs to implement.
- Recommend a comprehensive City cultural funding policy.

The Cultural Plan determined goals, objectives and strategies intended to:

- Increase private-sector arts, cultural, and heritage funding.
- Market cultural offerings more effectively.
- Raise the visibility of arts, culture, and heritage.
- Encourage accessibility and diverse cultural programs and organizations.
- More closely integrate culture into the region's economic development efforts.
- Increase opportunities for all Tallahassee citizens to enjoy and participate in arts, culture, and heritage.

PUBLIC PARTICIPATION: The Cultural Plan was developed by the citizens of Tallahassee during a seven-month, highly participative process concluding in June 2003. Sixty-five community leaders actively participated in the Advisory Committee and five Task Forces. An extensive community assessment polled the opinions of over 1,000 citizens and community leaders.

The planning consultants and interns conducted 13 focus groups and 27 individual interviews from November 21, 2002 through January 18, 2003. In all they talked with 128 people representing civic and political leaders, business, higher and K-12 education, cultural organizations, artists and writers, neighborhoods, communities of color, and social service agencies.

A survey was posted on the City of Tallahassee's web site (www.talgov.com) from January 5 through 21, 2003. Invitations to participate in the survey were published in the *Tallahassee Democrat* January 5 and through numerous email distribution lists including those of the Chamber of Commerce, City of Tallahassee, The Cultural Resources Commission, cultural institutions, Leadership Tallahassee alumni and many more. Over 864 citizens responded to a community cultural assessment survey, a large response for an online survey of this type.

PURPOSE AND PLANNING METHODS *continued*

LEADERSHIP: Mayor Scott Maddox appointed a twenty-person Advisory Committee to oversee the planning. The Advisory Committee was led by Co-Chairs Janet Hinkle and Marjorie Turnbull. Task forces were chaired by Kate Collier, Elise Judelle, Paula Smith, Kay Stephenson, Karen Wendland and Gil Ziffer. Dr. Craig Dreeszen, Director of Dreeszen & Associates, provided planning assistance. Dr. Gay Drennon and Min Cho managed the planning process locally. Peggy Brady, and the staff of the Cultural Resources Commission along with student interns, Caryn Bradshaw and LaToya Davis, provided information, research, and administrative support to the planning task forces. The planning process was administered by Michael Parker, Director of the Economic Development Department.

"This cultural plan is the result of a genuinely participatory process that engaged sixty-five community leaders in planning and heard the advice of over a thousand capital area citizens."

— Dr. Craig Dreeszen, consulting planner

CAPITAL CULTURE

CULTURAL PLAN ADVISORY COMMITTEE

Ms. Althemese Barnes, *Executive Director, John G. Riley Foundation*
Committee: Audience Development and Marketing
Ms. Flecia Braswell, *Vice President, Marketing, Capital City Bank*
Committee: Private and Public Funding
Mr. Mike Bristol, *President & CEO, Tallahassee Area Convention and Visitors Bureau*
Committees: Economic Development, Tourism and Quality of Life; Audience Development and Marketing
Mr. Rick Carroll, *Carroll, Cutright, and Richardson, CPAs*
Committee: Private and Public Funding
Ms. Kate Collier, *President, Bank of America*
Co-Chair: Private and Public Funding
Ms. Sue Dick, *President, Greater Tallahassee Chamber of Commerce and Economic Development Council*, Committee: Public Cultural Facilities
Mr. Tim Edmond, *President, Capital Region, Arvida, a St. Joe Company*
Committee: Public Cultural Facilities
Ms. Janet Hinkle, *Community Volunteer*
Advisory Committee Co-Chair
Mr. Terence Hinson, *President, Hinson Realty*
Committee: Economic Development, Tourism, and Quality of Life
Ms. Elise Judelle, *Bryant, Miller, and Olive, P.A.*
Co-Chair: Private and Public Funding
Ms. Beth Langford, *Community Volunteer*
Committee: Public Cultural Facilities
Mr. Mike Pate, *President and Publisher, Tallahassee Democrat*
Committee: Arts and Heritage Education and Youth
Ms. Sharon Press, *Director, Dispute Resolution Center, Florida Supreme Court*
Committee: Private and Public Funding
Mr. Michael Sheridan, *Chairman, Fringe Benefits Managements Company*
Committee: Economic Development, Tourism, and Quality of Life
Ms. Paula Smith, *Community Volunteer*
Chair: Public Cultural Facilities
Ms. Kay Stephenson, *President & CEO, Datamaxx Group*
Chair: Economic Development, Tourism, and Quality of Life.
Mr. John Thomas, *Director of Governmental Relations, Florida League of Cities, Inc.*
Committee: Arts and Heritage Education and Youth
Ms. Marjorie Turnbull, Executive *Director, Tallahassee Community College Foundation,*
Advisory Committee Co-Chair
Ms. Karen Wendland, *Executive Director, Capital Medical Society*
Chair: Audience Development and Marketing
Mr. Gil Ziffer, *Ziffer Marketing & Communications Consultants*
Chair: Arts and Heritage Education and Youth

CAPITAL CULTURE

CULTURAL PLAN TASK FORCE MEMBERS

CULTURAL ADVISORY COMMITTEE CO-CHAIRS
Janet Hinkle, *Community Volunteer*
Marjorie Turnbull, *Executive Director, Tallahassee Community College Foundation*

ECONOMIC DEVELOPMENT, TOURISM, QUALITY OF LIFE TASK FORCE
Kay Stephenson, *Chair, President & CEO, Datamaxx Applied Technologies, Inc.*
Carmen Braswell, *Director, Seven Days of Opening Nights, Florida State University*
Mike Bristol, *President/CEO, Tallahassee Area Convention and Visitors Bureau*
Stephen Fox, *Local Artist and Volunteer*
Terence Hinson, *President, Hinson Realty*
Marilyn Larson, *Executive Director, Tallahassee Downtown Improvement Authority*
Donna McHugh, *Executive Director, Community Relations/Events, Florida State University*
Michael Sheridan, *Chairman, Fringe Benefits Management Company*
Susan Stratton, *Economic Development & Tallahassee Downtown Improvement Authority*
Del Suggs, *Performing Artist, Saltwater Music*

ARTS AND HERITAGE EDUCATION AND YOUTH TASK FORCE
Gil Ziffer, *Chair, President, Ziffer Marketing & Communication Consultants*
Julie Baroody, *Art Instructor, Humanities Faculty, Tallahassee Community College*
David Chapman, *Assistant Director, Tallahassee Parks and Recreation*
Scott Dailey, *Executive Director, Florida Institute of Government at FSU*
Ken Falana, *Professor, Visual Arts, Florida A&M University*
Kent Hamilton, *Administrator, Instructional Development/Student Assessment, Leon County Schools, Program Monitoring and Evaluation*
Anne Harris, *Assistant Superintendent, Leon County Schools*
Anita James, *Marketing Coordinator, Comcast Cable*
Sally McRorie, *Dean, School of Visual Arts and Dance, Florida State University*
Mike Pate, *President & Publisher, The Tallahassee Democrat*
Debo Powers, *Director, The School of Arts & Sciences*
Lori Danello Roberts, *President, Family Forum Magazine*
John Thomas, *Director, Florida League of Cities, Inc.*

PRIVATE AND PUBLIC FUNDING TASK FORCE
Kate Collier, *Co-Chair, Senior Vice President, Bank of America*
Elise Judelle, *Co-Chair, Bryant, Miller and Olive, P.A.*
Margo Bindhardt, *Chairman, Executive Committee, Seven Days of Opening Nights; Chairman, Florida Arts Council, Florida Department of State, Division of Cultural Affairs*
Flecia Braswell, *Vice President, Marketing, Capital City Bank*
Rick Carroll, *Carroll, Cutright, and Richardson, CPAs*
Grady Enlow, *Director, Institutional Advancement, Holy Comforter Episcopal School*

PRIVATE AND PUBLIC FUNDING TASK FORCE *continued*

JuDee Pettijohn, *Director, Florida Department of State, Division of Cultural Affairs*
Evelyn Ploumis-Devick, *Assistant Vice-President, Student Affairs and Visiting Assistant Professor, Arts Administration, Florida State University*
Sharon Press, *Director, Florida Dispute Resolution Center, Florida Supreme Court*
Mark Ravenscraft, *Managing Director, The Ravenscraft Group*
Jevelle Robinson, *Executive Director, African Caribbean Dance Theatre*
Hilmar Skagfield, *Consul General of Iceland and Chairman, Skandia Window Coverings*
Paula Smith, *Community Volunteer*

PUBLIC CULTURAL FACILITIES TASK FORCE
Paula Smith, *Chair, Community Volunteer*
Kathy Archibald, *Community Volunteer*
Rick Barnett, *Barnett Fronczak Architects*
Carmen Cummings, *District Representative, Congressman Allen Boyd*
Sue Dick, *President, Greater Tallahassee Chamber of Commerce*
Tim Edmond, *President, Capital Region, Arvida, a St. Joe Company*
Wendy Grey, *Wendy Grey Land Use Planning*
Wade Hopping, *Hopping, Green & Sams, P.A.*
Ivan Johnson, *Johnson Peterson Architects*
Beth Langford, *Community Volunteer*
Longineu Parsons, *Assistant Professor of Music, Florida A&M University*
Rodner Wright, *Dean of the School of Architecture, Florida A&M University*

AUDIENCE DEVELOPMENT AND MARKETING TASK FORCE
Karen Wendland, *Chair, Executive Director, Capital Medical Society*
Judy Bailey, *General Manager, Clear Channel Communications*
Althemese Barnes, *Executive Director, John G. Riley Foundation*
Bill Behenna, *Public Information Manager, City of Tallahassee*
John Bower, *President, Stage Promotions, Inc.*
Mike Bristol, *President & CEO, Tallahassee Convention and Visitors Bureau*
Sasha Erickson, *Tri-Eagle Sales*
Claire Evans, *Marketing Director, Comcast*
April Herrle, *President, Herrle Communications*
Luanne Lenberg, *Marketing Director, Governor's Square Mall*
Barbara Psimas, *Artist and Member of Railroad Square Artists*
Tom Tomasi, *Marketing Director, Tallahassee Democrat*
Harold Uzzell, *President, Uzzell Advertising*

STAFF
Michael Parker, *Director, Economic Development Department, City of Tallahassee*
Dr. Craig Dreeszen, *Planning Consultant, Dreeszen & Associates*
Dr. Gay Drennon, *Local Plan Coordinator, Visiting Research Associate, Florida State University*
Min Cho, *Assistant Local Plan Coordinator*
LaToya Davis, *Research Assistant, Graduate Intern, Florida State University*
Caryn Bradshaw, *Research Assistant, Graduate Intern, Florida State University*

CAPITAL CULTURE

FINDINGS FROM INTERVIEWS, FOCUS GROUPS, AND SURVEY

CULTURAL RESOURCES: Assessment participants easily identified Tallahassee's cultural strengths.

- MANY CULTURAL OPPORTUNITIES: Most interviewees characterized the region as one of rich and diverse cultural opportunities. The number and quality of programs are remarkable given the region's population and economy.

- UNIVERSITY IMPACT: The Universities are a major source of cultural programs. Faculty and students contribute to community cultural life as performers, private instructors, volunteers and audiences. FSU was cited most often. Programs like FSU's Seven Days of Opening Nights and FAMU's Black Archives Research Center and Museum work to transcend the town and gown divide.

- MUSEUM PRESENCE: Tallahassee is home to many good museums offering art, science, and historical exhibits and educational programs. The Tallahassee Museum of Natural History and Science, the FSU Museum of Fine Arts, LeMoyne Art Foundation and the Mary Brogan Museum of Art and Science were most frequently mentioned.

- ABUNDANT NONPROFITS: There are a large number of active nonprofit arts, cultural and heritage organizations. The CRC maintains a list of 70 active organizations.

- CREATIVE ARTISTS: There are nearly 500 individual artists of all disciplines on the CRC lists. Their creative talents are the source of much of the region's cultural opportunities.

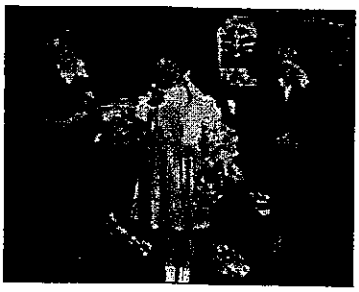
- NUMEROUS FESTIVALS: Festivals provide frequent opportunities for people from throughout the region to gather and celebrate in Tallahassee.

- HISTORY AND HERITAGE: The region's history, heritage and natural environments are preserved and interpreted by over a dozen historic sites and museums. These also have active educational programs.

FINDINGS FROM INTERVIEWS, FOCUS GROUPS, AND SURVEY

- VISUAL ARTS: There are ample opportunities to experience the visual arts. University and community art museums, commercial galleries, craft markets, outdoor fairs, and art exhibitions provide an unusually comprehensive mix of visual arts venues.

- GOOD MIX: Most artistic disciplines are represented. Audiences can experience music in classical, popular, jazz, blues, and folk idioms. Theater is available in university, community, and touring professional productions. Opera and ballet are offered. The community supports both a community and professional symphony.



- AMATEUR PARTICIPATION: There are good opportunities for amateur participation in the visual and performing arts.

- LOCAL GOVERNMENT: Elected city and county officials are aware of the region's cultural resources and of their role in affecting quality of life and economic prosperity.

- PUBLIC FUNDING: A system of cultural funding is in place and is appreciated. The CRC distributes City and County funds through a competitive grants process.

- INFORMATION AND SERVICES: The basis of a comprehensive information and service system is established. The CRC maintains a database and directory of cultural resources and maintains a web-based calendar of events.

- COOPERATION: There is promising communication and cooperation among cultural organizations and between the cultural community and tourism, economic development and downtown improvement organizations. The Attractions Alliance within the Tallahassee Area Convention and Visitors Bureau (CVB) is an encouraging sign of productive cooperation.

"Tallahassee residents support arts and cultural activities in large numbers, though many feel there are insufficient activities available in their community. The differences in perceptions among certain segments of the Tallahassee public evident in 1999, persist in 2002. African-Americans and younger residents are more likely than others to say that not enough arts and cultural activities is a problem in their community."

— Princeton Survey Research Associates
An Update of Public Opinion on Local Issues in Tallahassee, May 2002
John S. and James L. Knight Foundation Community Indicators Project

---

CAPITAL CULTURE

OPPORTUNITIES FOR IMPROVEMENT

- FUNDING: Cultural funding is inadequate to support the kinds of programs citizens identified in the survey. Many cultural organizations are struggling with funding and depend upon a disproportionate percentage of earned revenue and special events fundraising.
  - ✓ Private-sector cultural funding (individuals, corporations and small business) is not adequately tapped. The small corporate sector limits funding from that source. Individuals have been generous to sports, religious organizations, and social services, but philanthropy has not yet been well cultivated for cultural programs.
  - ✓ The City needs a comprehensive funding policy to fairly redistribute city funds consistent with priorities to be determined in this cultural plan. The County also uses the CRC re-granting system and could benefit from any improvements.
  - ✓ There is need for other sustainable public sources of funding.
  - ✓ Smaller organizations without professional development staff are at a disadvantage in the competition for private funds and grants. Some nonprofit leaders asked for fundraising training and others called for access to a grants writer.

- PERFORMING ARTS CENTER: Virtually every focus group and interviewee identified the need for a new performing arts center. The Civic Center's acoustics are reported to be inadequate for performances. Ruby Diamond is largely programmed by FSU and has limited parking.
  - ✓ FSU plans for a new performance hall need to be considered in relation to the community's needs.
  - ✓ Rehearsal space is also a problem for some performing arts groups.
  - ✓ City parks and other outdoor public spaces are important venues for performances, festivals, and exhibitions. Policies, services and costs should be examined as part of the planning.

- ECONOMIC DEVELOPMENT: Cultural attractions could be more closely integrated with regional economic development and recruitment of businesses and tourists.
  - ✓ Cultural development is interdependent with regional economic diversification.
  - ✓ The City could benefit from a cultural district.
  - ✓ Local artists and other creative entrepreneurs could be further encouraged.
  - ✓ Cultural tourism should be better exploited.
  - ✓ New residents of SouthWood and other new developments are potential new audiences, patrons and nonprofit leaders.

- AUDIENCE DEVELOPMENT AND MARKETING: While much effort has been expended to market cultural programs, more needs to be done to market to residents and visitors and to develop audiences. In spite of the rich offerings of cultural programs, people complain they don't know what there is to do.

OPPORTUNITIES FOR IMPROVEMENT *continued*

- ARTS EDUCATION: Cultural leaders are very concerned about the quality and extent of arts, cultural and heritage education opportunities in public schools. Children are not getting the comprehensive education they need.
  - ✓ Schools in low-income areas have little access to arts education.
  - ✓ There is no arts coordinator in the Leon County school system.
  - ✓ There could be more coordinated arts/cultural education advocacy from citizens.
  - ✓ There are opportunities for more partnerships between the region's cultural organizations and the schools that could enhance schools' curricula.
  - ✓ Reduced school budgets have limited transportation for field trips.
  - ✓ Cultural opportunities for youth are limited outside of school.

- ACCESS AND EQUITY: Barriers to accessibility to cultural programs prevent many people from fully participating. Barriers include cost of admission, limited public transportation, and inadequate accommodations for people with disabilities. Class and racial prejudice and mistrust across cultures sustain separations. The region's rich ethnic diversity offers great potential for more celebration and learning across cultures.

- UNIVERSITY AND COMMUNITY COOPERATION: This cultural plan and the renewed leadership at each of the three institutions of higher learning suggest the opportunity for increased communication and cooperation between the institutions and the community. Much has been done already, and this planning has stimulated more communication. Cooperative planning of cultural facilities has high potential benefit.

- INFORMATION AND SERVICES: The cultural plan will identify opportunities to provide information, training, technical assistance and other services to nonprofit organizations and artists. The CRC and others will have the opportunity to respond.

- VOLUNTEER LEADERSHIP: Staff from many cultural organizations described the increasing challenge to recruit skilled volunteers with time and energy to serve on boards of directors.

- PUBLIC ART: Several interviews and focus groups recommended that public art be a larger part of the City's public spaces.

> *"Art has a great deal to do with the quality of life we lead, not just as men and women, but as a society. Art has a great deal to do with freedom; history has taught us that when the arts decline and die, freedom declines and dies. Business requires an environment of freedom to thrive and prosper, just as art and individuals require that same environment."*
>
> — David S. Tappan, Jr.
> *Chairman and CEO, Fluor Corporation*

CAPITAL CULTURE

GOAL 1:

Employ arts, culture, and heritage to strengthen capital area economic development, increase tourism, and improve quality of life.

THE ECONOMIC DEVELOPMENT, TOURISM, AND QUALITY OF LIFE TASK FORCE

Kay Stephenson, *Chair, President & CEO, Datamaxx Applied Technologies, Inc.*

Carmen Braswell, *Director, Seven Days of Opening Nights, Florida State University*

Mike Bristol, *President/CEO, Tallahassee Area Convention and Visitors Bureau*

Stephen Fox, *Local Artist and Volunteer*

Terence Hinson, *President, Hinson Realty*

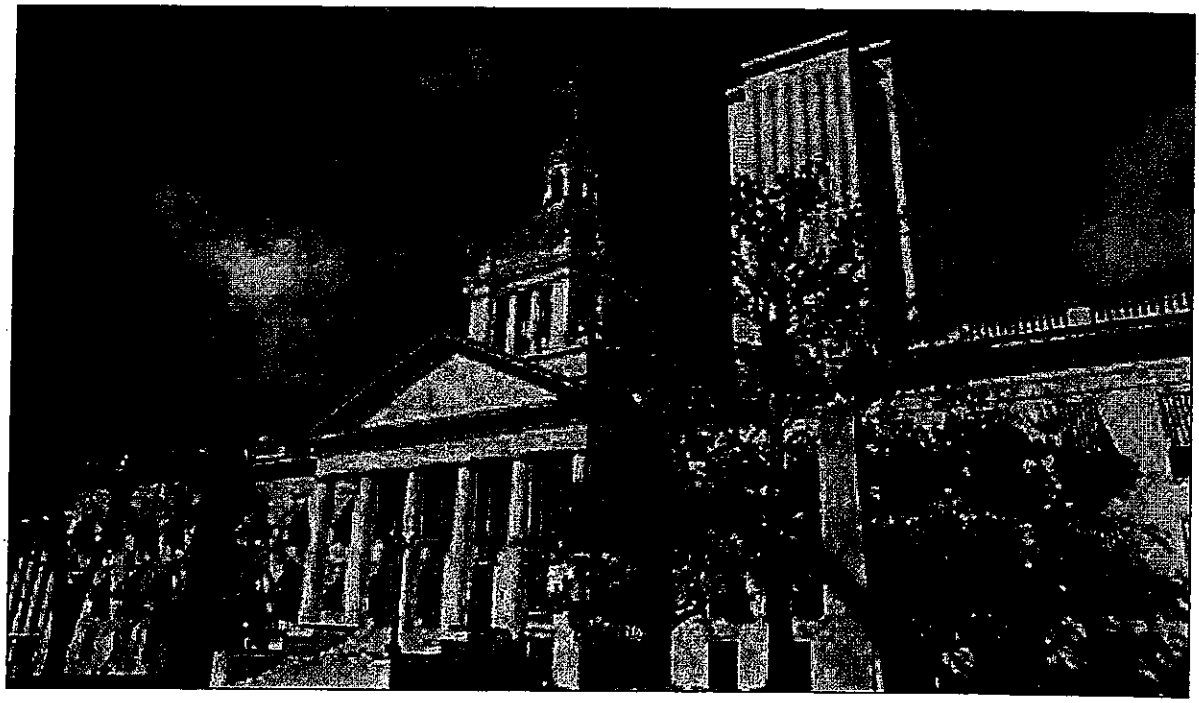
Marilyn Larson, *Executive Director, Tallahassee Downtown Improvement Authority*

Donna McHugh, *Executive Director, Community Relations/Events, Florida State University*

Michael Sheridan, *Chairman, Fringe Benefits Management Company*

Susan Stratton, *Economic Development & Tallahassee Downtown Improvement Authority*

Del Suggs, *Performing artist, Saltwater Music*



FINDINGS:

- It is estimated that for every $1 invested in the arts community, $16 in direct revenue is generated for the local economy.
- Cultural facilities and opportunities are frequently listed as an important factor when a company is considering relocating. Company executives make decisions on whether or not they themselves want to live in Tallahassee.
- A perceived lack of cultural offerings inhibits economic development efforts. Tallahassee is not seen as a destination for tourism.
- Cultural tourists stay an extra day and spend 50% more than other tourists.
- Cities where artists are valued and efforts are made to help them succeed are successful at retaining and recruiting artists.

"A thriving cultural and arts community will be a 'key driver' to economic development and job creation for the Tallahassee/Capital region. Our research was conclusive that a creative environment is a primary engine for economic growth."

—Kay Stephenson
President and CEO Datamaxx Applied Technologies, Inc
Chair, Economic Development, Tourism, and Quality of Life Task Force

GOAL 1: FINDINGS *continued*

- Nationally, more money is spent on tickets to performing events than tickets to motion pictures or sports.
- Investments in the arts yield significant returns in the long run: for example, Pittsburgh's cultural district converted less than $300,000 in sale tax subsidies into $24 million in amusement and sales tax revenues.
- The positioning of Tallahassee as a cultural destination for visitors will require both the development of expanded cultural offerings and extensive marketing of those offerings both locally and regionally. The efforts of the CVB are in the vanguard on this issue, by their promotion of local cultural events to travel agencies and tour groups.
- Film festivals demonstrate a cultural appreciation for the work of filmmakers by the communities that host them, and they have a prestige value above and beyond their production cost.

"In the ten years I lived in Greenville, S.C., I saw the downtown resurrected with new life because of the varied performing arts that became its focus. I suppose it's not just a matter of creating events, but creating an atmosphere that is conducive to the arts, an atmosphere that draws people together and allows for participating in and conversation about the arts."

— Cultural Plan Online
Survey Respondent, 2003

RECOMMENDATIONS: OBJECTIVES AND STRATEGIES

1.1 Strengthen the cultural sector's capacity to recruit business and diversify our region's economy.

1.1.1 Organize a standing Economic Development Committee within the CRC to further the economic development recommendations of the cultural plan. The committee will be chaired by a member of the CRC board and include CRC board members. The Chair will invite members of the cultural plan economic development, tourism and quality of life task force and others in the community including business leaders and those knowledgeable of economic development and tourism efforts, to serve and assist the CRC in prioritizing and pursuing economic development objectives.

1.1.2 The City Commission should support the CRC with reasonable funding.

- All of the action items proposed by the task force and those that will be contained within the final plan, require a full-time, knowledgeable staff (and oversight board) to accomplish and monitor.
- The Task Force recommends that the entire Advisory Committee first define the specific tasks to be assigned to the CRC and then develop a revised budget.

1.1.3 The City and Leon County should adopt relevant policies and provide for capital improvements to incorporate key elements of the cultural plan in the Tallahassee/Leon County Comprehensive Plan. The Comprehensive Plan can reference the full cultural plan.

1.1.4 Position the Tallahassee/Capital Area as a cultural hub through development of expanded cultural offerings and an effective marketing and advertising campaign.

- A logo, slogan and marketing campaign promoting the capital region as a hub for cultural programs should be developed.

GOAL 1: RECOMMENDATIONS: OBJECTIVES & STRATEGIES *continued*

- The CRC, Tourist Development Council (TDC), CVB, Chamber and Universities will work together so that the cultural organizations can determine the most effective way to achieve our vision "of a regional center or hub for cultural arts and heritage programs."
- For purposes of this plan, our region includes Leon, Franklin, Wakulla, Gadsden, Jefferson, and Liberty counties, as well as southern Georgia.

1.1.5 The Mayor should arrange for a meeting of business leaders with the Secretary of State and Director of the Division of Cultural Affairs to learn what, if any, resources or attention could be channeled to the capital city because of its unique position.

1.1.6 The City should develop consistent and expanded directional signage to direct visitors to cultural centers throughout the City.

1.1.7 The City and Leon County should poll arts/cultural organizations as well as the CRC to learn if local ordinances or policies have unnecessarily inhibited any of their activities.

1.1.8. The Mayor should appoint a cultural ambassador to participate in business recruitment efforts. The Cultural Ambassador should be a member of the broad based Economic Development Council (EDC) to serve as a liaison between the cultural community and the business community.

- The "Cultural Ambassador" should participate in meetings with the various site selection consultants who come to our city from time to time.
- The Cultural Ambassador should work very closely with staff of the CRC and Tallahassee Area Chamber of Commerce.

1.1.9 The City should use existing resources such as the trolley system to provide unique "welcome to our cultural and arts community" experiences utilizing on-board communication ambassadors to tell a story to our local citizens and visitors.
In regard to the trolley system:

- The TDC, CRC, and CVB should work with Taltran to develop an updated budget to further the goals of the cultural plan.
- The TDC should develop advertisements that would pass the current city policies, which restrict the advertising on trolleys and buses. This advertising could be used to raise revenues.
- The trolley concept could be used to link the campuses of FSU, FAMU and perimeter hotels to downtown in order to increase participation in and linkages between campus cultural activities and businesses downtown (hotels and restaurants, for example). The hours of the trolley could be extended to include evening and nighttime hours.
- A Cultural Route should be established. This service could be advertised on numerous community websites such as the Chamber, EDC, and CVB as well as many others including websites of local developers as part of the packaging and promoting of the Tallahassee/Capital area region.

1.2 Help cultural attractions reinforce Tallahassee's appeal to visitors.

1.2.1 Provide incentives and guaranteed services of the city to facilitate the development of cultural districts (see Goal 4)

1.2.2 The CVB and the CRC should collaborate to increase the marketing of cultural events to visitors, tour groups and tour operators.

- This marketing should be done on a regional level with promotion in regional and local media.
- Marketing can also be done on a local level with material in hospitality businesses promoting local cultural offerings.

GOAL 1: RECOMMENDATIONS: OBJECTIVES & STRATEGIES *continued*

- The private development of closed-circuit or even cable television with a channel dedicated to promotion of local cultural, arts and heritage offerings in hotel rooms or city-wide (as is done in Panama City and other areas with an established tourism economy) should be encouraged.
- The city could develop an information "scroll" on WCOT that would provide up to date information regarding cultural activities in the region. In order to alert people to the existence of the CRC website and the proposed TV scroll, we suggest a weekly purchase of a banner advertisement in the *Tallahassee Democrat* that would say something to the effect –"For a comprehensive listing of all cultural and arts activities scheduled for the following week, we suggest you refer to either www. netcrc.org or WCOT as well as the Limelight section of this paper."
- The CVB should expand their current promoting of individual events (e.g., the Winter Festival) and engage in broader marketing of Tallahassee's year-round cultural offerings.

1.3 Local creative businesses should be encouraged to become a strong economic sector.

1.3.1 Encourage existing programs that provide opportunities for creative businesses. Seek opportunities to promote programs such as:

- The Downtown Marketplace (formerly the "Farmers' Market"), sponsored by the local Downtown Improvement Authority and Downtown Merchants' Association, which encourages a local arts economy through its Saturday morning event.
- The "First Friday Gallery Hop," where patrons and customers are encouraged to visit multiple galleries on the first Friday evening of each month.

> *" Cultural activities attract tourists and spur the creation of ancillary facilities such as restaurants, hotels, and the services needed to support them. "*
> "The Role of the Arts in Economic Development"
> National Governors Association, 2001

1.3.2 The Board of the CRC should dialogue with local government and community groups to further understanding of the economic potential of the arts industry.

1.3.3 The City's Economic Development Department should dialogue with local financial institutions or SBA about the potential for returns on financing arts businesses. Financing an art gallery, record label, or publishing house is different from financing a restaurant or more common retail establishment. Understanding their particular needs and possibilities will offer greater financial opportunities for local arts businesses. The Department should also investigate the possibility of federal programs that may be available to finance arts businesses.

1.3.4 The City should encourage and support new local arts and music festivals. Established events such as the Tallahassee Museum's Market Days, The Winter Festival, Springtime Tallahassee, the Caribbean Carnival, the Southern Shakespeare Festival, LeMoyne's Arts in the Park and Tallahassee: Seven Days of Opening Nights demonstrate the potential for more and better local arts and music festivals, thereby stimulating growth in the arts sector.

1.3.5 The CRC should continue to be a resource to events planners to direct them to the appropriate offices (permitting, security, street closings, etc) to support their events.

1.3.6 The city should enhance its public art program. Exhibit space in public buildings should be made available to allow more local artists to present their work. The CRC should be a resource to for artists' names and contact information to enable the private sector to more easily do the same.

1.3.7 Local musical works should be utilized on WCOT. Instead of using "canned" music purchased on compact disc from another state, WCOT could purchase music from local artists and use it exclusively.

GOAL 1: RECOMMENDATIONS: OBJECTIVES & STRATEGIES *continued*

1.3.8 The Convention and Visitors Bureau should consider recommending local artists to conference and convention groups seeking entertainment for their meetings, in the same way it might recommend local caterers or audio/visual suppliers.

1.3.9 The City should encourage the Chamber of Commerce to create an "Arts Industry Committee" to learn about the business of art.

- The arts industry should be considered as a target business in the same way the "technology sector" is targeted.
- The Tallahassee Chamber of Commerce could have a recurring spot in their annual retreat for understanding the "Arts as a Business" for our community and region and how the arts can act as a catalyst for economic development.

1.3.10 The City should develop cultural districts as outlined in Goal 4.

1.3.11 Create an "Arts Business Incubator" component within the cultural center described in Goal 4 to assist new businesses in becoming successful. Such a facility might contain gallery space, studio space, office space, and cooperative administrative assistance.

1.3.12 The CRC should investigate the possibility of economic development funds for short-term, low interest loans to art businesses. Coupled with tradition financing, these funds may encourage the establishment of additional galleries and other arts-related businesses.

1.3.13 The City should be supportive of the development of a local film festival in conjunction with the Tallahassee Film Society.

1.3.14 Local government could offer unused space in warehouses or other publicly owned buildings for studio space. With a reduced or subsidized rent, vacant buildings could become thriving studios for visual artists and rehearsal spaces for local performers. Discuss space possibilities with the Leon County School Board.

1.3.15 Investigate the creation of a "Commercial Music" program. Such a program should cover areas such as artist management and development, recording and engineering, marketing, booking, business operations, etc.

1.3.16 The CVB and film related organizations should advance the development of a local film industry.

1.3.17 Establish an "Arts Industry Liaison" within the city's Economic Development Department.

- This office could promote local art and artists to a regional and national market.
- It could also promote the quality and caliber of area art and artists to a broader market, and assist in positioning Tallahassee as a regional or even national cultural center. It could also assist in economic development by locating potential commercial gallery, retail, studio, and office space for arts businesses.

1.3.18 Local presses and publishing houses should be encouraged and supported.

GOAL 1: RECOMMENDATIONS: OBJECTIVES & STRATEGIES *continued*

1.3.19 Local CD and DVD duplication and manufacturing businesses should be supported or recruited to facilitate the growth of Tallahassee's recording industry, software development companies, and electronic data firms.

1.4 Advance artists of all disciplines in the community, region, and nation.

1.4.1 Promote public art exhibits and venues and encourage local offices/businesses and non-profit organizations to display local artists' work and promote programs consistent with the cultural plan.

1.4.2 The CRC should encourage increased purchase of and exhibition of local art by local government and businesses. There should be local art hanging in the lobbies and offices of local businesses, governmental offices, and local sculpture in the parks. Encouragement and support of local artists should be emphasized.

> *" What's good for the arts is good for the economy. The mayors of cities with strong economies tell us that the arts have helped their communities thrive. Support for our nation's cultural organizations is sound public policy. "*
>
> —Rep. Louise M. Slaughter
> U.S. House of Representatives
> Chair, Congressional Arts Caucus

1.4.3 The CRC marketing committee and the CVB should work for a broader recognition of our outstanding artists in the local media. Feature stories on visual, performing and literary artists would engender and reinforce an appreciation of our artists and their contribution to our city.

1.4.4 The city should recognize the value of performing artists through adequate compensation for appearing in government-sponsored events and thereby set a new standard for privately-sponsored events.

1.4.5 The City's Economic Development Department could reach out to major art buyers to encourage purchase of works by local artists. These buyers should include out-of-town gallery owners and commercial buyers (such as high-end hotels and restaurants).

1.4.6 The Economic Development Department should explore the establishment of a short-term low-interest loan program from economic development funds for artists to utilize as "bridge loans" to complete works in progress.

1.4.7 The city should establish a Tallahassee Artists "Hall Of Fame" to recognize the contributions of local artists. Inductees could include those whose art has impacted the world and those whose art has had an enduring local impact in the areas of teaching and life long service to the arts.

CAPITAL CULTURE

GOAL 2:
Build a comprehensive network of arts and heritage education opportunities in the community and schools

ARTS AND HERITAGE EDUCATION AND YOUTH TASK FORCE

Gil Ziffer, *Chair, President Ziffer Marketing and Communication Consultants*

Julie Baroody, *Art Instructor, Humanities Faculty, Tallahassee Community College*

David Chapman, *Assistant Director, Tallahassee Parks and Recreation*

Scott Dailey, *Executive Director, Florida Institute of Government at FSU*

Ken Falana, *Professor, Visual Arts, Florida A&M University*

Kent Hamilton, *Administrator, Instructional Development/Student Assessment, Leon County Schools, Program Monitoring and Evaluation*

Anne Harris, *Assistant Superintendent, Leon County Schools*

Anita James, *Marketing Coordinator, Comcast Cable*

Sally McRorie, *Dean, School of Visual Arts and Dance, Florida State University*

Mike Pate, *President & Publisher, The Tallahassee Democrat*

Debo Powers, *Director, The School of Arts & Sciences*

Lori Danello Roberts, *President, Family Forum Magazine*

John Thomas, *Director, Florida League of Cities, Inc.*

FINDINGS:

- The arts teach children to be more tolerant and open, allow children to express themselves creatively, promote individuality, bolster self-confidence, and improve overall academic performance. The arts can also help troubled youth by providing an alternative to delinquent behavior and truancy while providing an improved attitude towards school.
- Exposure to and nurturing of the arts help students develop a positive work ethic and pride in a job well done.
- The arts have a measurable impact on youth at risk in deterring delinquent behavior and truancy problems, while increasing overall academic performance among those youth engaged in after school and summer arts programs targeted toward delinquency prevention. Study after study reveals a powerful relationship between study in the arts

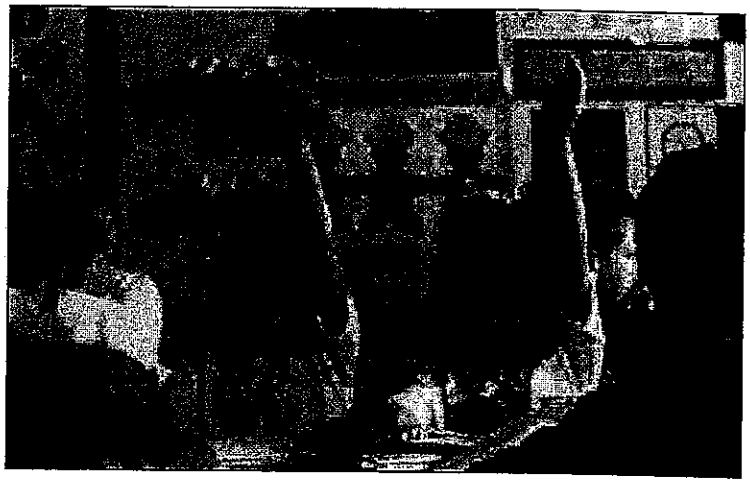
> *"Think what it would say about Tallahassee if fine arts opportunities for young people were visible at every turn and a part of their daily existence. Our young people are being starved of an arts education and this trend must be reversed."*
>
> — Gil Ziffer, Chair,
> Arts and Heritage Education and Youth Task Force

GOAL 2: FINDINGS *continued*

and other academic, attitudinal, and behavioral achievements. Many specific studies can be referenced at: http://www.artsusa.org/public_awareness/pac_article.asp?id=613.

- In Florida, statewide art assessments are not required; therefore, it is up to each district to assess student performance in the arts.
- As outlined in the State of Florida's Sunshine State Standards, the arts are included (along with language arts, mathematics, science, social studies, health and physical education, foreign language, reading, writing, history, government, geography, economics, and computer literacy) as a part of a "sound education" and "key subject areas" for which to base student performance standards.
- Funding for arts education is at considerable risk as of this writing with drastic cuts proposed in state arts funding.
- Our local schools are forced to provide support for their arts curriculum with auxiliary funding (i.e.: discretionary district funds, proceeds from fundraisers, private and business contributions). This is partially the result of the cutbacks in state funding. Local property taxes, which support our schools, are limited due to the geographic make-up of Tallahassee. West and southside schools have considerably less supplemental funding, primarily due to a lack of volunteer involvement, poor support for fundraisers, and the use of discretionary funds to support programs that appear to directly affect FCAT scores.
- The Tallahassee community, as a whole, is not aware of, or chooses not to appreciate, the direct correlation between an arts education and standardized test scores.
- Tallahassee is rich in cultural opportunities (through the universities and local private arts organizations) that, with support, can serve as a bridge to match local artists, musicians, performers, etc., with specific needs of our schools.
- Presently, there is no comprehensive, cohesive network of arts and heritage educational programs in and around Tallahassee. With such a network, the community could have much broader knowledge of local arts and heritage programs already available to them; partnerships that could be nurtured with such a network can more readily allow agencies and programs the ability to offer teachers, home-school facilitators, parents, and providers of after-school programs opportunities to share their talents and resources.
- Other communities which value themselves as culturally rich, provide considerable support to arts education. The successful ones do not replace educational

> *" As tools for learning, the arts and humanities have a positive impact on our children's cognitive development, their confidence, and their motivation. "*
>
> — President George Bush,
> Letter for National Arts and Humanities Month, 2002



programs with community funding and resources; they enhance them with the community funding and resources.

- Those who strive to provide our community with culturally rich opportunities and programs have to work much too hard and rely much too heavily on volunteer efforts to provide this invaluable service to our children.
- If the community does not step up to more successfully support arts education, we will be raising an entire generation of individuals who do not appreciate the arts nor personally and collectively benefit from the arts.

> *" Over 90% of America's top CEOs and corporate presidents had music lessons in their youth. Over 90% of the criminals on death row did not "*
>
> — Patrick Kavanaugh,
> Author *Raising Musical Kids*, 1995

RECOMMENDATIONS: OBJECTIVES & STRATEGIES

2.1 The CRC should take the overall lead in coordinating community action to implement arts and heritage education objectives

2.1.1 A standing Youth and Education Advisory Committee within the CRC should be organized to further the recommendations of the cultural plan. The Committee will be chaired by a member of the CRC board and include CRC board members. The Chair will invite members of the Cultural Plan Arts and Heritage Education and Youth task force and others in the community including those with expertise in arts and heritage education and outreach services to serve and assist the CRC in prioritizing and pursuing education objectives.

GOAL 2: RECOMMENDATIONS: OBJECTIVES & STRATEGIES *continued*

2.1.2 The CRC should coordinate its efforts with all segments of the community including the following:

- Leon County Schools
- Florida State University
- Florida A&M University
- Tallahassee Community College
- City of Tallahassee Parks and Recreation
- Leon County Parks and Recreation

2.2 The CRC should bring greater awareness of the importance of arts education to the community as a whole and the many students who are denied access to arts education.

2.2.1 Market within the community to parents, encouraging them to search for extra-curricular arts education and involvement for their children.

2.2.2 Encourage businesses to help support arts in our schools both financially and through volunteer efforts.

2.2.3 The CRC education committee should encourage the City of Tallahassee and Leon County elected officials and business leaders to make arts education a priority.

2.3 The CRC should take the overall lead in coordinating community action to implement an arts and heritage education mentoring program within the schools.

2.4 The CRC should advocate for arts, culture, and heritage education funding.

2.5 Schools should identify their arts education priorities so that cultural organizations can address those needs in their funding requests to the CRC. The CRC's grant funding criteria will reward applicants who demonstrate attention to those needs.

2.6 The CRC should employ an arts and heritage education coordinator who can help bridge the schools' needs and community cultural opportunities, including assisting their grant writing efforts, locating funding sources, etc.

> *" Students who studied the arts more than four years scored 59 points higher on verbal and 44 points higher on the math portions than students with no coursework or experience in the arts. "*
>
> — The College Board,
> Profile of SAT and Achievement Test Takers, 1995

2.7 The CRC Youth and Education committee should advocate for the state to revise its Bright Futures scholarship requirements to accept more arts classes as fulfillment of eligibility to receive funding.

2.8 Every child should have access to arts and heritage education programs in schools that exceed national and state standards.

2.9.1 Every Leon County school should make arts a part of the required curriculum and employ certified visual and certified performing arts educators.

2.9 Local festivals should continue to highlight student accomplishments in the arts.

CAPITAL CULTURE

GOAL 3:

Achieve sustainable public and private funding to support cultural programs to further the goals of this cultural plan.

THE PRIVATE AND PUBLIC FUNDING TASK FORCE

Kate Collier, *Co-Chair, Senior Vice President, Bank of America*

Elise Judelle, *Co-Chair, Bryant, Miller and Olive, P.A.*

Margo Bindhardt, *Chairman, Executive Committee, Seven Days of Opening Nights; Chairman, Florida Arts Council, Florida Department of State, Division of Cultural Affairs*

Flecia Braswell, *Vice President, Marketing, Capital City Bank*

Rick Carroll, *Carroll, Cutright and Richardson, CPAs*

Grady Enlow, *Director, Institutional Advancement, Holy Comforter Episcopal School*

JuDee Pettijohn, *Director, Florida Department of State, Division of Cultural Affairs*

Evelyn Ploumis-Devick, *Assistant Vice-President, Student Affairs and Visiting Assistant Professor, Arts Administration, Florida State University*

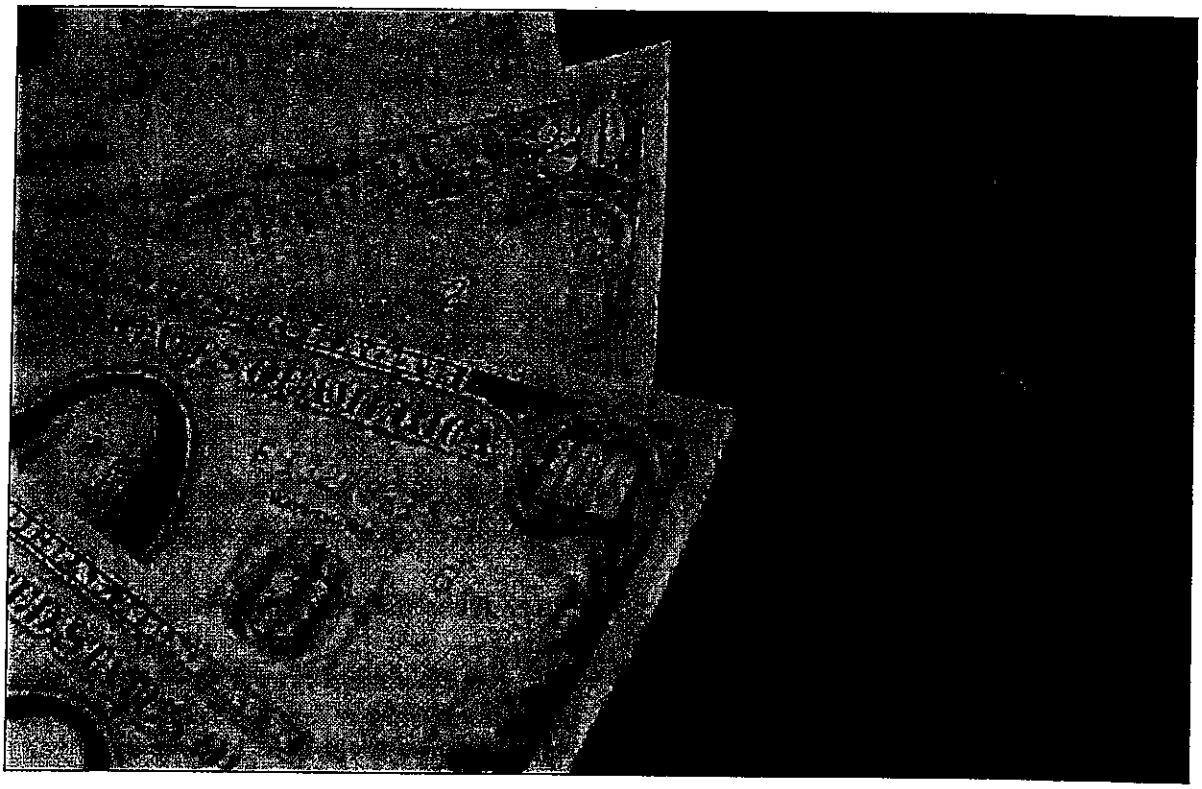
Sharon Press, *Director, Florida Dispute Resolution Center, Florida Supreme Court*

Mark Ravenscraft, *Managing Director, The Ravenscraft Group*

Jevelle Robinson, *Executive Director, African Caribbean Dance Theatre*

Hilmar Skagfield, *Consul General of Iceland and Chairman, Skandia Window Coverings*

Paula Smith, *Community Volunteer*



FINDINGS:

- Survey and focus groups reveal strong support for enhancements to the cultural life of our community.
- The Cultural Resources Commission (CRC) created by state law, county resolution and a 1985 Interlocal Agreement between the city and county, manages a competitive grants program to distribute public dollar grants and hold recipients accountable for their use. Since the CRC's founding, city dollars have gradually grown in response to the increasing demands of a community that appreciates the contribution that access to arts and heritage endeavors have on our community's quality of life.
- There is a need to build a culture of philanthropy within our community.
- For fiscal year 2003, City spending for cultural programs (line item, grants and CRC) totaled $696,568.
- For populations between 100,000 and 500,000, the average support received from federal, state, and local grants is around 30% of an average cultural organization's budget. Cultural organizations that participate in the city and county grant programs average 18 % of their budget from state and local grants. Local government funding (city, county, CHSP, TDC, and school board funding combined) comprised 10 of the 18%.
- Few local organizations receive federal grants and state government funding overall was cut 75% in FY2004.

GOAL 3: FINDINGS *continued*

- Earned income and sponsorship dollars coming into cultural organizations participating in our city grants program averages 58% of its budget while the national average is somewhere in the area of 48%. These figures point to strong efforts by our local organizations to attract private support. It also reveals that organizations must spend a significant part of their time on fund raising efforts.
- A competitive grants program is a more secure and stable source of public funding dollars. Line item funding puts arts organizations in the hands of a majority of a political entity. As political priorities change, line item funding could abruptly change putting a cultural entity at considerable risk. A competitive grants program rewards organizations that are effective at private sector fundraising and establishing widespread private support for their endeavor.

" The arts give a generation the ability to define its own time. It seems to me on a very grassroots level, the future survival of the arts in this country may have something to do with the artists, playwrights, and dancers opening their worlds to the next generation. There is nothing more inspiring for students than to meet an artist who has managed to make a life of creation. And, frankly, there's nothing more inspirational for an artist than being in touch with the future. "

— Wendy Wasserstein, playwright
1999 Nancy Hanks Lecture on Arts and Public Policy American Council for the Arts

---

RECOMMENDATIONS: OBJECTIVES & STRATEGIES

3.1 A growth in funding for arts and heritage programs will strengthen efforts to grow our economy, increase education opportunities, facilitate access, and improve our quality of life.

3.1.1 A standing Ways and Means Advisory Committee within the CRC should be organized to develop, evaluate, and assist in the implementation of private and public funding initiatives. The Committee will be chaired by a member of the CRC board and include CRC board members. The Chair will invite members of the cultural plan funding task force and others in the community including individuals with expertise in government finance, business, planning, endowments, and demonstrated leadership to serve and assist the CRC in pursuing funding objectives.

3.1.2 The Ways and Means Committee will review all potential sources of public and private support for arts and heritage and develop a plan to match these sources with specific proposals outlined in the cultural plan. Potential sources include community contribution tax incentive programs, a workplace giving program, arts and heritage endowments created within the Community Foundation of North Florida, and such non traditional and innovative sources as a voluntary "Round Up for the Arts" [see Appendix, Section 14].

3.1.3 The Ways and Means Committee will work with the City and County to assure that existing sources of public funding are preserved and create new sources of dedicated funds for local cultural organizations and for the local arts agency.

GOAL 3: RECOMMENDATIONS: OBJECTIVES & STRATEGIES *continued*

3.1.4 The Ways and Means Committee will help the City and Leon County look for new, sustainable sources of dedicated public funds from sources that directly benefit from a healthy cultural community to supplement the annually appropriated budgets from general funds.

3.2 The CRC will work in cooperation with the Community Foundation of North Florida and cultural organizations to enhance their efforts to build community awareness and promote private-sector giving to cultural and heritage programs. The Foundation's campaign will significantly increase contributions, sponsorships, and bequests from individuals, businesses, and corporations for cultural development (see marketing goal).

3.2.1 The CRC will develop media partnerships to promote the importance of philanthropic gifts to arts and heritage organizations (see marketing report).

3.2.2 The CRC will continue to refrain from competing with organizations in the direct solicitation of funds.

3.3 The City and County should continue to provide funding for the CRC to administer a technical assistance program for individual artists, arts and cultural organization staff, board members, and volunteers to enable them to be more competitive for funding.

Technical assistance program should:

- Look for opportunities to match business volunteers with cultural organizations.
- Help identify potential board members for area cultural organizations who could increase organizational capacity to raise private contributions.
- Offer fundraising and board development training to cultural organizations.

" Earned income and sponsorship dollars coming into cultural organizations participating in our city grants program averages 58% of its budget while the national average is somewhere in the area of 48%. These figures point to strong efforts by our local organizations to attract private support. It also reveals that organizations must spend a significant part of their time on fund raising efforts. "

— Kate Collier, Co-Chair
Funding Task Force

- Build the cultural community's capacity to collaborate beyond traditional sources of arts and heritage funding to include social service, education, criminal justice, community affairs, and other funding sources.
- Help identify sources of private sector contributions including civic organizations, the business sector, foundations and federal grants.

3.4 The City of Tallahassee should increase cultural funding with the goal of meeting or exceeding national averages of comparable communities and meeting the demonstrated need identified in the Cultural Plan. The City's annual appropriations should be linked to:

a. Annual CRC proposals with budget requests to the City that specify funding priorities, demonstrated needs, level of grants requests, and results from the previous years activities;

GOAL 3: RECOMMENDATIONS: OBJECTIVES & STRATEGIES *continued*

AND/OR

b. A quantifiable indicator developed by the City in consultation with the Ways and Means Committee of the CRC. Examples of methods used in other communities include a specific dollar amount per capita of population served by CRC, a specified percentage of the City budget; or a designated percentage of an identified City revenue source.

3.5 The CRC Board will enforce grant guidelines that:

- Further the goals of the Tallahassee Cultural Plan.
- Continue to require applicants to specify outcomes they hope to achieve with their public funds and then account for results in final reports.
- Continue to consider an applicant's fulfillment of previous CRC grant contracts when considering their subsequent grant requests.
- Require an application review and curing process for applicants during the month prior to the grant application deadline. If the application is deficient, the applicant will be notified in writing and may revise their application. At the end of this period, if the errors are not corrected by the final deadline, the application will not be forwarded to the grant panel.
- Continue to require grants panels appointed by the CRC board be representative of the community and provide balance, objectivity, and reflect the community's priorities.
- Use overall reported results to help justify the CRC request for next year's City and County funding.

3.6 Line item appropriations must be avoided.

Therefore, it is recommended that existing line item funding be transferred to the granting program beginning in FY 2004.

" Creative private and public funding of the goals in this Plan will be the most profitable long-term investment our community will ever make."

— Elise Judelle, Co-Chair
Funding Task Force

3.6.1 To ease the transition for the largest line item funding recipient, the Mary Brogan Museum, any reductions in line item funding should be phased in over a period of three years as follows:

- The Brogan will submit a grant application for FY 2004 for $250,000, will receive a guaranteed award by the CRC, and will follow all normal granting reporting procedures.
- For FY 2005, the Brogan will submit a grant application for funding at 90% of FY 2004 ($225,000 guaranteed), and in FY 2006 for 50% of FY 2004 ($125,000 guaranteed).
- The Brogan will not seek additional funds from the grant program until FY 2006, at which time it would be eligible to apply for grant money through the competitive grant process in the amount of the difference between the $125,000 and the maximum available in the applicable grant category. At the end of the three-year transition period, all applications will be competitive.

3.6.2 The City and County should continue to appropriate funds for re-granting through the CRC at a minimum of the total FY 2004 appropriation including line items. Savings from phased-out, guaranteed awards should be allocated to the CRC's major institution grant category.

3.6.3 All city designated funding for arts and heritage programs will flow through the CRC grant process. However, organizations may receive funds from other city programs with different performance standards such as public facilities, historic preservation and community block grants.

3.7 The CRC Board should continue to award recommended grants only after City and County budgets are passed.

3.7.1 The Advisory Committee endorses the CRC's granting system which ranks and awards grants based upon the total grant points accumulated. Should funds be inadequate to meet the recommended amount of the grants, any reductions in dollars budgeted will only affect organizations that fail to reach the total point threshold. Iteration of grant dollars was specifically rejected.

CAPITAL CULTURE

GOAL 4:

Develop new and improve existing cultural facilities to attract and stimulate interest in Florida's capital city.

PUBLIC CULTURAL FACILITIES TASK FORCE

Paula Smith, *Chair, Community Volunteer*

Kathy Archibald, *Community Volunteer*

Rick Barnett, *Barnett Fronczak Architects*

Carmen Cummings, *District Representative, Congressman Allen Boyd*

Sue Dick, *President, Greater Tallahassee Chamber of Commerce and Economic Development Council*

Tim Edmond, *President, Capital Region, Arvida, a St. Joe Company*

Wendy Grey, *Wendy Grey Land Use Planning*

Wade Hopping, *Attorney Hopping, Green & Sams, P.A.*

Ivan Johnson, *Johnson Peterson Architects*

Beth Langford, *Community Volunteer*

Longineu Parsons, *Assistant Professor of Music, FAMU*

Rodner Wright, *Dean of the School of Architecture, FAMU*

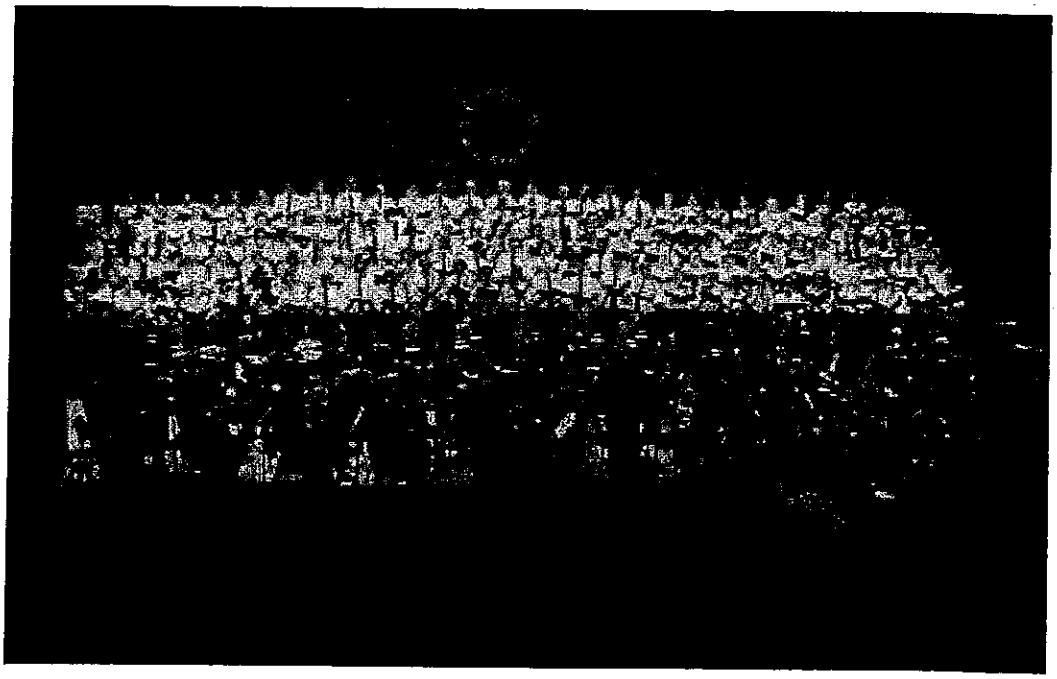
FINDINGS:

- Over 85% of survey respondents indicated the need for a performing arts facility for theater, music, and other cultural activities.
- Numerous cultural organizations have outgrown their existing spaces. Many have a critical need for support space.
- A comprehensive facilities inventory reveals a community heavily dependent on higher education facilities for rehearsal and performance needs.
- The governments and citizens of Tallahassee/Leon County must collaborate with state government, Florida State University, Florida A&M University, and Tallahassee Community College to address public facility needs.
- More than 90 cities in the United States have created cultural districts to help establish a cultural identity and to revitalize urban areas. Cultural facilities in these districts serve as anchors to attract participation.

" There has always been opportunity in Tallahassee, but this time is unique. We must have the discipline to create cultural opportunities and facilities if we expect to be a truly great city. "

— Paula Smith, Community Volunteer
Chair, Public Cultural Facilities Task Force

GOAL 4: *continued*



RECOMMENDATIONS: OBJECTIVES & STRATEGIES

4.1 The City of Tallahassee and Leon County should preserve and improve existing cultural facilities and encourage development of new cultural facilities through grants, funding, marketing, promotions and in-kind services. (e.g., assistance from engineering, permitting, parks and recreation, and public works) .

4.1.1 A standing Facilities Advisory Committee will be organized within the CRC to further the facilities recommendations of the cultural plan. The Committee wil be chaired by a member of the CRC board and include CRC board members. The Chair will invite members of the cultural plan Facilities Advisory Task Force and others in the community, including individuals with arts management, construction, architectural and land use planning expertise, to serve and assist the CRC in pursuing all facilities objectives.

4.2 The City should establish and begin marketing two areas as Cultural Districts: Gaines Street/Downtown and Midtown. (See map and description in Appendix Section N)

4.2.1 Promotions of new cultural districts should also acknowledge cultural attractions on the City's perimeters.

4.3 The City should develop a plan to establish a multi-purpose cultural support center, preferably in one of the Cultural Districts.

4.3.1 Within 90 days of the City Commission's acceptance of the cultural plan, the Mayor and the County Commission Chair will appoint a committee to develop a plan for the cultural support space that is achievable in the near term. The city should contract with the CRC to provide staff support.

4.3.2 This Center would offer shared central office services, including administrative support and equipment (computers, copiers, fax, etc.) with separate office spaces for local organizations. If possible,

the Center should make available rehearsal space and storage space for costumes, props and sets and space for construction of sets and costumes. Rehearsal, storage, and shop space could be located in another area if one site will not accommodate all functions.

4.3.3 This Center could be a public/private partnership, include arts related businesses, and facilitate the incubation of new arts organizations and arts related businesses.

4.4 The City will develop a plan for the construction of a performing arts venue.

4.4.1 Within 90 days of the City Commission's acceptance of the cultural plan, the Mayor and the County Commission Chair will appoint a committee to develop a plan for the building of a performing arts venue.

4.4.2 This plan should include a site recommendation and a size, operating, funding, and management plan, as well as design recommendations and a timeline for construction phases. The committee should include professionals in the field of theater design, management and production, as well as architects, patrons of the arts, and business leaders and seek input from local organizations who would be frequent users of the facility.

" An actor's needs are simple: he wishes only to have the audience and himself prepared for the play without distractions. The theater architect is a key player in the event. When he designs a great stage, and the creative talents of playwright, director, designers, and actors connect to the space, there is magic in the theater. "

— Jane Alexander, Actor
Past Chair, National Endowment for the Arts
Eppes Professor of Theater, Florida State University

4.4.3 The professional services of a consultant should be budgeted for and engaged.

4.4.4 The city should contract with the CRC to provide staff support services.

4.5 The City, working with the facilities committee of the CRC, should move forward with its plans for:

- The Challenger stage at Kleman Plaza including appropriate infrastructure necessary to support outdoor events. This should be completed by January 2005.
- The rehabilitation of Doug Burnette Park, with infrastructure necessary to support outdoor events. This should be completed by January 2005.
- Cascades Park, including the restoration of existing buildings and designation of green-space for outdoor events. This date is to be decided.

4.6 The City should encourage and support other groups to provide outdoor amphitheater/cultural space such as those currently being discussed at Cascades Park, Goodwood Museum and Gardens, Maclay Gardens, The Tallahassee Museum, and private developments such as SouthWood.

4.7 The City and Leon County should encourage collaboration between The Department of State, FAMU, FSU, and TCC to secure funding to

GOAL 4: RECOMMENDATIONS: OBJECTIVES & STRATEGIES *continued*

develop a "Monster Library" on Gaines Street.

4.7.1 This library should house research and literary collections for use by all.

4.7.2 The CRC Facilities Committee should assist this effort.

4.8 The City should work with Leon County to encourage the development of exhibition space for visual arts.

4.9.1 An art collection of major significance for Florida should be included in design plans for the "Monster Library" or in other cultural facilities. The performing arts venue should include plans for important art exhibition space.

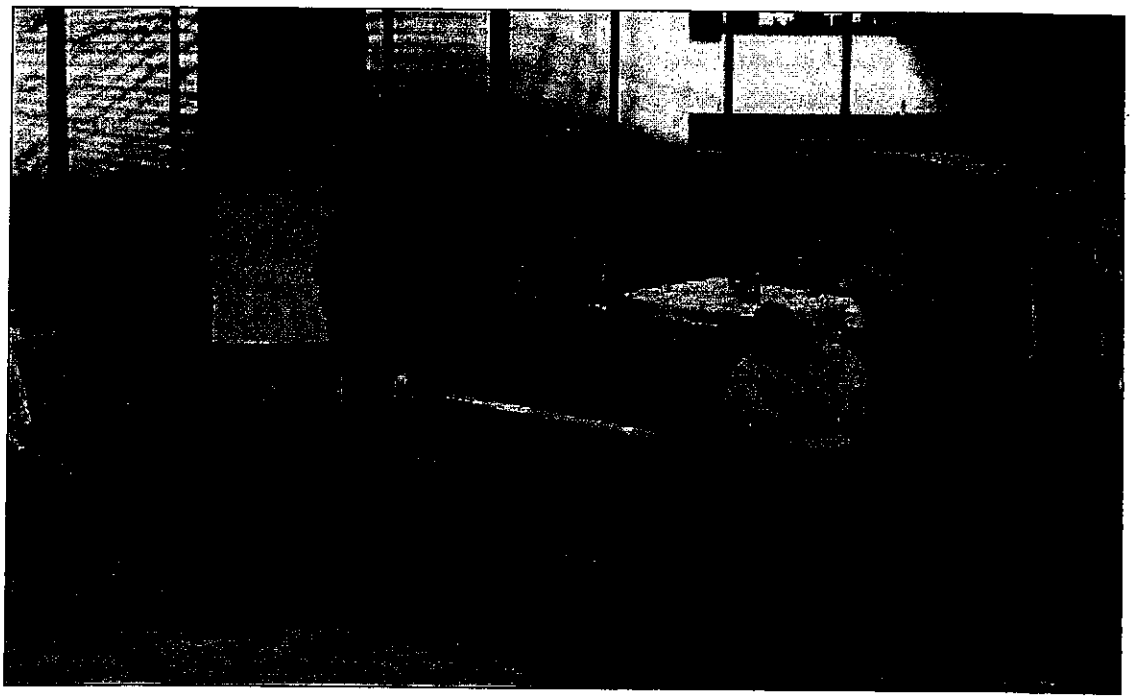
" Cultural facilities and events enhance property values, tax resources, and overall profitability for communities. In doing so, the arts become a direct contributor to urban and rural revitalization. "

— "The Role of the Arts in Economic Development" National Governors Association Report, 2001

4.9 The City should work with the State of Florida, FSU and Leon County to preserve the R.A. Gray building as a site for the display of exhibits related to the history of Florida, World War II, and the State Archives.

4.10 Kleman Plaza cultural institutions should continue programmatic collaborations that make Kleman Plaza an attraction.

4.11.1 Kleman Plaza could include water features and interactive science/art sculptures along its pedestrian paths to reinforce the educational connection between the Mary Brogan Museum and the Challenger Center.



CAPITAL CULTURE

GOAL 5:

Market arts and heritage to develop audiences and build the image of Tallahassee as a cultural destination.

AUDIENCE DEVELOPMENT AND MARKETING TASK FORCE

Karen Wendland, *Chair, Executive Director, Capital Medical Society*

Judy Bailey, *General Manager, Clear Channel Communications*

Althemese Barnes, *Executive Director, John C. Riley Foundation*

Bill Behenna, *Public Information Manager, City of Tallahassee*

John Bower, *President, Stage Promotions, Inc.*

Mike Bristol, *President & CEO, Tallahassee Convention and Visitors Bureau*

Sasha Erickson, *Tri-Eagle Sales*

Claire Evans, *Marketing Director, Comcast*

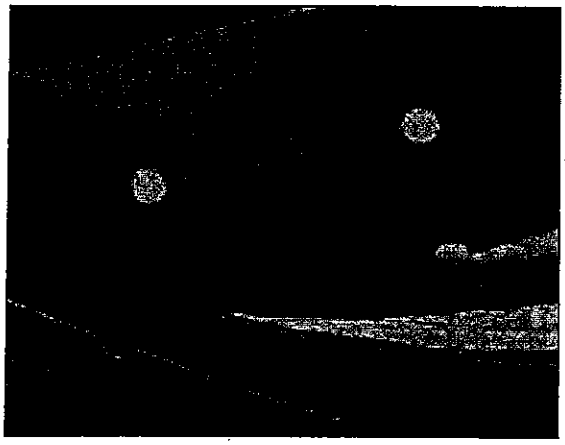
April Herrle, *President, Herrle Communications*

Luanne Lenberg, *Marketing Director, Governor's Square Mall*

Barbara Psimas, *Artist and Member of Railroad Square Artists*

Tom Tomasi, *Marketing Director, Tallahassee Democrat*

Harold Uzzell, *President, Uzzell Advertising*



" Working on the city's cultural plan has convinced me - Tallahassee is unbelievably rich in arts and culture. Now, let's spread the good word!"

— Karen Wendland
Executive Director, Capital Medical Society
Chair, Audience Development and Marketing Task Force

FINDINGS:

- Marketing efforts are inadequate within the current CRC because of limited funding.
- Audience development is a critical need of our local cultural organizations who have limited marketing dollars.
- Access and parking deficiencies present barriers to participation.
- More interaction and collaboration is needed between our TDC and our local arts agency.
- Market research is an effective tool to help cultural organizations determine the desires of our community.
- City leaders have demonstrated their active support for arts, heritage, and cultural development. Implementation of this plan requires that the cultural life in Tallahassee continue to be a priority in their policy, marketing and funding decisions.
- Tallahassee/Leon County has a high percentage of the population requiring economic assistance, as well as physical and programmatic accommodations related to aging and disabilities.
- Strategies to diversify and develop new audiences include: programming to new audience interests, sending artists and cultural programs into communities, and emphasizing the social aspects of arts and culture.
- Strategies to broaden participation among existing audiences include: removing barriers of inconvenience, access, signs, transportation, parking, childcare, etc.; determining what channels of information they use; and formulating a message to best reach them.
- Strategies to deepen the participation of existing audiences include making participation more rewarding through feeling a close association with the cultural presenter, providing special events, seminars, pre- and post-performance discussions; and enhancing the social benefits of participation.

GOAL 5: FINDINGS *continued*

RECOMMENDATIONS: OBJECTIVES AND STRATEGIES

5.1 Organize a standing Audience Development and Marketing Advisory Committee within the CRC to further the marketing recommendations of the cultural plan. The Committee will be chaired by a member of the CRC board and include CRC board members. The Chair will invite members of the cultural plan audience development task force and others in the community including individuals with media, marketing, public relations, cultural diversity and accessibility expertise to serve and assist the CRC in prioritizing and pursuing marketing objectives.

5.2 The CRC should broaden its marketing function to work closely with the cultural attractions and the CVB to oversee implementation of marketing objectives including:

- Creating a "brand" for the message that "Tallahassee is loaded with Culture". The "brand" needs to include a logo and a tag line. The brand needs to be fun, lively, engaging, i.e. Culture Shock! Or Want a Culture Shock? ...Get out and see Tallahassee! (see Appendix Section R)
- Increasing the visibility of a CRC clearinghouse to serve as the information hub for information/tickets and schedules for arts activities. Ensure that all marketing represents diversity in Tallahassee.
- Seeking funds to develop and widely distribute marketing materials.
- Exploring new and expanded partnerships between the CRC, CVB, and the TDC.
- Developing plans to minimize barriers that prevent people from participation in cultural programs.
- Convening discussions with the presidents of our three institutions of higher learning. One issue should be the lack of easy access to campuses for cultural/arts functions. For example, the lack of parking for events and accessibility issues for individuals with disabilities are concerns.
- Continuing to encourage applicants for city and county funding to meet and exceed compliance to the Americans with Disabilities Act (ADA) and related Florida Statutes by asking for descriptions to demonstrate their efforts.
- Offering visibility and participation building workshops and consulting services to help arts and heritage organizations understand how to diversify, broaden, or deepen audience participation.

5.3 The City, TDC, CVB, the universities, private businesses, and the Chamber of Commerce should be encouraged to integrate cultural market research questions into their ongoing surveys of citizens, visitors, and businesses. Market research could be utilized to better understand and learn the region's cultural desires.

Marketing Timeline

- Fall 2003 - Begin planning and production of brand development and collateral materials for comprehensive marketing plan.

One-time "project based" funding.

- Fall 2004 - Debut the comprehensive marketing plan.

Additional operations funding.

- 2005 - Spring or Fall. Create an annual event to gain greater awareness of culture in Tallahassee and seek funding for annual operations (See Appendix Section R).

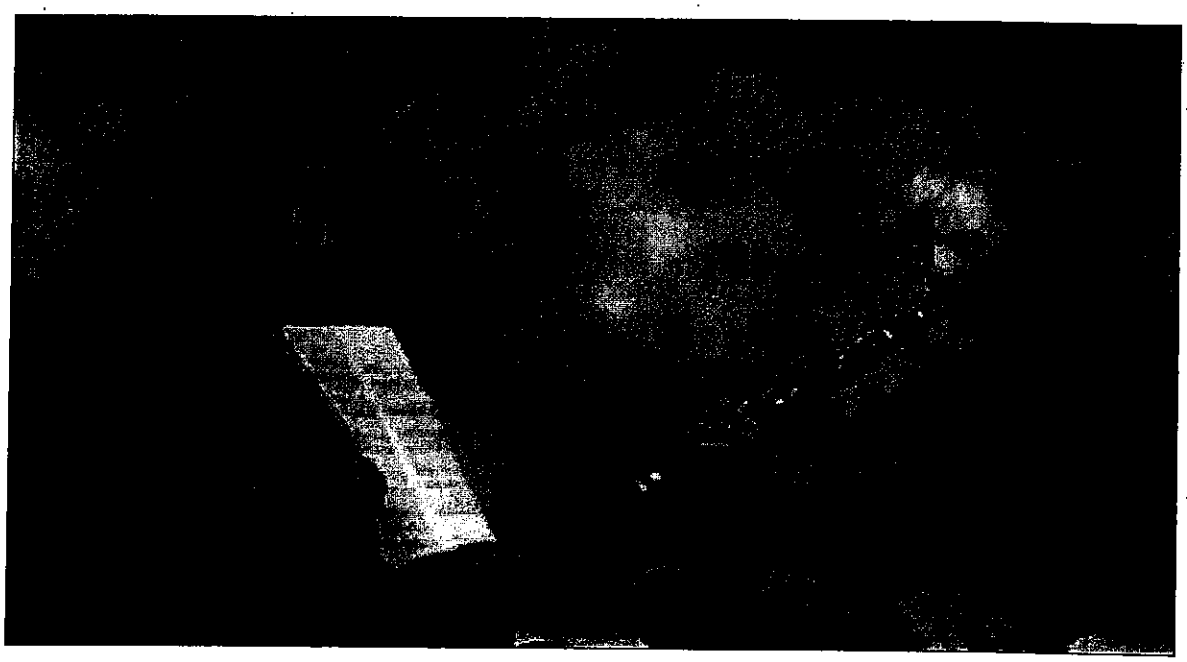
CAPITAL CULTURE

GOAL 6:

Transform the Cultural Resources Commission and expand its responsibilities to oversee implementation of the cultural plan.

ADVISORY COMMITTEE CO-CHAIRS WITH TASK FORCE CHAIRS

Janet Hinkle, *Co Chair*
Marjorie Turnbull, *Co Chair*
Kate Collier
Elise Judelle
Kay Stephenson
Paula Smith
Karen Wendland
Gil Ziffer



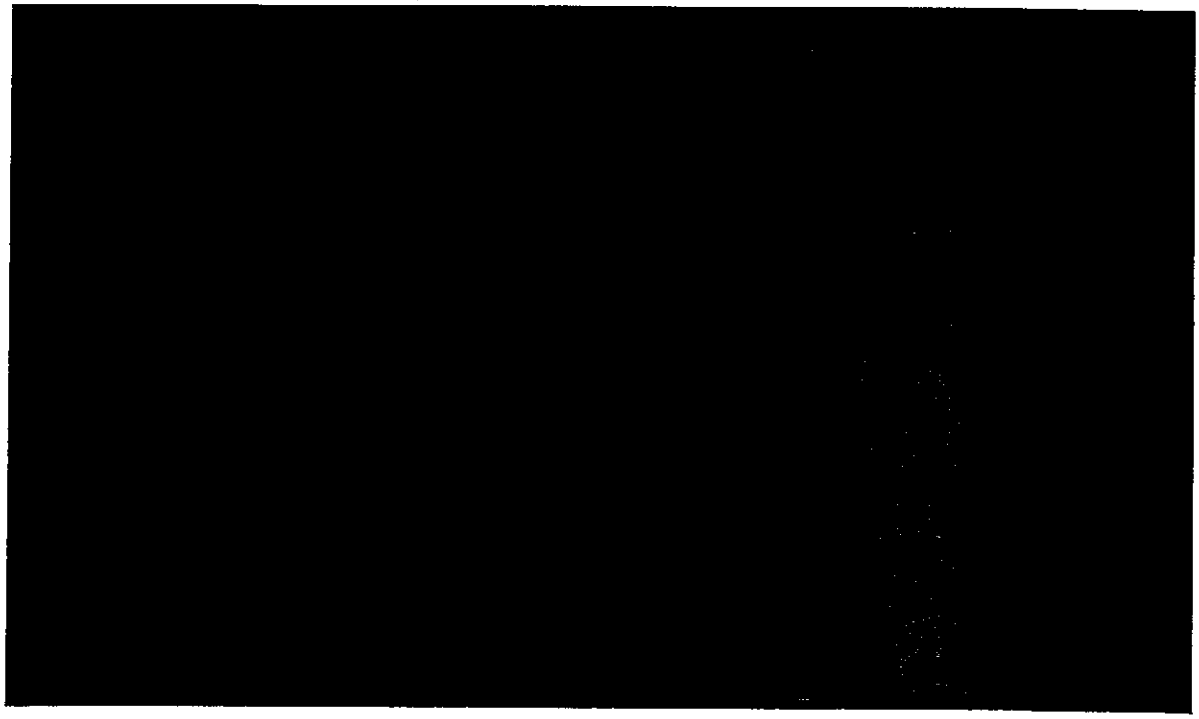
FINDINGS:

- There is broad-based, unified private and public will to enhance the Capital Area cultural community.
- The cultural planning has raised the visibility of the CRC which had previously not been widely visible to a broad sector of the community.
- The CRC re-grants City and County funding critical to the Capital Area cultural development. Operational support from government is a critical component to the success of all cultural organizations.
- City and County Commissioners, as well as the broader community, are not readily knowledgeable about who serves on the CRC Board.
- The trend in arts councils nationwide is toward service and away from program implementation.
- FSU, FAMU, and TCC significantly impact the quality of our community's cultural life and their perspective should be considered in CRC policy issues.
- The CRC currently receives a significant portion of its operational dollars through contracts with the City and County to administer a grants program and an arts in public spaces program. These contracts drive the CRC's operational priorities.
- A need for additional services from the CRC has been identified in the community.
- Surveys, focus groups, and individual interviews concurred that certain services common to all or most cultural organizations could be provided more cost effectively and professionally by a central organization such as the CRC than by each individually.

GOAL 6 FINDINGS: *continued*

- State statutes grant authority to the County to create a fine arts council for purposes that include: stimulating governmental and public awareness and appreciation of the importance of the arts; encouraging and facilitating participation in artistic activities; encouraging and facilitating greater and more efficient use of governmental and private resources for the development and support of the arts; promoting the development of artists, arts institutions and community organizations; sponsoring arts activities and audiences; developing a center or complex of physical facilities for the use of the arts; contracting for artistic services, performances and exhibits; providing financial and technical assistance to artists, arts institutions, and audiences.
- The CRC was created by County Commission resolution under Section 265.32, Florida Statutes and through an Interlocal Agreement between the City and County, which designated the CRC as the arts council for both the City and the County. The CRC is also established as a 501(c)(3) corporation for federal tax purposes.
- Implementation of the cultural plan will require proactive community leaders dedicated to committing time and creative energy to effect change and to make arts and heritage in our community purposeful, rewarding, and meaningful.

> *" I believe there is real hope in our community that the cultural plan will be a living, breathing document that will guide our day-to-day efforts. It provides goals and strategies that demand persistence and courage to achieve. "*
>
> — Janet Hinkle, Co-Chair
> Cultural Plan Advisory Committee



GOAL 6: RECOMMENDATIONS: OBJECTIVES & STRATEGIES *continued*

6.1 The City should establish the Cultural Plan Audit Committee to monitor plan implementation strategies and provide staff support.

6.1.1 The Cultural Planning Advisory Committee should, prior to adoption of the plan, nominate individuals for the Audit Committee from among the Advisory Committee and Task Forces membership and should seek civic leaders, higher education representatives, racial and cultural diversity, arts, heritage and cultural leaders, business, government, K-12 education, philanthropists and cultural workers.

6.1.2 The Audit Committee should be appointed by the City and County Commissioners within 90 days of the plan's adoption to represent the Capital Area community's interest to oversee the first three years implementation of the cultural plan. The audit committee will gather and monitor benchmark data including, but not limited to, attendance at various cultural and arts programs in order to provide information as to the progress we are achieving as a community in growing and cultivating the arts.

6.1.3 The Audit Committee should meet at six-and twelve-month intervals for three years after the plan is approved to monitor progress and recommend adjustments to the plan and its implementation as required. Meeting schedule: January 2004, June 2004, January 2005, June 2005, January 2006, June 2006.

6.1.4 The Audit Committee should convene again in January 2007 to evaluate progress and determine if the cultural plan should be updated with a new community assessment and plan.

" You never know about art. It has a way of coming back around. It connects us; it provides the ligaments and the ties that bind, holding the species together along the trajectory of its evolution. In that sense, among many, it can be said to perform a public function, in the purest sense of the word. "

— Winton Blount, former Postmaster General
Past President, U.S. Chamber of Commerce
Benefactor, Alabama Shakespeare Festival and Montgomery Museum of Fine Arts

6.2 The name of the Cultural Resources Commission should be changed to better reflect the broader mission defined by the Cultural Plan.

6.3 The newly named CRC should continue as an arts council created under state law and the City/County Interlocal Agreement, with 501(c)(3) status.

6.4 The CRC shall have a Board of Directors composed of 15 voting Board of Directors members appointed by the City and County Commission from nominees submitted by the CRC Board in accordance with state law and the Interlocal Agreement as modified from time to time.

6.4.1 The process for identifying and nominating new Directors shall be provided for in the City/County Interlocal Agreement in accordance with state law. In appointing new Directors, each Commission should strive to draw from the broad community leadership engaged in the cultural plan.

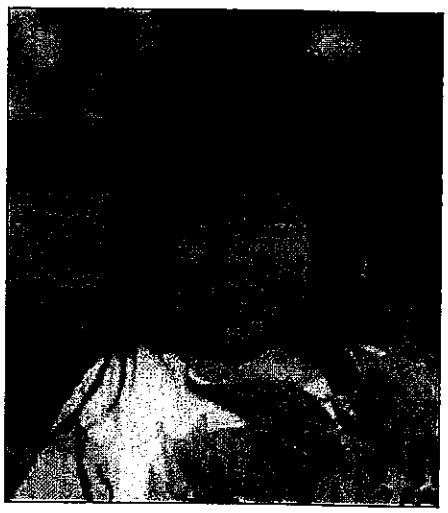
6.4.2 As required by state law, directors will be recruited and appointed to serve the best interests of the arts and heritage in the community and will not view their role as representing any particular geographic area, interest group, arts institution, community organization, or audience. No individual committed to, or owing allegiance to, any particular arts faction shall be eligible to serve on the Board.

GOAL 6: RECOMMENDATIONS: OBJECTIVES & STRATEGIES *continued*

6.4.3 When nominating and appointing directors, the CRC and the City and County Commissions shall consider community, business and volunteer leaders, demonstrated commitment to the arts and heritage, financial, management or marketing expertise, and practicing artists.

6.4.5 A member of the City Commission and a member of the County Commission shall be designated by their respective bodies to serve as ex officio Directors of the CRC as provided by state law.

6.4.6 The responsibilities of the Board shall include an annual report to the City and County Commissions on Cultural Plan implementation and other activities of the CRC, policy development, strategic planning, annual budget development and approval, fiscal oversight, program oversight and evaluation, election of the Chair, appointment of members of grant review teams, identification and recommendation to the City and County Commissions of nominees for new directors, providing for an audit, legal compliance, actively engaging in public awareness and advocacy, representing CRC before elected bodies, and hiring, firing, and evaluating the Director.



6.4.7 The presidents from FSU, FAMU, TCC, and the Superintendent of Leon County Schools will be asked to appoint a liaison to the CRC.

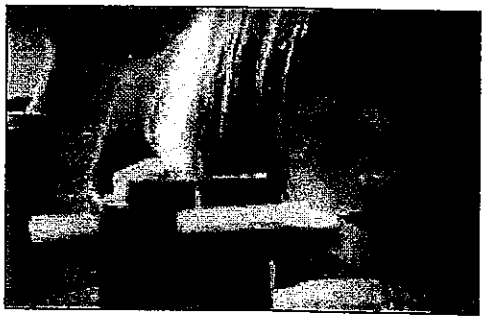
6.4.8 The Board of Directors shall have new standing committees including Ways and Means, Youth and Education, Facilities, Audience Development and Marketing, and Economic Development. Each Director will serve on at least one committee and each committee will be chaired by a Director. Committee membership may include representatives from the community with expertise in the area of the committee's responsibility.

6.4.9 For FY2004, funding for additional human resources and materials should be added to the CRC budget allocation.

6.5 The CRC should examine its mission, goals and objectives in light of the community's call for expanded leadership and service and the Board of Directors shall undertake its own strategic planning to respond.

6.5.1 The cultural plan calls for the CRC to coordinate implementation of the cultural plan and to lead in advocacy and service by fulfilling the following responsibilities:

1. Funding and funds development
   - Advocating for increased local government funding to meet the growing cultural needs and desires of the community
   - Cultivating private sector arts/culture/heritage donors in support of cultural organizations
   - Managing grant programs for the city and county commissions
   - Providing fundraising and grant writing assistance (public, private, local and state)
   - Seeking supplemental state and national funding
   - Providing assistance in the development of collaborative proposals for joint funding strategies
   - Providing informational support for resource development (endowments, planned giving)



GOAL 6: RECOMMENDATIONS: OBJECTIVES & STRATEGIES *continued*

2. Economic development
   - Representing the cultural sector in economic development efforts with the Chambers, EDC, and CVB
   - Documenting economic impact of the cultural sector
   - Representing the cultural sector in cultural tourism efforts

3. Marketing and Audience Development
   - Organizing public awareness campaigns and/or collaborative marketing and audience development programs
   - Promoting cooperation and collaboration among cultural organizations
   - Maintaining a calendar and aid in scheduling and coordination of flow of information
   - Providing audience development and marketing support to promote events
   - Publishing print and web guides to cultural organizations in the area

4. Community leadership
   - Taking the lead in implementing or overseeing implementation of priority recommendations of the cultural plan
   - Promoting diversity in cultural programs, leadership and audiences

5. Capacity building assistance to cultural organizations and artists
   - Encouraging the development and use of existing and new cultural facilities
   - Providing support in board recruitment and development
   - Promoting the development and marketing of individual artists
   - Providing support in working with volunteers
   - Managing the City's Art in Public Places Program

6. Arts Education
   - Encouraging a sustained commitment to arts and heritage education in all the schools
   - Coordinating a community arts and heritage education network of programs
   - Developing an arts mentoring program within the schools

6.6 Present the plan to the City and County Commissions and School Board.

6.6.1 The Cultural Planning Advisory Committee Co-Chairs will present the Committee approved cultural plan to the City Commission July 2, 2003.

6.6.2 The Co-Chairs will seek adoption of the plan by the County Commission.

6.6.3 The City and County Commissions should adopt the Cultural Plan and develop an Interlocal Agreement that reflects the goals and objectives of the plan and provides for the appointment of members to the CRC. The City and County Commissioners should identify the objectives of the plan, which should be included in the Comprehensive Plan.

6.6.4 The Co-Chairs and representatives of the Arts Education Task Force will present the cultural plan to the Superintendent and the School Board for their consideration in planning the implementation of those plan objectives affecting the school system.

6.7 Secure additional funding to implement the cultural plan.

6.7.1 New funds and existing funds will be budgeted by the CRC Board in accordance with the goals and objectives of this plan including the costs of the new positions and some costs of new services. Increased administrative funds should not reduce the grants budget.

GOAL 6: RECOMMENDATIONS: OBJECTIVES & STRATEGIES *continued*

6.7.2 The City should increase the CRC budget by $150,000 in FY04. The City should consider requests for larger appropriations in subsequent years based on CRC proposals for actions and reports of results that further this plan.

6.7.3 The CRC should request a comparable increase from Leon County for CRC funding without reduction of the grants budget.

6.7.4 The CRC should seek grants from the Florida Department of State, Division of Cultural Affairs, Florida Arts Division, the National Endowment for the Arts, and the NEA Challenge America program funds to implement key provisions of the cultural plan.

6.7.5 The CRC Ways and Means Committee should work with the Tourist Development Council and the County Commission to evaluate the use of the tourist development tax to support cultural attractions that increase tourism and hospitality industry revenues.

6.7.6 The CRC should research private foundations and seek funds to implement key provisions of the plan.

" Culture in Tallahassee means to me the wealth of arts and artists contributing to this community's high quality of life. For our size, we have an unusually high concentration here of world class visual artists, dancers, poets, writers, musicians, filmmakers, and actors. Audiences have superabundant opportunities to satisfy their cravings. "

— Cultural Plan Online Survey Respondent, 2003

6.8 Each organization should develop plans to fulfill its role in the cultural plan.

6.8.1 The CRC Board of Directors should meet with the boards of nonprofit cultural organizations to help them appreciate the implications of the cultural plan.

6.8.2 Cultural organizations should undertake their own strategic plans to examine how their missions, goals, and plans align with the priorities of the cultural plan. It is likely many will want to revise their plans to take advantage of the momentum of the cultural plan to integrate arts, culture and heritage more closely with the region's large economic and quality of life concerns.

6.8.3 Cultural organizations should formulate their new proposals for CRC grants to further priorities of the cultural plan. Grants criteria will be closely linked to the plan.

6.8.4 Cultural organization staff and volunteers must continue to develop skills necessary to project outcomes that will be fulfilled with the help of CRC funding and to measure and report results in their final reports. Continued funding for the organizations and the CRC will be evaluated taking into consideration demonstrated results.

" Isn't it funny that princes and kings
and clowns that caper in sawdust rings
and common folk like you and me
are builders of eternity?
And each is given a bag of tools
A shapeless mass and book of rules
And each must make 'ere life is flown
A stumbling block or a stepping stone. "

— Rudyard Kipling

CAPITAL CULTURE

For further information or additional copies, contact:

City of Tallahassee Economic Development Department
City Hall • Tallahassee, Florida 32301 • 850-891-8886

The document was prepared by the Cultural Plan Advisory Committee, Designed by Al Cuneo, and published with funding from the City of Tallahassee.

2010/2011 Non-Departmental Funding Request Application

RECEIVED
MAR 19 2010

A. Organizational Information

Legal Name of Agency: Economic Development Council of Tallahassee/Leon County, Incorporated

Agency Representative: Beth Kirkland, Executive Director

Physical Address: 100 N. Duval Street, Tallahassee, FL

Mailing Address: P. O. Box 1639, Tallahassee, FL 32302

Telephone: (850) 224-8116

Fax: (850) 425-1056

E-mail Address: bkirkland@taledc.com

Agency Employer ID Number (FEIN): 59-3374108

Does the Agency have a **501(c) (3)** status? Yes: x No:

Date of Agency Incorporation: May 5, 1995

Attach Articles of Incorporation

Please attach the Agency's most recent 990 Tax Return or most recent completed tax return.

B. Program Information

1. Succinctly describe the program for which funding is being requested. Please include types of services provided. (Attach additional pages as necessary):

Act as the primary marketing agent for Leon County and the Capital Region for business retention, expansion and recruitment as related to value-added, private sector job creation and capital investment. Additionally, the EDC will serve as the source for economic and market-related trends. A primary role of the EDC is to effectively market the competitive assets of the Tallahassee region locally and globally. The EDC delivers a four-part message of world-class talent, world-renowned research and development, engaged high-tech industry, and planned growth and development. The success of the region is based on a balanced approach to economic development that includes emphasis in three areas -- nurturing existing industry, developing entrepreneurial and new business opportunities, and attracting like-minded companies to the area. This approach is fueled by award-winning, industry-led workforce development initiatives and an unwavering commitment to quality of life and protecting our natural assets.

2. Why is this funding being requested? If this funding request is not approved, what would be the impact on your agency or program for which funding is sought?

This funding is requested to continue the Economic Development public/private partnership between Leon County and the EDC. If this funding request is not approved, new business establishments and existing business retentions and expansions, in terms of jobs created, would be significantly reduced. Additionally, business assistance and services would be negatively affected. Existing companies located in Leon County and the Region would not have access to the resources available through the EDC such as: site selection, expanding to international markets, starting new businesses (entrepreneurs), workforce skill upgrades and critical business climate information.

3. Projected program impact/outcome results: What is the projected impact on the target population?

Creation, retention and expansion of local companies whose goods and services are exported outside of the region resulting in new dollars in the local economy. This promotes quality employment and investment opportunities in Leon County and the Region.

Continued progress in the economic diversification of Leon County and the Region through cooperative work with Leon County, the City of Tallahassee, the educational community and private sector partners to leverage technical and financial resources to support progressive business develop ment.

Continued recruitment of new capital investment and jobs to Leon County and the Region.

4. List the targeted population projected to be served or benefit from this program.

The EDC's balanced approach to Economic Development will concentrate on the following targeted growth sectors: Alternative Energy and Environment; Aviation, Aerospace, Defense and National

Security (Manufacturing); Health Sciences and Human Performance Enhancement; Information Technology; Research and Engineering Services and Transportation and Logistics. Job creation in these sectors increases local spending in the construction and service sectors. In addition, all other segments of the community and region will benefit from these initiatives.

5. Provide the methods that are being used effectively to attain this program's targeted population.

The EDC has broad community representation as evidenced by its public/private partnerships, memberships and Roundtable Industry Sector Programs. Eligible workforce as well as current governmental employees would have the opportunity to benefit from private sector recruitment. In addition, targeted programs to address the Southern Strategy area have been created.

6. Outline the phases and time frames in which this program or event will be accomplished if funded.

FY 2010-2011 (October 1, 2010-September 30, 2011)

7. List the program's short-term, intermediate, and long-term goals.

Short-term Goals: Continue to serve as Administrator of the Enterprise Zone Development Agency; and the Qualified Targeted Industry Tax Refund programs for Leon County.

Intermediate-term Goals: Continue sector development through the First Focus on Local Business Initiative; maintain effective marketing and attraction tools such as a GIS website, local, regional and global messaging through all mediums-web, television, print and social media.

Long-term Goals: Continue to enhance the local quality of life by promoting the attraction, creation and preservation of quality jobs, companies and investment opportunities through business expansion, recruitment and retention activities. In 2009 the EDC conducted three discussion forums attended by more than 70 community stakeholders to access regional strengths, weaknesses, opportunities and threats. Four priorities emerged as critical to the health of the regional economy: Enhance Communications & Marketing; Increase Growth in Engineering and Information Technology; Pre-permit Shovel Ready Sites & Manufacturing Facilities; and Improve Air Service to the Tallahassee Regional Airport.

8. What other agencies in Leon County (governmental, non-profit, and private) provide services similar to those which would be provided by this funding?

NONE

9. List any Agency partnerships and collaboration related to this program.

Agency	Partnership/Collaboration
Please see below	

First Focus on Local Business (First Focus) is an existing industry/business retention and expansion program. First Focus is a cooperative initiative of Tallahassee MSA economic development organizations, small business resources, education and workforce development with the purpose of proactively engaging local firms to assist them in expanding facilities, creating jobs and diversifying the local economic base.

Participating Organizations:
EDC of Tallahassee/Leon County, Inc.
Florida A&M University Technology Transfer
Florida First Capital Finance Corporation
Florida State University Technology Transfer
Gadsden EDC
Greater Tallahassee Chamber of Commerce
Jefferson EDC
Jim Moran Institute for Global Entrepreneurship
Leon County Schools
Lively Technical Center
SCORE
Small Business Development Center @ FAMU
TCC Center for Economic & Workforce Development
Wakulla EDC
Workforce Plus

Regional and State Resources:
Florida's Great Northwest
Workforce Florida
Enterprise Florida

C. Funding Information

10. Agency's current total budget: 2009/10 $952, 252 *(current)* 2010/11 $952,252 *(proposed)*

11. Total cost of program: $952,252

12. Please list the 2009/10 funding amount and associated expenditures requested from Leon County and other revenue sources:

Actual Expenditure Detail	Leon County Funded 19%	Other Agencies Funded	Total
Compensation and Benefits	102,144	435,455	537,599
Professional Fees	3,230	13,770	17,000
Occupancy/Utilities/Network	22,886	97,567	120,453
Supplies/Postage	1,539	6,561	8,100
Equipment Rental, Maintenance, Purchase	570	2,430	3,000
Meeting Costs/Travel/Transportation	3,990	17,010	21,000
Staff/Board Development/Recruitment	0	0	0
Awards/Grants/Direct Aid	0	0	0
Bad Debts/Uncollectible	950	4,050	5,000
Bonding/Liability/Directors Insurance	342	1,458	1,800
Other Expenses (please itemize)	45,277	193,023	238,300
Total	180,928	771,324	952,252
Advertising/PR	17,860	76,140	94,000
Board Reserve	5,700	24,300	30,000
Business Retention	2,812	11,988	14,800

Depreciation	5,700	24,300	30,000
Dues/Subscriptions	3,610	15,390	19,000
Licenses/Taxes/Fees	95	405	500
Project Development	9,500	40,500	50,000
Subtotal*	45,277	193,023	238,300

13. Please list the following Revenue Sources for the current year and the upcoming year below:

Revenue Sources	2009/10 (Current)	2010/11 (Proposed)
Leon County (not CHSP)	199,500*	199,500*
City of Tallahassee (not CHSP)	100,000	100,000
United Way (not CHSP)		
State		
Federal		
Grants		
Contributions/Special Events	136,752	136,752
Dues/Memberships	541,000	541,000
Program Service Fees		
Other Income (please itemize)		
Total	977,252*	977,252*

*includes $25,000 for QTI Match Fund.

14. Please list the following expenses for the current year and the upcoming year below:

Expenses	2009/10 (Current)	2010/11 (Proposed)
Compensation and Benefits	537,599	537,599
Professional Fees	17,000	17,000
Occupancy/Utilities/Network	120,453	120,453
Supplies/Postage	8,100	8,100
Equipment Rental, Maintenance, Purchase	3,000	3,000
Meeting Costs/Travel/Transportation	21,000	21,000
Staff/Board Development/Recruitment	0	0
Awards/Grants/Direct Aid	0	0
Bad Debts/Uncollectible	5,000	5,000
Bonding/Liability/Directors Insurance	1800	1800
Other Expenses (please itemize)	238,300	238,300
Total	952,252	952,252

Advertising/PR	94,000	94,000
Board Reserve	30,000	30,000
Business Retention	14,800	14,800
Depreciation	30,000	30,000
Dues/Subscriptions	19,000	19,000
Licenses/Taxes/Fees	500	500
Project Development	50,000	50,000
Subtotal*	238,300	238,300

15. Describe actions and fund-raisers to secure funding.

Twenty eight percent of funding is from Leon County and the City of Tallahassee. The balance is gen

-erated through a private funding campaign titled Foresight Fund. Approximately 100 companies have invested a total of $541,000 annually for a five year period. The campaign timeframe is from 2006-11.

16. Will this program or event recur every year?

No: _____ Yes: x

17. Would funding by Leon County be requested in subsequent years for successful completion of the program?

No: _____ Yes: x

If "yes," estimate, the amount of next year's funding request: $199,500*

*including $25,000 for the QTI Match Fund.

18. Has Leon County ever contributed funds to this program in the past?

No: _____ Yes: x

If "yes," list date(s), recipient or agency, program title and amount of funding: see Attachment A

Date: March 19, 2010

Recipient or Agency: EDC of Tallahassee/Leon County, Inc.

Program Title: EDC of Tallahassee/Leon County, Inc.

Funding Level: $199,500

19. Attach a copy of the Agency's most recent financial report.

CERTIFICATION

I, the undersigned representative of the Agency, organization or individual making this request, certify that to the best of my knowledge all statements contained in this request and its attachments are true and correct.

Print Name: Beth Kirkland

Signature: Beth Kirkland

Date Signed: March 19, 2010

ARTICLES OF INCORPORATION

OF

THE ECONOMIC DEVELOPMENT COUNCIL OF

TALLAHASSEE/LEON COUNTY

A CORPORATION NOT FOR PROFIT

ONE

Name and Address

The name of the Corporation shall be: The Economic Development Council of Tallahassee/Leon County. The principal office of the Corporation is located at 100 N. Duval Street, Tallahassee, Florida 32302, and the mailing address is P.O. Box 1639, Tallahassee, Florida 32302.

TWO

Perpetual Duration

The Corporation shall have perpetual duration.

THREE

Charitable Purposes and Powers

The Corporation is organized to assist the City of Tallahassee and Leon County in fulfilling their duties and responsibilities under the Economic Development element of the state mandated Tallahassee-Leon County 2010 Comprehensive Plan and to otherwise promote economic development.

The Corporation shall be a Not For Profit Corporation under the provisions of the Florida Not For Profit Corporation Act. It shall be organized and at all times thereafter operated exclu-

sively for public charitable uses and purposes within the meaning of §501(c)(3) of the Internal Revenue Code. The general purposes for which the Corporation is formed, include without limitation, to operate for educational purposes and for other charitable purposes.

The Corporation shall have full power and authority:

(a) To see, accept and receive gifts, grants, contributions, dues and bequests of real and personal property;

(b) To hold, invest, reinvest and expend such funds and properties so received for such purposes;

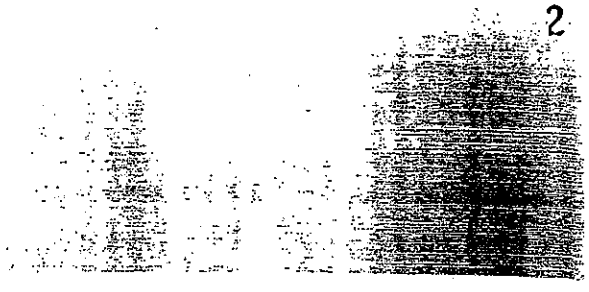
(c) To borrow money and issue evidences of indebtedness in furtherance of any of all of the objects of its business; and

(d) Within and subject to the limitations of §501(c)(3) of the Internal Revenue Code, to perform all other acts necessary or incidental to the above and to do whatever is deemed necessary, useful, advisable, or conductive, directly or indirectly, to carry out any of the purposes of the Corporation, as set forth in these Articles of Incorporation including the exercise of all other power and authority enjoyed by Corporations generally by virtue of the provisions of the Florida Not For Profit Corporation Act.

The Corporation shall serve only such purposes and functions and shall engage only in such activities as are consistent with the purposes set forth in the Article Three and as are exclusively charitable and are entitled to charitable status under §501(c)(3) of the Internal Revenue Code.

FOUR

Publicly Supported Tax-Exempt Nonprofit Corporation



The Corporation shall be neither organized nor operated for pecuniary gain or profit. Moreover:

(a) No part of the net earnings of the Corporation shall ever inure to the benefit of, or be distributable to, any member, director, officer, or trustee of the Corporation, or any other private person; but the Corporation shall be authorized and empowered to pay reasonable compensation for services rendered and make payments and distributions in furtherance of the purposes as set forth in Article Three hereof.

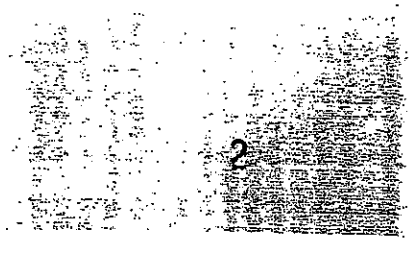
(b) No substantial part of the activities of the Corporation shall be the carrying on of propaganda, or otherwise attempting to influence legislation; and the Corporation shall not participate in, or intervene in (including the publication or distribution of statements) any political campaign on behalf of any candidate for public office.

(c) Notwithstanding any other provisions of these Articles, the Corporation shall not carry on any other activities not permitted to be carried on:

(i) By a corporation exempt from federal income taxation under §501(c)(3) of the Internal Revenue Code and which is other than a private foundation within the meaning of §509(a) of the Internal Revenue Code; or

(ii) By a corporation, contributions to which are deductible for federal income tax purposes under §170(c)(2) of the Internal Revenue Code.

It is intended that the Corporation shall have, and continue to have, the status of an organization which is exempt from federal income taxation under §501(c)(3) of the Internal Revenue Code and which is other than a private foundation within the meaning of §509(a) of the Internal Revenue Code. All terms and provisions of these Articles of Incorporation and the



Bylaws of the Corporation, and all authority and operations of the Corporation, shall be construed, applied and carried out in accordance with such intent.

FIVE

Board of Directors

The Board of Directors shall have general charge of the affairs and any property and assets of the Corporation. It shall be the duty of the directors to carry out the purposes and functions of the Corporation. The number, qualification and election of the directors shall be in accordance with the Bylaws of the Corporation. The directors shall have the powers and duties set forth in these Articles of Incorporation and in the Bylaws, to the extent that such powers and duties are not inconsistent with the status of the Corporation as a nonprofit corporation which is exempt from federal income taxation under §501(c)(3) of the Internal Revenue Code and which is other than a private foundation within the meaning of §509(a) of the Internal Revenue Code. The number of directors of the Corporation shall not be less than three (3); provided, however, that a greater number may be established and revised by a duly adopted Bylaw. A quorum of the Board of Directors shall consist of one-half the prescribed number of directors as set forth in the Bylaws, unless a duly adopted Bylaw requires a higher percentage.

SIX

Initial Board Of Directors

The initial Board of Directors of the Corporation shall consist of eighteen (18) members, whose names and addresses are set forth below. Each member of the initial Board of Directors shall serve as a director until his successor has been elected and has qualified.

	NAME	ADDRESS
1.	Honorable Scott Maddox Mayor	City Hall 300 S. Adams Street Tallahassee, FL 32301
2.	Mr. Steven C. Burkett City Manager	City Hall 300 S. Adams Street Tallahassee, FL 32301
3.	Honorable Rudy Maloy County Chair	Leon County Courthouse 301 S. Monroe Street Tallahassee, FL 32301
4.	Mr. Parwez Alam County Administrator	Leon County Courthouse 301 S. Monroe Street Tallahassee, FL 32301
5.	Dr. Sandy D'Alemberte President	Florida State University 211 Wescott Building Tallahassee, FL 32306
6.	Dr. Frederick Humphries President	Florida A & M University 400 Lee Hall Tallahassee, FL 32307
7.	T.K. Wetherell President	Tallahassee Community College 444 Appleyard Drive Tallahassee, FL 32304
8.	Mr. Bob Gill Principal	Lively Technical Center 500 North Appelyard Drive Tallahassee, FL 32304

2

9.	Mr. William Colledge, Chairman Tallahassee Chamber of Commerce Chamber Executive Committee	Barnett of Tallahassee P.O. Box 5257 Tallahassee, FL 32314
10.	Mr. Everitt Drew, Past Chair Tallahassee Chamber of Commerce and Project 2010 Chamber Executive Committee	SouthGroup Properties 215 Delta Court Tallahassee, FL 32303
11.	Ms. Doris Dunlap, Chair-Elect Tallahassee Chamber of Commerce Chamber Executive Committee	Tallahassee Democrat P.O. Box 990 Tallahassee FL 32302
12.	Mr. James Ashlock, President Tallahassee Chamber of Commerce Chamber Executive Committee	Tallahassee Chamber of Commerce P.O. Box 1639 Tallahassee, FL 32302
13.	Mr. Winston Howell, Treasurer Tallahassee Chamber of Commerce Chamber Executive Committee	Thomas Howell Ferguson, P.A. P.O. Drawer 14569 Tallahassee, FL 32317
14.	Mr. William F. Butler, Chairman Economic Development Council Tallahassee Chamber of Commerce Chamber Executive Committee	Boutin Brown Butler Real Estate Services 822 N. Monroe Street Tallahassee, FL 32303
15.	Mr. Mark Mustian Chamber Executive Committee	Mustian & Associates 315 S. Calhoun Street, Suite 800 Tallahassee, FL 32301
16.	Mr. Tom O'Steen Chamber Executive Committee	The Genisis Group 820 E. Park Avenue Building I Ste. 200 Tallahassee, FL 32301
17.	Mr. Todd Sperry Chamber Executive Committee	Sperry & Associates P.O. Box 3968 Tallahassee, FL 32315
18.	Ms. Karen Moore Chamber Executive Committee	Moore Consulting Group 1699 North Monroe Street Tallahassee, FL 32303

SEVEN

Members

The Board of Directors of the Corporation shall have the power to admit members to the Corporation in such manner, subject to such qualifications, and upon such terms and conditions and with such rights and privileges, other than voting, as may be provided from time to time in the Bylaws of the Corporation and as are not inconsistent with any provision of these Articles of Incorporation. Members may be divided into one or more classes. Individuals, public organizations, and private incorporated and unincorporated associations interested in the objectives and purposes of the Corporation shall be eligible for membership.

EIGHT

Administration

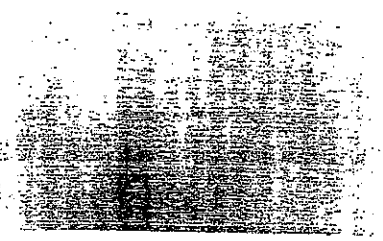
The Corporation is organized under a nonstock basis. The annual meeting shall be held at such time and place as set forth in the Bylaws.

NINE

Reservation of Assets

The assets of the Corporation are hereby irrevocably dedicated to charitable use; accordingly, in the event of dissolution, the residual assets of the Corporation shall be turned over to one or more organizations which themselves are exempt as organizations described in §501(c)(3) and

2



§170(c)(2) of the Internal Revenue Code or corresponding sections of any prior or future law, or to the federal, state or local government for exclusive public purpose(s).

TEN

Definitions

For purposes of these Articles of Incorporation, "charitable purposes" include charitable purposes within the meaning of §501(c)(3) of the Internal Revenue Code, contributions for which are deductible under §170(c)(2) of the Internal Revenue Code. All references in these Articles of Incorporation to sections of the Internal Revenue Code shall be considered reference to the Internal Revenue Code of 1986, as from time to time amended, and to the corresponding provisions of any applicable future United States Internal Revenue Law, and to all regulations issued under such sections and provisions.

ELEVEN

Amendments

These Articles of Incorporation may be amended by a majority of those voting at any meeting of the board of directors called for that purpose, provided the notice of meeting of the board of directors shall have stated the nature of the proposed amendment.

TWELVE

Bylaws

THIRTEEN

Principal Office & Initial Registered Office & Agent

The address of this corporation's principal office and the address of this corporation's initial registered office shall be: 100 N. Duval Street, Tallahassee, Florida 32302.

The name of the individual who shall serve as this corporation's initial registered agent at that address is: Joseph A. Kelley.

IN WITNESS WHEREOF, the undersigned being the incorporator(s) of this Corporation have executed these Articles of Incorporation, this 4th day of May, 1995.

Witnessed By:

Signature of Incorporator

Joseph A. Kelley

ACCEPTANCE BY REGISTERED AGENT

I hereby accept my designation as resident agent and agree to serve as the resident agent of The Economic Development Council of Tallahassee/Leon County. I hereby state that I am familiar with and accept the duties and responsibilities as registered agent for The Economic Development Council of Tallahassee/Leon County.

Joseph A. Kelley - Registered Agent

State of Florida
County of Leon

On May 5, 1995, the individual who shall serve as this corporation's initial registered agent, personally appeared before me, acknowledged accepting the designation as the corporation's registered agent, and signed and acknowledged signing these Articles of Incorporation of The Economic Development Council of Tallahassee/Leon County

Notary Public
Commission Expiration Date:

R. L. KUEHL
MY COMMISSION # CC 394492
EXPIRES: September 18, 1998
Bonded Thru Notary Public Underwriters

Form **990**

Department of the Treasury
Internal Revenue Service

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

▶ The organization may have to use a copy of this return to satisfy state reporting requirements.

OMB No. 1545-0047

2007

Open to Public Inspection

A For the 2007 calendar year, or tax year beginning 10/01/07 , and ending 9/30/08

B Check if applicable:
- ☐ Address change
- ☐ Name change
- ☐ Initial return
- ☐ Termination
- ☐ Amended return
- ☐ Application pending

Please use IRS label or print or type. See Specific Instructions.

C Name of organization
Economic Development Council of Tallahassee-Leon County, Inc.

Number and street (or P.O. box if mail is not delivered to street address) Room/suite
P.O. BOX 1639

City or town, state or country, and ZIP + 4
Tallahassee FL 32302-1639

D Employer identification number
59-3374108

E Telephone number
850-224-8116

F Accounting method: ☐ Cash ☒ Accrual ☐ Other (specify)

• Section 501(c)(3) organizations and 4947(a)(1) nonexempt charitable trusts must attach a completed Schedule A (Form 990 or 990-EZ).

H and I are not applicable to section 527 organizations.

H(a) Is this a group return for affiliates? ☐ Yes ☒ No

H(b) If "Yes," enter number of affiliates ▶

H(c) Are all affiliates included? ☐ Yes ☐ No
(If "No," attach a list. See instructions.)

H(d) Is this a separate return filed by an organization covered by a group ruling? ☐ Yes ☐ No

G Website: ↖ edctallahasseeleon.com

J Organization type (check only one) ▶ ☒ 501(c) (3) ◀ (insert no.) ☐ 4947(a)(1) or ☐ 527

I Group Exemption Number ▶

K Check here ▶ ☐ if the organization is not a 509(a)(3) supporting organization and its gross receipts are normally not more than $25,000. A return is not required, but if the organization chooses to file a return, be sure to file a complete return.

M Check ▶ ☒ if the organization is not required to attach Sch. B (Form 990, 990-EZ, or 990-PF).

L Gross receipts: Add lines 6b, 8b, 9b, and 10b to line 12 ▶ 989,300

Part I Revenue, Expenses, and Changes in Net Assets or Fund Balances (See the instructions.)

Revenue	1	Contributions, gifts, grants, and similar amounts received:			
	a	Contributions to donor advised funds	1a		
	b	Direct public support (not included on line 1a)	1b		
	c	Indirect public support (not included on line 1a)	1c		
	d	Government contributions (grants) (not included on line 1a)	1d		
	e	**Total** (add lines 1a through 1d) (cash $ ____ noncash $ ____)		1e	0
	2	Program service revenue including government fees and contracts (from Part VII, line 93)		2	456,870
	3	Membership dues and assessments See Statement 1		3	526,533
	4	Interest on savings and temporary cash investments		4	5,118
	5	Dividends and interest from securities		5	
	6a	Gross rents	6a		
	b	Less: rental expenses	6b		
	c	Net rental income or (loss). Subtract line 6b from line 6a		6c	
	7	Other investment income (describe ▶)		7	
	8a	Gross amount from sales of assets other than inventory — (A) Securities / (B) Other	8a		
	b	Less: cost or other basis and sales expenses	8b		
	c	Gain or (loss) (attach schedule)	8c		
	d	Net gain or (loss). Combine line 8c, columns (A) and (B)		8d	
	9	Special events and activities (attach schedule). If any amount is from **gaming**, check here ▶ ☐			
	a	Gross revenue (not including $ ____ of contributions reported on line 1b)	9a		
	b	Less: direct expenses other than fundraising expenses	9b		
	c	Net income or (loss) from special events. Subtract line 9b from line 9a		9c	
	10a	Gross sales of inventory, less returns and allowances	10a		
	b	Less: cost of goods sold	10b		
	c	Gross profit or (loss) from sales of inventory (attach schedule). Subtract line 10b from line 10a		10c	
	11	Other revenue (from Part VII, line 103)		11	779
	12	**Total revenue.** Add lines 1e, 2, 3, 4, 5, 6c, 7, 8d, 9c, 10c, and 11		12	989,300
Expenses	13	Program services (from line 44, column (B))		13	769,583
	14	Management and general (from line 44, column (C))		14	158,829
	15	Fundraising (from line 44, column (D))		15	
	16	Payments to affiliates (attach schedule)		16	
	17	**Total expenses.** Add lines 16 and 44, column (A)		17	928,412
Net Assets	18	Excess or (deficit) for the year. Subtract line 17 from line 12		18	60,888
	19	Net assets or fund balances at beginning of year (from line 73, column (A))		19	275,238
	20	Other changes in net assets or fund balances (attach explanation)		20	
	21	Net assets or fund balances at end of year. Combine lines 18, 19, and 20		21	336,126

CLIENT COPY

Form 990 (2007) Economic Development Council 59-3374108

Part II Statement of Functional Expenses All organizations must complete column (A). Columns (B), (C), and (D) are required for section 501(c)(3) and (4) organizations and section 4947(a)(1) nonexempt charitable trusts but optional for others. (See the instructions.)

Do not include amounts reported on line 6b, 8b, 9b, 10b, or 16 of Part I.		(A) Total	(B) Program services	(C) Management and general	(D) Fundraising
22a Grants paid from donor advised funds (attach schedule) (cash $ ____ non-cash $ ____) If this amount includes foreign grants, check here ▶ ☐	22a				
22b Other grants and allocations (attach schedule) (cash $ ____ non-cash $ ____) If this amount includes foreign grants, check here ▶ ☐	22b				
23 Specific assistance to individuals (attach schedule)	23				
24 Benefits paid to or for members (attach schedule)	24				
25a Compensation of current officers, directors, key employees, etc. listed in Part V-A See Statement 2	25a	188,589	168,266	20,323	
b Compensation of former officers, directors, key employees, etc. listed in Part V-B	25b				
c Compensation and other distributions, not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)	25c				
26 Salaries and wages of employees not included on lines 25a, b, and c	26	283,869	186,076	97,793	
27 Pension plan contributions not included on lines 25a, b, and c	27	5,729	4,297	1,432	
28 Employee benefits not included on lines 25a – 27	28	48,145	36,109	12,036	
29 Payroll taxes	29	31,913	23,935	7,978	
30 Professional fundraising fees	30				
31 Accounting fees	31	15,529	14,753	776	
32 Legal fees	32				
33 Supplies	33	3,725	3,539	186	
34 Telephone	34	6,114	5,808	306	
35 Postage and shipping	35	979	930	49	
36 Occupancy	36				
37 Equipment rental and maintenance	37	5,165	4,907	258	
38 Printing and publications	38	5,005	4,755	250	
39 Travel	39				
40 Conferences, conventions, and meetings	40	16,061	15,258	803	
41 Interest	41				
42 Depreciation, depletion, etc. (attach schedule)	42	11,799	0	11,799	
43 Other expenses not covered above (itemize): a See Statement 3	43a	305,790	300,950	4,840	
b	43b				
c	43c				
d	43d				
e	43e				
f	43f				
g	43g				
44 **Total functional expenses.** Add lines 22a through 43g. (Organizations completing columns (B)-(D), carry these totals to lines 13-15)	44	928,112	769,583	158,829	0

CLIENT COPY

Joint Costs. Check ▶ ☐ if you are following SOP 98-2.

Are any joint costs from a combined educational campaign and fundraising solicitation reported in (B) Program services? ▶ ☐ Yes ☒ No

If "Yes," enter (i) the aggregate amount of these joint costs $ ____ ; (ii) the amount allocated to Program services $ ____ ;

(iii) the amount allocated to Management and general $ ____ ; and (iv) the amount allocated to Fundraising $ ____

Form 990 (2007) Economic Development Council 59-3374108

Part III Statement of Program Service Accomplishments (See the instructions.)

Form 990 is available for public inspection and, for some people, serves as the primary or sole source of information about a particular organization. How the public perceives an organization in such cases may be determined by the information presented on its return. Therefore, please make sure the return is complete and accurate and fully describes, in Part III, the organization's programs and accomplishments.

What is the organization's primary exempt purpose? ▶ Business Development & Job Creation All organizations must describe their exempt purpose achievements in a clear and concise manner. State the number of clients served, publications issued, etc. Discuss achievements that are not measurable. (Section 501(c)(3) and (4) organizations and 4947(a)(1) nonexempt charitable trusts must also enter the amount of grants and allocations to others.)	Program Service Expenses (Required for 501(c)(3) and (4) orgs., and 4947(a)(1) trusts; but optional for others.)
a Economic Enhancement and Private Sector Job Creation (Grants and allocations $) If this amount includes foreign grants, check here ▶ ☐	769,583
b (Grants and allocations $) If this amount includes foreign grants, check here ▶ ☐	
c (Grants and allocations $) If this amount includes foreign grants, check here ▶ ☐	
d (Grants and allocations $) If this amount includes foreign grants, check here ▶ ☐	
e Other program services (attach schedule) (Grants and allocations $) If this amount includes foreign grants, check here ▶ ☐	
f **Total of Program Service Expenses** (should equal line 44, column (B), Program services) ▶	769,583

Form 990 (2007) Economic Development Council 59-3374108 Page 4

Part IV Balance Sheets (See the instructions.)

	Note: Where required, attached schedules and amounts within the description column should be for end-of-year amounts only.			(A) Beginning of year		(B) End of year
Assets	45 Cash—non-interest-bearing			290,915	45	269,427
	46 Savings and temporary cash investments			247,832	46	254,087
	47a Accounts receivable	47a	129,716			
	b Less: allowance for doubtful accounts	47b	5,488	106,468	47c	124,228
	48a Pledges receivable	48a				
	b Less: allowance for doubtful accounts	48b			48c	
	49 Grants receivable			100,761	49	102,941
	50a Receivables from current and former officers, directors, trustees, and key employees (attach schedule)				50a	
	b Receivables from other disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) (att. schedule)				50b	
	51a Other notes and loans receivable (attach schedule)	51a				
	b Less: allowance for doubtful accounts	51b			51c	
	52 Inventories for sale or use				52	
	53 Prepaid expenses and deferred charges			3,451	53	15,911
	54a Investments—publicly-traded securities ▶ ☐ Cost ☐ FMV				54a	
	b Investments—other securities (attach schedule) ▶ ☐ Cost ☐ FMV				54b	
	55a Investments—land, buildings, and equipment: basis	55a	179,680			
	b Less: accumulated depreciation (attach schedule) See Statement 4	55b	84,866	11,562	55c	94,814
	56 Investments—other (attach schedule)				56	
	57a Land, buildings, and equipment: basis	57a				
	b Less: accumulated depreciation (attach schedule)	57b			57c	
	58 Other assets, including program-related investments (describe ▶)				58	
	59 Total assets (must equal line 74). Add lines 45 through 58			760,989	59	861,408
Liabilities	60 Accounts payable and accrued expenses			66,514	60	81,651
	61 Grants payable				61	
	62 Deferred revenue See Statement 5			419,237	62	443,631
	63 Loans from officers, directors, trustees, and key employees (attach schedule)				63	
	64a Tax-exempt bond liabilities (attach schedule)				64a	
	b Mortgages and other notes payable (attach schedule)				64b	
	65 Other liabilities (describe ▶)				65	
	66 Total liabilities. Add lines 60 through 65			485,751	66	525,282
Net Assets or Fund Balances	Organizations that follow SFAS 117, check here ▶ ☒ and complete lines 67 through 69 and lines 73 and 74.					
	67 Unrestricted			275,238	67	336,126
	68 Temporarily restricted				68	
	69 Permanently restricted				69	
	Organizations that do not follow SFAS 117, check here ▶ ☐ and complete lines 70 through 74.					
	70 Capital stock, trust principal, or current funds				70	
	71 Paid-in or capital surplus, or land, building, and equipment fund				71	
	72 Retained earnings, endowment, accumulated income, or other funds				72	
	73 Total net assets or fund balances. Add lines 67 through 69 or lines 70 through 72. (Column (A) must equal line 19 and column (B) must equal line 21)			275,238	73	336,126
	74 Total liabilities and net assets/fund balances. Add lines 66 and 73			760,989	74	861,408

Form 990 (2007) Economic Development Council 59-3374108

Part IV-A **Reconciliation of Revenue per Audited Financial Statements With Revenue per Return** (See the instructions.)

a	Total revenue, gains, and other support per audited financial statements			a	1,031,553
b	Amounts included on line a but not on Part I, line 12:				
1	Net unrealized gains on investments	b1			
2	Donated services and use of facilities	b2	42,253		
3	Recoveries of prior year grants	b3			
4	Other (specify):	b4			
	Add lines b1 through b4			b	42,253
c	Subtract line b from line a			c	989,300
d	Amounts included on Part I, line 12, but not on line a:				
1	Investment expenses not included on Part I, line 6b	d1			
2	Other (specify):	d2			
	Add lines d1 and d2			d	
e	**Total revenue** (Part I, line 12). Add lines c and d ▶			e	989,300

Part IV-B **Reconciliation of Expenses per Audited Financial Statements With Expenses per Return**

a	Total expenses and losses per audited financial statements			a	970,665
b	Amounts included on line a but not Part I, line 17:				
1	Donated services and use of facilities	b1	42,253		
2	Prior year adjustments reported on Part I, line 20	b2			
3	Losses reported on Part I, line 20	b3			
4	Other (specify): See Statement 6	b4	11,799		
	Add lines b1 through b4			b	54,052
c	Subtract line b from line a			c	916,613
d.	Amounts included on Part I, line 17, but not on line a:				
1	Investment expenses not included on Part I, line 6b	d1			
2	Other (specify): See Statement 7	d2	11,799		
	Add lines d1 and d2			d	11,799
e	**Total expenses** (Part I, line 17). Add lines c and d ▶			e	928,412

Part V-A **Current Officers, Directors, Trustees, and Key Employees** (List each person who was an officer, director, trustee, or key employee at any time during the year even if they were not compensated.) (See the instructions.)

(A) Name and address	(B) Title and average hours per week devoted to position	(C) Compensation (If not paid, enter -0-.)	(D) Contributions to employee benefit plans & deferred compensation plans	(E) Expense account and other allowances
Suzanne Dick P.O. Box 1639 Tallahassee FL	President 20	46,702	3,129	0
Stu Bevis P.O. Box 1639 Tallahassee FL	VP Finances 20	31,176	5,243	0
Beth Kirkland P.O. Box 1639 Tallahassee FL	Ex. Director 45	110,711	6,662	0
See Attached Schedule	Directors 0	0	0	0

Part V-A Current Officers, Directors, Trustees, and Key Employees (continued)

			Yes	No
75a	Enter the total number of officers, directors, and trustees permitted to vote on organization business at board meetings ▶			
b	Are any officers, directors, trustees, or key employees listed in Form 990, Part V-A, or highest compensated employees listed in Schedule A, Part I, or highest compensated professional and other independent contractors listed in Schedule A, Part II-A or II-B, related to each other through family or business relationships? If "Yes," attach a statement that identifies the individuals and explains the relationship(s)	75b		X
c	Do any officers, directors, trustees, or key employees listed in Form 990, Part V-A, or highest compensated employees listed in Schedule A, Part I, or highest compensated professional and other independent contractors listed in Schedule A, Part II-A or II-B, receive compensation from any other organizations, whether tax exempt or taxable, that are related to the organization? See the instructions for the definition of "related organization." If "Yes," attach a statement that includes the information described in the instructions.	75c		X
d	Does the organization have a written conflict of interest policy?	75d		X

Part V-B Former Officers, Directors, Trustees, and Key Employees That Received Compensation or Other Benefits

(If any former officer, director, trustee, or key employee received compensation or other benefits (described below) during the year, list that person below and enter the amount of compensation or other benefits in the appropriate column. See the instructions.)

(A) Name and address	(B) Loans and Advances	(C) Compensation (if not paid, enter -0-)	(D) Contributions to employee benefit plans & deferred compensation plans	(E) Expense account and other allowances
N/A				

Part VI Other Information (See the instructions.)

			Yes	No
76	Did the organization make a change in its activities or methods of conducting activities? If "Yes," attach a detailed statement of each change	76		X
77	Were any changes made in the organizing or governing documents but not reported to the IRS? If "Yes," attach a conformed copy of the changes.	77		X
78a	Did the organization have unrelated business gross income of $1,000 or more during the year covered by this return?	78a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	78b		
79	Was there a liquidation, dissolution, termination, or substantial contraction during the year? If "Yes," attach a statement	79		X
80a	Is the organization related (other than by association with a statewide or nationwide organization) through common membership, governing bodies, trustees, officers, etc., to any other exempt or nonexempt organization?	80a		X
b	If "Yes," enter the name of the organization ▶ and check whether it is ☐ exempt or ☐ nonexempt			
81a	Enter direct and indirect political expenditures. (See line 81 instructions.)	81a 0		
b	Did the organization file **Form 1120-POL** for this year?	N/A 81b		

Form 990 (2007) Economic Development Council 59-3374108 Page 7

Part VI Other Information (continued)

				Yes	No
82a	Did the organization receive donated services or the use of materials, equipment, or facilities at no charge or at substantially less than fair rental value?		82a	X	
b	If "Yes," you may indicate the value of these items here. Do not include this amount as revenue in Part I or as an expense in Part II. (See instructions in Part III.)	82b 42,253			
83a	Did the organization comply with the public inspection requirements for returns and exemption applications?		83a	X	
b	Did the organization comply with the disclosure requirements relating to quid pro quo contributions?		83b	X	
84a	Did the organization solicit any contributions or gifts that were not tax deductible?		84a		X
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	N/A	84b		
85a	501(c)(4), (5), or (6). Were substantially all dues nondeductible by members?	N/A	85a		
b	Did the organization make only in-house lobbying expenditures of $2,000 or less?	N/A	85b		
	If "Yes" was answered to either 85a or 85b, **do not** complete 85c through 85h below unless the organization received a waiver for proxy tax owed for the prior year.				
c	Dues, assessments, and similar amounts from members	85c			
d	Section 162(e) lobbying and political expenditures	85d			
e	Aggregate nondeductible amount of section 6033(e)(1)(A) dues notices	85e			
f	Taxable amount of lobbying and political expenditures (line 85d less 85e)	85f			
g	Does the organization elect to pay the section 6033(e) tax on the amount on line 85f?	N/A	85g		
h	If section 6033(e)(1)(A) dues notices were sent, does the organization agree to add the amount on line 85f to its reasonable estimate of dues allocable to nondeductible lobbying and political expenditures for the following tax year?	N/A	85h		
86	501(c)(7) orgs. Enter: a Initiation fees and capital contributions included on line 12	86a			
b	Gross receipts, included on line 12, for public use of club facilities	86b			
87	501(c)(12) orgs. Enter: a Gross income from members or shareholders	87a			
b	Gross income from other sources. (Do not net amounts due or paid to other sources against amounts due or received from them.)	87b			
88a	At any time during the year, did the organization own a 50% or greater interest in a taxable corporation or partnership, or an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If "Yes," complete Part IX		88a		X
b	At any time during the year, did the organization, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Part XI ▶		88b		X
89a	501(c)(3) organizations. Enter: Amount of tax imposed on the organization during the year under: section 4911 ▶ 0 ; section 4912 ▶ 0 ; section 4955 ▶ 0				
b	501(c)(3) and 501(c)(4) orgs. Did the organization engage in any section 4958 excess benefit transaction during the year or did it become aware of an excess benefit transaction from a prior year? If "Yes," attach a statement explaining each transaction		89b		X
c	Enter: Amount of tax imposed on the organization managers or disqualified persons during the year under sections 4912, 4955, and 4958 ▶	0			
d	Enter: Amount of tax on line 89c, above, reimbursed by the organization ▶	0			
e	All organizations. At any time during the tax year, was the organization a party to a prohibited tax shelter transaction?		89e		X
f	All organizations. Did the organization acquire a direct or indirect interest in any applicable insurance contract?		89f		X
g	For supporting organizations and sponsoring organizations maintaining donor advised funds. Did the supporting organization, or a fund maintained by a sponsoring organization, have excess business holdings at any time during the year?		89g		X

90a List the states with which a copy of this return is filed ▶ FL

b Number of employees employed in the pay period that includes March 12, 2007 (See instructions.) 90b 19

91a The books are in care of ▶ Stu Bevis Telephone no. ▶ 850-224-8116

Located at ▶ 100 N. Duval St. Tallahassee, FL ZIP + 4 ▶ 32302

				Yes	No
b	At any time during the calendar year, did the organization have an interest in or a signature or other authority over a financial account in a foreign country (such as a bank account, securities account, or other financial account)?		91b		X
	If " Yes," enter the name of the foreign country ▶				
	See the instructions for exceptions and filing requirements for **Form TD F 90-22.1,** Report of Foreign Bank and Financial Accounts.				

Form 990 (2007) Economic Development Council 59-3374108

Part VI Other Information (continued)

			Yes	No
c	At any time during the calendar year, did the organization maintain an office outside of the United States?	91c		X
	If "Yes," enter the name of the foreign country ▶			
92	Section 4947(a)(1) nonexempt charitable trusts filing Form 990 in lieu of Form 1041—Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the tax year ▶	92		

Part VII Analysis of Income-Producing Activities (See the instructions.)

Note: Enter gross amounts unless otherwise indicated.

		Unrelated business income		Excluded by section 512, 513, or 514		(E)
		(A) Business code	(B) Amount	(C) Exclusion code	(D) Amount	Related or exempt function income
93	Program service revenue:					
a						
b						
c						
d						
e						
f	Medicare/Medicaid payments					
g	Fees and contracts from government agencies					456,870
94	Membership dues and assessments					526,533
95	Interest on savings and temporary cash investments			14	5,118	
96	Dividends and interest from securities					
97	Net rental income or (loss) from real estate:					
a	debt-financed property					
b	not debt-financed property					
98	Net rental income or (loss) from personal property					
99	Other investment income					
100	Gain or (loss) from sales of assets other than inventory					
101	Net income or (loss) from special events					
102	Gross profit or (loss) from sales of inventory					
103	Other revenue: a					
b	Miscellaneous					779
c						
d						
e						
104	Subtotal (add columns (B), (D), and (E))		0		5,118	984,182
105	Total (add line 104, columns (B), (D), and (E)) ▶					989,300

Note: Line 105 plus line 1e, Part I, should equal the amount on line 12, Part I.

Part VIII Relationship of Activities to the Accomplishment of Exempt Purposes (See the instructions.)

Line No. ▼	Explain how each activity for which income is reported in column (E) of Part VII contributed importantly to the accomplishment of the organization's exempt purposes (other than by providing funds for such purposes).
	See Statement 8

Part IX Information Regarding Taxable Subsidiaries and Disregarded Entities (See the instructions.)

(A) Name, address, and EIN of corporation, partnership, or disregarded entity	(B) Percentage of ownership interest	(C) Nature of activities	(D) Total income	(E) End-of-year assets
N/A	%			
	%			
	%			
	%			

Part X Information Regarding Transfers Associated with Personal Benefit Contracts (See the instructions.)

(a)	Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes	☒ No
(b)	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	☐ Yes	☒ No

Note: If "Yes" to (b), file Form 8870 and Form 4720 (see instructions).

Part XI **Information Regarding Transfers To and From Controlled Entities.** Complete only if the organization is a controlling organization as defined in section 512(b)(13).

		Yes	No
106	Did the reporting organization **make** any transfers to a controlled entity as defined in section 512(b)(13) of the Code? If "Yes," complete the schedule below for each controlled entity.		X

	(A) Name, address, of each controlled entity	(B) Employer ID Number	(C) Description of transfer	(D) Amount of transfer
a				
b				
c				
	Totals			

		Yes	No
107	Did the reporting organization **receive** any transfers **from** a controlled entity as defined in section 512(b)(13) of the Code? If "Yes," complete the schedule below for each controlled entity.		X

	(A) Name, address, of each controlled entity	(B) Employer ID Number	(C) Description of transfer	(D) Amount of transfer
a				
b				
c				
	Totals			

		Yes	No
108	Did the organization have a binding written contract in effect on August 17, 2006, covering the interest, rents, royalties, and annuities described in question 107 above?		

Please Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Signature of officer — Date

Type or print name and title

Paid Preparer's Use Only

Preparer's signature	Date	Check if self-employed ▶ ☐	Preparer's SSN or PTIN (See Gen. Instr. X)
	6/6/09		P00177745

Firm's name (or yours if self-employed), address, and ZIP + 4	EIN	Phone no.
Sanders, Holloway & Ryan 2878 Mahan Drive Tallahassee, FL 32308	▶ 59-1974251	▶ 850-222-1608

SCHEDULE A (Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Organization Exempt Under Section 501(c)(3)

(Except Private Foundation) and Section 501(e), 501(f), 501(k), 501(n), or 4947(a)(1) Nonexempt Charitable Trust

Supplementary Information-(See separate instructions.)

▶ MUST be completed by the above organizations and attached to their Form 990 or 990-EZ

OMB No. 1545-0047

2007

Name of the organization: Economic Development Council of Tallahassee-Leon County, Inc.

Employer identification number: 59-3374108

Part I Compensation of the Five Highest Paid Employees Other Than Officers, Directors, and Trustees

(See page 1 of the instructions. List each one. If there are none, enter "None.")

(a) Name and address of each employee paid more than $50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to empl. benefit plans & deferred comp.	(e) Expense account and other allowances
NONE				
Total number of other employees paid over $50,000 ▶				

Part II-A Compensation of the Five Highest Paid Independent Contractors for Professional Services

(See page 2 of the instructions. List each one (whether individuals or firms). If there are none, enter "None.")

(a) Name and address of each independent contractor paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		

Part II-B Compensation of the Five Highest Paid Independent Contractors for Other Services

(List each contractor who performed services other than professional services, whether individuals or firms. If there are none, enter "None." See page 2 of the instructions.)

(a) Name and address of each independent contractor paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of other contractors receiving over $50,000 for other services ▶		

Part III Statements About Activities (See page 2 of the instructions.)

			Yes	No
1	During the year, has the organization attempted to influence national, state, or local legislation, including any attempt to influence public opinion on a legislative matter or referendum? If "Yes," enter the total expenses paid or incurred in connection with the lobbying activities ▶ $ _____ (Must equal amounts on line 38, Part VI-A, or line i of Part VI-B.)	1		X
	Organizations that made an election under section 501(h) by filing Form 5768 must complete Part VI-A. Other organizations checking "Yes" must complete Part VI-B AND attach a statement giving a detailed description of the lobbying activities.			
2	During the year, has the organization, either directly or indirectly, engaged in any of the following acts with any substantial contributors, trustees, directors, officers, creators, key employees, or members of their families, or with any taxable organization with which any such person is affiliated as an officer, director, trustee, majority owner, or principal beneficiary? (If the answer to any question is "Yes," attach a detailed statement explaining the transactions.)			
a	Sale, exchange, or leasing of property?	2a		X
b	Lending of money or other extension of credit?	2b		X
c	Furnishing of goods, services, or facilities?	2c		X
d	Payment of compensation (or payment or reimbursement of expenses if more than $1,000)? See Part V-A, Form 990	2d	X	
e	Transfer of any part of its income or assets?	2e		X
3a	Did the organization make grants for scholarships, fellowships, student loans, etc.? (If "Yes," attach an explanation of how the organization determines that recipients qualify to receive payments.)	3a		X
b	Did the organization have a section 403(b) annuity plan for its employees?	3b		X
c	Did the organization receive or hold an easement for conservation purposes, including easements to preserve open space, the environment, historic land areas or historic structures? If "Yes," attach a detailed statement	3c		X
d	Did the organization provide credit counseling, debt management, credit repair, or debt negotiation services?	3d		X
4a	Did the organization maintain any donor advised funds? If "Yes," complete lines 4b through 4g. If "No," complete lines 4f and 4g	4a		X
b	Did the organization make any taxable distributions under section 4966?	4b		
c	Did the organization make a distribution to a donor, donor advisor, or related person?	4c		
d	Enter the total number of donor advised funds owned at the end of the tax year ▶ _____			
e	Enter the aggregate value of assets held in all donor advised funds owned at the end of the tax year ▶ _____			
f	Enter the total number of separate funds or accounts owned at the end of the tax year (excluding donor advised funds included on line 4d) where donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts ▶ 0			
g	Enter the aggregate value of assets held in all funds or accounts included on line 4f at the end of the tax year ▶ 0			

Part IV Reason for Non-Private Foundation Status (See pages 4 through 8 of the instructions.)

I certify that the organization is not a private foundation because it is: (Please check only **ONE** applicable box.)

5 ☐ A church, convention of churches, or association of churches. Section 170(b)(1)(A)(i).

6 ☐ A school. Section 170(b)(1)(A)(ii). (Also complete Part V.)

7 ☐ A hospital or a cooperative hospital service organization. Section 170(b)(1)(A)(iii).

8 ☐ A federal, state, or local government or governmental unit. Section 170(b)(1)(A)(v).

9 ☐ A medical research organization operated in conjunction with a hospital. Section 170(b)(1)(A)(iii). **Enter the hospital's name, city, and state** ▶ .....

10 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit. Section 170(b)(1)(A)(iv). (Also complete the **Support Schedule** in Part IV-A.)

11a ☐ An organization that normally receives a substantial part of its support from a governmental unit or from the general public. Section 170(b)(1)(A)(vi). (Also complete the **Support Schedule** in Part IV-A.)

11b ☐ A community trust. Section 170(b)(1)(A)(vi). (Also complete the **Support Schedule** in Part IV-A.)

12 ☒ An organization that normally receives: **(1) more than 33 1/3%** of its support from contributions, membership fees, and gross receipts from activities related to its charitable, etc., functions-subject to certain exceptions, and **(2) no more than 33 1/3%** of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See section 509(a)(2). (Also complete the **Support Schedule** in Part IV-A.)

13 ☐ An organization that is not controlled by any disqualified persons (other than foundation managers) and otherwise meets the requirements of section 509(a)(3). Check the box that describes the type of supporting organization:

☐ Type I ☐ Type II ☐ Type III-Functionally Integrated ☐ Type III-Other

Provide the following information about the supported organizations. (See page 8 of the instructions.)

(a) Name(s) of supported organization(s)	(b) Employer identification number (EIN)	(c) Type of organization (described in lines 5 through 12 above or IRC section)	(d) Is the supported organization listed in the supporting organization's governing documents? Yes	(d) No	(e) Amount of support
Total ▶					

14 ☐ An organization organized and operated to test for public safety. Section 509(a)(4). (See page 8 of the instructions.)

Part IV-A Support Schedule (Complete only if you checked a box on line 10, 11, or 12.) **Use cash method of accounting.**

Note: You may use the worksheet in the instructions for converting from the accrual to the cash method of accounting.

Calendar year (or fiscal year beginning in) ▶	(a) 2006	(b) 2005	(c) 2004	(d) 2003	(e) Total
15 Gifts, grants, and contributions received. (Do not include unusual grants. See line 28.)	328,739	335,000	352,150	360,000	1,375,889
16 Membership fees received	482,995	479,569	479,569	465,177	1,907,310
17 Gross receipts from admissions, merchandise sold or services performed, or furnishing of facilities in any activity that is related to the organization's charitable, etc., purpose	15,331	10,479	10,479	2,500	38,789
18 Gross income from interest, dividends, amounts received from payments on securities loans (section 512(a)(5)), rents, royalties, income from similar sources, and unrelated business taxable income (less section 511 taxes) from businesses acquired by the organization after June 30, 1975	10,309	1,832	1,832	359	14,332
19 Net income from unrelated business activities not included in line 18					0
20 Tax revenues levied for the organization's benefit and either paid to it or expended on its behalf					0
21 The value of services or facilities furnished to the organization by a governmental unit without charge. Do not include the value of services or facilities generally furnished to the public without charge					0
22 Other income. Attach a schedule. Do not include gain or (loss) from sale of capital assets					0
23 Total of lines 15 through 22	837,374	826,880	844,030	828,036	3,336,320
24 Line 23 minus line 17	822,043	816,401	833,551	825,536	3,297,531
25 Enter 1% of line 23	8,374	8,269	8,440	8,280	

26 **Organizations described on lines 10 or 11:** a Enter 2% of amount in column (e), line 24 ▶ 26a 0

b Prepare a list for your records to show the name of and amount contributed by each person (other than a governmental unit or publicly supported organization) whose total gifts for 2003 through 2006 exceeded the amount shown in line 26a. **Do not file this list with your return.** Enter the total of all these excess amounts ▶ 26b

c Total support for section 509(a)(1) test: Enter line 24, column (e) ▶ 26c

d Add: Amounts from column (e) for lines: 18 _____ 19 _____ 22 _____ 26b _____ ▶ 26d

e Public support (line 26c minus line 26d total) ▶ 26e

f **Public support percentage (line 26e (numerator) divided by line 26c (denominator))** ▶ 26f %

27 **Organizations described on line 12:** a For amounts included in lines 15, 16, and 17 that were received from a "disqualified person," prepare a list for your records to show the name of, and total amounts received in each year from, each "disqualified person." **Do not file this list with your return.** Enter the sum of such amounts for each year:
(2006) 0 (2005) 0 (2004) 0 (2003) 0

b For any amount included in line 17 that was received from each person (other than "disqualified persons"), prepare a list for your records to show the name of, and amount received for each year, that was more than the **larger** of **(1)** the amount on line 25 for the year or **(2)** $5,000. (Include in the list organizations described in lines 5 through 11b, as well as individuals.) **Do not file this list with your return.** After computing the difference between the amount received and the larger amount described in **(1)** or **(2)**, enter the sum of these differences (the excess amounts) for each year:
(2006) 0 (2005) 0 (2004) 0 (2003) 0

c Add: Amounts from column (e) for lines: 15 1,375,889 16 1,907,310 17 38,789 20 _____ 21 _____ ▶ 27c 3,321,988

d Add: Line 27a total _____ and line 27b total _____ ▶ 27d

e Public support (line 27c total minus line 27d total) ▶ 27e 3,321,988

f Total support for section 509(a)(2) test: Enter amount from line 23, column (e) ▶ 27f 3,336,320

g **Public support percentage (line 27e (numerator) divided by line 27f (denominator))** ▶ 27g 99.5704%

h **Investment income percentage (line 18, column (e) (numerator) divided by line 27f (denominator))** ▶ 27h 0.4296%

28 **Unusual Grants:** For an organization described in line 10, 11, or 12 that received any unusual grants during 2003 through 2006, prepare a list for your records to show, for each year, the name of the contributor, the date and amount of the grant, and a brief description of the nature of the grant. **Do not file this list with your return.** Do not include these grants in line 15.

Part V **Private School Questionnaire** (See page 9 of the instructions.)
(To be completed ONLY by schools that checked the box on line 6 in Part IV)

Attachment # 2
Page 287 of 396

N/A

			Yes	No
29	Does the organization have a racially nondiscriminatory policy toward students by statement in its charter, bylaws, other governing instrument, or in a resolution of its governing body?	29		
30	Does the organization include a statement of its racially nondiscriminatory policy toward students in all its brochures, catalogues, and other written communications with the public dealing with student admissions, programs, and scholarships?	30		
31	Has the organization publicized its racially nondiscriminatory policy through newspaper or broadcast media during the period of solicitation for students, or during the registration period if it has no solicitation program, in a way that makes the policy known to all parts of the general community it serves?	31		
	If "Yes," please describe; if "No," please explain. (If you need more space, attach a separate statement.)			
32	Does the organization maintain the following:			
a	Records indicating the racial composition of the student body, faculty, and administrative staff?	32a		
b	Records documenting that scholarships and other financial assistance are awarded on a racially nondiscriminatory basis?	32b		
c	Copies of all catalogues, brochures, announcements, and other written communications to the public dealing with student admissions, programs, and scholarships?	32c		
d	Copies of all material used by the organization or on its behalf to solicit contributions?	32d		
	If you answered "No" to any of the above, please explain. (If you need more space, attach a separate statement.)			
33	Does the organization discriminate by race in any way with respect to:			
a	Students' rights or privileges?	33a		
b	Admissions policies?	33b		
c	Employment of faculty or administrative staff?	33c		
d	Scholarships or other financial assistance?	33d		
e	Educational policies?	33e		
f	Use of facilities?	33f		
g	Athletic programs?	33g		
h	Other extracurricular activities?	33h		
	If you answered "Yes" to any of the above, please explain. (If you need more space, attach a separate statement.)			
34a	Does the organization receive any financial aid or assistance from a governmental agency?	34a		
b	Has the organization's right to such aid ever been revoked or suspended? If you answered "Yes" to either 34a or b, please explain using an attached statement.	34b		
35	Does the organization certify that it has complied with the applicable requirements of sections 4.01 through 4.05 of Rev. Proc. 75-50, 1975-2 C.B. 587, covering racial nondiscrimination? If "No," attach an explanation	35		

Schedule A (Form 990 or 990-EZ) 2007 Economic Development Council 59-3374108 Page 6

Part VI-A **Lobbying Expenditures by Electing Public Charities** (See page 11 of the instructions.)
(To be completed ONLY by an eligible organization that filed Form 5768) N/A

Check ▶ a ☐ if the organization belongs to an affiliated group. Check ▶ b ☐ if you checked "a" and "limited control" provisions apply.

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)		(a) Affiliated group totals	(b) To be completed for all electing organizations
36 Total lobbying expenditures to influence public opinion (grassroots lobbying)	36		
37 Total lobbying expenditures to influence a legislative body (direct lobbying)	37		
38 Total lobbying expenditures (add lines 36 and 37)	38		
39 Other exempt purpose expenditures	39		
40 Total exempt purpose expenditures (add lines 38 and 39)	40		
41 Lobbying nontaxable amount. Enter the amount from the following table-			
If the amount on line 40 is- / **The lobbying nontaxable amount is-**			
Not over $500,000 / 20% of the amount on line 40			
Over $500,000 but not over $1,000,000 / $100,000 plus 15% of the excess over $500,000			
Over $1,000,000 but not over $1,500,000 / $175,000 plus 10% of the excess over $1,000,000	41		
Over $1,500,000 but not over $17,000,000 / $225,000 plus 5% of the excess over $1,500,000			
Over $17,000,000 / $1,000,000			
42 Grassroots nontaxable amount (enter 25% of line 41)	42		
43 Subtract line 42 from line 36. Enter -0- if line 42 is more than line 36	43		
44 Subtract line 41 from line 38. Enter -0- if line 41 is more than line 38	44		

Caution: If there is an amount on either line 43 or line 44, you must file Form 4720.

4-Year Averaging Period Under Section 501(h)

(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 45 through 50 on page 13 of the instructions.)

	Lobbying Expenditures During 4-Year Averaging Period				
Calendar year (or fiscal year beginning in) ▶	(a) 2007	(b) 2006	(c) 2005	(d) 2004	(e) Total
45 Lobbying nontaxable amount					
46 Lobbying ceiling amount (150% of line 45(e))					
47 Total lobbying expenditures					
48 Grassroots nontaxable amount					
49 Grassroots ceiling amount (150% of line 48(e))					
50 Grassroots lobbying expenditures					

Part VI-B **Lobbying Activity by Nonelecting Public Charities**
(For reporting only by organizations that did not complete Part VI-A) (See page 14 of the instructions.) N/A

During the year, did the organization attempt to influence national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of:	Yes	No	Amount
a Volunteers			
b Paid staff or management (Include compensation in expenses reported on lines c through h.)			
c Media advertisements			
d Mailings to members, legislators, or the public			
e Publications, or published or broadcast statements			
f Grants to other organizations for lobbying purposes			
g Direct contact with legislators, their staffs, government officials, or a legislative body			
h Rallies, demonstrations, seminars, conventions, speeches, lectures, or any other means			
i Total lobbying expenditures (Add lines c through h.)			

If "Yes" to any of the above, also attach a statement giving a detailed description of the lobbying activities.

Schedule A (Form 990 or 990-EZ) 2007 Economic Development Council 59-3374108 Page 4

Part VII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations (See page 14 of the instructions.)

51 Did the reporting organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

			Yes	No
a	Transfers from the reporting organization to a noncharitable exempt organization of:			
	(i) Cash	51a(i)		X
	(ii) Other assets	a(ii)		X
b	Other transactions:			
	(i) Sales or exchanges of assets with a noncharitable exempt organization	b(i)		X
	(ii) Purchases of assets from a noncharitable exempt organization	b(ii)		X
	(iii) Rental of facilities, equipment, or other assets	b(iii)		X
	(iv) Reimbursement arrangements	b(iv)	X	
	(v) Loans or loan guarantees	b(v)		X
	(vi) Performance of services or membership or fundraising solicitations	b(vi)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	c	X	

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting organization. If the organization received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received:

(a) Line no.	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
51b(iv)	29,501	TLH Chamber of Commerc	Chamber pays all bills and is reimbursed monthly.
51c	42,253	TLH Chamber of Commerc	Rent-In-Kind Of $42,253

52a Is the organization directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ▶ [X] Yes [ ] No

b If "Yes," complete the following schedule:

(a) Name of organization	(b) Type of organization	(c) Description of relationship
TLH Chamber of Commerc	501(c)(6)	Common Facilities & Employees

Statement 1 - Form 990, Part I, Line 3 - Membership Dues and Assessments

Description	Amount
Dues	$ 526,533
Total	$ 526,533

Federal Statements

Statement 2 - Form 990, Part II, Line 25a - Compensation of Current Officers

Name	Program Services	Management & General	Fundraising
Expenses	$	$	$
Beth Kirkland Compensation	105,175	5,536	
Stu Bevis Compensation	18,724	12,452	
Sue Dick Compensation	44,367	2,335	
Total	$ 168,266	$ 20,323	$ 0

Statement 3 - Form 990, Part II, Line 43 - Other Functional Expenses

Description	Total Expenses	Program Service	Mgt & General	Fund-Raising
Expenses	$	$	$	$
Contract Services	3,180	3,180		
Auto Expense	10,176	9,667	509	
Business Retention	27,320	27,320		
Bed Debt	16,038	16,038		
Advertising & Marketing	123,953	123,953		
Dues & Subscriptions	28,052	26,649	1,403	
Insurance	1,636	1,554	82	
Computer Expense	41,363	39,295	2,068	
Awards	203	203		
Taxes & Licenses	778		778	
Project Development	51,883	51,883		
Industry Sector	1,208	1,208		
Total	$ 305,790	$ 300,950	$ 4,840	$ 0

Statement 4 - Form 990, Part IV, Line 55 - Investments in Land, Buildings, and Equipment

Description	Beginning of Year	Accum Depr	End of Year	Accum Depr
	$ 84,629	$ 73,067	$ 179,680	$ 84,866
Total	$ 84,629	$ 73,067	$ 179,680	$ 84,866

Statement 5 - Form 990, Part IV, Line 62 - Deferred Revenue

Description	Beginning of Year	End of Year
	$ 419,237	$ 443,631
Total	$ 419,237	$ 443,631

Statement 6 - Form 990, Part IV-B - Other Expenses included on Financial Statements

Description	Amount
Book / Tax Deprec Difference	$ 11,799
Total	$ 11,799

Statement 7 - Form 990, Part IV-B - Other Expenses included on Return

Description	Amount
Book / Tax Deprec Difference	$ 11,799
Total	$ 11,799

Statement 8 - Form 990, Part VIII - Relationship of Activities

Line No.	Description
93g	Promote TLH / Leon Co. FL. To Potential Businesses Considering Relocating To the Area
94	Promote Business and Economic Development and Goodwill in The TLH / Leon Co. Area
103b	Other Miscellaneous Revenue for its Exempt Purpose.

ECONOMIC DEVELOPMENT COUNCIL OF TALLAHASSEE-LEON COUNTY, INC
2007 - 2008 Board of Directors
October 1, 2007 – September 30, 2008

CHAIRMAN
Mr. Tripp Transou
President, Owner
Tri-Eagle Sales
545 River Birch Road
Midway, FL 32343
576-1294, x114 Fax 575-5511

CHAIRMAN-ELECT
Mr. Kim B. Williams
President
Hamilton Leasing Partnership/
Marpan Supply Company, Inc.
P.O. Box 2068
Tallahassee, FL 32316
224-9353 x107 Fax 224-1790

TREASURER & SECRETARY
Mr. Paul Sullivan
Senior Vice President
City/Area Executive
BB&T Bank
3233 Thomasville Road
Tallahassee, FL 32308
297-2321 Fax 386-5241

EXECUTIVE DIRECTOR
Ms. Beth Kirkland
Executive Director
Economic Development Council
P.O. Box 1639
Tallahassee, FL 32302
521-3114 Fax 425-1056

Mr. Parwez Alam
County Administrator
Leon County Courthouse
301 S. Monroe Street
Tallahassee, FL 32301
606-5300 Fax 606-5301

Dr. James Ammons
President
Florida A & M University
400 Lee Hall
Tallahassee, FL 32307-3800
599-3225 Fax 561-2152

Designee for Dr. Ammons:
Mr. Robert Nixon
Executive Director
Florida A & M Small Business Development Center
2035 E. Paul Dirac Drive
Morgan Building Innovation Park
Tallahassee, FL 32310
599-3407 Fax 561-2049

Mr. Thomas Barron
President
Capital City Bank
P.O. Box 900
Tallahassee, FL 32302
402-7790 Fax 402-7819

Ms. Karen Bass
Partner
Moore Bass Consulting, Inc.
805 N. Gadsden Street
Tallahassee, FL 32303
222-5678 Fax 224-5015

Mr. Rick Bateman
Owner
Bateman Harden
The Exchange Building
201 South Monroe Street, 5th Floor
Tallahassee, FL 32301
222-1020 Fax 222-2188

Ms. Caryn Beck-Dudley
Dean College of Business
Florida State University
P.O. Box 3061110
314 RBA MC:1110
Tallahassee, FL 32306-1110
644-7838 Fax 644-0915

Ms. Melanie Becker
Public Affairs Manager
Sprint
P.O. Box 2214, MS FLTLHO0104
Tallahassee, FL 32316-2214
599-1073 Fax 847-0669

Mr. Bobby Boeneke
President
Residential Elevators
P.O. Box 1298
Crawfordville, FL 32326
926-6022 x228 Fax 893-5064

Mr. Marlon Brown (Regional Member)
Gadsden County Manager
9-B Jefferson Street
P.O. Box 1799
Quincy, FL 32353
875-8650 Fax 875-8655

Ms. Julie Conley (Regional Member)
Executive Director
Jefferson County Economic Development Council
1475 S. Jefferson Street
Monticello, FL 32344
997-6559 Fax 997-7229

Honorable Ed DePuy
County Commissioner, Leon County
Leon County Courthouse
301 S. Monroe Street
Tallahassee, FL 32301
606-5369 Fax 606-5303

Ms. Sue Dick
President
Economic Development Council and Greater
Tallahassee Chamber of Commerce
P.O. Box 1639
Tallahassee, FL 32302
521-3100 Fax 425-1056

Mr. Timothy Edmond
President
CNL Real Estate & Development Corporation
525 North Calhoun
Tallahassee, FL 32301
(850) 893-9900 Fax

Mr. Allen Freeland (Regional Member)
Manager, Business Projects
St. Marks Powder
P.O. Box 222
St. Marks, FL 32355
577-2030 Fax 522-2762

Mr. Bob Gabordi
Executive Editor
Tallahassee Democrat
P.O. Box 990,Tallahassee, FL 32302
277N. Magnolia Dr., Tallahassee, FL 32306
599-2177 Fax 599-2177

Mr. David A. Gardner (Regional Member)
Executive Director
Gadsden Co. Economic Development Council/
Chamber of Commerce
P.O. Box 389 (208 N. Adams Street)
Quincy, FL 32353
627-9231 Fax 875-3299

Mr. John Hewa
General Manager
Talquin Electric Cooperative, Inc.
1640 W. Jefferson Street 32351
P.O. Box 1679
Quincy, FL 32353
627-7651 Fax 627-2553

Mr. Woody Hildebrandt
Principal
Lively Technical Center
500 North Appleyard Drive
Tallahassee, FL 32304
487-7436 Fax 922-3880

Mr. John Hogan
CEO
Capital Health Plan
P.O. Box 15349
Tallahassee, FL 32317
383-3300 Fax 383-3497

Mr. Winston Howell
Thomas Howell Ferguson
P.O. Drawer 14569
Tallahassee, FL 32317
668-8100 Fax 668-8199

Mr. Frank E. Jameson
President
Wachovia Bank
1201 N. Monroe Street
Tallahassee, FL 32303
425-6005 Fax 425-6023

Mr. Warren Jones
Vice President Chief Communications Officer
Public Relations
Tallahassee Memorial HealthCare, Inc.
1308 Hodges Drive
Tallahassee, FL 32308
431-5450 Fax 431-6737

Mr. Daniel Langston
President
Flightline Group, Inc.
3256 Capital Circle S.W.
Tallahassee, FL 32310
574-4444 Fax 576-4210

Dr. William D. Law, Jr.
Immediate Past Chairman, EDC
President
Tallahassee Community College
444 Appleyard Drive
Tallahassee, FL 32304
201-8660 Fax 201-8612

Designee for Dr. William Law as TCC Pres:
Mr. John Chapin
Vice President for Economic
Workforce Development
Tallahassee Community College
444 Appleyard Drive
Tallahassee, FL 32304
201-6061 Fax 201-8617

Honorable John Marks
Mayor, City of Tallahassee
City Hall - 300 S. Adams Street
Tallahassee, FL 32301
891-8181 Fax 891-8542

Ms. KC McWilliams
General Manager
Comcast Cablevision of Tallahassee, Inc.
3760 Hartsfield Road
Tallahassee, FL 32303
574-4016 Fax 574-4030

Mr. Roger Miller (Regional Member)
Eastern Regional Representative
Florida's Great Northwest
325 John Knox Road Bldg B-100
Tallahassee, FL 32303
414-6085 x211 Fax 410-2595

Mr. Drew Mitchell
Peter Mitchell Associates
1311 East Seventh Avenue
Tallahassee, FL 32303
224-3175 Fax 681-7016

Ms. Karen Moore
CEO
Moore Consulting Group
2011 Delta Blvd., Suite 2
Tallahassee, FL 32303
224-0174 Fax 224-9286

Ms. Kimberly Moore
Chief Executive Officer
Workforce Plus
325 John Knox Road, Bldg. B-100
Tallahassee, FL 32303
414-6085, x213 Fax 410-2595

Mr. E. Edward Murray, Jr.
President
TALCOR Commercial Real Estate Services
1018 Thomasville Rd., Suite 200-A
Tallahassee, FL 32303
224-2300, x19 Fax 425-1114

Honorable Mark Mustian
City Commissioner, City of Tallahassee
City Hall/300 S. Adams Street
Tallahassee, FL 32301
891-8181 Fax 891-8542

Mr. Thomas Pennekamp
City President, Government Relations Manager
SunTrust Bank
215 South Monroe Street
Tallahassee, FL 32301
425-6710 Fax 425-6775

Mr. Ben Pingree (Regional Member)
Wakulla County Administrator
3093 Crawfordville Hwy 32327
P.O. Box 1263
Crawfordville, FL 32326
926-0919 Fax 926-0940

Mr. Jackie Pons
Superintendent
Leon County Schools
2757 W. Pensacola Street
Tallahassee, FL 32304
487-7100 Fax 487-7141

Designee for Mr. Jackie Pons
Ms. Sheila Costigan
Leon County School Board Member and
Public Relations Director Brandt Information
Services
2757 W. Pensacola Street
Tallahassee, FL 32304
877-7713 x 726 Fax 681-3952

Mr. Samuel Rogers, Jr.
CFO
Rogers, Gunter, Vaughn Insurance
1117 Thomasville Rd.
Tallahassee, FL 32303
386-1111 x0426 Fax 385-9827

Honorable Jane Sauls
Chairman Leon County Commissioners
Leon Conty Courthouse
301 S. Monroe Street
Tallahassee, FL 32301
606-5362 Fax 606-5303

Designee for Commissioner Jane Sauls:
Honorable Bryan Desloge
County Commissioner, Leon County
Leon County Courthouse, 301 S. Monroe Street
Tallahassee, FL 32301
606-5374 Fax 606-5303

Ms. Sharon Roush
Chief Executive Officer
Capital Regional Medical Center
2626 Capital Medical Blvd.
Tallahassee, FL 32308
325-5017 Fax 325-5198

Mr. Ron Sachs
President
Ron Sachs Communications
114 South Duval Street
Tallahassee, FL 32301
222-1996 Fax 224-2882

Mr. Doug Smith
President
Sonitrol
1136 Thomasville Road
Tallahassee, FL 32303
222-3676 Fax 222-3682

Ms. Kay Stephenson
President & CEO
Datamaxx Applied Technologies, Inc.
2001 Drayton Drive
Tallahassee, FL 32311-7854
558-8000 Fax 558-8001

Ms. Anita Favors Thompson
City Manager/City of Tallahassee
City Hall - 300 S. Adams Street
Tallahassee, FL 32301
891-8576 Fax 891-8669

Ms. Glenda Thornton, Esquire
Chamber Chairman-Elect
Foley & Lardner, LLP
106 E. College Avenue, Suite 900
Tallahassee, FL 32301
222-6100 Fax 224-3101

Dr. T. K. Wetherell
President
Florida State University
211 Westcott Building
Tallahassee, FL 32306
644-1085 Fax 644-9936

Designee for Dr. Wetherell:
Dr. Kirby Kemper
Vice President for Research
Florida State University
109 Westcott Building
Tallahassee, FL 32306-1330
644-3347 Fax 645-0108

Mr. William Wier
Vice President & General Manager
Capital Region
St. Joe
3800 Esplanade Way, Suite 100
Tallahassee, FL 32311
402-5124 Fax 402-5101

REVISED 4-10-08 K.Hintz

SSET DEPRECIATION SHORT REPORT
ALLAHASSEE AREA CHAMBER OF COMMERCE *Sep. 30, 2008*

Sorted: Sort Code #4
Method: 1-FEDERAL-Std Conv Applied

Range: A - X
Include: All assets

ite Acq	Description	Meth/Life	Cost	Section 179	Depr Basis	Includes Section 179 Beg A/Depr	Curr Depr	End A/Depr
rt Code #4: A								
/31/92	DESK/BOOKCASE-TRUSTEES - 2010	SL/ 7.00	1,260.46	0.00	1,260.46	1,260.46	0.00	1,260.46
/31/94	Verticle File Cabinet - 2010	SL/ 7.00	406.59	0.00	406.59	406.59	0.00	406.59
/10/94	TV / VCR	SL/ 5.00	663.38	0.00	663.38	663.38	0.00	663.38
/12/94	2 Folding Tables	SL/ 7.00	154.06	0.00	154.06	154.06	0.00	154.06
/28/95	Table and Sofa	SL/ 7.00	906.00	0.00	906.00	906.00	0.00	906.00
/28/95	Fax Machine	SL/ 5.00	2,450.00	0.00	2,450.00	2,450.00	0.00	2,450.00
/28/95	Conference Table & Chairs	SL/ 7.00	1,856.00	0.00	1,856.00	1,856.00	0.00	1,856.00
/01/96	Microwave	SL/ 7.00	52.70	0.00	52.70	52.70	0.00	52.70
/05/96	Refrigerator	SL/ 7.00	187.25	0.00	187.25	187.25	0.00	187.25
/22/96	FANS - TALLAHASSEE LIGHTING	SL/ 7.00	812.00	0.00	812.00	812.00	0.00	812.00
/31/97	PAGE SCAN - OFFICE DEPOT	SL/ 7.00	374.49	0.00	374.49	374.49	0.00	374.49
/15/97	FAX DATA MODEM & MEMORY	SL/ 5.00	401.25	0.00	401.25	401.25	0.00	401.25
/30/97	Computer Desk - New Office	SL/ 7.00	382.35	0.00	382.35	382.35	0.00	382.35
/01/97	MINOLTA CAMERA	MSL/ 5.00	826.78	0.00	826.78	826.78	0.00	826.78
/16/98	2-CHAIRS	MSL/ 7.00	481.48	0.00	481.48	481.48	0.00	481.48
/16/98	COPIER	MSL/ 5.00	535.00	0.00	535.00	535.00	0.00	535.00
/16/98	LATERAL FILE	MSL/ 7.00	160.50	0.00	160.50	160.50	0.00	160.50
/05/98	ALPINE AIR PURIFIER	MSL/ 5.00	533.93	0.00	533.93	533.93	0.00	533.93
/19/00	BLINDS	MSL/ 7.00	324.49	0.00	324.49	324.49	0.00	324.49
/03/05	Desk / Workstation	MSL/ 7.00	2,382.00	0.00	2,382.00	850.72	340.29	1,191.01
/28/05	Office Furniture	MSL/ 7.00	2,532.00	0.00	2,532.00	904.28	361.71	1,265.99
/30/08 A	Display for EDC	MSL/ 7.00	8,175.04	0.00	8,175.04	0.00	583.93	583.93
and totals: A - (22 assets)			25,857.75	0.00	25,857.75	14,523.71	1,285.93	15,809.64
rt Code #4: C								
/16/93	Laser Printer & Cables - 2010	SL/ 5.00	2,232.77	0.00	2,232.77	2,232.77	0.00	2,232.77
/03/96	Hard Drive Upgrade	SL/ 5.00	187.25	0.00	187.25	187.25	0.00	187.25
/25/97	MOTHERBOARD & VIDEO CARD	SL/ 5.00	353.10	0.00	353.10	353.10	0.00	353.10
/13/97	COMPUTER LINEAR TECH	MSL/ 5.00	1,667.00	0.00	1,667.00	1,667.00	0.00	1,667.00
/30/98	OPC LITEWARE PROJECTOR	MSL/ 5.00	3,959.00	0.00	3,959.00	3,959.00	0.00	3,959.00
/06/98	HP PRINTER	MSL/ 5.00	484.67	0.00	484.67	484.67	0.00	484.67
/06/98	MODEMS	MSL/ 5.00	377.19	0.00	377.19	377.19	0.00	377.19
/10/99	SCANNER	MSL/ 5.00	209.43	0.00	209.43	209.43	0.00	209.43
/19/00	VOICE RECORDER	MSL/ 5.00	448.27	0.00	448.27	448.27	0.00	448.27
/31/01	File Server	MSL/ 5.00	5,100.00	0.00	5,100.00	5,100.00	0.00	5,100.00
/01/01	Computers (2)	MSL/ 5.00	2,449.50	0.00	2,449.50	2,449.50	0.00	2,449.50
/03/01	Computers (14)	MSL/ 5.00	16,665.50	0.00	16,665.50	16,665.50	0.00	16,665.50
/15/02	Kodak Digital Camera	MSL/ 5.00	399.97	0.00	399.97	399.97	0.00	399.97
/12/02	Printer (Ed)	MSL/ 5.00	399.99	0.00	399.99	399.99	0.00	399.99
/10/02	Projector	MSL/ 5.00	3,575.00	0.00	3,575.00	3,575.00	0.00	3,575.00
/12/02	Printer HP IJ2200D	MSL/ 5.00	802.49	0.00	802.49	802.49	0.00	802.49
/27/02	Printer Laser HL 1440	MSL/ 5.00	320.99	0.00	320.99	320.99	0.00	320.99
/27/02	Printer HP IJ2200D	MSL/ 5.00	802.49	0.00	802.49	802.49	0.00	802.49
/16/02	2 Pentium 4 Computers	MSL/ 5.00	1,600.00	0.00	1,600.00	1,440.00	160.00	1,600.00
/24/03	Compaq Laptop	MSL/ 5.00	1,472.72	0.00	1,472.72	1,325.43	147.29	1,472.72
/31/05	Printer	MSL/ 5.00	706.22	0.00	706.22	353.10	141.24	494.34
/01/05	Color Printer	MSL/ 5.00	2,350.00	0.00	2,350.00	1,175.00	470.00	1,645.00
/30/06	Computer	MSL/ 5.00	1,332.94	0.00	1,332.94	399.88	266.59	666.47
/29/06	Laptop / Docking Station	MSL/ 5.00	1,804.86	0.00	1,804.86	541.46	360.97	902.43
/31/06	Laptop	MSL/ 5.00	1,236.24	0.00	1,236.24	370.87	247.25	618.12
and totals: C - (25 assets)			50,937.59	0.00	50,937.59	46,040.35	1,793.34	47,833.69
rt Code #4: X								
/30/98	CARPET	MSL/ 7.00	1,600.00	0.00	1,600.00	1,600.00	0.00	1,600.00
/30/98	LEASEHOLD IMPROVEMENTS- EDC	MSL/20.00	6,678.00	0.00	6,678.00	3,172.05	333.90	3,505.95
/12/01	Office XP	AMORT/ 3.00	1,150.00	0.00	1,150.00	1,150.00	0.00	1,150.00
/26/01	Windows XP	AMORT/ 3.00	5,545.89	0.00	5,545.89	5,545.89	0.00	5,545.89
/08/01	Virus Software	AMORT/ 3.00	1,035.00	0.00	1,035.00	1,035.00	0.00	1,035.00

SSET DEPRECIATION SHORT REPORT — Sorted: Sort Code #4 — Range: A - X
ALLAHASSEE AREA CHAMBER OF COMMERCE *Sep. 30, 2008* — Method: 1-FEDERAL-Std Conv Applied — Include: All assets

ıte Acq	Description	Meth/Life	Cost	Section 179	Depr Basis	Includes Section 179 Beg A/Depr	Curr Depr	End A/Depr
ort Code #4: X								
/31/08 A	Website Workforce video	AMORT/ 3.00	20,306.63	0.00	20,306.63	0.00	5,076.66	5,076.66
/05/08 A	Website	AMORT/ 3.00	52,570.01	0.00	52,570.01	0.00	2,920.56	2,920.56
/30/08 A	Website	AMORT/ 3.00	14,000.00	0.00	14,000.00	0.00	388.89	388.89
and totals: X - (8 assets)			102,885.53	0.00	102,885.53	12,502.94	8,720.01	21,222.95
Grand totals for all accounts: (55 assets)			179,680.87	0.00	179,680.87	73,067.00	11,799.28	84,866.28

		Cost	Curr Depr	Ending A/Depr
Depreciable Assets	(49 assets)	85,073.34	3,413.17	68,749.28
Amortizable Assets	(6 assets)	94,607.53	8,386.11	16,117.00

odes that may appear next to the date acquired include: A - Addition, D - Disposal, T - Traded, MQ - Mid Quarter Applied

Additional Summary Statistics:	Cost	Curr Yr 179	Prior Yr 179	Depr Basis	Beg A/Depr	Curr Depr	Ending A/Depr	Net Book Val
Grand Totals for All Assets	179,680.87	0.00	0.00	179,680.87	73,067.00	11,799.28	84,866.28	94,814.59
Less: Inactive Assets	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Disposed Assets	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Traded Assets	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Net Totals (Active Assets)	179,680.87	0.00	0.00	179,680.87	73,067.00	11,799.28	84,866.28	94,814.59

Total Additional First Year Depreciation Taken at 30% Rate: ***0.00***
Total Additional First Year Depreciation Taken at 50% Rate: ***0.00***
Total Additional First Year Depreciation Taken: ***0.00***

Form **8868**
(Rev. April 2008)
Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

▶ File a separate application for each return.

OMB No. 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box ▶ [X]
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension—check this box and complete Part I only ▶ [ ]

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Electronic Filing (e-file). Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T). However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T. Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868. For more details on the electronic filing of this form, visit www.irs.gov/efile and click on e-file for Charities & Nonprofits.

Type or print File by the due date for filing your return. See instructions.	Name of Exempt Organization	Employer identification number
	Economic Development Council of Tallahassee-Leon County, Inc.	59-3374108
	Number, street, and room or suite no. If a P.O. box, see instructions. P.O. BOX 1639	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. Tallahassee FL 32302-1639	

Check type of return to be filed (file a separate application for each return):

[X] Form 990	[ ] Form 990-T (corporation)	[ ] Form 4720
[ ] Form 990-BL	[ ] Form 990-T (sec. 401(a) or 408(a) trust)	[ ] Form 5227
[ ] Form 990-EZ	[ ] Form 990-T (trust other than above)	[ ] Form 6069
[ ] Form 990-PF	[ ] Form 1041-A	[ ] Form 8870

- The books are in the care of ▶ Stu Bevis

Telephone No. ▶ 850-224-8116 FAX No. ▶

- If the organization does not have an office or place of business in the United States, check this box ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____. If this is for the whole group, check this box ▶ [ ]. If it is for part of the group, check this box ▶ [ ] and attach a list with the names and EINs of all members the extension will cover.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 5/15/09, to file the exempt organization return for the organization named above. The extension is for the organization's return for:

▶ [ ] calendar year _____ or
▶ [X] tax year beginning 10/01/07, and ending 9/30/08.

2 If this tax year is for less than 12 months, check reason: [ ] Initial return [ ] Final return [ ] Change in accounting period

3a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	$
b	If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	$
c	**Balance Due.** Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	$

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

For Privacy Act and Paperwork Reduction Act Notice, see Instructions. Form **8868** (Rev. 4-2008)

DAA

THE ECONOMIC DEVELOPMENT COUNCIL OF TALLAHASSEE/LEON COUNTY, INC.

Tallahassee, Florida

FINANCIAL STATEMENTS

Years Ended September 30, 2009 and 2008

THE ECONOMIC DEVELOPMENT COUNCIL OF
TALLAHASSEE/LEON COUNTY, INC.
Years Ended September 30, 2009 and 2008

TABLE OF CONTENTS

	Page
Independent Auditors' Report	1-2
Statements of Financial Position September 30, 2009 and 2008	3
Statements of Activity and Changes in Net Assets Years Ended September 30, 2009 and 2008	4
Statements of Cash Flows Years Ended September 30, 2009 and 2008	5
Notes to Financial Statements September 30, 2009	6-9
Supplementary Information	
Independent Auditor's Report on Supplementary Information	11
Schedules of Program and General & Administrative Expenses Years Ended September 30, 2009 and 2008	12
Report On Internal Control Over Financial Reporting And On Compliance And Other Matters Based On An Audit Of Financial Statements Performed In Accordance With *Government Auditing Standards*	13-14

SANDERS, HOLLOWAY & RYAN
Certified Public Accountants
2878 MAHAN DRIVE
POST OFFICE BOX 4144
TALLAHASSEE, FLORIDA 32315

TELEPHONE (850) 222-1608
FACSIMILE (850) 222-2982
E-MAIL: CPA@SSandHcpa.com

VERNON E. SANDERS, C.P.A.
T. E. "JOE" SANDERS, C.P.A.
DAN W. HOLLOWAY, C.P.A.
MARK J. RYAN, C.P.A.

CHRIS C WALLACE, C.P.A., C.F.E.
HOLLY W. HURSEY, C.P.A.

MEMBERS
AMERICAN INSTITUTE OF
CERTIFIED PUBLIC ACCOUNTANTS
FLORIDA INSTITUTE OF
CERTIFIED PUBLIC ACCOUNTANTS

Independent Auditors' Report

Board of Directors
The Economic Development Council
of Tallahassee/Leon County, Inc.
Tallahassee, Florida

We have audited the accompanying statements of financial position of the Economic Development Council of Tallahassee/Leon County, Inc., a non-profit organization, as of September 30, 2009 and 2008 and the related statements of activity and changes in net assets, and cash flows for the years then ended. These financial statements are the responsibility of the Organization's management. Our responsibility is to express an opinion on these financial statements based on our audit.

We conducted our audits in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements. An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall financial statement presentation. We believe that our audit provides a reasonable basis for our opinion.

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of the Economic Development Council of Tallahassee/Leon County, Inc. as of September 30, 2009 and 2008 and the results of its operations, changes in its net assets, and its cash flows for the years then ended in conformity with accounting principles generally accepted in the United States of America.

In accordance with *Government Auditing Standards*, we have also issued our report dated February 24, 2010 on our consideration of the Economic Development Council of Tallahassee/Leon County, Inc.'s internal control over financial reporting and our tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements and other matters. The purpose of that report is to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on the internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* and important for assessing the results of our audit.

Sanders, Holloway & Ryan

SANDERS, HOLLOWAY & RYAN
Certified Public Accountants

February 24, 2010

THE ECONOMIC DEVELOPMENT COUNCIL OF
TALLAHASSEE/LEON COUNTY, INC.
Tallahassee, Florida
STATEMENTS OF FINANCIAL POSITION
September 30, 2009 and 2008

	2009	2008
ASSETS		
ASSETS		
Cash and Cash Equivalents	$ 298,774	$ 269,427
Investment in Certificates of Deposit	258,291	254,087
Accounts Receivable:		
Member Dues (Net of Allowance		
For Bad Debts, $1,794 and $5,488)	34,630	89,756
Barters	26,010	34,472
Grants	99,750	102,941
Prepaid Expenses	4,569	15,911
Furniture and Equipment, Net	66,406	94,814
Total Assets	$ 788,430	$ 861,408
LIABILITIES AND NET ASSETS		
LIABILITIES		
Accounts Payable	$ 9,328	$ 20,061
Accrued Wages & Taxes	-	32,089
Due To Chamber of Commerce	132,981	29,501
Unearned Revenue:		
Membership Dues	185,560	180,010
Leon County Grant	291,689	263,621
Total Liabilities	619,558	525,282
NET ASSETS - UNRESTRICTED	168,872	336,126
TOTAL LIABILITIES AND NET ASSETS	$ 788,430	$ 861,408

Read the Accompanying Notes. They are an Integral
Part of the Financial Statements.

THE ECONOMIC DEVELOPMENT COUNCIL OF
TALLAHASSEE/LEON COUNTY, INC.
Tallahassee, Florida
STATEMENTS OF ACTIVITY AND CHANGES IN NET ASSETS
Years Ended September 30, 2009 and 2008

	2009 UNRESTRICTED	2008 UNRESTRICTED
SUPPORT, REVENUE, AND RECLASSIFICATIONS		
Private Sector	$ 532,970	$ 526,533
City Grant	135,000	135,000
County Grant	174,500	174,500
Other Grant Revenue	8,500	147,370
Interest Income	3,200	5,119
In-Kind Revenue	42,253	42,253
Sponsorships	500	-
Other Revenue	49,685	779
TOTAL SUPPORT, REVENUE, AND RECLASSIFICATIONS	946,608	1,031,554
EXPENSES		
Program Service	931,599	809,724
General & Administrative	182,263	160,941
Total Expenses	1,113,862	970,665
CHANGE IN NET ASSETS	(167,254)	60,889
NET ASSETS, OCTOBER 1	336,126	275,237
NET ASSETS, SEPTEMBER 30	$ 168,872	$ 336,126

2

Read the Accompanying Notes. They are an Integral Part of the Financial Statements.

THE ECONOMIC DEVELOPMENT COUNCIL OF
TALLAHASSEE/LEON COUNTY, INC.
Tallahassee, Florida
STATEMENTS OF CASH FLOWS
Years Ended September 30, 2009 and 2008

	2009	2008
CASH FLOWS FROM OPERATING ACTIVITIES		
Change in Net Assets (Exhibit B)	$ (167,254)	$ 60,889
Adjustments:		
Depreciation	33,984	11,799
(Increase)Decrease in Accounts Receivable	58,820	(14,727)
(Increase)Decrease in Barter Receivables	8,462	(4,950)
(Increase)Decrease in Grant Receivables	3,191	(2,180)
Increase(Decrease) in Allowance for Bad Debts	(3,694)	1,100
(Increase)Decrease in Miscellenous Receivables	-	818
(Increase)Decrease in Prepaid Expenses	11,342	(12,460)
Increase(Decrease) in Accounts Payable	(10,733)	20,011
Increase(Decrease) in Accrued Wages & Taxes	(32,089)	22,320
Increase(Decrease) in Due to Chamber	103,480	(27,194)
Increase in Unearned Dues and Other Revenue	33,618	24,393
Net Cash Provided(Used) by Operating Activities	39,127	79,819
CASH FLOWS FROM INVESTING ACTIVITIES		
Reinvestment of Certificate of Deposit Interest	(4,204)	(6,255)
Purchase of Furniture, Equipment & Improvements	(5,576)	(95,052)
Net Cash Provided(Used) By Investing Activities	(9,780)	(101,307)
NET INCREASE(DECREASE) IN CASH AND CASH EQUIVALENTS	29,347	(21,488)
CASH AND CASH EQUIVALENTS - OCTOBER 1	269,427	290,915
CASH AND CASH EQUIVALENTS - SEPTEMBER 30	$ 298,774	$ 269,427

2

Read the Accompanying Notes. They are an Integral
Part of the Financial Statements.

THE ECONOMIC DEVELOPMENT COUNCIL OF
TALLAHASSEE/LEON COUNTY, INC.
Tallahassee, Florida
NOTES TO FINANCIAL STATEMENTS
September 30, 2009 and 2008

NOTE 1 - DESCRIPTION OF PROGRAM AND SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

The Economic Development Council of Tallahassee/Leon County, Inc., (Economic Development Council) is a Florida non-profit corporation. The purpose of the Economic Development Council is to develop a course of action to better serve the residents and interests of the Tallahassee area through an economic enhancement and private sector job creation program.

Basis of Accounting

The records of the program are maintained on the accrual basis of accounting. Using this method, revenues are recognized when earned and expenses are recognized when incurred.

Income Taxes

The Economic Development Council is exempt from income taxes under section 501(c)(3) of the Internal Revenue Code.

Fixed Assets

Fixed assets are stated at cost. The Economic Development Council's policy is to capitalize appropriate assets with a cost of $1,000 or more. Depreciation is computed using the straight-line method over the estimated useful lives of the individual assets, ranging from 5 to 7 years for furniture and equipment, and 20 years for leasehold improvements. A summary of assets cost and accumulated depreciation at September 30 follows:

	2009	2008
Furniture and Equipment	$86,126	$86,126
Leasehold Improvements	6,678	6,678
Website	92,452	86,876
Total	185,256	179,680
Less Accumulated Depreciation	118,850	84,866
Total	$66,406	$94,814

Total depreciation expense for the years ended September 30, 2009 and 2008 was $33,984 and $11,799, respectively.

In-Kind Contributions

The Economic Development Council received in-kind contributions from the Tallahassee Chamber of Commerce, Inc., in the form of office space and services. The estimated fair value of the in-kind contribution has been reported as revenue and expense. The value of the in-kind contributions was $42,253 for the years ended September 30, 2009 and 2008.

NOTES TO FINANCIAL STATEMENTS (Continued)

NOTE 1 - DESCRIPTION OF PROGRAM AND SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Estimates

The preparation of financial statements in conformity with generally accepted accounting principles requires management to make estimates and assumptions that affect certain reported amounts and disclosures. Accordingly, actual results could differ from those estimates.

Cash and Cash Equivalents

For purposes of the statement of cash flows, the Economic Development Council considers all highly liquid investments available for current use with an initial maturity of three months or less to be cash equivalents.

Revenue Recognition

Membership dues are received in advance for membership terms up to one year. At receipt, these dues are considered unearned revenue. They are recognized as time elapses and the revenue becomes earned. Grant revenue from the City of Tallahassee and Leon County are contracts for service grants (See Note 2). Revenue from such grants is recognized when earned.

NOTE 2 - REVENUE SOURCES

The Economic Development Council received funding primarily from three sources during the period ended September 30, 2009: annual memberships, a grant from the City of Tallahassee, and a grant from Leon County. Membership revenue includes bartered dues for goods and services.

City of Tallahassee Grant

An agreement was entered into with the City of Tallahassee in which the City authorized and approved funding in the amount of $135,000 for the years ended September 30, 2009 and 2008 for assistance in implementing economic development activities.

The agreement requires the Economic Development Council to match the grant with cash and in-kind contributions (in-kind not to exceed 50% of the EDC total match). The following is a summary of matching funds provided by the Economic Development Council:

	2009	2008
Cash From Membership Dues	$ 636,744	$ 497,300
Cash From Other Revenue Sources	58,130	118,148
In-Kind Income	42,253	42,253
Total Matching Funds	$ 737,127	$ 657,701

NOTES TO FINANCIAL STATEMENTS (Continued)

NOTE 2 - REVENUE SOURCES (Continued)

Leon County Grant - Leon County approved a grant in the amount of $199,500 for the 12 months ended September 30, 2009 and 2008, respectively, for assistance in implementing economic development activities. The grant includes $25,000 to be held as a reserve for future costs to provide incentives for prospective businesses to relocate in this area. The EDC had an outstanding receivable of $12,500 from Leon County in 2009 and 2008 for this reserve. The reserve balances net of the $12,500 receivable, as of September 30, 2009 and 2008 are $279,189 and $251,121.

NOTE 3 -SCHEDULE OF FUNCTIONAL EXPENSES

The costs of providing the various programs and activities have been summarized on a functional basis in the statement of activities. Accordingly, certain costs have been allocated among the programs and supporting services benefited. Management has determined that approximately 25% of salaries are incurred for General & Administrative classification. Other expenses have been allocated based on time studies.

NOTE 4 - RETIREMENT PLAN

The Economic Development Council has a 401(k) retirement plan for its employees. The company matches employee's contributions at 40% up to 5% of the employee's wages. Matching contributions were $3,169 and $4,023 for 2009 and 2008 respectively.

NOTE 5 - CONCENTRATION OF CREDIT RISK

Accounts at an FDIC insured institution are insured for up to $250,000 as of September 30, 2009 and are insured for up to $100,000 as of September 30, 2008. The amount in excess of the FDIC limit totaled $16,689 as of September 30, 2009 and $150,753 as of September 30, 2008.

NOTE 6 – DESIGNATED NET ASSETS

The following is a summary of the changes in net assets for board designations:

	Job Growth	Marketing	Information Technology	Total
October 1, 2007	$ 106,942	$ 79,808	$ -	$ 186,750
Additions	2,774	1,914	-	4,688
Usage	-	-	-	-
September 30, 2008	$ 109,716	$ 81,722	$ -	$ 191,438
October 1, 2008	$ 109,716	$ 81,722	$ -	$ 191,438
Additions	1,986	1,058	60,000	63,044
Usage	-	-	(60,000)	(60,000)
September 30, 2009	$ 111,702	$ 82,780	$ -	$ 194,482

NOTES TO FINANCIAL STATEMENTS (Continued)

NOTE 7 - RELATED PARTIES (Continued)

The Economic Development Council has some common employees with the Tallahassee Chamber of Commerce, Inc. including the President. The Economic Development Council receives in-kind contributions from the Tallahassee Chamber of Commerce, Inc., which includes rent, office space and utilities. As of September 30, 2009 and 2008, the Economic Development Council had a payable to the Tallahassee Chamber of Commerce, Inc. of $132,981 and $29,501 respectively.

THE ECONOMIC DEVELOPMENT COUNCIL OF TALLAHASSEE/LEON COUNTY, INC.

Tallahassee, Florida

SUPPLEMENTARY INFORMATION

Years Ended September 30, 2009 and 2008

SANDERS, HOLLOWAY & RYAN
Certified Public Accountants
2878 MAHAN DRIVE
POST OFFICE BOX 4144
TALLAHASSEE, FLORIDA 32315

TELEPHONE (850) 222-1608
FACSIMILE (850) 222-2982
E-MAIL: CPA@SSandHcpa.com

VERNON E. SANDERS, C.P.A.
T. E. "JOE" SANDERS, C.P.A.
DAN W. HOLLOWAY, C.P.A.
MARK J. RYAN, C.P.A.

CHRIS C WALLACE, C.P.A., C.F.E.
HOLLY W. HURSEY, C.P.A.

MEMBERS
AMERICAN INSTITUTE OF CERTIFIED PUBLIC ACCOUNTANTS
FLORIDA INSTITUTE OF CERTIFIED PUBLIC ACCOUNTANTS

Independent Auditor's Report on Supplementary Information

Board of Directors
The Economic Development Council
of Tallahassee/Leon County, Inc.
Tallahassee, Florida

Our audit was made for the purpose of forming an opinion on the basic financial statements taken as a whole. The supplementary information on page 12 is presented for purposes of additional analysis and is not a required part of the basic financial statements. Such information has been subjected to the auditing procedures applied in the audit of the basic financial statements and, in our opinion, is fairly stated in all material respects in relation to the basic financial statements taken as a whole.

Sanders, Holloway & Ryan

SANDERS, HOLLOWAY & RYAN
Certified Public Accountants

February 24, 2010

THE ECONOMIC DEVELOPMENT COUNCIL OF
TALLAHASSEE/LEON COUNTY, INC.
Tallahassee, Florida
SCHEDULES OF PROGRAM AND
GENERAL & ADMINISTRATIVE EXPENSES
Years Ended September 30, 2009 and 2008

	2009			2008		
EXPENSES	PROGRAM	GENERAL & ADMIN.	TOTAL	PROGRAM	GENERAL & ADMIN.	TOTAL
Salaries	$ 340,913	$ 113,637	$ 454,550	$ 354,344	$ 118,114	$ 472,458
Payroll Tax / Insurance	27,526	9,175	36,701	25,718	8,573	34,291
Employee Benefits	40,277	13,425	53,702	38,622	12,874	51,496
Contract Services	6,294	-	6,294	3,180	-	3,180
Cost of Events	36	-	36	-	-	-
Automobile Expenses	10,388	547	10,935	9,667	509	10,176
Business Retention	17,248	-	17,248	27,320	-	27,320
Accounting / Professional	16,734	881	17,615	14,753	776	15,529
Rent	40,140	2,113	42,253	40,140	2,113	42,253
Bad Debts	104,633	-	104,633	16,038	-	16,038
Equipment Rental / Operation	2,601	137	2,738	4,907	258	5,165
Depreciation	-	33,984	33,984	-	11,799	11,799
Advertising and Marketing Materials	87,490	-	87,490	123,953	-	123,953
Dues, Publications and Subscriptions	27,972	1,472	29,444	26,649	1,403	28,052
Conferences and Meetings	13,750	724	14,474	15,258	803	16,061
Printing	4,375	230	4,605	4,755	250	5,005
Office Supplies	2,477	130	2,607	3,454	182	3,636
Telephone	6,881	362	7,243	5,808	306	6,114
Computer Expense	69,877	3,678	73,555	39,295	2,068	41,363
Project Development	85,418	-	85,418	51,883	-	51,883
Industry Sector	3,259	-	3,259	1,208	-	1,208
BigBendHealth.com	20,746	1,092	21,838	-	-	-
Other Operating Expenses	2,564	676	3,240	2,772	913	3,685
TOTALS	$ 931,599	$ 182,263	$ 1,113,862	$ 809,724	$ 160,941	$ 970,665

2

Read the Accompanying Notes. They are an Integral
Part of the Financial Statements.

SANDERS, HOLLOWAY & RYAN
Certified Public Accountants
2878 MAHAN DRIVE
POST OFFICE BOX 4144
TALLAHASSEE, FLORIDA 32315

TELEPHONE (850) 222-1608
FACSIMILE (850) 222-2982
E-MAIL: CPA@SSandHcpa.com

VERNON E. SANDERS, C.P.A.
T. E. "JOE" SANDERS, C.P.A.
DAN W. HOLLOWAY, C.P.A.
MARK J. RYAN, C.P.A.

CHRIS C WALLACE, C.P.A., C.F.E.
HOLLY W. HURSEY, C.P.A.

MEMBERS
AMERICAN INSTITUTE OF CERTIFIED PUBLIC ACCOUNTANTS
FLORIDA INSTITUTE OF CERTIFIED PUBLIC ACCOUNTANTS

Report On Internal Control Over Financial Reporting And On Compliance And Other Matters Based On An Audit Of Financial Statements Performed In Accordance With *Government Auditing Standards*

Board of Directors
The Economic Development Council
of Tallahassee/Leon County, Inc.
Tallahassee, Florida

We have audited the financial statements of the Economic Development Council of Tallahassee/Leon County, Inc. (a nonprofit organization) as of and for the years ended September 30, 2009 and 2008, and have issued our report thereon dated February 24, 2010. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States.

Internal Control over Financial Reporting

In planning and performing our audit, we considered the Economic Development Council of Tallahassee/Leon County, Inc.'s internal control over financial reporting as a basis for determine our auditing procedures for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the Economic Development Council, Inc.'s internal control over financial reporting. Accordingly, we do not express an opinion on the effectiveness of the organization's internal control over financial reporting.

Our consideration of internal control over financial reporting was for the limited purpose described in the preceding paragraph and would not necessarily identify all deficiencies in internal control over financial reporting that might be significant deficiencies or material weaknesses. However, as discussed below, we identified certain deficiencies in internal control over financial reporting that we consider to be significant deficiencies.

Board of Directors
The Economic Development Council
of Tallahassee/Leon County, Inc.
Tallahassee, Florida

A control deficiency exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent or detect misstatements on a timely basis. A significant deficiency is a control deficiency, or combination of control deficiencies, that adversely affects the organization's ability to initiate, authorize, record, process, or report financial data reliably in accordance with generally accepted accounting principles, such that there is more than a remote likelihood that a misstatement of the organization's financial statements that is more than inconsequential will not be prevented or detected by the organization's internal control. We consider the deficiencies described below to be significant deficiencies in internal control over financial reporting.

Separation of Duties

The size of the Organization's accounting and administrative staff precludes certain internal controls that would be preferred if the office staff were large enough to provide optimum segregation of duties. This situation dictates that the Board of Directors remains involved in the financial affairs of the Organization to provide oversight and independent review functions.

Compliance and Other Matters

As part of obtaining reasonable assurance about whether the Economic Development Council of Tallahassee/Leon County, Inc.'s financial statements are free of material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

This report is intended solely for the information and use of management, others within the entity, the audit committee, Board of Directors, and governmental awarding agencies and pass-through entities and is not intended to be and should not be used by anyone other than these specified parties.

Sanders, Holloway & Ryan

SANDERS, HOLLOWAY & RYAN
Certified Public Accountants
February 24, 2010

2010/2011 Non-Departmental Funding Request Application

RECEIVED
MAR 22 2010
12:17pm slc

A. Organizational Information

Legal Name of Agency: Tallahassee Memorial HealthCare, Inc.

Agency Representative: Warren Jones

Physical Address: 1300 Miccosukee Road, Tallahassee FL 32308

Mailing Address: 1308 Hodges Drive, Tallahassee FL 32308

Telephone: (850) 431-5875

Fax: (850) 431-6737

E-mail Address: warren.jones@tmh.org

Agency Employer ID Number (FEIN): 59-1917016

Does the Agency have a **501(c) (3)** status? Yes: X No:

Date of Agency Incorporation: ~~September 15, 1999~~ June 27,1979

Attach Articles of Incorporation

Please attach the Agency's most recent 990 Tax Return or most recent completed tax return.

B. Program Information

1. Succinctly describe the program for which funding is being requested. Please include types of services provided. (Attach additional pages as necessary):
   Please see Exhibit "A"

2. Why is this funding being requested? If this funding request is not approved, what would be the impact on your agency or program for which funding is sought?
   Please see Exhibit "B"

3. Projected program impact/outcome results: What is the projected impact on the target population?
   To reduce mortality

4. List the targeted population projected to be served or benefit from this program.
   Calhoun, Franklin, Gadsden, Jackson, Jefferson, Leon, Liberty, Madison, Taylor, and Wakulla Counties

5. Provide the methods that are being used effectively to attain this program's targeted population.
   Please see Exhibit "C"

6. Outline the phases and time frames in which this program or event will be accomplished if funded. TMH became a designated Level II Trauma Center on July 1, 2009 and continues to evolve its programming to meet the needs of the community. Significantly, the trauma center shows an immediate impact on mortality based on recent data, attached as Exhibit "D".

7. List the program's short-term, intermediate, and long-term goals.
   Please see Exhibit "E"

8. What other agencies in Leon County (governmental, non-profit, and private) provide services similar to those which would be provided by this funding?
   None

9. List any Agency partnerships and collaboration related to this program.

Agency	Partnership/Collaboration
Please see Exhibit "F"	

C. Funding Information

10. Agency's current total budget: 2009/10 $ 1.8 M *(current)* 2010/11 $ 2.0 M *(proposed)*

11. Total cost of program: Please see Exhibit "G"

12. Please list the 2009/10 funding amount and associated expenditures requested from Leon County and other revenue sources: Please see Exhibit "G"

Actual Expenditure Detail	Leon County Funded	Other Agencies Funded	Total
Compensation and Benefits			
Professional Fees			
Occupancy/Utilities/Network			
Supplies/Postage			
Equipment Rental, Maintenance, Purchase			
Meeting Costs/Travel/Transportation			
Staff/Board Development/Recruitment			
Awards/Grants/Direct Aid			
Bad Debts/Uncollectible			
Bonding/Liability/Directors Insurance			
Other Expenses (please itemize)			
Total			

13. Please list the following Revenue Sources for the current year and the upcoming year below:

Revenue Sources	2009/10 (Current)	2010/11 (Proposed)
Leon County (not CHSP)	$300,000.00	$300,000.00
City of Tallahassee (not CHSP)	$250,000.00	$300,000.00
United Way (not CHSP)		
State	Unknown	
Federal		
Grants		
Contributions/Special Events		
Dues/Memberships		
Program Service Fees		
Other Income (please itemize)		
Total		

14. Please list the following expenses for the current year and the upcoming year below:

Expenses	2009/10 (Current)	2010/11 (Proposed)
Compensation and Benefits		
Professional Fees		
Occupancy/Utilities/Network	Please see Exhibit "G"	
Supplies/Postage		
Equipment Rental, Maintenance, Purchase		
Meeting Costs/Travel/Transportation		
Staff/Board Development/Recruitment		
Awards/Grants/Direct Aid		
Bad Debts/Uncollectible		
Bonding/Liability/Directors Insurance		
Other Expenses (please itemize)		
Total		

15. Describe actions and fund-raisers to secure funding. The Tallahassee Memorial Foundation is continuing its efforts to secure community charitable contributions to support the trauma center. TMH also will ask the counties in our trauma region to contribute.

16. Will this program or event recur every year?

No: _____ Yes: X

17. Would funding by Leon County be requested in subsequent years for successful completion of the program?

No: _____ Yes: X

If "yes," estimate, the amount of next year's funding request: $ 300,000.00

18. Has Leon County ever contributed funds to this program in the past?

No: _____ Yes: X

If "yes," list date(s), recipient or agency, program title and amount of funding:

Date: February 19, 2010

Recipient or Agency: Leon County

Program Title: Tallahassee Memorial HealthCare Trauma Center

Funding Level: $300,000.00

19. Attach a copy of the Agency's most recent financial report.

CERTIFICATION

I, the undersigned representative of the Agency, organization or individual making this request, certify that to the best of my knowledge all statements contained in this request and its attachments are true and correct.

Print Name: William Giudice

Signature: [signature]

Date Signed: 3/22/2010

Tallahassee Memorial HealthCare, Inc. and Subsidiaries

Consolidated Financial Statements and Supplemental Data
September 30, 2009 and 2008

Tallahassee Memorial HealthCare, Inc. and Subsidiaries
Index
September 30, 2009 and 2008

Page(s)

Report of Independent Certified Public Accountants 1

Consolidated Financial Statements

Consolidated Balance Sheets 2

Consolidated Statements of Operations 3

Consolidated Statements of Changes in Net Assets 4

Consolidated Statements of Cash Flows 5

Notes to Consolidated Financial Statements 6–31

Supplemental Data

Report of Independent Certified Public Accountants on Accompanying Consolidating Information 32

Schedule I: Consolidating Balance Sheet - By Subsidiary/Division 33–34

Schedule II: Consolidating Statement of Operations - By Subsidiary/Division 35

Schedule III: Consolidating Statement of Changes in Net Assets - By Subsidiary/Division 36

PRICEWATERHOUSECOOPERS

PricewaterhouseCoopers LLP
4221 West Boy Scout Boulevard
Suite 200
Tampa FL 33607
Telephone (813) 229 0221
Facsimile (813) 229 3646
www.pwc.com

Report of Independent Certified Public Accountants

To the Board of Directors of
Tallahassee Memorial HealthCare, Inc.

In our opinion, the accompanying consolidated balance sheets and the related consolidated statements of operations, of changes in net assets and of cash flows present fairly, in all material respects, the financial position of Tallahassee Memorial HealthCare, Inc. and Subsidiaries ("TMH, Inc.") at September 30, 2009 and 2008, and the results of their operations and their cash flows for the years then ended in conformity with accounting principles generally accepted in the United States of America. These financial statements are the responsibility of TMH, Inc.'s management. Our responsibility is to express an opinion on these financial statements based on our audits. We conducted our audits of these financial statements in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audits to obtain reasonable assurance about whether the financial statements are free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements, assessing the accounting principles used and significant estimates made by management, and evaluating the overall financial statement presentation. We believe that our audits provide a reasonable basis for our opinion.

As discussed in Note 1 to the consolidated financial statements, TMH, Inc. changed the way in which it accounts for fair value measurements in accordance with Accounting Standards Codification ("ASC") 820 effective October 1, 2008.

PricewaterhouseCoopers LLP

December 9, 2009

Tallahassee Memorial HealthCare, Inc. and Subsidiaries
Consolidated Balance Sheets
September 30, 2009 and 2008

	2009	2008
Assets		
Current assets		
Cash and cash equivalents	$ 102,858,046	$ 62,725,000
Short-term investments	3,158,541	3,089,229
Assets limited as to use	12,527,978	11,998,274
Patient accounts receivable, net of allowance for doubtful accounts of approximately $39,548,000 and $40,793,000 as of September 30, 2009 and 2008, respectively	36,366,524	50,776,515
Inventories	6,153,567	5,919,532
Other current assets	15,942,564	14,157,313
Total current assets	177,007,220	148,665,863
Assets limited as to use		
Held by trustee	29,679,488	29,619,689
Less amount required to meet current obligations	(12,527,978)	(11,998,274)
Total assets limited as to use	17,151,510	17,621,415
Long-term investments	8,197,737	8,089,405
Property, plant and equipment, net	202,563,661	205,781,494
Property held for sale	556,677	550,000
Other assets	3,551,988	3,345,788
Total assets	$ 409,028,793	$ 384,053,965
Liabilities and Net Assets		
Current liabilities		
Accounts payable and accrued expenses	$ 42,960,652	$ 36,850,730
Current portion of long-term debt	5,319,637	6,561,113
Current portion of pension liability	13,350,082	12,653,144
Due to Medicare	3,803,258	1,160,125
Other current liabilities	12,275,323	12,392,899
Total current liabilities	77,708,952	69,618,011
Long-term debt, net of current portion	129,190,716	133,421,824
Long-term pension liability	95,018,214	13,195,721
Other liabilities	43,907,379	38,967,751
Total liabilities	345,825,261	255,203,307
Commitments and contingencies		
Net assets		
Unrestricted	49,102,759	114,907,432
Temporarily restricted	6,887,836	6,838,621
Permanently restricted	7,212,937	7,104,605
Total net assets	63,203,532	128,850,658
Total liabilities and net assets	$ 409,028,793	$ 384,053,965

The accompanying notes are an integral part of these consolidated financial statements.

Tallahassee Memorial HealthCare, Inc. and Subsidiaries
Consolidated Statements of Operations
Years Ended September 30, 2009 and 2008

	2009	2008
Unrestricted revenues, gains and other support		
Net patient service revenue	$ 451,307,193	$ 422,027,586
Other revenue	8,073,060	8,393,542
Total revenues, gains and other support	459,380,253	430,421,128
Expenses		
Salaries, wages and benefits	190,340,426	178,559,851
Supplies and other	131,895,828	124,291,024
Professional fees	12,025,438	11,932,175
Insurance	12,077,529	15,942,134
Depreciation and amortization	17,532,206	18,677,832
Interest	8,425,125	8,679,085
Provision for bad debts	62,164,243	52,303,145
Total expenses	434,460,795	410,385,246
Operating income	24,919,458	20,035,882
Other revenues and expenses, net	(2,072,120)	(4,625,270)
Excess of revenues over expenses	22,847,338	15,410,612
Transfers (from) to unrestricted net assets to temporarily or permanently restricted net assets	(1,284)	29,195
Net assets released from restrictions used for program services and purchase of equipment	1,374,527	1,499,159
Change in pension liability	(90,025,254)	(12,250,779)
(Decrease) increase in unrestricted net assets	$ (65,804,673)	$ 4,688,187

The accompanying notes are an integral part of these consolidated financial statements.

Tallahassee Memorial HealthCare, Inc. and Subsidiaries
Consolidated Statements of Changes in Net Assets
Years Ended September 30, 2009 and 2008

	2009	2008
Unrestricted net assets		
Excess of revenues over expenses	$ 22,847,338	$ 15,410,612
Net transfers (from) to unrestricted net assets to temporarily or permanently restricted net assets	(1,284)	29,195
Net assets released from restrictions used for program services and purchase of equipment	1,374,527	1,499,159
Change in pension liability	(90,025,254)	(12,250,779)
(Decrease) increase in unrestricted net assets	(65,804,673)	4,688,187
Temporarily restricted net assets		
Contributions	1,252,456	1,083,031
Provision for bad debts	(102,695)	(500)
Change in value of donated property	6,677	(975,000)
Change in value of charitable remainder annuity trust	(30,422)	(225,117)
Contributions for equipment purchases	410,801	510,231
Income on investments	90,974	77,242
Net unrealized and realized losses on investments	(205,333)	(503,173)
Net assets released from restrictions–used for program services	(963,726)	(988,928)
Net assets released from restrictions–used for purchase of equipment	(410,801)	(510,231)
Net asset transfers to (from) temporarily restricted net assets to unrestricted and permanently restricted net assets	1,284	(2,503,628)
Increase (decrease) in temporarily restricted net assets	49,215	(4,036,073)
Permanently restricted net assets		
Contributions	179,449	152,446
Income on investments	56,574	50,185
Net unrealized and realized losses on investments	(127,691)	(326,918)
Net asset transfers to permanently restricted net assets	-	2,474,433
Increase in permanently restricted net assets	108,332	2,350,146
(Decrease) increase in net assets	(65,647,126)	3,002,260
Net assets		
Beginning of year	128,850,658	125,848,398
End of year	$ 63,203,532	$ 128,850,658

The accompanying notes are an integral part of these consolidated financial statements.

Tallahassee Memorial HealthCare, Inc. and Subsidiaries
Consolidated Statements of Cash Flows
Years Ended September 30, 2009 and 2008

	2009	2008
Cash flows from operating activities		
Change in net assets	$ (65,647,126)	$ 3,002,260
Adjustments to reconcile change in net assets to net cash provided by operating activities		
Depreciation and amortization	17,532,206	18,677,832
Depreciation on office space rental property	1,998,156	2,053,111
Amortization of bond discount and bond issue costs	273,657	257,632
Net realized and unrealized losses on trading securities	519,385	2,044,303
Change in fair value of derivative	358,411	4,513,848
Provision for bad debts	62,164,243	52,303,145
Change in pension liability	82,519,431	(4,228,715)
Loss (gain) on sale of property, plant and equipment	46,570	(28,649)
Change in value of property held for sale	(6,677)	975,000
Restricted contributions	(410,801)	(510,231)
(Increase) decrease in		
Patient accounts receivable	(47,754,252)	(47,469,328)
Due from Medicare	-	1,777,581
Inventories	(234,035)	(446,400)
Other current assets	(1,785,251)	1,227,673
(Decrease) increase in		
Accounts payable and accrued expenses	6,109,922	(2,301,565)
Due to Medicare	2,643,133	1,160,125
Other current liabilities	(117,576)	31,273
Other liabilities	4,581,217	9,185,947
Net cash provided by operating activities	62,790,613	42,224,842
Cash flows from investing activities		
Purchases of property, plant and equipment	(15,392,215)	(18,375,090)
Proceeds from disposals of equipment	13,837	42,768
Investments		
Purchases of investments	(13,960,142)	(11,317,793)
Proceeds from sales and maturities of investments	13,142,587	11,034,661
Assets limited as to use		
Purchases of investments	(14,210,045)	(6,882,554)
Proceeds from sales and maturities of investments	14,270,772	3,199,560
(Increase) decrease in notes receivable and deposits	(299,062)	2,463,424
Net cash used in investing activities	(16,434,268)	(19,835,024)
Cash flows from financing activities		
Payments on capital lease obligations	(780,830)	(509,725)
Proceeds from issuance of debt	-	1,521,418
Payments on long-term debt	(5,794,533)	(5,011,331)
Payments for debt issuance costs	(58,737)	(317,853)
Restricted contributions	410,801	510,231
Net cash used in financing activities	(6,223,299)	(3,807,260)
Net increase in cash and cash equivalents	40,133,046	18,582,558
Cash and cash equivalents		
Beginning of year	62,725,000	44,142,442
End of year	$ 102,858,046	$ 62,725,000
Supplemental disclosures of cash flow information		
Purchases of equipment under capital lease obligation	$ 1,195,000	$ 3,313,000
Interest paid on debt obligations	8,600,000	8,900,000

The accompanying notes are an integral part of these consolidated financial statements.

Tallahassee Memorial HealthCare, Inc. and Subsidiaries
Notes to Consolidated Financial Statements
September 30, 2009 and 2008

1. Summary of Significant Accounting Policies

Organization and Reporting Entity
Tallahassee Memorial HealthCare, Inc. ("TMH, Inc.") is a not-for-profit parent holding corporation which manages and operates a health delivery system. Tallahassee Memorial Hospital (the "Hospital"), a not-for-profit health care facility, is the hospital division of TMH, Inc. Through common board control, provision of bylaws and direct stock ownership, TMH, Inc. is the parent corporation of Southeast Community Health Services, Inc. ("SECHS"), Tallahassee Memorial Health Ventures, Inc. ("TMHV"), and Tallahassee Memorial HealthCare Foundation, Inc. ("Foundation"). SECHS is a not-for-profit property holding company. TMHV is a for-profit corporation which manages activities which are not tax exempt. The Foundation is a not-for-profit organization which promotes the educational training and research programs of TMH, Inc.

The accompanying consolidated financial statements include the accounts of TMH, Inc. and all of the above mentioned controlled subsidiaries or divisions. All significant intercompany transactions have been eliminated in the consolidated financial statements.

Basis of Presentation
The accompanying consolidated financial statements have been prepared on the accrual basis of accounting. Net assets are identified as unrestricted, temporarily restricted, or permanently restricted.

- Unrestricted - Net assets that are not subject to donor imposed restrictions.
- Temporarily restricted - Net assets whose use is subject to donor-imposed stipulations that can be fulfilled by actions of TMH, Inc. pursuant to those stipulations or that expire by the passage of time.
- Permanently restricted - Net assets subject to donor-imposed stipulations that they be maintained permanently.

Use of Estimates
The preparation of consolidated financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenues and expenses during the reporting period. Actual results could differ from those estimates. The significant estimates involve accounting for the allowance for doubtful accounts, due to Medicare, self-insurance liabilities, accrued pension liability and depreciation and amortization expense.

Cash and Cash Equivalents
Cash and cash equivalents include certain investments in highly liquid debt instruments with original maturities of three months or less when purchased.

Investments
Short-term investments consist primarily of certificates of deposit with maturities of less than one year, money market funds and common stock. Long-term investments consist primarily of common and preferred stocks, fixed income securities, mutual funds, U.S. government obligations and agency obligations and private equities. All investments in debt securities and marketable equity securities with readily determinable fair values are measured at fair value in the accompanying consolidated balance sheets. Investment income or loss (including unrealized gains and losses on investments, interest and dividends) is included in the excess of revenues over expenses except for income or loss that is restricted by donor or law.

Assets Limited as to Use
Assets limited as to use include assets held by trustees under indenture agreements and self-insurance trust arrangements. Amounts required to meet current liabilities of the Hospital have been presented as current assets in the accompanying consolidated balance sheets. Assets limited as to use are classified as trading securities and stated at fair market value. Amounts consist of cash and cash equivalents, fixed income securities, U.S. government and agency obligations, mutual funds and common and preferred stocks. The Hospital evaluates the nature and classification of securities on a periodic basis and has designated all securities as trading.

Inventories
Inventories consist principally of unused supplies and are stated at the lower of cost (first-in, first-out method) or market.

Property, Plant and Equipment
Property, plant and equipment is stated at cost. Depreciation and amortization is computed on the straight-line method over the estimated useful lives of the assets generally as follows:

	Estimated Useful Lives
Building and building improvements	5–40
Leasehold improvements	5–25
Equipment	3–20

Expenditures for additions and improvements are capitalized. Costs incurred to acquire material and services in obtaining and installing internal-use software and payroll costs directly spent on the installation of such software are capitalized. Training and maintenance fees are expensed as incurred. Expenditures for maintenance and repairs are charged to operations as incurred. Upon sale or retirement of depreciable assets, the related cost and accumulated depreciation are removed from the accounts and any gain or loss is included in other revenues and expenses, net.

Tallahassee Memorial HealthCare, Inc. and Subsidiaries
Notes to Consolidated Financial Statements
September 30, 2009 and 2008

Charitable Remainder Annuity Trust
During the year ended September 30, 2007, the Foundation was named as the participating beneficiary in a charitable remainder trust. A charitable remainder annuity trust is an arrangement in which a donor establishes a trust with specified distributions to be made to a designated beneficiary over the trust's term. TMH, Inc. will receive its share of the assets remaining upon termination of the charitable remainder annuity trust.

The Foundation has recorded the estimated present value of its interest in the trust's assets as temporarily restricted net assets, in accordance with the trust's terms. The significant drivers of the estimated present value include the annual annuity payout of $140,000 and the adjustment factor for 5.25 and 5.50 for the years ended September 30, 2009 and 2008, respectively. The fair market value of the trust was $1,419,117 and $1,514,405 for the years ended September 30, 2009 and 2008, respectively. A liability has been established for the portion of the trust that is owed to other parties and the amount is classified as other liabilities in the accompanying consolidated balance sheets.

Debt Issuance Costs
Debt issuance costs incurred in connection with the Health Facilities Revenue Bonds and Health Facilities Revenue Refunding Bonds are being amortized over their respective terms utilizing the straight-line method, which approximates the effective interest method. As of September 30, 2009 and 2008, debt issuance costs of approximately $3,574,000 and $3,515,000, respectively, net of accumulated amortization of $1,771,000 and $1,619,000, respectively, remain to be amortized over future periods and are included in other assets in the accompanying consolidated balance sheets.

Accrued Self-Insurance Liabilities
The provision for estimated self-insured professional liability (malpractice), workers' compensation and employee health includes estimates of the ultimate costs for both reported claims and claims incurred but not reported. The current portion of professional liability, workers' compensation and employee health are included in accounts payable and accrued expenses and the long-term portion is included in other liabilities in the consolidated balance sheets.

Net Patient Service Revenue
The components of net patient service revenue for the years ended September 30, 2009 and 2008 are as follows:

	2009	2008
Gross patient service revenue	$ 1,247,500,207	$ 1,114,384,366
Contractual adjustments and other deductions	(796,193,014)	(692,356,780)
Net patient service revenue	$ 451,307,193	$ 422,027,586

Net patient service revenue is reported at the estimated net realizable amounts from patients, third-party payors, and others for services rendered, including estimated retroactive adjustments under reimbursement agreements with third-party payors. Retroactive adjustments are accrued on an estimated basis in the period the related services are rendered and adjusted in future periods as final settlements are determined. Approximately 89% and 92% of the Hospital's net patient accounts receivable at September 30, 2009 and 2008 are due from commercial insurance carriers and governmental payors, and the remainder is due from others who reside primarily in the geographical area in or near Tallahassee, Florida. Patient accounts receivable are stated at their estimated net realizable value as determined by management. Management's estimate is based on an assessment and historical and expected net collections, considering business and economic conditions, trends in health care coverage and other collection indicators.

Interest Expense

Cash payments for interest were approximately $8,600,000 and $8,900,000 for the years ended September 30, 2009 and 2008, respectively. Net interest expense charged to operations for the years ended September 30, 2009 and 2008 is summarized as follows:

	2009	2008
Interest cost		
Total interest cost	$ 8,855,612	$ 9,067,035
Capitalized	(42,537)	-
Net interest expense	$ 8,813,075	$ 9,067,035
Interest expense classified as:		
Operating	$ 8,425,125	$ 8,679,085
Nonoperating	387,950	387,950
	$ 8,813,075	$ 9,067,035

Excess of Revenues Over Expenses

The consolidated statements of operations include the excess of revenues over expenses. Changes in unrestricted net assets which are excluded from excess of revenues over expenses, consistent with industry practice, include contributions of long-lived assets and the change in pension liability.

Charity Care

The Hospital provides care to patients who meet certain criteria under its charity care policy without charge or at amounts less than its established rates. Because the Hospital does not pursue collection of amounts determined to qualify as charity care, they are not reported as net patient service revenue.

Donations

The Foundation reports gifts of cash and other assets as restricted support as they are received with donor stipulations that limit the use of the donated assets. When a donor restriction expires, that is, when a stipulated time restriction ends or purpose restriction is accomplished, temporarily restricted net assets are reclassified to unrestricted net assets and reported in the statements of operations as net assets released from restrictions. Donor-restricted contributions, other than for equipment, whose restrictions are met within the same year as received are reported as unrestricted contributions in the accompanying consolidated financial statements.

The Foundation reports gifts of land, buildings and equipment as unrestricted support unless explicit donor stipulations specify how the donated assets must be used. A gift of approximately $1,525,000 was received in fiscal year 2007. In fiscal 2008, the land was written down to its estimated market value by approximately $975,000 in order to recognize an impairment based upon current market conditions. There was no impairment recorded in fiscal 2009. The land is subject to certain environmental remediation efforts. Gifts of long-lived assets with explicit restrictions that specify how the assets are to be used and gifts of cash or other assets that must be used to acquire long-lived assets are reported as restricted support. Absent explicit donor stipulations about how long those long-lived assets must be maintained. The Foundation reports expirations of donor restrictions when the donated or acquired long-lived assets are placed in service.

Other Revenues and Expenses, Net
Other revenues and expenses, net consist primarily of interest income, rental income and the related rental expenses incurred to maintain rental property and the total change in the fair value of the derivative.

Derivative
The Hospital recognizes the asset or liability for derivative instruments on the consolidated balance sheets at fair value and the amount is included in other liabilities in the consolidated balance sheets. Changes in the fair value of derivatives are recorded each period in excess of revenues over expenses or as a change in unrestricted net assets, depending on the type of hedge transaction.

New Accounting Pronouncements
Effective for fiscal years ending after September 15, 2009, the FASB issued the Accounting Standards Codification ("ASC") which changes the way in which TMH, Inc. references authoritative guidance.

Effective October 1, 2008, TMH, Inc. adopted ASC 820. ASC 820 defines fair value, establishes a framework for measuring fair value under generally accepted accounting principles and enhances disclosures about fair value measurements. Fair value is defined under ASC 820 as the exchange price that would be received for an asset or paid to transfer a liability (an exit price) in the principal or most advantageous market for the asset or liability in an orderly transaction between market participants on the measurement data.

The provisions of ASC 825 were effective October 1, 2008. ASC 825 gives entities the option, at specific election dates, to measure certain financial assets and liabilities at fair value. The election may be applied to financial assets and liabilities on an instrument by instrument basis, is irrevocable, and may only be applied to entire instruments. Unrealized gains and losses on instruments for which the fair value option has been elected are reported in earnings at each subsequent reporting date. TMH, Inc. did not elect fair value accounting for any assets or liabilities that are not currently required to be measured at fair value.

ASC 820 establishes a hierarchy of valuation inputs based on the extent to which the inputs are observable in the marketplace. Observable inputs reflect market data obtained from sources independent of the reporting entity and unobservable inputs reflect the entities own assumptions about how market participants would value an asset or liability based on the best information available. Valuation techniques used to measure fair value under ASC 820 must maximize the use of observable inputs and minimize the use of unobservable inputs. The standard describes a fair

value hierarchy based on three levels of inputs, of which the first two are considered observable and the last unobservable, that may be used to measure fair value.

The following describes the hierarchy of inputs used to measure fair value and the primary valuation methodologies used by TMH, Inc. for financial instruments measured at fair value on a recurring basis. The three levels of inputs are as follows:

- Level 1 - Quoted prices in active markets for identical assets or liabilities.
- Level 2 - Inputs other than Level 1 that are observable, either directly or indirectly, such as quoted prices for similar assets or liabilities; quoted prices in markets that are not active; or other inputs that are observable or can be corroborated by observable market data for substantially the same term of the assets or liabilities.
- Level 3 - Unobservable inputs that are supported by little or no market activity and that are significant to the fair value of the assets or liabilities.

A financial instrument's categorization within the valuation hierarchy is based upon the lowest level of input that is significant to the fair value measurement.

The following table presents the financial instruments carried at fair value as of September 30, 2009, by caption on the consolidated balance sheets by the ASC 820 valuation hierarchy defined above:

	Quoted Prices in Active Markets for Identical Assets (Level 1)	Significant Other Observable Inputs (Level 2)	Significant Unobservable Inputs (Level 3)	Total Fair Value
Assets				
Cash, investments and assets limited as to use				
Cash and cash equivalents	$ 107,946,954	$ 7,376,379	$ -	$ 115,323,333
Fixed income securities	3,257,283	10,452,974	-	13,710,257
Mutual funds	1,937,018	410,209	-	2,347,227
U.S. governmental and agency obligations	9,538,381	-	-	9,538,381
Common and preferred stocks	920,500	1,069,314	-	1,989,814
Private equities	-	-	984,800	984,800
Total cash, investments and assets limited as to use	123,600,136	19,308,876	984,800	143,893,812
Pledges receivable	-	-	3,177,382	3,177,382
Charitable remainder annuity trusts	-	1,522,319	-	1,522,319
Insurance contract	199,756	-	-	199,756
Total assets at fair value	$ 123,799,892	$ 20,831,195	$ 4,162,182	$ 148,793,269
Liabilities				
Basis rate swap payable	$ -	$ 3,124,147	$ -	$ 3,124,147
Obligations under annuity arrangements and due to FSU	-	1,077,262	-	1,077,262
Total liabilities at fair value	$ -	$ 4,201,409	$ -	$ 4,201,409

Following is a description of TMH, Inc.'s valuation methodologies for assets and liabilities measured at fair value.

Fair value for Level 1 is based upon quoted prices in active markets that TMH, Inc. has the ability to access for identical assets and liabilities. Market price data is generally obtained from exchange or dealer markets. TMH, Inc. does not adjust the quoted price for such assets and liabilities.

Fair value for Level 2 is based on quoted prices for similar instruments in active markets, quoted prices for identical or similar instruments in markets that are not active, and model-based valuation techniques for which all significant assumptions are observable in the market or can be corroborated by observable market data for substantially the full term of the assets. Inputs are obtained from various sources including market participants, dealers, and brokers.

Fair value for Level 3, is based on valuation techniques that use significant inputs that are unobservable as they trade infrequently or not at all.

Basis rate swaps are valued using both observable and unobservable inputs, such as quotations received from the counterparty, dealers or brokers, whenever available and considered reliable. In instances where models are used, the value of the interest rate swap depends upon the contractual terms of, and specific risks inherent in, the instrument as well as the availability and reliability of observable inputs. Such inputs include market prices for reference securities, yield curves, credit curves, measures of volatility, prepayment rates, assumptions for nonperformance risk, and correlations of such inputs. The basis rate swap arrangement has inputs which can generally be corroborated by market data and are therefore classified within Level 2.

The methods described above may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, while TMH, Inc. believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different estimate of fair value at the reporting date.

The following table is a rollforward of the statement of financial position amounts for financial instruments classified by TMH, Inc. within Level 3 of the fair value hierarchy defined above:

	Private Equities
Fair value, October 1, 2008	$ 984,800
Realized gains (losses)	-
Unrealized gains (losses)	-
Net purchases, sales, settlements	-
Transfers in/out	-
Fair value, September 30, 2009	$ 984,800

	Pledges Receivable
Fair value, October 1, 2008	$ 3,391,627
Payments received	(532,395)
New pledges	368,517
Discount to present value	53,378
Pledge write-offs	(103,745)
Fair value, September 30, 2009	$ 3,177,382

Concentrations of Credit Risk

TMH, Inc. maintains its cash and cash equivalents with several large institutions. All accounts at each financial institution are guaranteed by the Federal Deposit Insurance Corporation up to $250,000 per bank. TMH, Inc. has cash deposits which exceed the federally insured deposited amount. Management does not anticipate nonperformance by financial institutions.

TMH, Inc. grants credit without collateral to its patients, most of whom are local residents and are insured under third-party payor agreements. The mix of receivables from patients and third-party payors at September 30, 2009 and 2008 was as follows:

	2009	2008
Medicare	22%	19%
Medicaid	18%	16%
Managed Care	34%	28%
Blue Cross	17%	16%
Other	9%	21%
	100%	100%

Reclassifications

Certain reclassifications of prior year amounts have been made to conform with the current year presentation. These reclassifications had no effect on net assets of changes in net assets.

2. Net Patient Service Revenue

The Hospital has agreements with third-party payors that provide for payments to the Hospital at amounts different from its established rates. A summary of the payment arrangements with major third party payors follows:

Medicare
Inpatient acute care services, skilled nursing services, hospital outpatient services and home health services rendered to Medicare program beneficiaries are paid at prospectively determined rates. These rates vary according to a patient classification system that is based on clinical, diagnostic, and other factors. Rehabilitative services, psychiatric services, certain outpatient services rendered to Medicare beneficiaries, and direct graduate medical education costs are paid based upon a cost reimbursement methodology. The Hospital is reimbursed for cost reimbursable items at a tentative interim rate with final settlement determined after submission of annual cost reports by the Hospital and audits thereof by the Medicare fiscal intermediary.

The Hospital's Medicare cost reports have been audited by the Medicare intermediary for all years through September 30, 2006. Approximately 28% and 29% of net patient service revenue for the years ended September 30, 2009 and 2008, respectively, is subject to the provisions of Medicare agreements.

Medicaid
Inpatient and outpatient services (except for laboratory and pathology services) rendered to Medicaid program beneficiaries are reimbursed under a cost reimbursement methodology. Reimbursable cost is determined in accordance with the principles of reimbursement established by the State of Florida Title XIX Hospital Reimbursement Plan supplemented by the Medicare Principles of Reimbursement. The interim rates are tentatively established on an individual per diem basis for each hospital, subject to cost ceilings with exceptions. The Hospital is reimbursed at a tentative rate with final settlement determined when the prospectively determined rate is adjusted as a result of intermediary audit of the cost report used in the establishment of the prospective rate. Retroactive adjustments for interim rate changes anticipated after the intermediary audit of the cost report are accrued on an estimated basis in the period when final settlements are determined. The Hospital's Medicaid interim rates are based on the Medicare/Medicaid cost report which has been audited by the fiscal intermediary through September 30, 2005. Approximately 6% of net patient service revenue for the years ended September 30, 2009 and 2008 is related to services provided to Medicaid patients.

Laws and regulations governing the Medicare and Medicaid programs are complex and subject to interpretation. The Hospital believes that it is in compliance with all applicable laws and regulations and is not aware of any pending or threatened investigations involving allegations of potential wrongdoing. While no such regulatory inquiries have been made, compliance with such laws and regulations can be subject to future government review and interpretation as well as significant regulatory action including fines, penalties, and exclusion from the Medicare and Medicaid programs.

Other
The Hospital has also entered into payment agreements with certain commercial insurance carriers, health maintenance organizations, and preferred provider organizations. The basis for payment to the Hospital under these agreements includes prospectively determined rates per discharge, discounts from established charges, and prospectively determined daily rates. Some of these arrangements provide for review of paid claims for compliance with the terms of the contract and result in retroactive settlement with third parties. Retroactive adjustments for other third party claims are recorded in the period when final settlement is determined.

3. Charity Care

The Hospital maintains records to identify and monitor the level of charity care it provides. These records include the amount of charges foregone for services and supplies furnished under its charity care policy and equivalent service statistics. The following information measures the level of charity care provided during the years ended September 30, 2009 and 2008:

	2009	2008
Charges foregone, based on established rates	$ 38,678,000	$ 33,632,000

4. Tax Status

TMH, Inc., the Hospital and the Foundation are organized as Florida not-for-profit corporations and both are exempt from payment of income taxes under Internal Revenue Code Section 501(c)(3). SECHS is organized as a Florida not-for-profit corporation and is exempt from payment of income taxes under Internal Revenue Code Section 501(c)(25) as a property holding company. The Internal Revenue Code provides for taxation of certain unrelated business income of tax exempt entities. TMHV is organized as a Florida corporation whose income is taxable under Subchapter C of the Internal Revenue Code.

5. Pledges Receivable

The composition of pledges receivable to give at September 30, 2009 and 2008 is set forth in the following table:

	2009	2008
Due in one year or less	$ 3,277,172	$ 297,462
Due in one year through five years	35,217	3,252,549
Due after five years	-	30,000
Total	3,312,389	3,580,011
Less: Present value discount	(135,007)	(188,384)
Total	$ 3,177,382	$ 3,391,627

The discounts will be recognized as contribution income in future years as the discount is amortized using effective yield over the collection period of the receivables. Management provides an estimate for amounts believed to be uncollectible. At September 30, 2009 and 2008, management had recorded an allowance for pledge receivables of approximately $135,000 and $188,000, respectively. The pledges are discounted at rates that range between 1.4% to 6.0% based upon their estimated due date. The amounts are recorded within other current assets in the accompanying consolidated balance sheets.

6. Investments and Assets Limited As To Use

The composition of assets limited as to use at September 30, 2009 and 2008 is set forth in the following table:

	2009	2008
Held by trustee under indenture agreements		
Cash, cash equivalents and short-term investments	$ 7,482,296	$ 8,171,700
U.S. governmental and agency obligations	5,923,562	6,318,866
Fixed income securities	10,332,390	10,200,312
	23,738,248	24,690,878
Held by trustee for self-insurance funding arrangements		
Cash, cash equivalents and short-term investments	466,817	330,711
U.S. governmental and agency obligations	1,224,623	1,497,423
Mutual funds	410,209	289,222
Common and preferred stocks	1,069,314	1,038,655
Fixed income securities	100,277	92,800
	3,271,240	3,248,811
Held by trustee as swap collateral		
Cash	2,670,000	1,680,000
	$ 29,679,488	$ 29,619,689

Short-term and long-term investments, stated at fair value, at September 30, 2009 and 2008, include:

	2009	2008
Cash and cash equivalents	$ 9,811	$ 12,003
Money market funds	318,955	292,238
Fixed income securities	3,277,590	931,332
Certificates of deposit	1,517,408	1,443,423
Private equities	984,800	984,800
U.S. governmental and agency obligations	2,390,196	2,201,291
Mutual funds	1,937,018	1,236,397
Common and preferred stocks	920,500	4,077,150
	11,356,278	11,178,634
Less: Long-term investments	(8,197,737)	(8,089,405)
Short-term investments	$ 3,158,541	$ 3,089,229

Investment income and (losses) and gains for assets limited as to use, cash equivalents, and other investments are comprised of the following for the years ended September 30, 2009 and 2008:

	2009	2008
Income		
Investment income		
Unrestricted	$ 1,259,783	$ 3,503,359
Temporarily restriced	90,974	77,242
Permanently restricted	56,574	50,185
Net realized losses on sales of securities		
Unrestricted	(980,657)	(449,025)
Temporarily restriced	(656,947)	(231,763)
Permanently restricted	(408,538)	(150,580)
Net unrealized gains (losses) on trading securities		
Unrestricted	794,296	(765,188)
Temporarily restriced	451,614	(271,409)
Permanently restricted	280,847	(176,338)
Total investment gain	$ 887,946	$ 1,586,483

7. Property, Plant and Equipment

Property, plant and equipment is substantially all financed under capital leases (as described in Note 11) at September 30, 2009 and 2008 and summarized as follows:

	2009	2008
Land	$ 18,063,985	$ 17,379,806
Buildings	238,166,040	233,965,377
Equipment	181,356,072	172,025,695
Leasehold improvements	3,160,486	3,149,806
	440,746,583	426,520,684
Less: Accumulated depreciation	(246,552,891)	(230,132,933)
	194,193,692	196,387,751
Construction in progress	8,369,969	9,393,743
Property, plant and equipment, net	$ 202,563,661	$ 205,781,494

Depreciation and amortization expense for the years ended September 30, 2009 and 2008 was $17,532,206 and $18,677,832, respectively. Depreciation expense on office space rental property for the years ended September 30, 2009 and 2008 was $1,998,156 and $2,053,111, respectively, was included in other revenues and expenses, net in the accompanying consolidated statements of operations. Construction in progress at September 30, 2009 consisted of various long-term facility renovation projects. Estimated costs to complete these projects is approximately $12,000,000.

8. Leases

The Hospital leases substantially all of its property, plant and equipment from the City of Tallahassee under the terms of a lease, as amended, which expires in September 2038. The lease is automatically extended one year each September 30 unless the City of Tallahassee or the Hospital elects to nullify that year's extension. Any such election will not affect automatic renewals in future years. Property, plant and equipment acquired by the Hospital becomes a part of the leased properties, but are owned by the City of Tallahassee and are subject to the terms of the lease agreement. Obligations incurred for such acquisitions are the direct responsibility and liability of the Hospital. The Hospital leases the facility for $1 per year and is responsible for payment of related taxes, insurance, operating expenses and capital improvements of the property, plant and equipment.

TMH, Inc. leases a portion of its office and equipment under agreements which expire at various dates through 2018. Rent expense for the years ended September 30, 2009 and 2008 was approximately $2,308,000 and $2,337,000, respectively. As of September 30, 2009, future minimum rental payments required under noncancelable operating leases are approximately:

Years Ending	
2010	$ 251,000
2011	133,000
2012	36,000
2013	11,000
2014 and thereafter	46,000
	$ 477,000

TMH, Inc. leases office space to tenants under operating leases. Lease terms range from one to fifteen years.

9. Derivative Financial Instruments

On March 22, 2001, the Hospital entered into a "Basis Rate Swap" agreement with a financial institution to receive or pay the spread between two variable interest rates for a notional amount equal to the outstanding principal on the Series 2000 Bond Issue. The purpose of the Basis Rate Swap is to reduce interest cost over the life of the Series 2000 Bonds. However, the swap agreement does not meet the definition of a hedge. Consequently, changes in the fair value of the instrument are required to be recorded in the excess of revenues over expenses in the consolidated statements of operations. The market value of the derivative instrument at September 30, 2009 and 2008 was approximately $(3,124,000) and $(2,766,000), respectively. The changes in the market value of the swap required the recording of losses of approximately $358,000 and $4,514,000 in other revenues and expenses, net, in the accompanying consolidated statements of operations for the years ended September 30, 2009 and 2008, respectively. The loss is unrealized and represents the amount the Hospital would pay to sell the Basis Rate Swap at the end of the fiscal year.

The Hospital paid funds from the Basis Rate Swap of approximately $95,000 and received funds $140,000 for the years ended September 30, 2009 and 2008, respectively, which is recorded in other revenues and expenses, net in the accompanying consolidated statements of operations. Furthermore, the Hospital was required to post collateral in the amount of approximately $2,670,000 and $1,680,000 at September 30, 2009 and 2008, respectively, as part of the margin call related to the Basis Rate Swap. The cash collateral is recorded in assets limited as to use in the accompanying consolidated balance sheets.

The Hospital manages the interest rate risk of its debt portfolio principally by using basis rate derivatives to achieve a desired position of fixed and floating rate debt. The Hospital does not use basis rate swaps for trading or speculative purposes. The basis rate swap agreements that were outstanding as of September 30, 2009 and 2008 are set forth in the table below:

As of	Notional Amount	Pay	Receive	Maturity Date	Fair Value
09/30/2009	$ 100,100,000	Floating one-month LIBOR		12/20/2030	$ (3,124,147)
09/30/2008	$ 100,100,000	Floating one-month LIBOR		12/20/2030	$ (2,765,736)

Therefore, the fair value of each basis rate swap is included in the consolidated balance sheet as a component of other assets or other long-term liabilities. The basis rate swap agreements (decreased) increased net interest expense for the years ended September 30, 2009 and 2008 by approximately $(95,000) and $140,000, respectively.

10. Other Liabilities

Other liabilities consist of the following at September 30, 2009 and 2008:

	2009	2008
Workers' compensation liability	$ 4,277,897	$ 3,977,897
Self-insured professional liability	24,669,932	20,532,673
Agency for Health Care Administration statutory liability	2,226,111	2,156,250
Deferred compensation arrangement	915,542	763,931
Due to Medicaid	3,488,024	3,488,024
Asset retirement obligation	380,000	380,000
Fair value of interest rate swap	3,124,147	2,765,736
Obligation for supplemental executive retirement benefits (Note 14)	3,283,870	3,330,961
Reserve for pending unresolved disputes	1,200,000	1,200,000
Due to Florida State University College of Medicine	341,856	372,279
	$ 43,907,379	$ 38,967,751

11. Long-Term Debt

A summary of long-term debt and capital lease obligations at September 30, 2009 and 2008 follows:

	2009	2008
Health Facilities Revenue Refunding Bonds, Series 1992B, interest of 6% at September 30, 2009 and 2008, final maturity December 2015.	18,540,000	20,625,000
Health Facilities Revenue Refunding Bonds, Series 1994, interest of 6.625% at September 30, 2009 and 2008, respectively, final maturity December 2013.	11,860,000	13,810,000
Health Facilities Revenue Bonds, Series 2000, interest of 6.25% to 6.375% at September 30, 2009 and 2008, final maturity December 2030.	100,100,000	100,100,000
Healthcare Facilities Subordinated Revenue Bonds, Series 2008, interest of 9.3% at September 30, 2009 and 2008 , final maturity September 2028.	600,000	600,000
Note payable, interest of 4.4% at September 30, 2008.	-	511,900
Note payable, principal and interest installments of $42,500 due quarterly with an interest rate of 175 basis points above the LIBOR base rate, adjusted quarterly. Remaining principal and accrued interest due July 27, 2017.	1,466,646	1,572,778
Note payable, principal installments of $21,000 due quarterly with final payment of $1,099,500 due April 15, 2009, interest at prime of 5.00% at September 30, 2008, collateralized by certain real property.	-	1,141,500
Capital lease obligations	3,291,152	3,091,261
	135,857,798	141,452,439
Less: Net unamortized bond discount	(1,347,445)	(1,469,502)
Total long-term debt	134,510,353	139,982,937
Less: Current portion	(5,319,637)	(6,561,113)
Long-term portion	$129,190,716	$133,421,824

TMH, Inc., with the City of Tallahassee acting as a conduit, issued Health Facilities Revenue Refunding Bonds, Series 1987 and 1992B, of $54,905,000 and $38,840,000, respectively, in connection with two cross-over financings. In addition, Health Facilities Revenue Refunding Bonds, Series 1994 in the amount of $21,835,000 were issued in October 1994. On December 1, 1994, TMH, Inc. elected that the Series 1987 Bonds provide for the payment of the Series 1984 Bonds. At the same time, the Series 1992B Bonds and the Series 1994 Bonds provided for the payment of the Series 1987 Bonds. TMH, Inc. granted the City of Tallahassee a security interest in its revenue at the time of closing of the Health Facilities Revenue Refunding Bonds, Series 1994, and the cross-over of the Series 1992B Bonds.

On November 7, 2000, TMH, Inc., with the City of Tallahassee acting as a conduit, issued Health Facilities Revenue Bonds, Series 2000, in the amount of $100,100,000 for certain construction, renovation, and purchase of equipment. Interest rates range from 6.25% to 6.375% with final maturity in December 2030. The Series 2000 Bonds are collateralized by the gross revenues of TMH, Inc.

On September 12, 2008, TMH, Inc., with the City of Tallahassee acting as a conduit, issued Healthcare Facilities Subordinated Revenue Bonds, Series 2008, in the amount of $600,000 for the construction of a sleep center. The Bonds are privately placed and contain and interest rate of 9.3%.

The Series 1992B Bonds and the Series 1994 Bonds currently outstanding are collateralized by the unconditional and irrevocable guarantee of the Municipal Bond Investors Assurance Corporation. The guarantee expires concurrently with the Bonds.

The bond trust indentures require compliance with various restrictive covenants, such as minimum debt service coverage ratios, and include maintenance of certain debt service funds. TMH, Inc. was in compliance with the various restrictive financial covenants at September 30, 2009 and 2008.

All entities under TMH, Inc., with the exception of SECHS, TMHV, and the Foundation, are part of the obligated group responsible for the repayment of these bonds per the bond indenture.

Scheduled principal payments on long-term debt and the capital lease obligations are as follows:

Years Ending	Long-Term Debt	Capital Lease Obligations
2010	$ 5,447,437	$ 997,437
2011	5,675,680	955,680
2012	5,964,952	954,952
2013	5,882,957	567,957
2014	5,727,220	87,220
Thereafter	107,431,646	-
	$ 136,129,892	3,563,246
Less: Amount representing interest under the capital lease obligations		(272,094)
		$ 3,291,152

The following computer hardware and software were financed under capital leases and are included in property, plant and equipment on the consolidated balance sheets at September 30, 2009 and 2008:

	2009	2008
Equipment	$ 4,735,758	$ 6,752,236
Less: Accumulated depreciation	(1,405,755)	(3,770,521)
	$ 3,330,003	$ 2,981,715

12. Self-Insurance

The Hospital has professional liability insurance coverage through a captive insurer on a claims-made basis. The Hospital is currently self-insured for the first $3,000,000 of each claim. From May 1, 2003 through April 30, 2005, the Hospital was self-insured for the first $5,000,000 of each claim. From March 28, 2002 through April 30, 2003, the Hospital was self-insured for the first $3,000,000 of each claim. From March 15, 2001 through March 27, 2002, the Hospital was self-insured for the first $1,000,000 of each claim. From January 1, 1989 through March 14, 2001, the Hospital was self insured for the first $250,000 and prior to January 1, 1989, the Hospital was self-insured for the first $100,000 of each claim. Malpractice claims, including amounts for which the Hospital is self-insured, have been asserted by various claimants, and additional claims may be asserted for known incidents occurring through September 30, 2009. The claims are in various stages of processing and some may ultimately be brought to trial. Moreover, additional claims arising from services provided to patients in the past may be asserted. The Hospital has engaged an independent actuary to assist in the computation of an accrual for self-insurance of professional liability coverage. The actuarial computations were based upon an evaluation of past incidents. A liability of approximately $35,344,000 and $31,271,000 has been recorded at September 30, 2009 and 2008, respectively, representing management's best estimates based upon the actuarial computations.

Effective May 1, 2005, the Hospital entered into a captive arrangement with Health Care Casualty Risk Retention Group, Inc. ("HCCR") for professional and general liability reinsurance coverage. HCCR provides liability insurance coverage of $20,000,000 per occurrence in excess of the $3,000,000 retention. HCCR provides aggregate liability coverage of $20,000,000.

HCCR was incorporated under the Captive Insurance Company Act of 2004 and the District of Columbia Business Corporation Act, D.C. Code, 2001 edition, on December 14, 2004. HCCR was added to the list of registered Risk Retention Groups by the state of Florida on March 8, 2005. In addition, the Hospital owns shares of Health Care Casualty Insurance Limited (the "Captive") which was incorporated as a limited liability company under the Companies Law of the Cayman Islands on August 30, 2002 and holds an unrestricted Class "B" Cayman Islands insurer's license under Section 4(2) of the Cayman Islands Insurance Law. The license enables the Captive to transact insurance business, other than domestic business, from within the Cayman Islands. The Cayman Islands Monetary Authority has imposed a minimum capital requirement of $120,000.

HCCR and the Captive are owned by a number of healthcare institutions based in the United States. The owners are all not-for-profit hospitals and healthcare systems. The principal activity of the Captive and HCCR is to provide professional and general liability coverage on a claims made basis for the risk associated with the delivery of healthcare services for the shareholders, their employees and medical staff members. The Hospital became a shareholder of the Captive and HCCR on May 1, 2005. As of September 30, 2009, the Hospital is one of eight owners of the Captive, five of which are active and three are inactive and one of six owners of HCCR. The Hospital's investment in HCCR and the Captive was $100,000 and $857,000, respectively, at September 30, 2009 and 2008. The Captive's bylaws indicate that no more than 15 organizations can be owners. HCCR maintains a facultative reinsurance agreement with the Captive whereby all of the professional and general liability risk of the Hospital is effectively transferred to the Captive. Effective August 1, 2006, the Captive retains $6,000,000 of professional liability risk for each claim and maintains a reinsurance treaty that provides excess coverage of $14,000,000 for each claim and $21,000,000 aggregate coverage per year. Prior to August 1, 2006 the Captive retained $5,000,000 of professional liability risk for each claim and maintained a reinsurance treaty that provided $5,000,000 of excess coverage for each claim.

The Hospital is self-insured for workers' compensation up to $500,000 per occurrence, and has purchased excess coverage from commercial carriers up to the amount allowed by Florida Statutes. A liability of approximately $5,129,000 and $4,830,000 has been recorded at September 30, 2009 and 2008, respectively.

The combined liability for professional liability and workers' compensation self-insurance at September 30, 2009 and 2008 was approximately:

	2009	2008
Other current liabilities	$ 11,525,000	$ 11,590,000
Other liabilities	28,948,000	24,511,000
	$ 40,473,000	$ 36,101,000

13. Retirement Plans

TMH, Inc. maintains a noncontributory defined benefit pension plan (the "Plan") covering substantially all employees. The Plan's benefits are based on years of service and the employees' compensation during the highest five years of credited service. TMH, Inc.'s funding policy is to contribute annually the minimum amount permitted under ERISA using the Projected Unit Credit Actuarial Cost Method. Plan assets consist primarily of listed stocks, corporate bonds, government bonds and notes, and mutual funds.

On October 20, 2004, the Board of Directors of TMH, Inc. approved a resolution to freeze benefit accruals under the Plan effective December 31, 2004. While continued service after December 31, 2004 will count towards eligibility for early retirement benefits and vesting purposes, no service or compensation after December 31, 2004 will be considered for benefit accruals.

In December 2006, TMH, Inc. adopted ASC 715. ASC 715 requires an employer to recognize the net funded status of defined benefit pensions and other postretirement benefit plans (benefit plan) as an asset or liability in its balance sheet and to recognize changes in the funded status through net assets. Additional minimum pension liabilities (AML) and related intangible assets are derecognized upon adoption of the new Standard. For pension plans, the benefit obligation is the projected benefit obligation; for other postretirement plans, the benefit obligation is the accumulated postretirement benefit obligation. ASC 715 also requires an employer to measure the funded status of a plan as of the date of its year-end statement of financial position effective for fiscal years ending after December 15, 2008. TMH, Inc. has adopted the new measurement date for the year ending September 30, 2009 for the Plan.

Tallahassee Memorial HealthCare, Inc. and Subsidiaries
Notes to Consolidated Financial Statements
September 30, 2009 and 2008

The following table sets forth the approximate change in projected benefit obligation, change in plan assets, weighted average assumptions and component of net periodic pension cost for the plan:

	2009	2008
Accumulated benefit obligation	$ 333,873,000	$ 273,821,000
Change in projected benefit obligation		
Projected benefit obligation, beginning of year	$ 273,821,000	$ 283,221,000
Interest cost	18,250,000	17,286,000
Change in measurement date	4,562,000	-
Actuarial loss (gain)	53,412,000	(14,199,000)
Benefits paid	(16,172,000)	(12,487,000)
Projected benefit obligation, end of year	$ 333,873,000	$ 273,821,000
Change in plan assets		
Plan assets at fair value, beginning of year	$ 247,972,000	$ 253,143,000
Employer contributions	9,269,000	12,446,000
Actual return on plan assets, net	(15,564,000)	(5,130,000)
Benefits paid	(16,172,000)	(12,487,000)
Plan assets at fair value, end of year	$ 225,505,000	$ 247,972,000
Funded status	$ (108,368,000)	$ (25,849,000)
Unrecognized net actuarial loss	142,937,000	51,512,000
Prepaid pension cost	34,569,000	25,663,000
Unrestricted net assets	(142,937,000)	(51,512,000)
Pension liability	$ (108,368,000)	$ (25,849,000)

	2009	2008
Weighted average assumptions for benefit obligations at September 30		
Discount rate	5.53%	6.82%
Rate of increase in future compensation levels	N/A	0.00%
Weighted average assumptions for net periodic benefit costs at September 30		
Discount rate	6.82%	6.25%
Expected return on plan assets	8.50%	8.50%
Rate of compensation increase	N/A	N/A
Components of net periodic pension cost		
Service cost	$ -	$ -
Interest cost	18,250,000	17,286,000
Expected return on plan assets	(20,805,000)	(21,337,000)
Amortization of loss	2,846,000	1,348,000
Net periodic pension benefit	$ 291,000	$ (2,703,000)

Actuarial losses and gains for the current year are mainly due to changes in the discount rate.

The Plan assets are administered by a trustee and are invested in the following percentages in various instruments at September 30, 2009 and 2008:

	2009	2008
Cash and cash equivalents	9%	10%
Equity securities	70%	65%
Debt securities	21%	25%
	100%	100%

The asset allocation and investment strategy of the Plan is designed to earn return on Plan assets consistent with a reasonable prudent level of risk.

The expected long-term rate of return on Plan assets is based on historical and projected rates of return for current and planned asset categories in the Plan's investment portfolio. Based on target asset allocation among the asset categories, the overall expected rate of return for the portfolio was developed and adjusted for historical and expected experience of active portfolio results compared to benchmark returns for the effect of expenses paid from Plan assets.

Estimated future benefit payments for years ending September 30 are as follows:

2010	$ 13,350,000
2011	14,172,000
2012	14,821,000
2013	15,614,000
2014	16,515,000
2015 – 2020	117,237,000
	$ 191,709,000

TMH, Inc. expects to contribute approximately $9,000,000 to the Plan for the year ending September 30, 2010. Estimated amounts to be amortized out of unrestricted net assets for the year ending September 30, 2010 are approximately $12,449,000 and the amount will be recorded in pension expense.

During the year ended September 30, 2005, TMH, Inc. established the Tallahassee Memorial HealthCare 401(A) Retirement Savings Plan (the "Savings Plan"), a qualified defined contribution plan covering all employees who are at least 21 years of age and have completed one year of service. TMH, Inc. contributes 4% of eligible income to each eligible employee and an additional matching contribution up to 2% of eligible income. Participants become fully vested after three years of service. The contribution required under the Savings Plan for the years ended September 30, 2009 and 2008 was approximately $6,355,000 and $6,075,000, respectively. These contributions have been included in salaries, wages and benefits expense in the accompanying statements of operations. Additionally, the amounts due to the Savings Plan as of September 30, 2009 and 2008 were approximately $755,000 and $659,000, respectively, and are included in accounts payable and accrued expenses in the accompanying balance sheets.

14. Supplemental Executive Retirement Plan

At September 30, 2009 and 2008, management has estimated that the net present value of the estimated obligation for supplemental executive retirement benefits will approximate $3,284,000 and $3,331,000, respectively, which was recorded as other liabilities in the consolidated balance sheets. The liability has been estimated based on the actuarial life expectancy of the beneficiary and management's understanding of the benefit amounts. TMH, Inc. recognized approximately $177,000 and $184,000 as salaries, wages and benefits expense in the consolidated statements of operations for the years ended September 30, 2009 and 2008, related to the supplemental executive retirement benefit. At September 30, 2009, the potential benefits are estimated to be payable as follows:

Years Ending	
2010	$ 295,000
2011	295,000
2012	294,000
2013	293,000
2014	292,000
Thereafter	2,974,000
	4,443,000
Less: Amount representing interest under the agreements	(1,159,000)
Net obligation	$ 3,284,000

On January 1, 2004, TMH, Inc. entered into an agreement with an officer for deferred compensation whereby TMH, Inc. matches a portion of the officer's elected salary deferrals. During the years ended September 30, 2009 and 2008, TMH, Inc. recorded compensation expense of approximately $100,000 and $75,000, respectively, under this agreement.

15. Temporarily Restricted Net Assets

Temporarily restricted net assets are available for the following purposes at September 30, 2009 and 2008:

	2009	2008
Angie C. Deeb Cancer Research and Treatment Trust (represents earnings on endowment fund)	$ 254,139	$ 303,003
Women's and Children's Center	1,155,264	1,232,244
Cancer Treatment	920,861	835,667
Diabetes Center	242,600	254,693
Pediatrics	598,084	551,222
Heart & Vascular Center	154,767	80,912
Other - multiple designated restrictions	1,822,101	1,935,805
Sharon Ewing Walker	185,614	126,793
Geriatric - Physician and Hospital Training	240,180	275,618
Dansby Trauma Center	494,355	493,355
Cancer Building	508,015	407,031
Dozier Endowment	311,856	342,278
Total temporarily restricted net assets	$ 6,887,836	$ 6,838,621

Net assets were released from donor restrictions during the years ended September 30, 2009 and 2008 by incurring expenses satisfying the restricted purpose or by occurrence of other events specified by donors.

Purpose restrictions accomplished during the years ended September 30, 2009 and 2008 were as follows:

	2009	2008
Emergency Services	$ 931	$ 888
Diabetes	21,685	20,108
Angie C. Deeb	99,319	15,407
Give-a-Hand	24,514	102,867
Golden Gala	16,308	37,105
Pediatrics	65,392	95,306
Neurology	162,987	140,559
Woman's Pavilion	87,448	-
Cancer Control	27,867	15,397
Arts in Medicine	130,590	154,473
Domestic Security	410,801	483,320
Other	326,685	433,729
Total restrictions satisfied	$ 1,374,527	$ 1,499,159

Tallahassee Memorial HealthCare, Inc. and Subsidiaries
Notes to Consolidated Financial Statements
September 30, 2009 and 2008

16. Permanently Restricted Net Assets

Permanently restricted net assets are restricted to investment in perpetuity, the income from which is expendable to support the following programs at September 30, 2009 and 2008:

	2009	2008
TMH Endowment	$ 181,452	$ 175,817
Nursing Scholarships - Frueauff	422,120	427,315
Nursing Scholarships - Various	125,529	122,757
Cancer Treatment - Deeb	296,363	299,528
Cancer Treatment - Radiation Therapy	145,757	146,616
Cancer Treatment - Bender/Plescia	58,415	57,134
Diabetes Care - Various	77,489	78,442
Diabetes Care - Proctor	616,849	569,122
Diabetes Youth Camp - Sweat	11,680	11,824
Cardiac Care - Smith	88,172	89,205
Cardiac Care - Owenby	36,027	36,471
Laboratory Research - Graham	75,949	76,884
Pediatric Care - Oven	14,793	14,975
Pediatric Care - Various	121,198	120,006
Behavioral Health - Geissinger	136,494	138,174
Extended Care - Shelfer	265,086	268,270
Neurointensive Care - Vogter	385,342	347,875
Medical Library - Founding Physicians	51,197	51,827
Neurosciences - Bryan W. Robinson	446,474	464,183
Neurology - Bender/Plescia	53,529	54,188
Cardiac Intensive Care - Higdon	187,968	190,281
Primary Care - Pettit	140,336	133,331
Emergency Services - Bixler	243,732	245,425
Block Family Endowment	30,524	30,463
Music Therapy - C. Virginia Bert	105,000	88,747
Cancer - Luca	97,693	77,938
Cardiac - Various	69,495	68,088
Pediatrics - Cohen	37,393	37,592
Pediatrics - Margaret Mosco	43,778	36,784
Veller Endowment	75,386	74,314
F Rhodes Sanderson Endowment	153,350	150,776
Brady Family Endowment	20,000	19,408
Anonymous Donor Endowment	2,398,367	2,400,845
Total permanently restricted net assets	$ 7,212,937	$ 7,104,605

Certain net assets were transferred from unrestricted and temporarily restricted net assets to permanently restricted net assets due to changes in donor intentions in the current year.

17. Functional Expenses

TMH, Inc. provides general healthcare services to residents within its geographic location. Expenses related to providing these services for the years ended September 30, 2009 and 2008 are as follows:

	2009	2008
Health care services	$ 259,027,735	$ 240,896,557
General and administrative	175,433,060	169,488,689
	$ 434,460,795	$ 410,385,246

18. Financial Instruments

The carrying amount of certain of TMH, Inc.'s financial instruments (including cash and cash equivalents, short-term investments, and assets limited as to use) approximates fair value because of their relatively short maturities. Long-term investments consist of marketable equity securities and are reported in the consolidated balance sheets at fair value based on quoted market prices.

The estimated fair value of TMH, Inc.'s bonds and notes payable is estimated based on dealer quotations for hospital debt with similar terms and maturities for the same or similar issues. The aggregate carrying amount and estimated fair value of the bonds and notes payable, exclusive of the capital lease obligations, as of September 30, 2009 and 2008, are as follows:

	2009	2008
Estimated fair value	$ 133,383,179	$ 135,638,558

Certain financial instruments potentially subject TMH, Inc. to concentrations of credit risk. These financial instruments consist primarily of cash and cash equivalents, short-term investments, assets limited as to use, and patient accounts receivable. TMH, Inc. maintains its cash and cash equivalents and investments with what management believes to be high quality financial institutions and thus limits its credit exposure. Concentrations of credit risk with respect to patient accounts receivable include Medicare, Medicaid and various commercial payors.

19. Commitments

As of September 30, 2009, future minimum payments required under noncancelable maintenance agreements were approximately:

2010	$ 2,602,000
2011	2,571,000
2012	2,571,000
2013	138,000
2014	103,000
	$ 7,985,000

20. Contingencies

In the normal course of business, TMH, Inc. is subject to various litigation and claims such as labor-related and other matters. Management has analyzed such pending unresolved disputes and estimated the potential cost of settlements, legal fees and other costs associated with an unfavorable outcome. The financial statements include accruals related to these disputes. In the opinion of management, after consultation with legal counsel, no other material liabilities are likely to result from the ultimate disposition of such matters.

21. Endowment

The Foundation's endowment consists of individual donor restricted endowment funds and quasi-endowment funds which are internally designated by the Board of Directors for a variety of purposes plus pledges receivable where the assets have been designated for endowment. The net assets associated with endowment funds including funds internally designated by the Board of Directors to function as endowments, are classified and reported based on the existence or absence of donor imposed restrictions.

The Foundation had the following endowment activities during the year ended June 30, 2009 delineated by net asset class and donor-restricted versus Board-designated funds:

	Unrestricted	Temporarily Restricted	Permanently Restricted	Total
Endowment net asset composition by type of fund as of September 30, 2009				
Donor-restricted endowment fund	$ -	$ 6,887,836	$ 7,212,837	$ 14,100,673
Board-designated endowment fund	(115,187)	-	-	(115,187)
Total endowment funds	$ (115,187)	$ 6,887,836	$ 7,212,837	$ 13,985,486
Changes in endowment net assets for the year ended September 30, 2009				
Endowment net assets, beginning of year	$ 314,730	$ 6,838,621	$ 7,104,605	$ 14,257,956
Gifts	1,413,327	1,126,016	179,449	2,718,792
Appropriation of endowment assets for expenditure	(1,672,184)	(963,726)	-	(2,635,910)
Investment gain (loss) allocation	(169,776)	(114,359)	(71,117)	(355,252)
Net asset transfer to from other restriction	(1,284)	1,284	-	-
Endowment net assets, end of year	$ (115,187)	$ 6,887,836	$ 7,212,937	$ 13,985,586

Description of Amounts Classified as Permanently Restricted Net Assets and Temporarily Restricted Net Assets (Endowments Only)

Permanently restricted net assets

The portion of perpetual endowment funds that is required to be retained permanently by explicit donor stipulation:

Restricted for program support	$ 7,212,937
Total endowment assets classified as permanently restricted net assets	$ 7,212,937

Temporarily restricted net assets

Restricted for program support	$ 6,887,836
Total endowment assets classified as temporarily restricted net assets	$ 6,887,836

22. Subsequent Events

TMH, Inc. has evaluated subsequent events through December 14, 2009, which is the date the financial statements were available for issuance.

PRICEWATERHOUSECOOPERS

PricewaterhouseCoopers LLP
4221 West Boy Scout Boulevard
Suite 200
Tampa FL 33607
Telephone (813) 229 0221
Facsimile (813) 229 3646
www.pwc.com

Report of Independent Certified Public Accountants on Accompanying Consolidating Information

To the Board of Directors of
Tallahassee Memorial HealthCare, Inc.

The report on our audit of the consolidated financial statements of Tallahassee Memorial HealthCare, Inc. and Subsidiaries as of September 30, 2009 and 2008 and for the years then ended appears on page 1 of this document. That audit was conducted for the purpose of forming an opinion on the consolidated financial statements taken as a whole. The consolidating information is presented for purposes of additional analysis of the consolidated financial statements rather than to present the balance sheets, results of operations and changes in net assets of the individual companies. Accordingly, we do not express an opinion on the balance sheets, results of operations and changes in net assets of the individual companies. However, the consolidating information has been subjected to the auditing procedures applied in the audit of the consolidated financial statements and, in our opinion, is fairly stated in all material respects in relation to the consolidated financial statements taken as a whole.

PricewaterhouseCoopers LLP

December 9, 2009

Tallahassee Memorial HealthCare, Inc. and Subsidiaries
Consolidating Balance Sheet – By Subsidiary/Division
September 30, 2009

Schedule I

	Hospital	SECHS	TMHV	Foundation	Eliminations	2009 TMH, Inc.	2008 TMH, Inc.
Assets							
Current assets							
Cash and cash equivalents	$ 99,576,083	$ 566,062	$ 2,243,439	$ 472,462	$ -	$ 102,858,046	$ 62,725,000
Short-term investments	1,517,408	-	-	1,641,133	-	3,158,541	3,089,229
Assets limited as to use	12,527,978	-	-	-	-	12,527,978	11,998,274
Patient accounts receivable, net	36,366,524	-	-	-	-	36,366,524	50,776,515
Inventories	6,146,076	-	7,491	-	-	6,153,567	5,919,532
Other current assets	6,464,627	42,369	4,516,225	4,922,754	(3,411)	15,942,564	14,157,313
Total current assets	162,598,696	608,431	6,767,155	7,036,349	(3,411)	177,007,220	148,665,863
Assets limited as to use							
Held by trustee	29,679,488	-	-	-	-	29,679,488	29,619,689
Less amount required to meet current obligations	(12,527,978)	-	-	-	-	(12,527,978)	(11,998,274)
Total assets limited as to use	17,151,510	-	-	-	-	17,151,510	17,621,415
Long-term investments	984,800	-	-	7,212,937	-	8,197,737	8,089,405
Property, plant and equipment, net	189,493,533	9,380,218	3,408,629	281,281	-	202,563,661	205,781,494
Due from TMH, Inc.	4,993,134	-	1,526,601	-	(6,519,735)	-	-
Property held for sale	-	-	-	556,677	-	556,677	550,000
Other assets	3,507,612	-	-	44,376	-	3,551,988	3,345,788
Total assets	$ 378,729,285	$ 9,988,649	$ 11,702,385	$ 15,131,620	$ (6,523,146)	$ 409,028,793	$ 384,053,965

Tallahassee Memorial HealthCare, Inc. and Subsidiaries
Consolidating Balance Sheet – By Subsidiary/Division
September 30, 2009

Schedule I

	Hospital	SECHS	TMHV	Foundation	Eliminations	2009 TMH, Inc.	2008 TMH, Inc.
Liabilities and Net Assets							
Current liabilities							
Accounts payable and accrued expenses	$ 42,203,438	$ 259,710	$ 744,151	$ 49,644	$ (296,291)	$ 42,960,652	$ 36,850,730
Current portion of long-term debt	5,154,637	1,419,449	-	-	(1,254,449)	5,319,637	6,561,113
Current portion of pension liability	13,350,082	-	-	-	-	13,350,082	12,653,144
Due to Medicare	3,803,258	-	-	-	-	3,803,258	1,160,125
Other current liabilities	11,524,771	12,304	-	738,248	-	12,275,323	12,392,899
Due to TMH, Inc.	-	2,772,975	988,304	16,286	(3,777,565)	-	-
Total current liabilities	76,036,186	4,464,438	1,732,455	804,178	(5,328,305)	77,708,952	69,618,011
Long-term debt, net of current portion	127,889,070	2,296,646	-	-	(995,000)	129,190,716	133,421,824
Long-term pension liability	95,018,214	-	-	-	-	95,018,214	13,195,721
Other liabilities	43,565,523	-	-	341,856	-	43,907,379	38,967,751
Total liabilities	342,508,993	6,761,084	1,732,455	1,146,034	(6,323,305)	345,825,261	255,203,307
Commitments and contingencies							
Net assets							
Unrestricted	36,220,292	3,227,565	9,969,930	(115,187)	(199,841)	49,102,759	114,907,432
Temporarily restricted	-	-	-	6,887,836	-	6,887,836	6,838,621
Permanently restricted	-	-	-	7,212,937	-	7,212,937	7,104,605
Total net assets	36,220,292	3,227,565	9,969,930	13,985,586	(199,841)	63,203,532	128,850,658
Total liabilities and net assets	$ 378,729,285	$ 9,988,649	$11,702,385	$15,131,620	$ (6,523,146)	$ 409,028,793	$ 384,053,965

Attachment # 2
Page 360 of 376

Tallahassee Memorial HealthCare, Inc. and Subsidiaries
Consolidating Statement of Operations - By Subsidiary/Division
Year Ended September 30, 2009

Schedule II

	Hospital	SECHS	TMHV	Foundation	Eliminations	2009 TMH, Inc.	2008 TMH, Inc.
Unrestricted revenues, gains and other support							
Net patient service revenue	$451,307,193	$ -	$ -	$ -	$ -	$451,307,193	$422,027,586
Other revenue	3,924,512	444,586	4,004,750	1,243,551	(1,544,339)	8,073,060	8,393,542
Total revenues, gains and other support	455,231,705	444,586	4,004,750	1,243,551	(1,544,339)	459,380,253	430,421,128
Expenses							
Salaries, wages and benefits	188,527,619	46,070	1,192,123	656,131	(81,517)	190,340,426	178,559,851
Supplies and other	130,262,884	2,357	2,777,390	1,894,369	(3,041,172)	131,895,828	124,291,024
Professional fees	11,969,014	20,810	-	35,614	-	12,025,438	11,932,175
Insurance	11,997,373	4,041	53,365	22,750	-	12,077,529	15,942,134
Depreciation and amortization	17,229,080	164,801	111,279	27,046	-	17,532,206	18,677,832
Interest	8,345,601	136,958	-	-	(57,434)	8,425,125	8,679,085
Provision for bad debts	62,161,565	2,678	-	-	-	62,164,243	52,303,145
Total expenses	430,493,136	377,715	4,134,157	2,635,910	(3,180,123)	434,460,795	410,385,246
Operating income (loss)	24,738,569	66,871	(129,407)	(1,392,359)	1,635,784	24,919,458	20,035,882
Other revenues and expenses, net	(718,678)	46,352	59,505	-	(1,459,299)	(2,072,120)	(4,625,270)
Excess (deficit) of revenues over expenses	24,019,891	113,223	(69,902)	(1,392,359)	176,485	22,847,338	15,410,612
Transfers to (from) unrestricted net assets to temporarily or permanently restricted net assets	5,467,420	(5,467,420)	-	(1,284)	-	(1,284)	29,195
Net assets released from restrictions used for program services and purchase of equipment	587,286	-	-	963,726	(176,485)	1,374,527	1,499,159
Change in pension liability	(90,025,254)	-	-	-	-	(90,025,254)	(12,250,779)
(Decrease) increases in unrestricted net assets	$ (59,950,657)	$(5,354,197)	$ (69,902)	$ (429,917)	$ -	$ (65,804,673)	$ 4,688,187

Attachment # 2
Page 361 of 376

Tallahassee Memorial HealthCare, Inc. and Subsidiaries
Consolidating Statement of Changes in Net Assets - By Subsidiary/Division
Year Ended September 30, 2009

Schedule III

	Hospital	SECHS	TMHV	Foundation	Eliminations	2009 TMH, Inc.	2008 TMH, Inc.
Unrestricted net assets							
Excess (deficit) of revenues over expenses	$ 24,019,891	$ 113,223	$ (69,902)	$ (1,392,359)	$ 176,485	$ 22,847,338	$ 15,410,612
Net transfers from (to) unrestricted net assets to temporarily or permanently restricted net assets	5,467,420	(5,467,420)	-	(1,284)	-	(1,284)	29,195
Net assets released from restrictions used for program services and purchase of equipment	587,286	-	-	963,726	(176,485)	1,374,527	1,499,159
Change in pension liability	(90,025,254)	-	-	-	-	(90,025,254)	(12,250,779)
(Decrease) increase in unrestricted net assets	(59,950,657)	(5,354,197)	(69,902)	(429,917)	-	(65,804,673)	4,688,187
Temporarily restricted net assets							
Contributions	-	-	-	1,252,456	-	1,252,456	1,083,031
Provision for bad debts	-	-	-	(102,695)	-	(102,695)	(500)
Change in value of donated property	-	-	-	6,677	-	6,677	(975,000)
Change in value of charitable remainder annuity trust	-	-	-	(30,422)	-	(30,422)	(225,117)
Contributions for equipment purchases	587,286	-	-	-	(176,485)	410,801	510,231
Income on investments	-	-	-	90,974	-	90,974	77,242
Net unrealized and realized losses on investments	-	-	-	(205,333)	-	(205,333)	(503,173)
Net assets released from restrictions–used for program services	-	-	-	(963,726)	-	(963,726)	(988,928)
Net assets released from restrictions–used for purchase of equipment	(587,286)	-	-	-	176,485	(410,801)	(510,231)
Net asset transfers to (from) temporarily restricted net assets to unrestricted and permanently restricted net assets	-	-	-	1,284	-	1,284	(2,503,628)
Increase (decrease) in temporarily restricted net assets	-	-	-	49,215	-	49,215	(4,036,073)
Permanently restricted net assets							
Contributions	-	-	-	179,449	-	179,449	152,446
Income on investments	-	-	-	56,574	-	56,574	50,185
Net unrealized and realized losses on investments	-	-	-	(127,691)	-	(127,691)	(326,918)
Net asset transfers to permanently restricted net assets	-	-	-	-	-	-	2,474,433
Increase in permanently restricted net assets	-	-	-	108,332	-	108,332	2,350,146
(Decrease) increase in net assets	(59,950,657)	(5,354,197)	(69,902)	(272,370)	-	(65,647,126)	3,002,260
Net assets							
Beginning of year	96,170,949	8,581,762	10,039,832	14,257,956	(199,841)	128,850,658	125,848,398
End of year	$ 36,220,292	$3,227,565	$ 9,969,930	$13,985,586	$ (199,841)	$ 63,203,532	$128,850,658

Attachment # 2
Page 362 of 376

Tallahassee Memorial HealthCare

Level II Trauma Center, Tallahassee Florida

Tallahassee Memorial Hospital (TMH) was founded more than 60 years ago and has served the healthcare needs of the Northwest Florida community since that time. TMH is a private, not-for-profit community health care system and is the largest healthcare provider in our community with a total of 770-bed acute care hospital beds. TMH is the eighth-largest hospital in Florida, with a medical staff of 500 physicians representing 50 different specialties and 3613 colleagues. Our Scope of Services includes 8 Service Lines: Cardiovascular, Oncology, Medicine, Ortho/Neuro, Women's and Children's, Behavioral Health Surgical and Emergency Services.

Reasons for Establishing a Trauma Center at TMH

A Sobering Study Creates Action

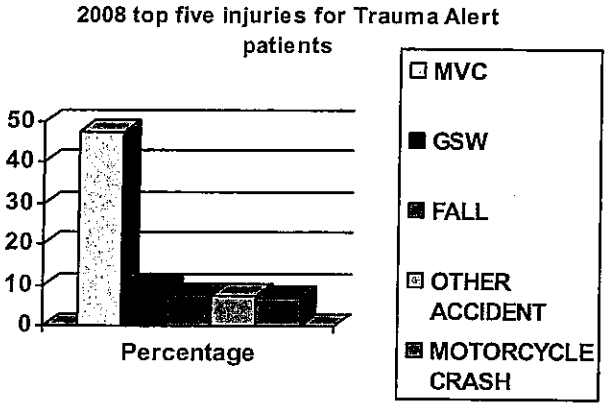
A study was commissioned in 2005 by the Florida Legislature and conducted by the University of South Florida. The study revealed startling statistics for the citizens of Leon County and the Big Bend. Five of six counties ranked with the highest mortality rates in the State of Florida were in the Big Bend area. TMH responded to the study with a call to action and pursuit of a level 2 trauma center designation. TMH began functioning as a provisional level 2 Trauma Center on May 1st 2008 and became a verified trauma center on July 1st, 2009.

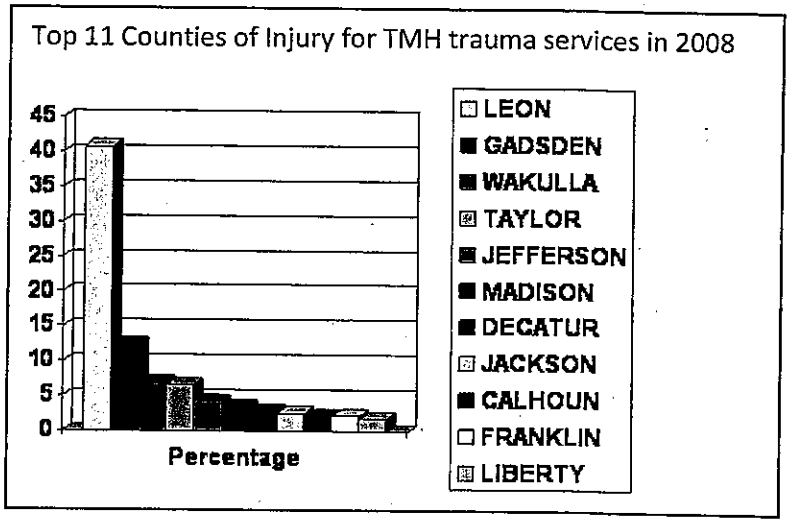
Demographics

Tallahassee Memorial Hospital is located in the capital of the state of Florida. Population for Leon County in 2007 was 260,945. 85% is classified as urban while 15% is considered rural. There are 2 major universities in Tallahassee; Florida State University and Florida Agricultural Mechanical University. Additionally, the community has junior colleges and vocational schools. This makes the average age of the population in Tallahassee younger than the other locations in Florida with the median age being 32 years old.

The 10 counties TMH is designated to serve include: Calhoun, Franklin, Gadsden, Jackson, Jefferson, Leon, Liberty, Madison, Taylor and Wakulla counties. TMH receives a significant number of trauma patients from Georgia as well.

In 2008, TMH received and treated 1032 trauma patients. 496(48%) met the state of Florida trauma alert criteria while 536(52 %) did not meet the trauma alert criteria. Adult patients (ages16-65) totaled 778, geriatric trauma patients (>65) totaled 78 and pediatric trauma (<16) totaled 176 cared for in the trauma center in 2008. Blunt trauma accounted for 93.24% of the patients, 4.35 % was due to penetrating injuries while2.39% were burn related. The average Injury Severity Score (ISS) for all trauma patients was 15.45 %, the average ISS for Trauma Alerted patients' was 19.97%.



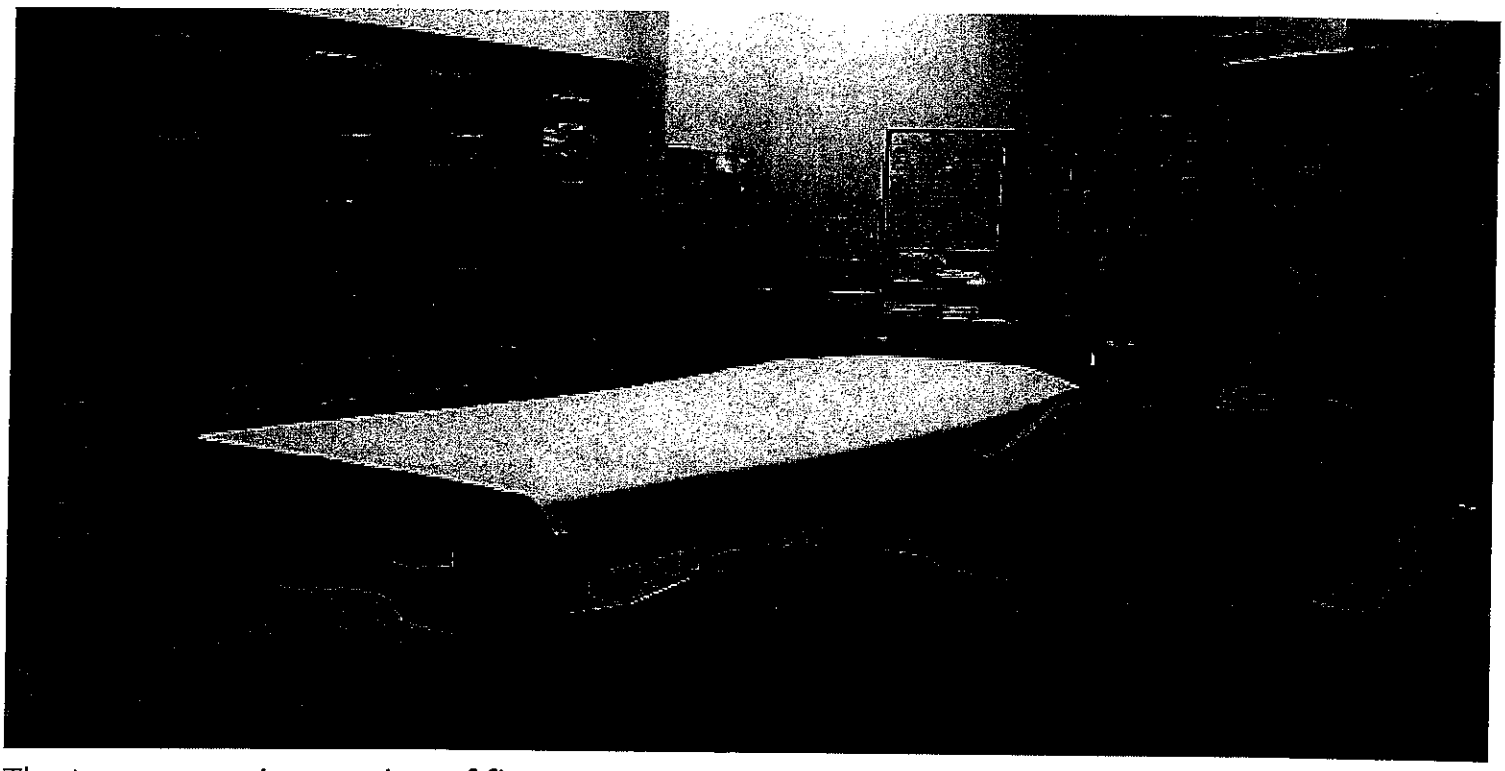


Bixler Emergency Center

TMH provides high-technology medical care with compassion. TMH's Bixler Emergency Center (BEC) has an outstanding team of board-certified emergency medicine physicians, nurses, and technicians providing care to more than 90,000 children and adults every year. The BEC was built in 2003, with 57 beds in the emergency center and Urgent care opened in 2008 to improve patient flow. The BEC scanner is located adjacent to the trauma bays to assure rapid access and to better serve the growing needs of the department and community. . A patient advocate and social worker are also available in the emergency center to assist patients when an injury occurs.

ATTACHMENT "A"

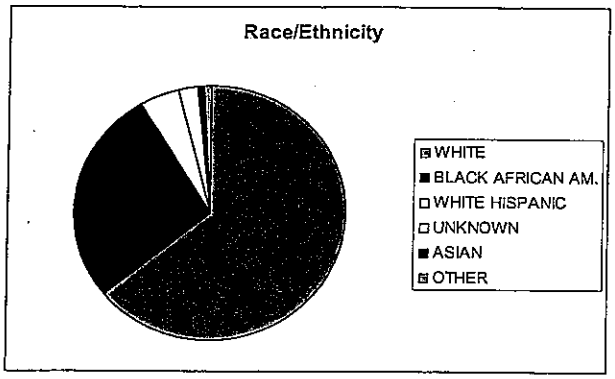
Trauma Center

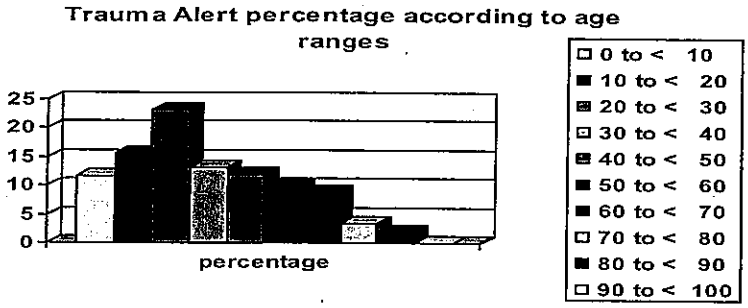


The trauma service consists of five trauma surgeons. The trauma team includes trauma surgeons, emergency physicians and staff, staff members and services from a variety of departments, within the hospital, and a wide variety of specialty physicians are available as needed. Our Trauma Services Department also includes a full-time trauma physician assistant, nurse trauma registrar, trauma program manager and administrative assistant.

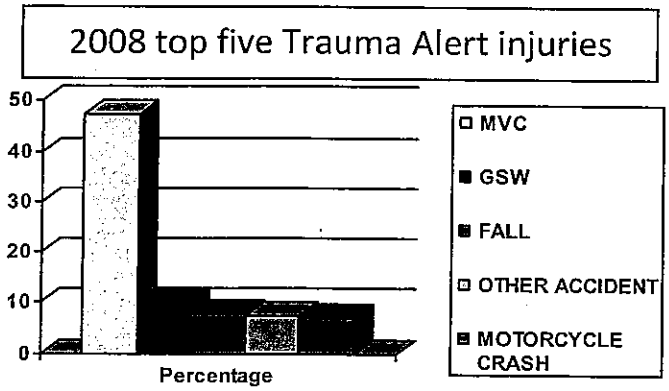
Rehabilitation, Social Services, and Spiritual Care are an integral part of the Mission of Tallahassee Memorial Hospital. Tallahassee Memorial is committed to providing services which treat patients with respect, dignity, and trust through responsibility and excellence in holistic care.

Clinical Statistics

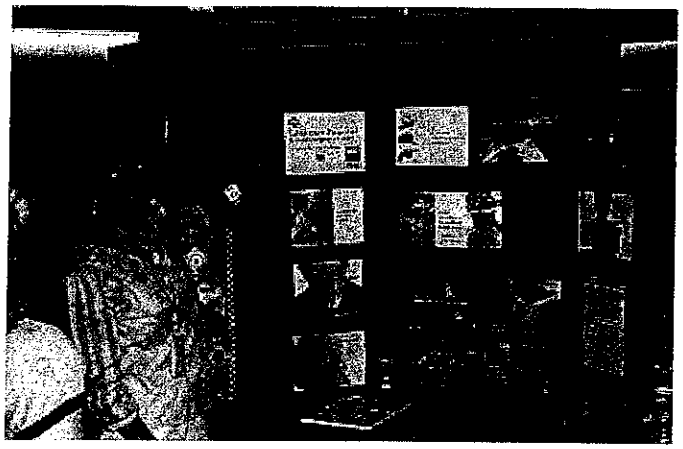




The most frequent cause of injury for trauma-alert patients was motor vehicle crashes, followed by gunshot wounds, falls, other accidents and motorcycle accidents as the top five complaints for our trauma alert patients.



Outreach and injury prevention



Outreach and Injury Prevention are a vital part of TMH's commitment to serving the needs of the community. Programs we participate in and/or provide for the community include:

Think First is an age appropriate prevention program aimed toward school age children. Focus is on helmet use, awareness and safety.

Think First programs include: General safety, bicycle, car, etc
Sports concussion
Drinking and driving

Trauma center case studies is an annual education update working with LCEMS to improve care through case study discussions and updates.

Falls prevention is course developed by ENA (Emergency Nurses Association) aimed towards the older adult with focus on prevention of falls.

The Street Smart Program is a live interactive program. The program takes young adults into real life drama experienced by firefighters and paramedics as they work to save the lives of individuals who have made poor choices. (May 1, 2008) K. Svela, EMT-P with TMH is one of the nationally recognized speakers.

Leon County Community Traffic Safety Team-TMH staff are members of this local organization that work together in community outreach to prevent injuries. Stop DUI was one of the programs for this group. Being a part of a larger and very diverse group enables us to reach more people and pool our resources.

Stop DUI in 24 hours Bicycle ride is an annual event raising awareness about drinking and driving on the FSU campus.

Trauma Survivors Celebration is an annual event for our trauma survivors to celebrate their successes and also to encourage one another. This outreach opportunity discusses prevention and offers hope. We have special guests that have "made it" through come to share their story. Our first program was held on May 21st 2008.

Annual Trauma Symposium is an Outreach to our nursing and Pre-hospital colleagues; free Trauma education is offered regularly. Our first program was held on May 22nd 2008.

Education -Outreach

The Emergency Center, Trauma Services and Clinical Care areas all have departmental standards, which outline staff orientation, skill validation, required education and training. Our Staff working in the Critical Care Areas (BEC, VNICU, CV-MS-ICU, PICU, PACU and Respiratory Care) maintain current ACLS and/or PALS certification. Training and educational opportunities include:

- The **Trauma Nurse Core Course** (TNCC) 14.42 contact hours. A basic core course for RN's working with trauma patients.
- **TNCC Instructor Course** -course designed to prepare Instructors to teach TNCC
- **BEC new graduate program** – an intensive emergency center program for new graduates that prepares them to work in the emergency department, taught by the BEC educator. The program includes all aspects of emergency care including trauma.
- **Trauma In-services and continuing education** –trauma in-service programs are offered by the Emergency Department throughout the year and are available to all hospital employees. Topics included injury prevention, mechanism of injury, treatment, psychosocial aspects of trauma, and trauma complications.
- **Hospital Intranet** - Health stream- The intranet provides educational opportunities for trauma education that can be completed at the learner's own pace and on their schedule. Training available includes the state Disaster Preparedness Burn Curriculum and various other trauma related topics.
- **Online Education**-The hospital also has provided wireless access throughout the hospital for team members and visitors and has, in addition, installed multiple computers which allow access to the internet.

Disaster Planning and Management

Tallahassee Memorial has made great strides in becoming optimally prepared and is an active member of several local Coalitions to improve our process and care in time of internal or external disaster situations. The following are courses we have offered locally.

- Disaster Management Emergency Preparedness Courses ACS course
- Online Training with NIMS 100,200,700 and 800
- Numerous Table top exercises for leadership
- SURGE SORT SUPPORT: DISASTER BEHAVIORAL HEALTH FOR HEALTH CARE PROFESSIONALS
- Start to Finish-A disaster response model for the community
- CATASTROPHIC HEALTH INCIDENT RESPONSE TRAINING
- Bioterrorism: Mass Prophylaxis Preparedness & Planning
- AAMA Trauma 2008 Conference Altered Standards of Care and Surge Capacity

Awards and Recognition

Recognized with the Thomson 100 Top Hospitals Performance Improvement Leaders Award - 2007 and 2008

Received the National Consumer Choice Award For Three Consecutive Years – The Consumer Choice Award identifies hospitals which healthcare consumers have chosen

as having the highest quality and image in 186 markets throughout the United States. Winners are determined by consumer perceptions on multiple ratings collected in the NRC's Healthcare Market Guide study.

Recipient of two Solucient 2006 Insight Awards – TMH was one of 18 hospitals recognized nationally for excellence in performance improvement by Solucient. The hospital was recognized for improvement in clinical and operational performance as well as in strategic planning and development.

For additional information, please contact:

Jeffrey W. Crooms, M.D., Trauma Medical Director
Patricia Stadler RN, B.S.N., C.C.R.N., C.E.N. Trauma Program Manager
1300 Miccosukee Road, Tallahassee Memorial Hospital Tallahassee, FL 32308 (850-431-4181)

TMH is requesting funding too partially offset the ongoing costs, such as salaries, benefits, medical and other supplies, professional fees, minor equipment, insurance, and other operating costs that are incurred in conjunction with the operation of a regional trauma center which will provide services to the citizens of Leon County.

Tallahassee Memorial can only operate a trauma center with continued community support. Without financial support of the community, TMH would need to reevaluate its ability to maintain this service.

DATA MONTH 2007	month #	Reviewed month MEETING DATE	Education Scheduled	Education provided	Case presentation type
		07/07	**Inaugural meeting**	**Management of Patients with Complicated Intra-Abdominal Infections**	
		08/07	Trauma Alert Process	Pattie Stadler	
June 07	58	09/07	Rural Mortality/Morbidity	Dr. Escobar	
July 07	64	10//07	The multi-Disc. Process	Michael Webb Pattie Stadler	
August 07	57	11/27/07	How to prevent a concussion	P Stadler	
September 07	41	01/22/08	Pastoral Care	Dr. John Galloway Ed Melvin	
October 07	47	02/26/08	Trauma Alert PP	Terri Repasky	
November 07	**42**	**03/25/08**	Trauma Issues	Dr. Joanne Lee	
December 07	53	04/22/08	Victim Advocacy	Mary Martin, SW	
Data month 2008	**month #**	**Reviewed month Meeting date**	**Education Scheduled**	**Education provided**	**Case presentation type**
January 08	45	**05/27/08**	Registry Presentation	Elaine Soskis	
February 08	81	**06/24/08**	TC Stats PP	Pattie Stadler	
March 08	91	**07/22/08**	Trauma Ortho Care	Dr. Hutchinson	
April 08/May 08	71/89	**08/26/08**	Gang Violence	Off. Grady Jordon	
June 08	86	**09/23/08**	Emergency OPS	Richard Smith	
July 08	88	**10/28/08**	Injury Prevention	Terri Repasky	
August 08	97	**11/25/08**	Organ Donation	Michelle Riggs	
September 08	86	**1/27/09**	Disaster management	Debbie Carver	
October 08	102	**2/24/09**	Alt. medical sites	Debbie Carver	Disaster pt care
November 08	91	**3/24/09**	Burn Survivor	Robert Streeter	Burn Care
December 08	107	4/28/09	Gang Violence	Off. Grady Jordon	Gang related activity
Data month 2009	**month #**	**Reviewed month Meeting date**	**Education Scheduled**	**Education provided**	**Case presentation type**
January 09	84	5/26/09	GCS overview	GCS Overview	GCS
February 09	77	6/23/09	Trauma Alert	Trauma Alert	Spinal cord Injury
March 09	107	7/28/09	Chaplaincy /VAP	Chaplaincy/ VAP	VAP
April 09	109	8/25/09	Injury Prevention	Injury Prevention	TRISS and pt specific cases
May 09	94	9/22/09	TTP's	TTP's	Trauma Transfers
June 09	106	10/27/09	Prom Promise	Prom Promise	Missed TA Odontoid fx, burns, GCS
July 09	100	11/24/09	Acute DVT/Dr.Brumberg	Acute DVT/Dr.Brumberg	Missed Trauma Alert (15yo)
August /Sep 09	103/ 85	**January 26 2010**	Palliative Care	Dr. Sheedy/Angie	TRISS and pt specific cases
Oct 09/Nov 09	101/83	02/23/10	Geriatric Trauma	H Andres, PA	Geriatric case
Dec 09/Jan 2010	84/77	03/23/10			
Feb 2010		04/27/10			
		05/25/10			
		06/29/10			
		07/27/10			
		08/24/10			
		09/28/10			
		10/26/10			
		11/23/10			
		No meeting scheduled			

EXHIBIT C

Monthly Indictors Report

Quality Management Standard XVIIIG(2)

ENTER INFORMATION FOR ALL CELLS WITH A YELLOW BACKGROUND

Hospital Name:

Year of Report(YYYY):

MONTH JAN

Total # of Trauma Patients for Month:

Select Appropriate Age Population: All

QI#	State/Opt	Indicator	Definition of Indicator	Definition of population/denominator	Start Year/Month*	# of Patient Population	# Meeting Indicator	# Reviewed by TQMC	# Req Action
example	Opt	Non-Operative GSW	Gun shot wound to abdomen that was managed non-operatively	All patients presented to ED w/ GSW	Yr/Month	24	4	4	3
1	State	All Deaths	All deaths (Including DOA, ED, Inpatient or transfer to higher level of care).	All trauma patients	**State Req**	[enter pop]	[enter # of patients]	[enter # reviewed]	[enter # actions]
1	State	Death (DOA)	Patients presented to trauma center w/o vital signs who were never resuscitated. *(No interventions provided)*	All trauma patients		[enter pop]	[enter # of patients]	[enter # reviewed]	[enter # actions]
1	State	Death (In ED/TR)	Patients presented to trauma center w/ vital signs but died in the ED/TR.	All trauma patients		[enter pop]	[enter # of patients]	[enter # reviewed]	[enter # actions]
1	State	Death (Inpatient)	Patient admitted as inpatient and died in hospital.	All trauma patients		[enter pop]	[enter # of patients]	[enter # reviewed]	[enter # actions]
2	State	Unplanned Re-admission w/I 30 days of Discharge	Re-admit within 30 days of discharge from ED or hospital. *(If patient was discharged from another trauma center a courtsey call will be provided to the Program Manager of previous center.)*	All trauma patients	**State Req**	[enter pop]	[enter # of patients]	[enter # reviewed]	[enter # actions]
3	State	Unplanned or Re-admission to ICU	Any trauma patient readmitted to ICU, or an unplanned admission to the ICU from a medical/surgical unit.	All admitted trauma patients	**State Req**	[enter pop]	[enter # of patients]	[enter # reviewed]	[enter # actions]
4	**Opt**	Inappropriate Inter-facility transfer*	Number of inter-facility transfers that did not meet the appropriate transfer criteria.	All inter-facility transfers (received)	**State Req*** *(see below)*	[enter pop]	[enter # of patients]	[enter # reviewed]	[enter # actions]
5	**Opt**	*Non-Operative Abdominal GSW*	*Gun shot wound to abdomen that was managed non-operatively.*	*All patients presented to ED w/ GSW to the abdomen*		[enter pop]	[enter # of patients]	[enter # reviewed]	[enter # actions]
6	**Opt**	*Hospital Acquired Pneumonia*	*Any hospital acquired pneumonia regardless of patient comorbidities or treatment*	*All admitted trauma patients*		[enter pop]	[enter # of patients]	[enter # reviewed]	[enter # actions]
7	**Opt**	*Unnecessary Patient Days*	*Unnecessary Patient Days*	*All admitted trauma patients*		[enter pop]	[enter # of patients]	[enter # reviewed]	[enter # actions]
8	**Opt**	*Combination Long Bone Fracture and Vascular Injury*	*Review all cases of combination long bone fracture and vascular injury for timely and appropriate management*	*All admitted trauma patients*		[enter pop]	[enter # of patients]	[enter # reviewed]	[enter # actions]
9	**Opt**	*Blunt Trauma-Open Extremity Fracture-Time to OR*	*Blunt trauma patients with an open extremity fracture*	*All admitted blunt trauma patients*		[enter pop]	[enter # of patients]	[enter # reviewed]	[enter # actions]
10	**Opt**	*Cases with NSG Intervention*	*Patients who require neurosurgical interventions*	*All admitted trauma patients*		[enter pop]	[enter # of patients]	[enter # reviewed]	[enter # actions]

Attachment # 2
Page 373 of 396

IT TAKES A TEAM TO MAKE A DIFFERENCE... IT TAKES A TEAM TO MAKE A DIFFERENCE...

TEAM TO MAKE A DIFFERENCE... IT TAKES A TEAM TO MAKE A DIFFERENCE...

MAY 22nd 2008

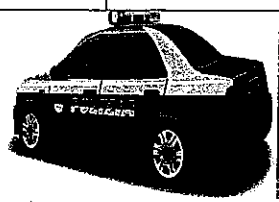
Tallahassee Memorial Healthcare

Annual Trauma Symposium

May is Trauma Awareness Month

Mission is to decrease morbidly and mortality for Leon Country and the surrounding counties we serve.

7:15	Sign In	
	Agenda	
07:30	Head Injury	Mark Cuff, MD
08:30	Trauma Care	Shelby Blank, MD
09:30		
09:45	Orthopedic Trauma Care	Hank Hutchinson, MD
10:45	Pediatric Trauma	Javier Escobar, MD
11:45		
12:45	Battlefield Trauma	Michael Glaze, MD
13:45	Geriatric Trauma	Sheri Cook RN
14:45		
15:00	Organ Donation	Michelle Riggs RN
16:00	Katrina and Beyond	Jayne Hedrick RN, EMT-P



Tallahassee Memorial Healthcare

Second Annual Trauma Symposium

May is Trauma Awareness Month

Mission is to decrease morbidly and mortality for Leon Country and the surrounding counties we serve.

May 29th 7:30-5PM

7:15	Welcome	Sign In
	Topic	Speaker
07:30	Trauma in the Big Bend	Dr. Crooms
08:30	Head Injury	Dr. Cuff
09:30		
09:45	Orthopedic Injuries	Dr. Hutchinson
10:45	Emergency Care	Dr. Ashoo
11:45	DVT 15 min in-service with raffle for those staying for lunch	
12:45	HBO and wounds	Dr. Mazziotta
13:45	Triad of death	Sheri Cook, RN
14:45		
15:00	Caring for the caregiver	Sally Karioth, PhD
16:00	Gang Violence	Officer Grady Jordon
17:00	Closing	

EXHIBIT "C"
Rapid Acceptance Process
Transfer Center

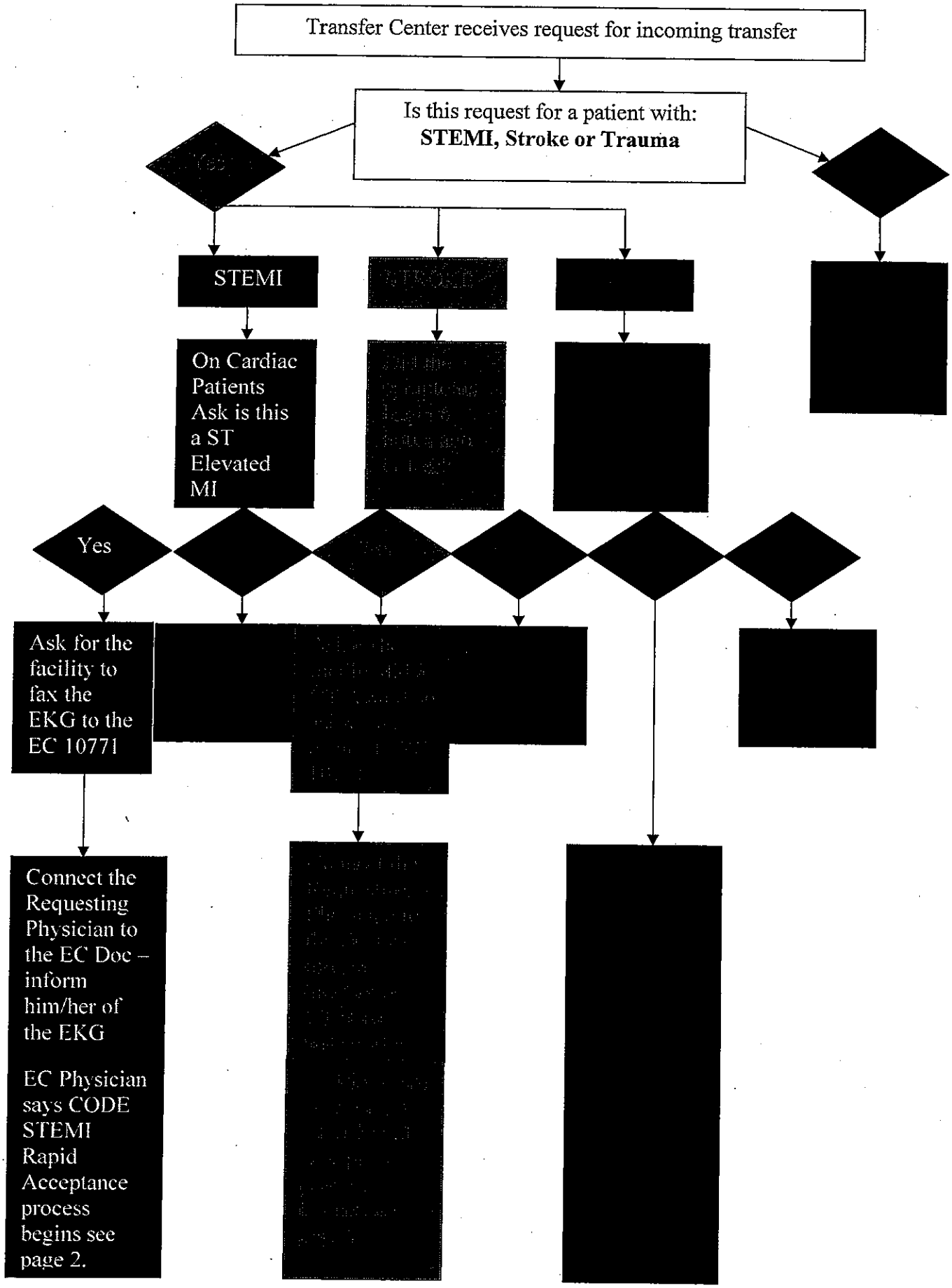
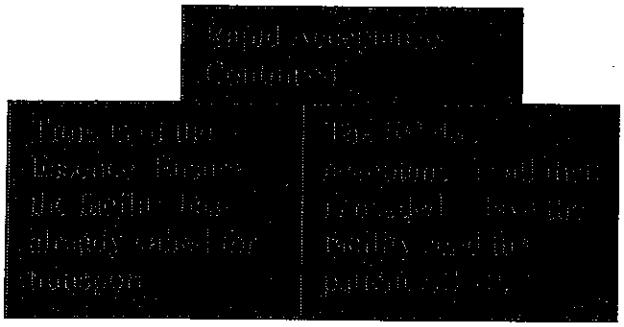
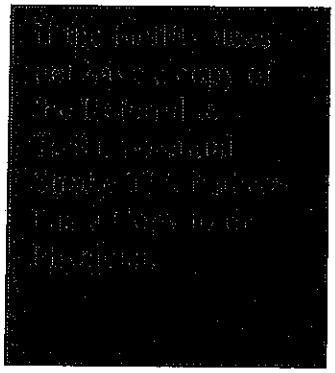
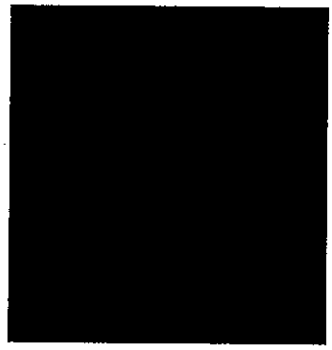


EXHIBIT "C"
Rapid Acceptance Process
Transfer Center

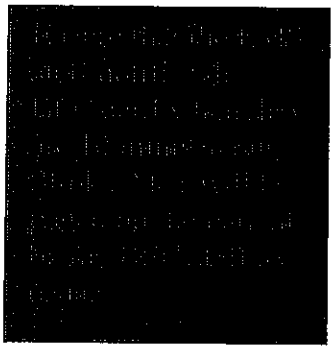


If the facility does not have a copy of the Referral to TMH, Chest Pain/ACS/Unstable Angina/Acute MI Pathway, Fax a Copy to the Physician

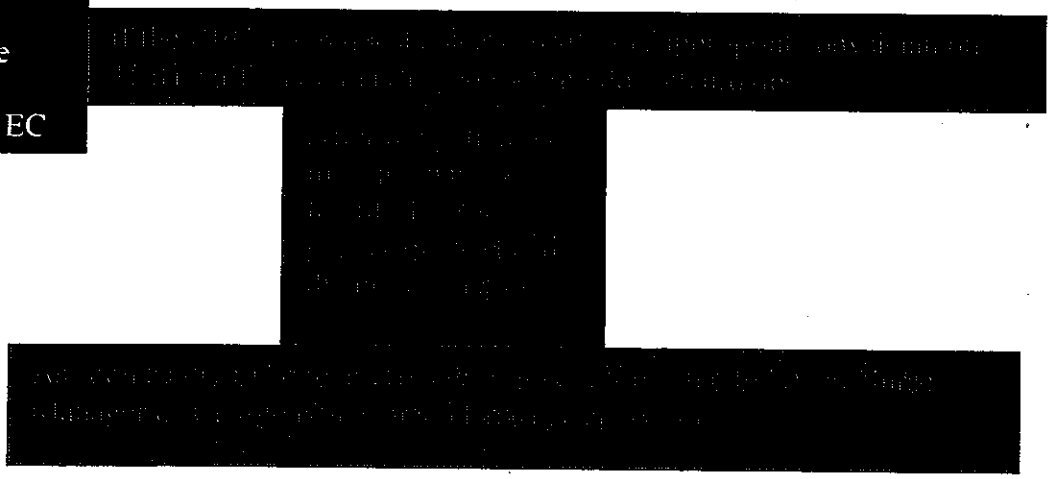




Page the Code STEMI as soon as the EC doc Accepts (only use numeric paging)



When the code STEMI team calls the Transfer Center inform them of the patients expected arrival time in the EC



Tallahassee Memorial Hospital
Tallahassee Memorial HealthCare

Trauma Performance Improvement Mortality/Morbidity and Multidisciplinary Conf.

February 23, 2010
Conference Room A
7:00 a.m.and 7:45 am

Physicians:	Speciality	Signature
J.Crooms, M. D., Chair	Trauma	
R. Atwater, MD	Anesth.	
G. Albright, D.O.	Rad.	
D. Bellamy, M.D.	Ortho.	
S. Blank, M.D.	Trauma	
C. Campo, M.D.	Pulm./CC	
M. Cuffe, M.D.	Nerosurg.	
J. Escobar, M.D.	EC	
Sergio Ginaldi, MD	Rad.	
M. Glaze, M.D.	EC	
D. Huang, M.D.	Pul./CC	
H. Hutchinson, M.D.	Ortho.	
M. Ross, M.D./	Peds	
B. Sell, M.D.	Anes.	
Eliot Sieloff. MD	Trauma	
B. Tolley, DDS	OMF	
T. Truman M.D.	Peds	
M. Willett, M.D.	EC	
Print Name		

Other Staff	Speciality	Signature
Heidi Andres, PA-C	Trauma	
Patrick Bond, R.N.	OR	
William Bunnell	Rad.	
Susan Cason, RN, P.I.Adv.	PI	
Milt Dahl, R.N.	Ortho.	
Judy Davis, Corp. Risk Mgmt	RM	
Patti Esher, RN, Dir. VNICU.	ICU	
Judy Greenwald, SLA	Ortho/Rehab	
Freda Lyon, RN, SLA.	EC/Admin	
Barbara MacArthur, R.N. CNO	Admin	
Terri Repasky, RN	CNS/EC	
Elaine Soskis, RN Registar	Trauma	
Pattie Stadler, RN,TPM	Trauma	
Nancy-Ann Teems, RN- Neuro.	ICU	
David Thompson, Dir. .	O.R	
Daryl Todd, R.N. Dir. EC		
Print Name		
Print Name		
Print Name		

Name	Speciality	Signature
Cindy Allen	Pt Advoc.	
Julie Allison, Dir. Rehab	Rehab	
Jon Antworth, EMT-P	EMS	
LeAnn Barfield	OR	
Debbie Carver	Dis. Man.	
Trisha Folsom, RN	OR	
Candi Fox	Weems	
John Galloway,	Chaplin	
Darryl Hall, EMT-P	LCEMS	
J. Wallace Kelly, RN	Inf. Cont	
kelly Leffler	RN	
Print Name		
Print Name		

Name	Speciality	Signature
Mary Lesher	RT	
Mary Martin	SW	
Ed Melvin	Chaplin	
Faye Mitchell, Adm.	Trauma	
Kiff Mendoza	Rad.	
Janis Nall	Lab	
Sharon Robinson	PT	
Tim Ruark, MD	Trauma	
Roy Schwartz, MD	Trauma	
Ken Tatum	Lab	
Andre Walker, EMT-P	Gadsden	
Print Name		
Print Name		

Tallahassee Memorial Healthcare (TMH) had its Initial Trauma Designation Site Survey on April 13th, 2009. On July 1st 2009, the designation of "Provisional Level II Trauma Center" was changed to "Designated Level II Trauma Center".

Receiving the Designation as a Level II Trauma Center meant that the work of improving the care delivery system for Trauma Patients had only started. The Trauma Quality Committee and Multidisciplinary committee meet monthly 10 meetings per year to review the care delivered from initial contact with first responders to discharge from rehabilitation centers in our community.

In the report on Trauma Care outcomes in the Big Bend region reported in 2005 Leon County had a Mortality Rate for injuries of 7.82%, and for FY 09 the mortality rate for Leon County injuries at TMH was 5%.

Philosophy

We believe that the services provided by the Trauma Program at Tallahassee Memorial Healthcare will strive to be of the highest quality and render superior value to our patients and customers.

Definition of Quality

Quality is the measurable degree to which products and services meet or exceed the needs and expectations of our patients and customers. We, in the Trauma Program Department, are committed to the establishment of standards, the measurement of outcomes and the concept of continuous improvement in quality with the objective of doing the right thing in the right way the first time to improve return on quality.

Quality Assumptions

An understanding of the needs and expectations of our patients and customers determine the quality of a service or product. The Trauma Medical Director and Trauma Program Manager are accountable for implementation of the Performance Improvement (PI) Plan.

Mission, Vision, Values

Quality is based on the mission, vision and values of Tallahassee Memorial Healthcare. Specifically, the mission, vision and values include:

I. Mission
Tallahassee Memorial Healthcare is a private, not-for profit, teaching institution with clinic, and satellite facilities/family medicine centers in five surrounding counties. Our mission is with caring hands and hearts, we honorably serve our community and maintain positive, collaborative relationships by providing compassionate, leading-edge, patient-centered health care for all. We pursue perfection in a trusting and learning environment, thus enhancing the quality of life for those we serve.

II. Vision
Tallahassee Memorial Healthcare seeks to be recognized as World Class and all of our programs and the excellence therein.

III. Values
Integrity – We believe in strict personal honesty and independence.
Compassion – We believe in sharing one's suffering and showing mercy.
Accountability – We believe in being responsible for our actions.
Respect – We believe in showing consideration to others.
Excellence – We believe in achieving the highest level of quality.

Quality Infrastructure

I. Purpose

A. The purpose of the performance improvement initiative for the Trauma Program at Tallahassee Memorial Healthcare is to operationalize the vision, values, and mission identified in the Institution's and Department's strategic plan.

B. This is achieved through objective systematic monitoring and evaluation of patient care; identification of opportunities for improved outcomes; by pursuit of enhancements in patient care services, and by promoting excellence in care.

II. Goals and Objectives

A. To provide the framework for a planned, systematic, ongoing approach for the objective monitoring and evaluation of the quality, appropriateness, and effectiveness of services provided to patients and the population we serve.

B. To identify issues which impact upon the well being of the community and opportunities for improvement in patient care outcomes throughout the trauma care system.

C. To enhance relationships with all clinicians and services that provide care for trauma patients, and whose efforts impact on the patient's outcome.

D. To initiate continuous process improvement and to facilitate positive change in the provision of care throughout the continuum of the trauma system.

E. To function as an integral component of the Tallahassee Memorial Healthcare quality initiative.

F. To report resultant findings, conclusions, recommendations, actions, and outcomes to the senior leadership of Tallahassee Memorial Healthcare.

Florida's 21 Verified & 1 Provisional Trauma Centers

Pensacola
Baptist Hospital (Level 2)
Sacred Health Hospital (Level 2 and Pediatric)

Tallahassee
Tallahassee Memorial HealthCare (Level 2)

Jacksonville
Shands Jacksonville Medical Center (Level 1)

Daytona Beach
Halifax Medical Center (Level 2)

Melbourne
Holmes Medical Center (Level 2)

Orlando
Orlando Regional Medical Center (Level 1)

Lakeland
Lakeland Regional Medical Center (Level 2)

St. Petersburg
Bayfront Medical Center (Level 2)
All Children's Hospital/Bayfront Medical Center (Pediatric)

Tampa
St. Joseph's Hospital (Level 2 and Pediatric)
Tampa General Hospital (Level 1)

Ft. Pierce
Lawnwood Regional Medical Center & Heart Institute (Provisional, Level 2)

Ft. Myers
Lee Memorial Hospital (Level 2)

West Palm Beach
St. Mary's Medical Center (Level 2 and Pediatric)

Delray Medical Center (Palm Beach)
Delray Medical Center (Level 2, Pediatric)

Pompano Beach
North Broward Medical Center (Level 2)

Ft. Lauderdale
Broward General (Level 1)

Hollywood
Memorial Regional Hospital (Level 1)

Miami
Jackson Memorial Hospital/Ryder Trauma Center (Level 1)
Miami Children's Hospital (Pediatric)

Gainesville
Shands at the University of Florida (Level 1)

EXHIBIT "F"

EMS #	EMS Provider	Address	City	State	Zip	County	Phone	Principal Contact
6603	AIRHEART 3 / AIRMETHODS	1931 US HWY 90 WEST	DEFUNIAK SPRINGS	FL	32433	WALTON	850-951-0257	JOE DUNN
7003	LIFENET . TALLAHASSEE/AIRMETHODS	2711 CAPITAL MEDICAL BLVD	TALLAHASSEE	FL	32308	LEON	850-575-2611	Always call before the end of the month for reports
103	SHANDSCAIR	BOX 100335 SHANDS HOSPITAL	GAINESVILLE	FL	32610	ALACHUA	352-265-0222	JIM HOWARD
702	EMERGYSTAT INC. OF CALHOUN COUNTY (EMS)	17617 HWY 20 W	BLOUNTSTOWN	FL	32424	CALHOUN	850-674-7320	JUDY HALL
2001	GADSDEN COUNTY EMS	412 EAST JEFFERSON STREET	QUINCY	FL	32351	GADSDEN	850-875-8688	PAT FAIRCLOTH
3301	JEFFERSON COUNTY EMS	COUNTY COURTHOUSE RM 10	MONTICELLO	FL	32344	JEFFERSON	850-342-0180	Lucille Hunter - can call - prefer fax
3701	TMH, INC.	1300 MICCOSUKEE RD	TALLAHASSEE	FL	32308	LEON	850-431-4060	JON ANTWORTH
3703	LEON COUNTY EMS	2290 MICCOSUKEE RD	TALLAHASSEE	FL	32308	LEON	850-606-2120	Susan Kinni
3901	LIBERTY COUNTY AMBULANCE SERVICE	PO BOX 399	BRISTOL	FL	32321	LIBERTY	850-643-5866	PATRICIA SHULER- alt# 379-8000 (850)
4001	MADISON COUNTY EMS	PO BOX 539	MADISON	FL	32341	MADISON	850-973-1494	Lori Segrest
6202	Taylor County Fire Rescue/ EMS	333 Bryon Butler Parkway	PERRY	FL	32347	TAYLOR	850-584-2227	Marty or Michael
6501	WAKULLA COUNTY AMBULANCE SERVICE	PO BOX 608	CRAWFORDVILLE	FL	32326	WAKULLA	850-926-5424	Shirley Hanifin - FAX REQUEST
1904	WEEMS EMS (2nd contact)	135 AVE G	APALACHICOLA	FL	32320	FRANKLIN	850-653-8853	GENE EVANS
1609	TRAUMA ONE @ SHANDS JACKSONVILLE MED CTR	655 WEST 8TH ST	JACKSONVILLE	FL	32209	DUVAL	904-244-2782	WAYNE MARSHALL
7001	AIRMEDIC 1	333 BYRON BUTLER BLVD	PERRY	FL	32347	PERRY	850-584-0826	MIKE MCGOWAN 584-8725 =office
183	GRADY COUNTY EMS	1700	CAIRO	GA	3982	GRADY	229-	KAREN

EXHIBIT "F"

EMS #.	EMS Provider	Address	City	State	Zip	County	Phone	Principal Contact
		NORTH BROAD STREET			8		377-6413	DINKINS
3202	JACKSON COUNTY FIRE AND RESCUE UNIT	4487 LAFAYETTE ST	MARIANNA	FL	32446	JACKSON	850-718-0000	TERI ALOGNA
301	BAY MEDICAL CENTER	518 N COVE BLVD	PANAMA CITY	FL	32401	BAY	850-747-6336	HENRY VICK
6603	AIRHEART 2 / AIRMETHODS	3689 INDUSTRIAL PARK DRIVE	MARIANNA	FL	32446	JACKSON	850-482-5861	TIM KEOHANE
141	COLQUITT COUNTY EMS	3131 SOUTH MAIN STREET	MOULTRIE	GA	31768	COLQUITT	229-891-9059	
243	MILLER COUNTY EMS	202 GREY STREET PO BOX 376	COLQUITT	GA	39837	MILLER	229-758-4113	DONALD FREEMAN/TRACY KIMBREL
7001	AIRMEDIC 2	70 ACADEMY DRIVE	HAVANA/QUINCY	FL	32333	GADSDEN	850-201-7653	JOHN ANDERSON
043-01	DECATUR COUNTY EMS	PO 1256	BAINBRIDGE	GA	39818	DECATUR	229-248-3001	BILL HOGAN
1609	TRAUMA ONE @ LAKE CITY (SHANDS)		LAKE CITY	FL		COLUMBIA	386-755-6305	VICKY HUGGETT
2401	HAMILTON COUNTY EMS	902 US 41 NW	JASPER	FL	32052	HAMILTON	386-792-6447	MR. EDGAR LUMPKIN
290	THOMAS COUNTY EMS	1202 REMINGTON AVENUE	THOMASVILLE	GA	31792	THOMAS	229-227-4113	"Engalese" Long 229-225-4114 = preferred
1904	Weems Memorial Hospital Ambulance Service/Franklin cty	135 Ave. G	Apalachicola	FL	32320	FRANKLIN	850-653-8853 ext109	Candi Fox
	AirHeart office 482-8016							
	Mitchell County EMS, GA (includes Pelham,GA)	4767 Hwy 37 East	Camilla	GA	31730	Ann Lamb	229-336-2072	Ann Lamb is EMS director
5907	Suwannee County EMS	13530 80th terrace	Live Oak	FL	32060	SUWANNEE		Candy

Tallahassee Memorial Hospital
Trauma Center, a component of the Bixler Emergency Center
Proforma Budget

		2010
60000	Salaries	$ 304,963.00
60150	Sick pay	1,929.00
60200	Personal leave time	20,364.00
	Overtime, Shift, OPS, Call, Other	23,098.00
	Labor	350,354.00
61000	Social security	27,175.00
61050	Group health & dental insurance	21,951.00
61200	Group life insurance	181.00
	Retirement	4,305.00
61400	Professional fees	1,346,364.00
61950	General supplies	10,636.00
62600	Copy, microfilm	1,616.00
64300	Repair & maintenance	2,863.00
65800	Dues, subscriptions, licenses	950.00
65815	Software licenses	3,011.00
66350	Miscellaneous	1,000.00
65900	Continuing education	12,500.00
0	Community outreach activities	50,000.00
	Other expenses	1,482,552.00
	Total expenses	1,832,906.00

Tallahassee Memorial Hospital
Trauma Center, a component of the Bixler Emergency Center
Proforma Budget

2011

60000 Salaries	$	372,497.00
60150 Sick pay		17,677.00
60200 Personal leave time		15,820.00
Overtime, Shift, OPS, Call, Other		43,909.00
Labor		449,903.00
61000 Social security		34,422.00
61050 Group health & dental insurance		25,089.00
61200 Group life insurance		187.00
Retirement		48,648.00
61400 Professional fees		1,390,000.00
61950 General supplies		13,400.00
62600 Copy, microfilm		1,750.00
64300 Repair & maintenance		2,750.00
65800 Dues, subscriptions, licenses		1,000.00
65815 Software licenses		3,150.00
66350 Miscellaneous		-
65900 Continuing education		12,500.00
0 Community outreach activities		50,000.00
Other expenses		1,582,896.00
Total expenses		2,032,799.00

Articles of Amendment
to
Articles of Incorporation
of

Tallahassee Memorial HealthCare, Inc.
(Name of Corporation as currently filed with the Florida Dept. of State)

747823
(Document Number of Corporation (if known)

Pursuant to the provisions of section 617.1006, Florida Statutes, this ***Florida Not For Profit Corporation*** adopts the following amendment(s) to its Articles of Incorporation:

A. If amending name, enter the new name of the corporation:

_____

*The new name must be distinguishable and contain the word "corporation" or "incorporated" or the abbreviation "Corp." or " Inc." **"Company" or "Co." may not be used in the name.***

B. Enter new principal office address, if applicable:
(Principal office address MUST BE A STREET ADDRESS)

_____
_____
_____

C. Enter new mailing address, if applicable:
(Mailing address MAY BE A POST OFFICE BOX)

_____
_____
_____

FILED
09 SEP 25 PM 2:54
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

D. If amending the registered agent and/or registered office address in Florida, enter the name of the new registered agent and/or the new registered office address:

Name of New Registered Agent: _____

New Registered Office Address: _____
(Florida street address)

_____, Florida_____
(City) *(Zip Code)*

New Registered Agent's Signature, if changing Registered Agent:
I hereby accept the appointment as registered agent. I am familiar with and accept the obligations of the position.

_____
Signature of New Registered Agent, if changing

If amending the Officers and/or Directors, enter the title and name of each officer/director being removed and title, name, and address of each Officer and/or Director being added:
(Attach additional sheets, if necessary)

Title	Name	Address	Type of Action
_____	_____	_____	☐ Add ☐ Remove
_____	_____	_____	☐ Add ☐ Remove
_____	_____	_____	☐ Add ☐ Remove

E. If amending or adding additional Articles, enter change(s) here:
(attach additional sheets, if necessary). (Be specific)

Attached are the Second Amended and Restated Articles of Incorporation.

The date of each amendment(s) adoption: June 18, 2009
(date of adoption is required)

Effective date if applicable: September 10, 2009
(no more than 90 days after amendment file date)

Adoption of Amendment(s) **(CHECK ONE)**

☐ The amendment(s) was/were adopted by the members and the number of votes cast for the amendment(s) was/were sufficient for approval.

☑ There are no members or members entitled to vote on the amendment(s). The amendment(s) was/were adopted by the board of directors.

Dated September 23, 2009

Signature _____
(By the chairman or vice chairman of the board, president or other officer-if directors have not been selected, by an incorporator – if in the hands of a receiver, trustee, or other court appointed fiduciary by that fiduciary)

G. Mark O'Bryant
(Typed or printed name of person signing)

President / Chief Executive Officer
(Title of person signing)

SECOND AMENDED AND RESTATED ARTICLES OF INCORPORATION OF TALLAHASSEE MEMORIAL HEALTHCARE, INC.

TALLAHASSEE MEMORIAL HEALTHCARE, INC., pursuant to the Florida Not-For-Profit Corporation Act, Chapter 617 of the Florida Statutes, amends and restates the Amended and Restated Articles of Incorporation of TALLAHASSEE MEMORIAL HEALTHCARE, INC., as previously amended, as follows:

ARTICLE I. NAME

The name of this corporation is TALLAHASSEE MEMORIAL HEALTHCARE, INC., (the "Corporation").

ARTICLE II. COMMENCEMENT OF EXISTENCE AND TERM

The Corporation's existence began on June 27, 1979, when the Corporation was incorporated as Tallahassee Memorial Regional Medical Center, Inc. As of April 23, 1998, the name of the Corporation was amended to TALLAHASSEE MEMORIAL HEALTHCARE, INC. The Corporation shall have perpetual existence unless terminated sooner in accordance with the laws of the State of Florida.

ARTICLE III. INCORPORATOR

The name and street address of the incorporator for the sole purpose of filing these Second Amended and Restated Articles of Incorporation are as follows: G. Mark O'Bryant, 1300 Miccosukee Road, Tallahassee, Florida 32308.

ARTICLE IV. PRINCIPAL OFFICE

The street address of the principal office and the mailing address of the Corporation are: 1300 Miccosukee Road, Tallahassee, Florida 32308.

ARTICLE V. PURPOSES

The Corporation is organized as a non-profit Corporation under Chapter 617, Florida Statutes, for the following purposes:

(a) To own, manage, control, operate, govern, reconstruct, repair and lease existing medical facilities and clinics, hospital facilities and clinics and related support facilities; and to own, operate, construct and lease other medical facilities and clinics, hospital facilities and clinics and related support facilities.

(b) To establish and operate a hospital, extended care facilities, clinics, teaching units and health care services for the purpose of furnishing medical and surgical patient care services, food and other care for those suffering from illness, disease, injuries or disabilities and to operate an emergency room and rooms in such hospitals, clinics or extended care facilities.

(c) To carry on any education or other activities relating to the rendering of care to the sick and injured or to the promotion of general health and welfare.

(d) To participate in any activity designed and carried on to promote general health.

(e) To promote and carry on research related to the care of the sick and injured.

(f) To solicit and receive funds, gifts, endowments, donations, devises and bequests.

(g) To lease or purchase land or lands, building or buildings, and purchase and construct buildings for purposes in connection with the activities of the Corporation, including, but not limited to, hospitals, doctors' offices, clinics, laboratories or any kind of related medical activity.

(h) To employ all personnel necessary to the operation of the Corporation, or any of its affiliates, including but not limited to administrative personnel and medical personnel, which

shall include, but not be limited to physicians, nurses, physician assistances and nurse practitioners.

(i) To exercise all the powers enumerated in Section 617.021, Florida Statutes, as it now exists or is subsequently amended or superseded, and to do and perform such acts and to have such powers as shall be desirable and necessary in furtherance of any of the powers herein enumerated which are not in derogation of the laws of the State of Florida.

This Corporation is organized exclusively as a not-for-profit Corporation, and its activities shall be conducted for such purposes in such a manner that no part of its net earnings shall inure to the benefit of any member, director, officer or individual. In addition, the Corporation shall be authorized to exercise the powers permitted non-profit corporations under Chapter 617, Florida Statutes provided, however, that the Corporation, while exercising any one or more powers, shall do so in furtherance of the exempt purposes for which it has been organized as described in Section 501(c)(3) of the Internal Revenue Code or any additions or amendments thereto.

ARTICLE VI. DISTRIBUTION OF ASSETS

No dividends shall be declared and no part of the income, profit or net earnings of the Corporation shall at any time be paid to any Officer or Director of the Corporation, or to any other person whomsoever, as a dividend or other distribution of the assets or profits of the Corporation. Notwithstanding the foregoing, the Corporation is and shall be authorized to pay its employees or independent contractors reasonable compensation for services actually rendered by such employees or independent contractors, regardless of whether such employees or independent contractors are also Directors or Officers of the Corporation. Upon dissolution of this Corporation, all of its assets remaining after the payment of all costs and expenses of such

dissolution shall be distributed to the City of Tallahassee, Florida for a public purpose, and none of the assets will be distributed to any Officer or Director of this Corporation.

ARTICLE VII. BOARD OF DIRECTORS

Subject to the requirements of the Bylaws and applicable law, the powers of this Corporation shall be exercised, its properties controlled, and its affairs conducted by the Board of Directors consisting of not less than twelve (12) Directors. The manner in which the Directors are to be elected or appointed, and the terms thereof, shall be as stated in the Bylaws of the Corporation. The number of Directors of the Corporation may be increased or diminished from time to time in the manner provided by the Bylaws.

ARTICLE VIII. NO MEMBERS

The Corporation shall not have members.

ARTICLE IX. OFFICERS

The Officers of the Board of Directors shall be as set forth herein and in the Bylaws. The Officers of the Corporation shall consist at a minimum of a President, who may also be the Chief Executive Officer, and who shall serve at the pleasure of the Board of Directors, pursuant to the Bylaws. The Board of Directors shall employ a Chief Executive Officer who shall serve at the pleasure of the Board and who shall be an ex-officio member of the Board. The Chief Executive Officer shall actively supervise the operation of the Corporation in accordance with the policies, rules and regulations established by the Board as set forth in the Bylaws. Other officers and assistant officers as deemed necessary by the Board of Directors may be provided for in the Bylaws.

ARTICLE X. INDEMNIFICATION

Every person who now is or hereafter shall be a Director or Officer of the Corporation shall be indemnified by the Corporation to the fullest extent now or hereafter permitted by Florida law.

ARTICLE XI. BYLAWS

The Bylaws of the Corporation were initially adopted by the initial Board of Directors and amended thereafter by the Board of Directors pursuant to the Bylaws and the Articles of Incorporation. Any article or section of the Bylaws may be adopted, amended or repealed, pursuant to the terms of the Bylaws, as amended.

ARTICLE XII. AMENDMENTS TO ARTICLES OF INCORPORATION

These Second Amended and Restated Articles of Incorporation may be amended by the Board of Directors as set forth in the Bylaws of the Corporation.

ARTICLE XIII. REGISTERED AGENT AND OFFICE

The name and address of the Registered Agent of this Corporation are: Judy S. Davis, 1300 Miccosukee Road, Tallahassee, Florida 32308.

ARTICLE XIV. WAIVER OF NOTICE/CONSENT

Whenever any notice whatever is required to be given under any provision of the law, these Second Amended and Restated Articles of Incorporation, or the Bylaws of the Corporation, as amended, a waiver of such notice in writing signed by the person or persons entitled to notice, whether before or after the time stated in such waiver, will be deemed equivalent to the giving of such notice.

Any action required by law or under these Second Amended and Restated Articles of Incorporation or the Bylaws of the Corporation, or any action which otherwise may be taken at a

meeting of the Board of Directors, may be taken without a meeting if the consent in writing setting forth the action so taken, is signed by all persons entitled to vote with respect to the subject matter of such consent and filed with the Secretary of the Corporation.

ARTICLE XV. SUPERSEDE

These Second Amended and Restated Articles of Incorporation supersede the original Articles of Incorporation of the Corporation and all amendments thereto.

IN WITNESS WHEREOF, the undersigned has made, subscribed and acknowledged these Articles of Incorporation on this 18th day of June, 2009, for the purpose of amending and restating the Amended Articles of Incorporation of the Corporation under the laws of the State of Florida.

G. MARK O'BRYANT
President/Chief Executive Officer/Incorporator